



**ANNUAL REPORT OF INVESTIGATIVE ENTITY ON CASES OF MISCONDUCT
HANDLED ON BEHALF OF ICAO – 2023**

EXECUTIVE SUMMARY

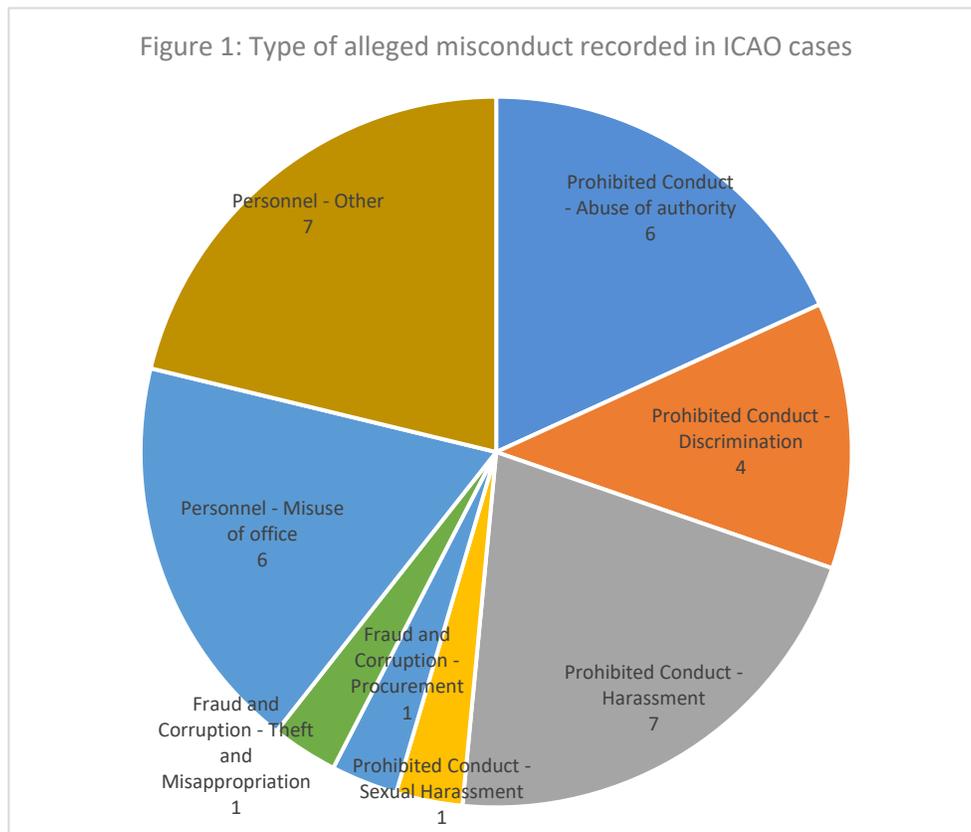
Paragraph 60 of the ICAO Framework on Ethics (Annex I to The ICAO Service Code) requires the investigative entity to provide to the Council each year an annual report of all cases of misconduct handled on behalf of ICAO within the preceding year. Therefore, this document presents the annual report of the investigative entity, the United Nations Office of Internal Oversight Services (OIOS), for 2023, which covers all cases of misconduct handled by OIOS on behalf of ICAO during the period 1 January 2023 to 31 December 2023.

1. INVESTIGATIONS

1.1 On 20 January 2022, the Office of Internal Oversight Services (OIOS) and the International Civil Aviation Organization (ICAO) entered into a new formal agreement for the provision of independent investigation services by OIOS to ICAO.

1.2 In 2023, OIOS recorded 18 cases of alleged misconduct involving ICAO, compared to the 12 registered in 2022, 18 registered in 2021, 17 registered in 2020, and 14 registered in 2019. Of the matters received in 2023, six were predicated for investigation¹, seven had been or were being referred to ICAO for appropriate action, two matters remained in intake, and three were recorded for information.

1.3 A case recorded by OIOS may include more than one allegation of misconduct. Figure 1 depicts the breakdown of allegations by category of misconduct.



¹ Of the six matters predicated for investigation, one matter subsequently was converted to a referred matter and another was created as an additional subject of an existing investigation.

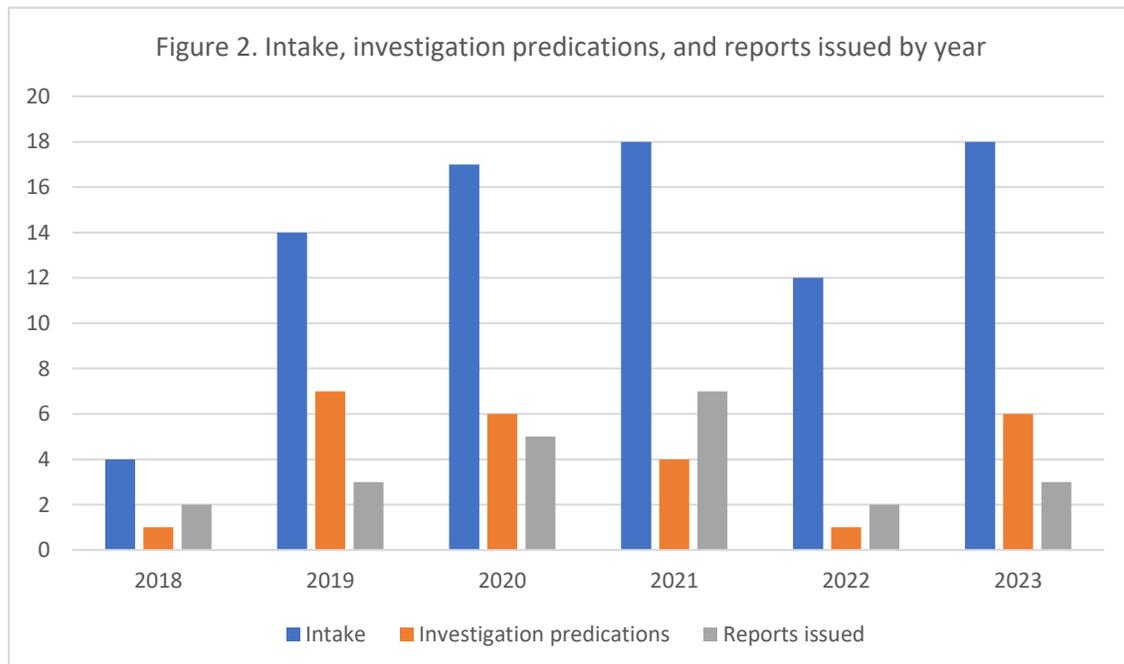
1.4 Harassment was the most frequently reported type of alleged misconduct in 2023, whereas abuse of authority and misuse of office featured in one-third of cases. ‘Personnel – other’ includes allegations of disreputable conduct, non-compliance with United Nations policy, and failure to comply with private legal obligations.

1.5 OIOS received one allegation of sexual harassment in 2023, and the matter was predicated for investigation. OIOS did not receive any allegations of sexual exploitation and abuse in 2023.

1.6 39% of alleged misconduct reports received were recorded as taking place in Egypt, 28% in Canada, and there was one case each in Azerbaijan, France, Islamic Republic of Iran, Mexico, and Senegal.

1.7 Two ICAO investigations were closed in 2023, one being completed in 13.8 months and the other in 33.5 months. The investigations covered allegations of theft and misappropriation, abuse of authority, harassment, acts/behaviour that cause reputational damage, and failure to disclose an actual or potential conflict of interest. As outputs for these cases, OIOS issued one investigation report, one advisory report, and one closure report.

1.8 Six ICAO investigations remain open² as of 31 December 2023.



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² See footnote 1 above.