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# SAFE TRAVELS

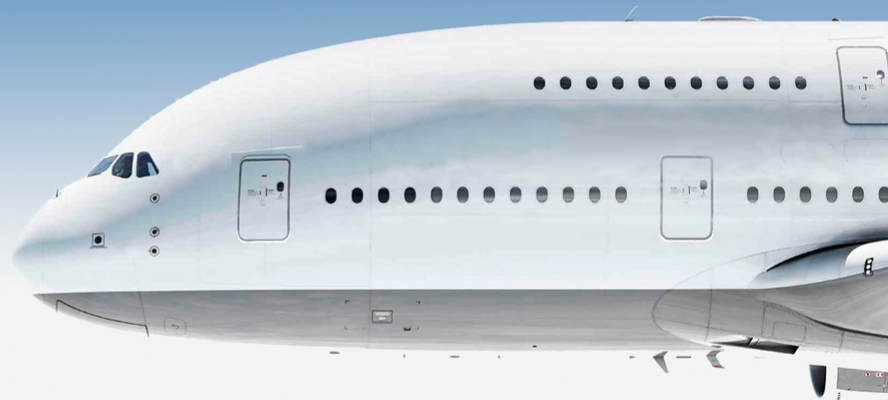
*Committed to leave no one behind*

*ICAO's Agenda for SAFETY*

**Catalin Radu**

*Deputy Director, Aviation Safety  
Air Navigation Bureau – ICAO*

*AFI Aviation Week  
22-25 May 2017*



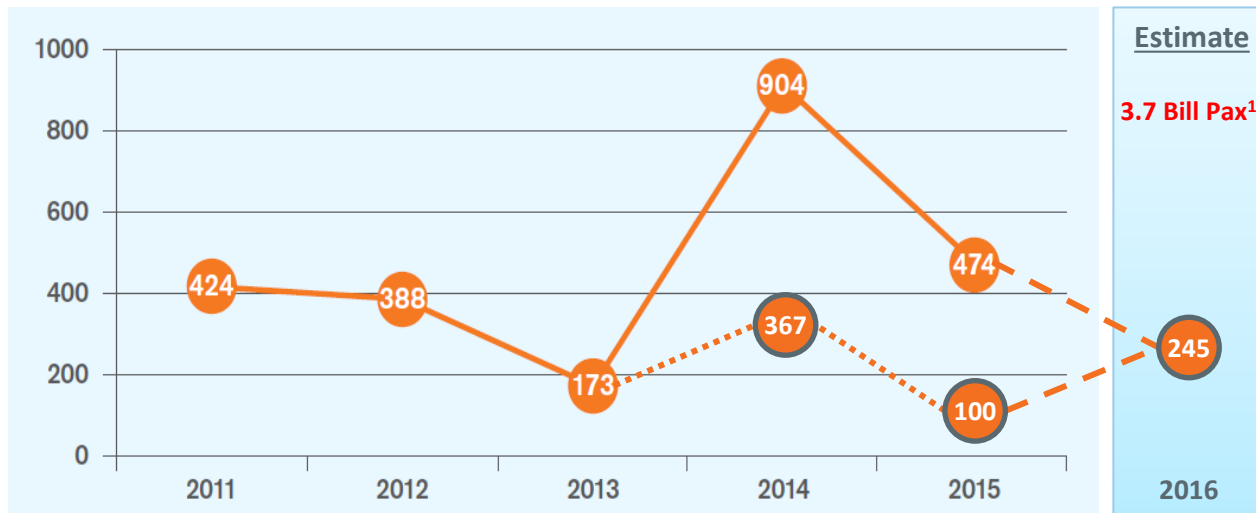
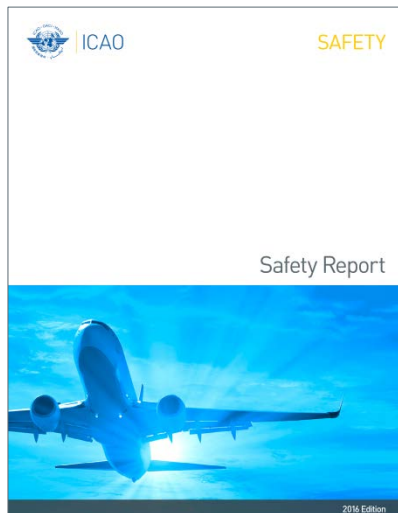


**ZERO  
FATALITIES**

Our  
Aspirational  
**Safety** Goal



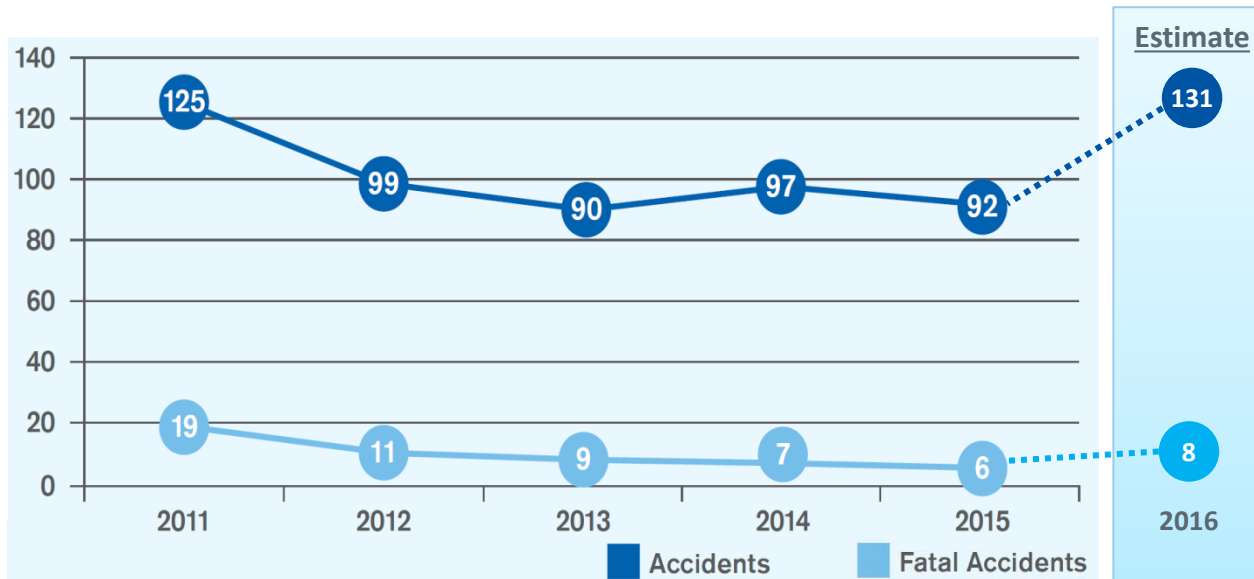
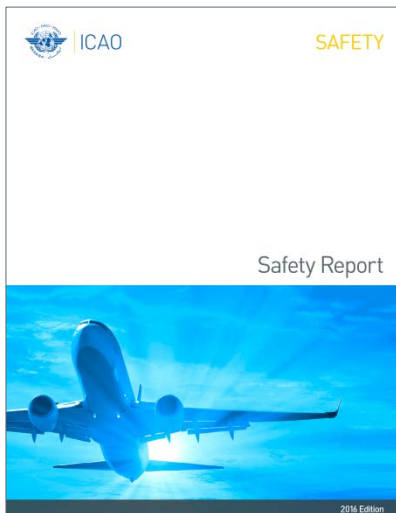
## Fatalities Trend for Scheduled Commercial Flights (2011 – 2016)



Fatalities showed a spike during 2014, and then recovered in 2015



## Fatalities Trend for Scheduled Commercial Flights (2011 – 2016)



Fatal accidents have been stable



# We are not that far...

Regional Accident Statistics: 2013

RASG	Estimated Departures (in millions)	Number of accidents	Accident rate (per million departures)	Fatal accidents	Fatalities
AFI	0.7	9	12.9	1	33
APAC	8.6	19	2.2	1	49
EUR	7.9	21			
MID	1.1	2			
PA	13.8	39			
WORLD	32.1	90			

Regional Accident Statistics: 2015

RASG	Estimated Departures (in millions)	Number of accidents	Accident rate (per million departures)	Fatal accidents	Fatalities
AFI	0.8	6	7.3	0	0
APAC	9.8	24	2.5	3	98
			3.0	1	150
			2.5	1	224
			2.6	1	2
			2.8	6	474

For 2016 (non validated results)


PA (NACC/SAM) and AFI (WACAF/**ESAF**)

**ZERO fatalities**

Regional Accident Statistics: 2016

RASG	Estimated Departures (in millions)	Number of accidents	Accident rate (per million departures)	Fatal accidents	Fatalities
AFI	0.7	6			
APAC	10.2	18	1.8	3	449
EUR	8.9	26	2.9	1	298
MID	3.0	7	2.3	2	39
PA	9.9	41	4.1	0	0
WORLD	33	98	3.0	7	904

...and some regions have already  
achieved zero fatalities



Air traffic is predicted to  
**double** by 2030

How can we ensure the  
**safe** realization of this growth?





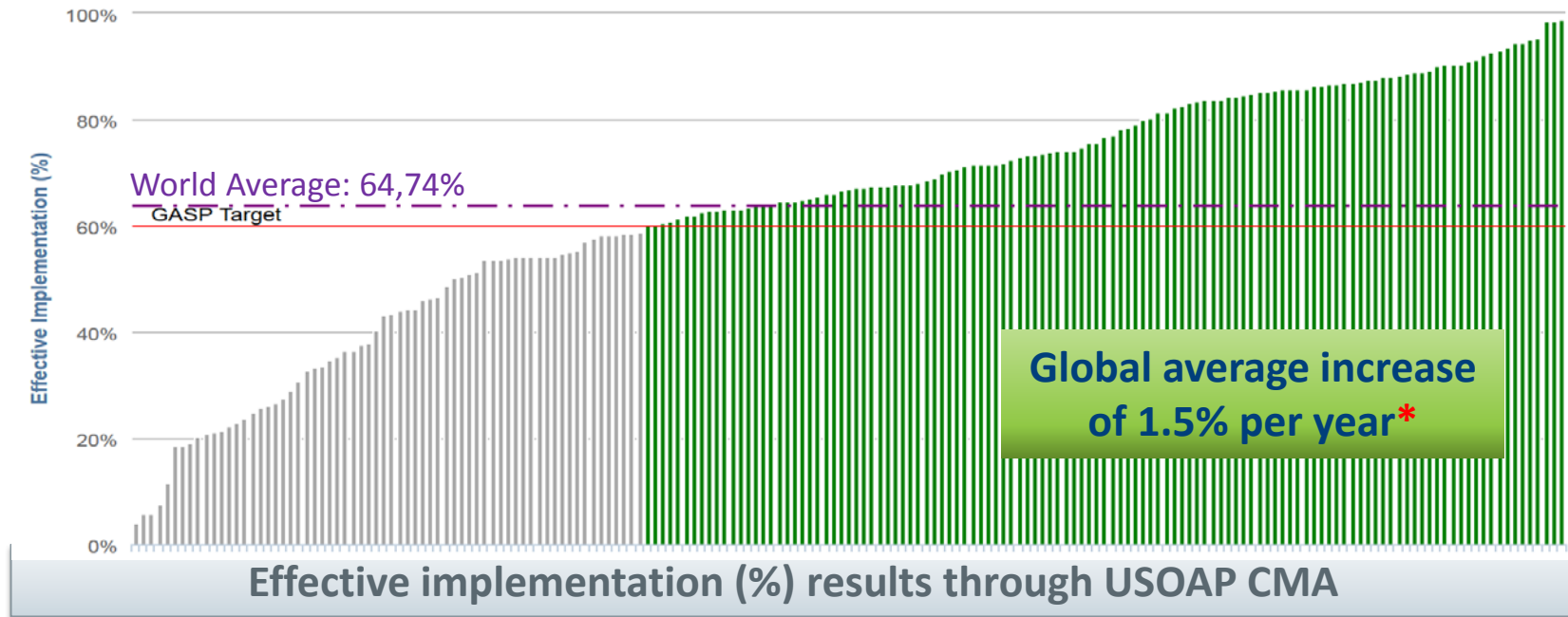
## Risks/barriers to achieve our goal

- Effective Implementation of SARPs
- ICAO's Safety priorities:  
Operational Risks
- Current and emerging issues





# Effective Implementation of State Safety Oversight



\* Based on the world average of EI from the period 2011 (60.17) to 2016 (64.74)





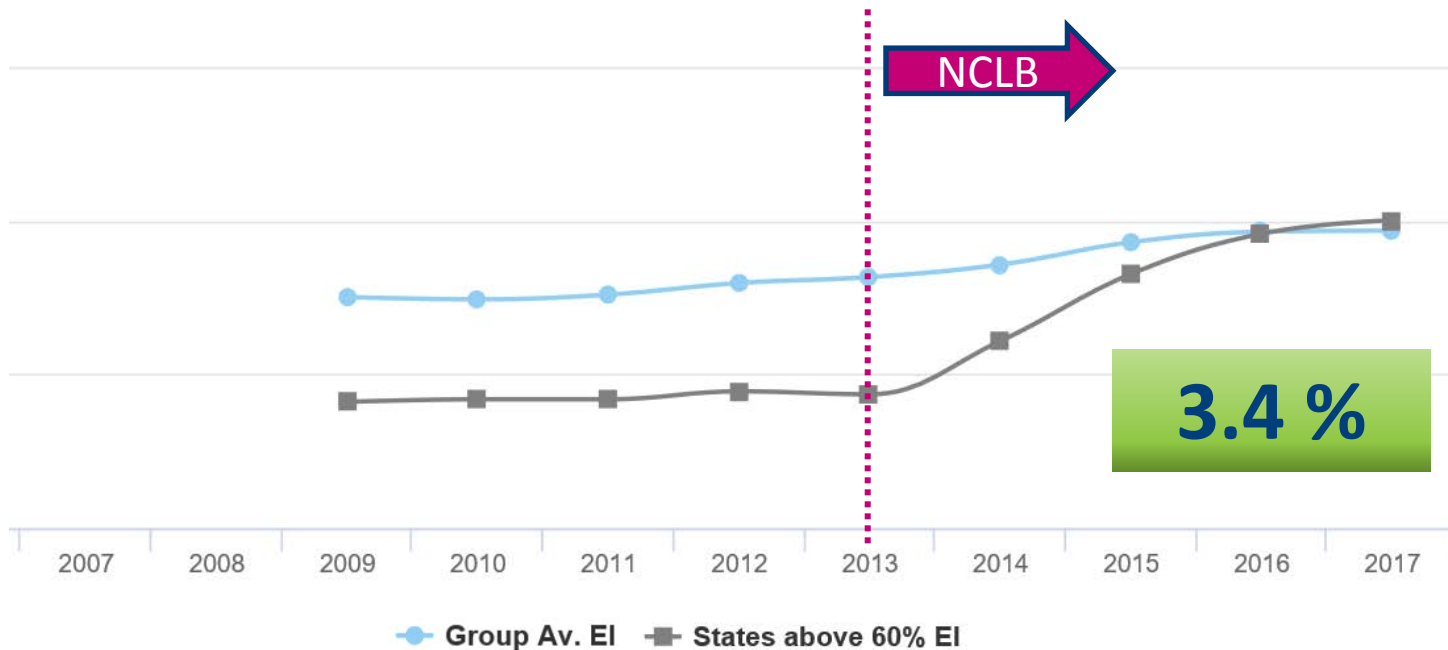
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# AFI region





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Safe Travels: ICAO's agenda for Safety

# **WHAT WE HAVE TODAY**

***(Effective Implementation of SARPs)***



# Evolving Safety Performance

- **iMPLEMENT** is a data-driven decision making process that:
  - Assesses the current status of aviation (**Safety Briefings**)
  - Identifies the best solutions in order to maintain or improve the aviation capability of the State (**Solution Center**)
  - Evaluates the needs of the aviation system (money, people, infrastructure) (**CAA HR Tools, PAINT/iAID, etc.**)
  - Identifies resources through existing national, regional, or global mechanisms (**ASIAP, SAFE Fund, etc.**)
  - Showcases the real added value of air transport and the socio-economic return on investment of aviation

A 'NO COUNTRY LEFT BEHIND' Initiative:

# iMPLEMENT

Facilitating Data-Driven Decisions for Aviation



State Safety  
Briefing



Regional Safety  
Briefing



Aerodrome  
Briefing



Solution  
Center



## Identify Risks

Protocol findings by Area and Critical Element

	LEG	ORG	PEL	OPS	AIR	AIG	ANS	AGA
CE-1	5						4	
CE-2	4		2	6	5	3	4	7
CE-3		1	1	2	2	1	1	3
CE-4			4	4	5	3	6	1
CE-5			1	2	1	10		
CE-6			3	10	2		3	10
CE-7			8	5	2			
CE-8			1	2	2	4		

### State Safety Briefing



### SSP Prerequisites

### Reporting on current State risks



## Prioritize and Offer Solutions

### Solution Center

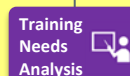
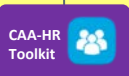
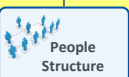
Protocol findings by Area and Critical Element interaction

	LEG	ORG	PEL	OPS	AIR	AIG	ANS	AGA
CE-1	5							
CE-2	4		2	6	5	3	4	7
CE-3		1	1	2	2	1	1	3
CE-4			4	4	5	3	6	1
CE-5			1	2	1	10		
CE-6			3	10	2		3	10
CE-7			8	5	2			
CE-8			1	2	2	4		

### Prioritizing risks & providing corrective actions



## Identifying the needs

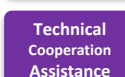


### Providing practical solutions to prioritized risks



## Resourcing the needs

### RESOURCING THE NEEDS



## Aviation Benefits

### SOCIO-ECONOMIC BENEFITS OF AVIATION

	LEG	ORG	PEL	OPS	AIR	AIG	ANS	AGA
CE-1	5							
CE-2	4		2	6	5	3	4	7
CE-3		1	1	2	2	1	1	3
CE-4			4	4	5	3	6	1
CE-5			1	2	1	10		
CE-6			3	10	2		3	10
CE-7			8	5	2			
CE-8			1	2	2	4		

### ENHANCED SAFETY

3.4% 58.1  
of global GDP million  
in reported to be a global market

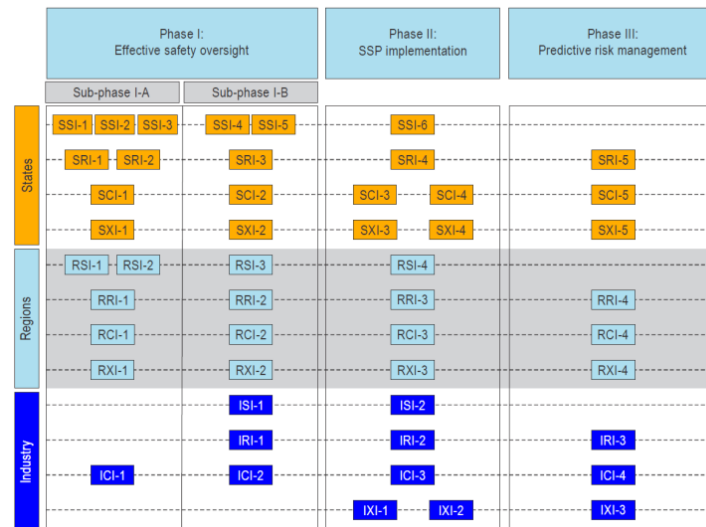
### ECONOMIC DRIVER





# GASP 2017-2019 Roadmap

- **Goals:**
  - Ensure that safety initiatives deliver the intended benefits associated with the GASP objectives
  - Reduce inconsistencies and duplication of efforts
- **Specific safety initiatives aimed at States, Regions and Industry**
- **Complements the GANP**
  - Some ASBUs address safety
  - Implementation of ASBUs will require safety assessments prior to implementation





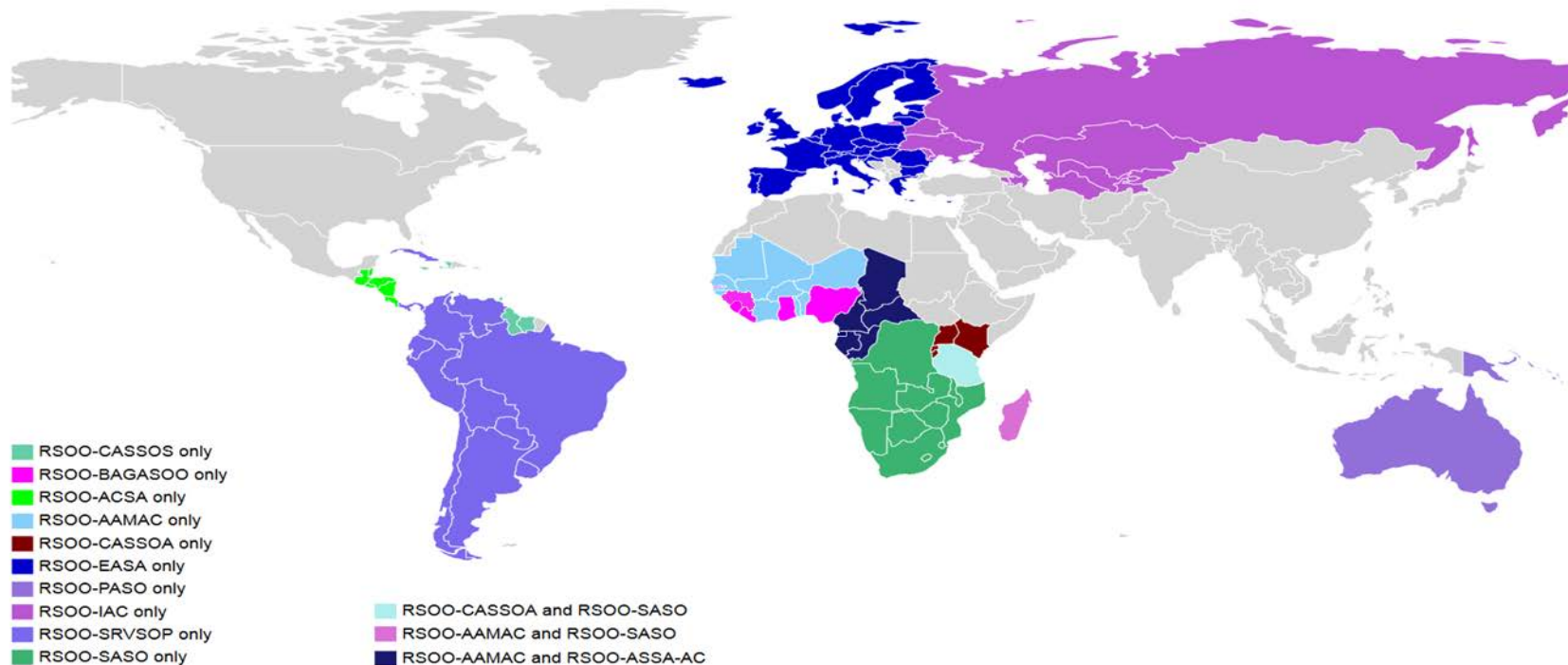
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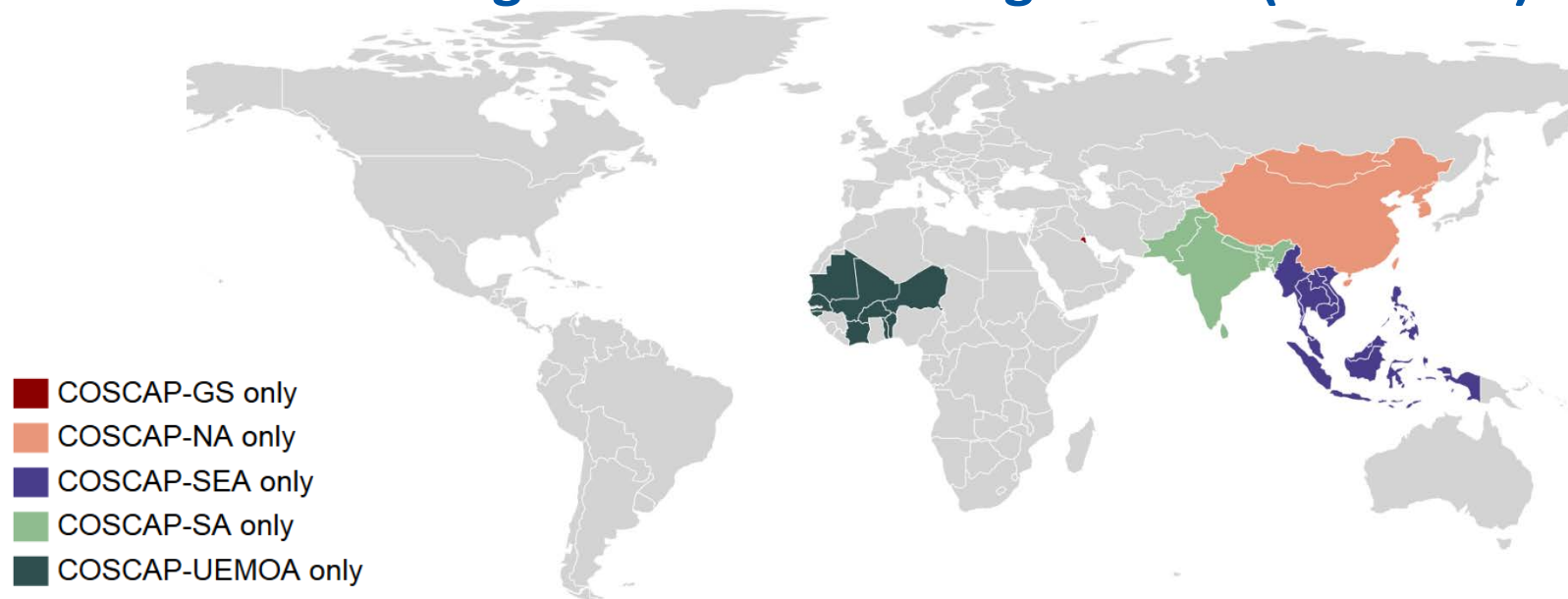


# Regional Safety Oversight Organizations (RSOOs)






## Cooperative Development of Operational Safety and Continuing Airworthiness Programmes (COSCAPs)








 ICAO RASGs


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# Regional Aviation Safety Groups (RASGs)




## Vision

To encourage the reporting of progress on the implementation of SSP and SMS provisions, which is essential to guide the future development of safety management provisions.




## Mission

To identify activities, including required resources, to support the Annex 19 roll-out plan and the effective and continuing implementation of SMS and SSP provisions.



## History




The RASGs exist in response to the C-DEC 190/4 taken in May 2010 to support a regional performance framework for the management of safety.


Start 

Click Start to access the repository of RASG documents.

RASGs are an initiative of the International Civil Aviation Organisation which has headquarters situated in Montreal, Canada. There are 7 regional offices located in Bangkok, Cairo, Dakar, Lima, Mexico City, Nairobi and Paris, as well as 1 regional sub-office in Beijing.

























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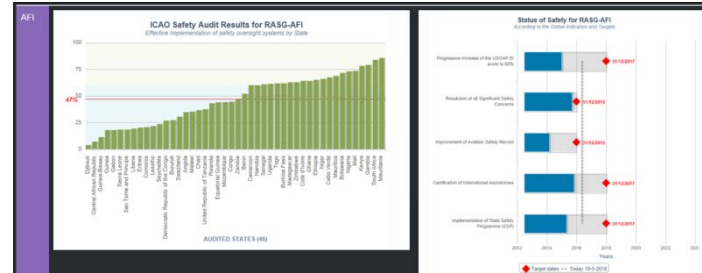
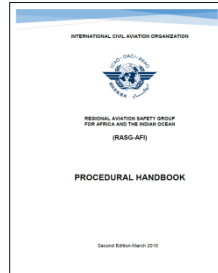
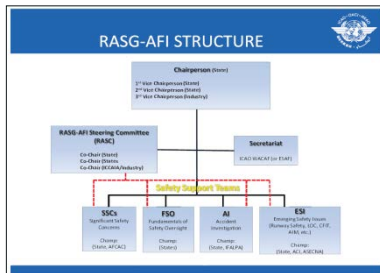
## Governance

RASG	Procedural Handbook	Membership	Organisation	TOR
AFI	EN 	EN 	EN 	EN 
APAC	EN 	EN 	EN 	EN 
EUR	EN  RU 	EN 	EN  RU 	EN  RU 
MID	EN 	EN 	EN 	EN 
PA	EN+SP 	EN 	EN+SP 	EN  SP 

RASGs are an Initiative of the International Civil Aviation Organisation which has headquarters situated in Montreal, Canada. There are 7 regional offices located in Bangkok, Cairo, Dakar, Lima, Mexico City, Nairobi and Paris, as well as 1 regional sub-office in Beijing.

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Safe Travels: ICAO's agenda for Safety

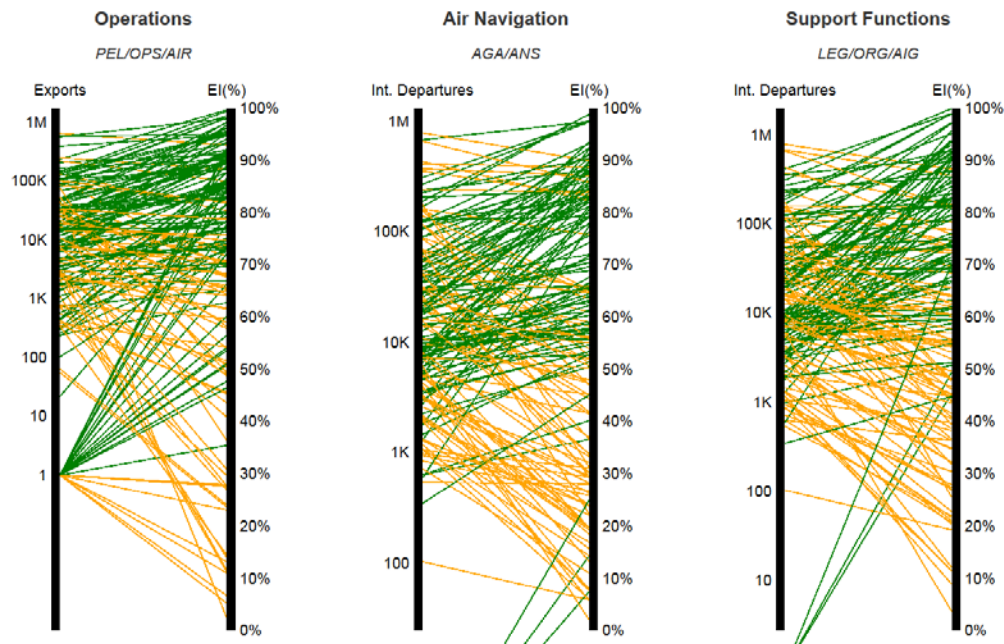
## WHAT'S NEXT ?

*(Effective Implementation of SARPs)*





# Evolution of Analysis: Safety Margins



## Safety Margin

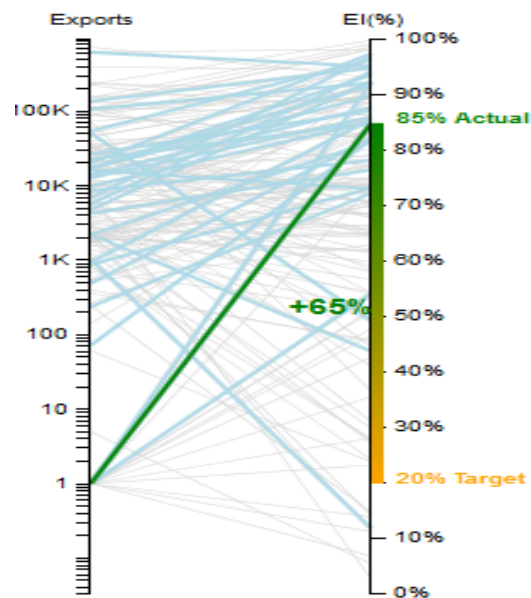
Risk-based prioritization model based on a State's Traffic and the level of Effective Implementation (EI) in the related technical areas at risk (Operations, Air Navigation, Support Functions)



### Operations

PEL/OPS/AIR

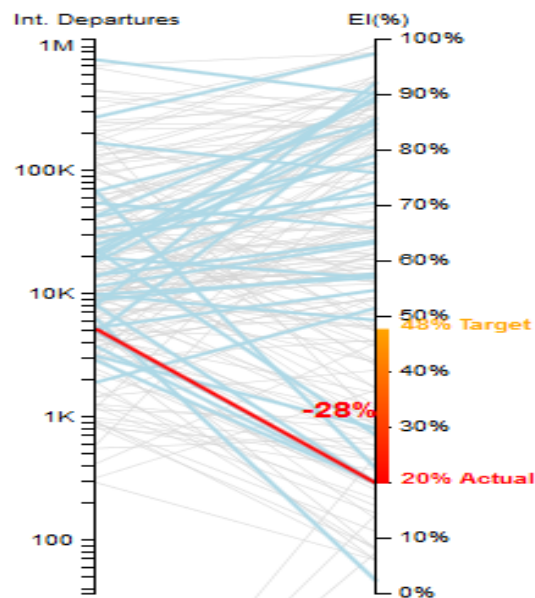
#### Priority 3



### Air Navigation

AGA/ANS

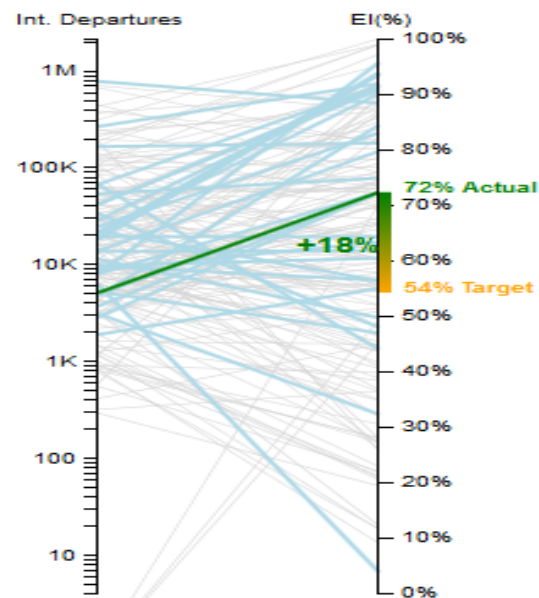
#### Priority 1



### Support Functions

LEG/ORG/AIG

#### Priority 2

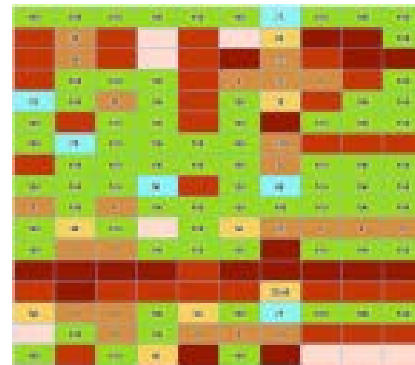
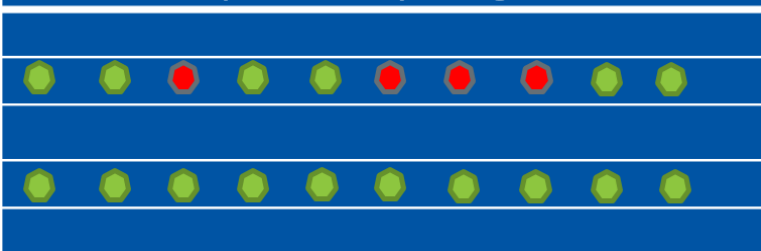




# SSP pre-requisites

Identifies pre-requisites to an effective and sustainable SSP implementation

## USOAP PQ Prerequisites – Safety Oversight Foundation



A subset of the approx. 380 USOAP PQs with more granularity than the 60% EI threshold;



# Global Aviation Safety Oversight System

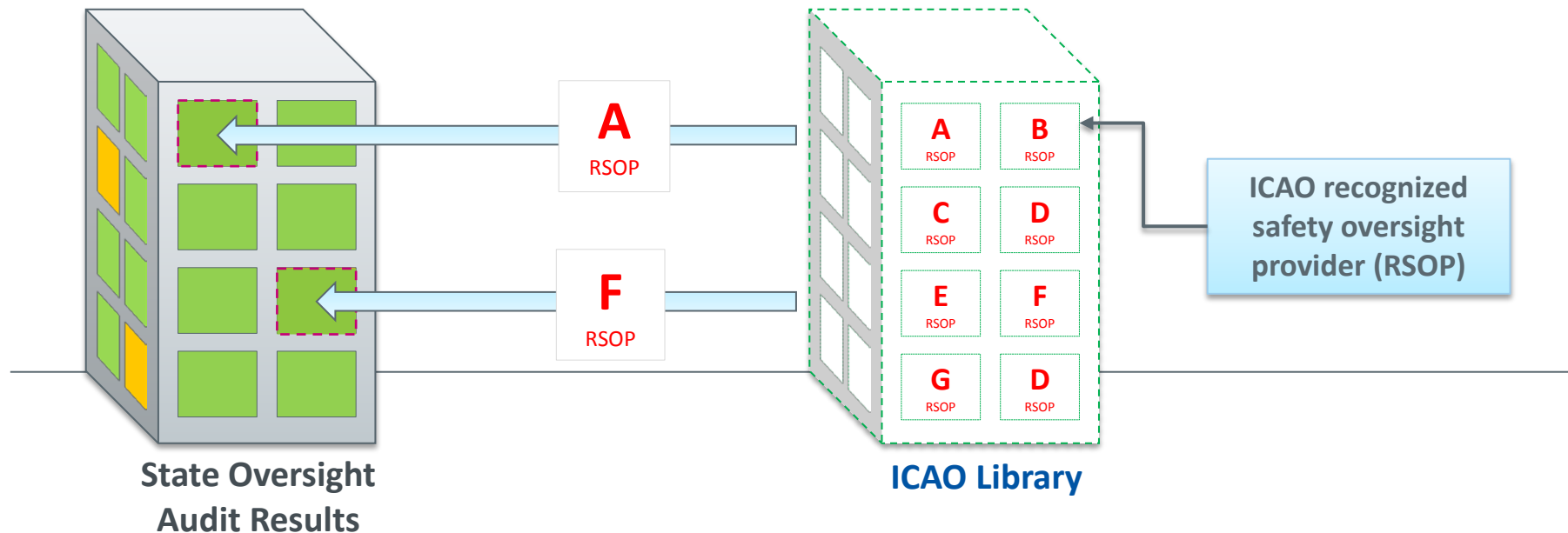
*(endorsed during the Global RSOO Forum - Swaziland)*



- **Empower and strengthen regional mechanism** (i.e. RSOO, RAIO, etc.) to form the building blocks of a global safety oversight system
- ICAO responsible for **establishing and maintaining an inventory of competent safety oversight providers** and the tasks and functions that they provide
- Regional mechanisms and safety oversight providers to demonstrate competence in the tasks and functions that they provide to a State, in order to qualify as an **ICAO recognized safety oversight provider**



# Global Aviation Safety Oversight System (GASOS)

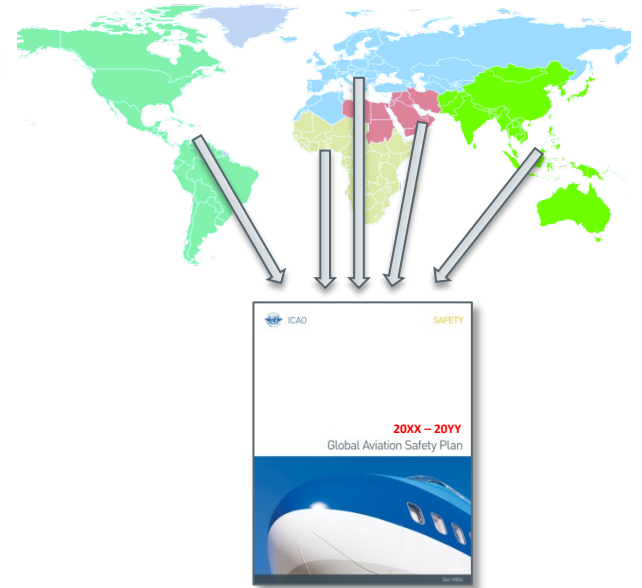






# Evolution of RASGs

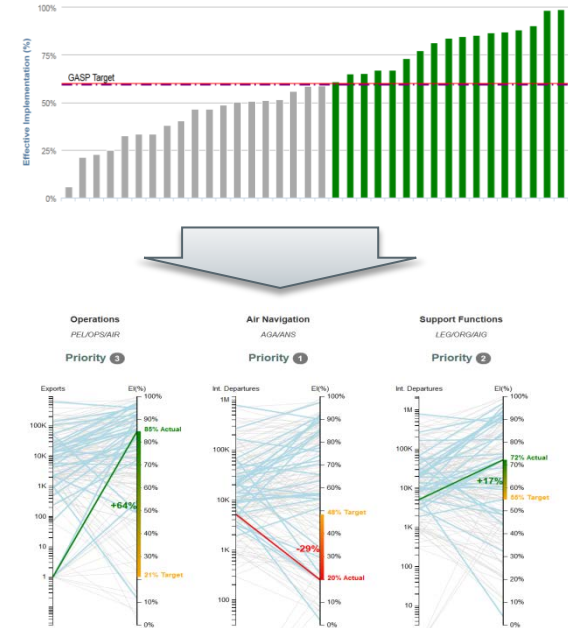
- RASGs as the **leaders for the management of regional operational risk environment**, as reflected in the Global Aviation Safety Plan (GASP)





# Evolution of RASGs

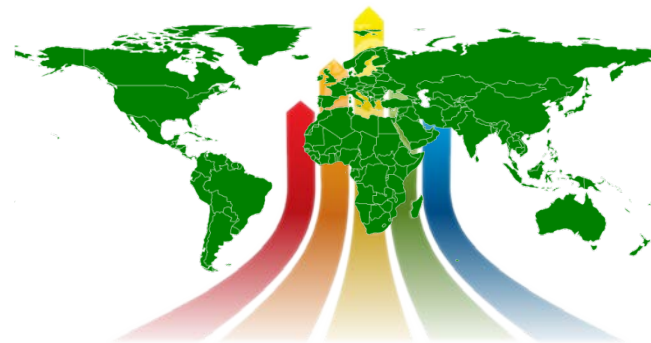
- RASGs as the **leaders for the management of regional operational risk environment**, as reflected in the Global Aviation Safety Plan (GASP)
- RASGs to assist States in **identifying hazards and defining their own specific targets**
  - From 60% EI to an **acceptable Safety Margin** for each State
  - Mapping the risk





# Evolution of RASGs

- RASGs as the **leaders for the management of regional operational risk environment**, as reflected in the Global Aviation Safety Plan (GASP)
- RASGs to assist States in **identifying hazards and defining their own specific targets**
  - From 60% EI to an **acceptable Safety Margin** for each State
  - Mapping the risk
- **RASGs to harmonize and avoid overlaps**
  - Between States
  - Between RASGs





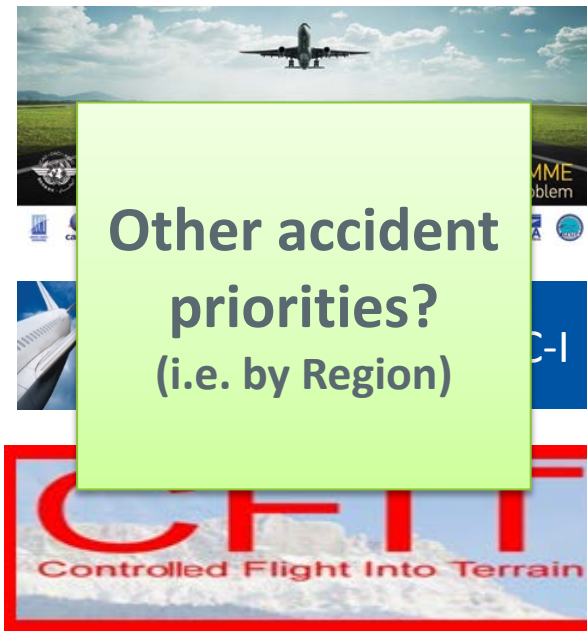
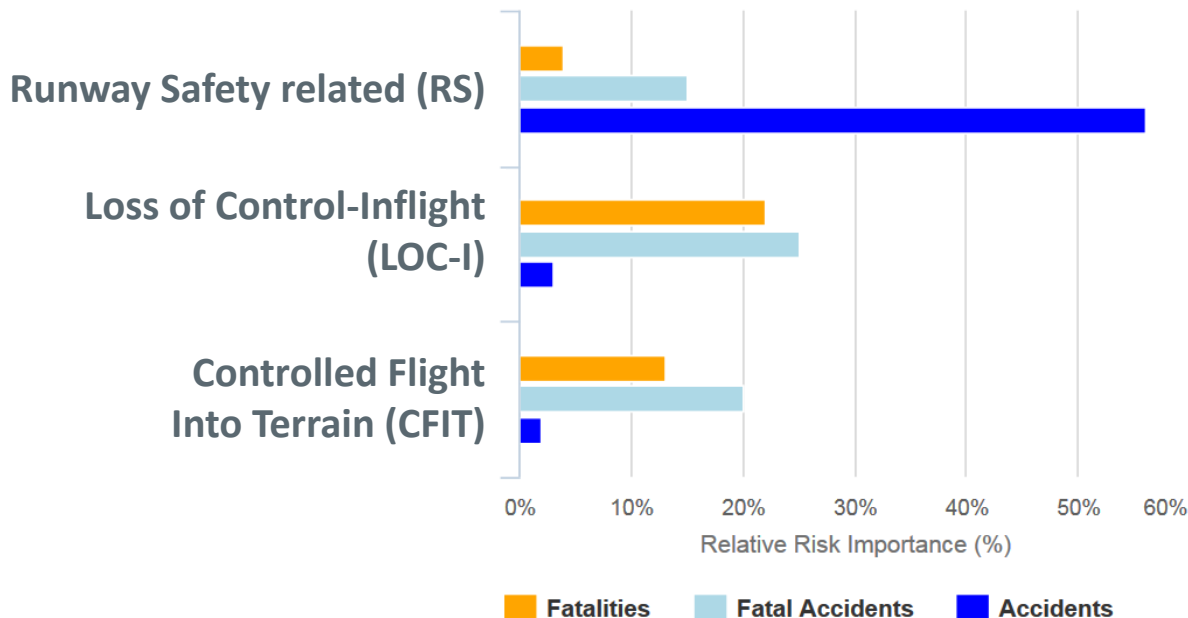
## Risks/barriers to achieve our goal

- Effective Implementation of SARPs
- ICAO's Safety priorities:  
Operational Risks
- Current and emerging issues



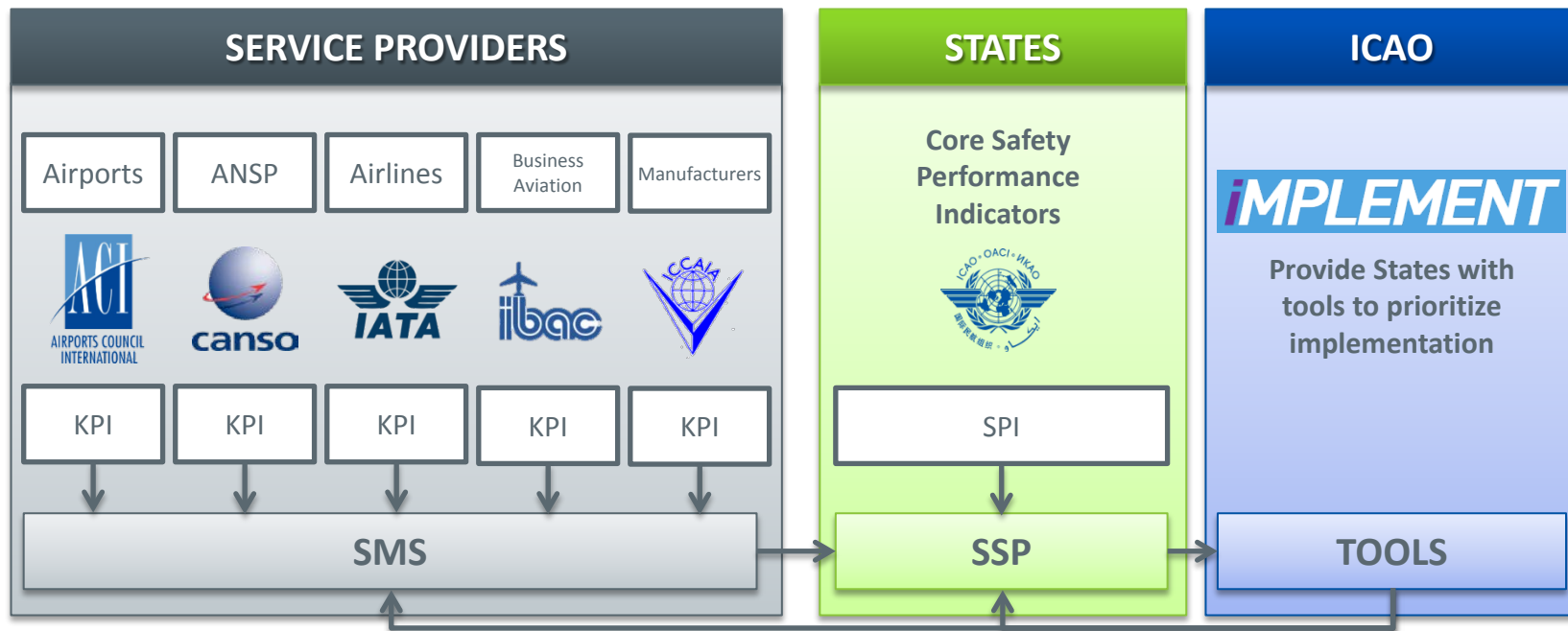


# Safety Priorities: Operational Risks





# Information Sharing and Exchange





# Analysis to manage the hazards

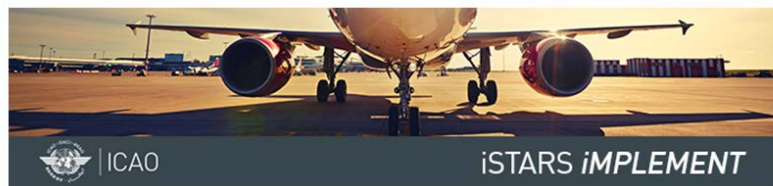


ICAO iSTARS 3.0

Integrated Safety Trend Analysis and Reporting System

**OVER 30**Applications for safety analysis and  
Information**MORE THAN 3000+**

Registered users

[www.icao.int/safety/ISTARS](http://www.icao.int/safety/ISTARS)

State Safety Briefing



Solution Center



CAA HR Benchmark



Economic Dev. Indicators



Dashboards



Regional Safety Briefing



Approach Paths



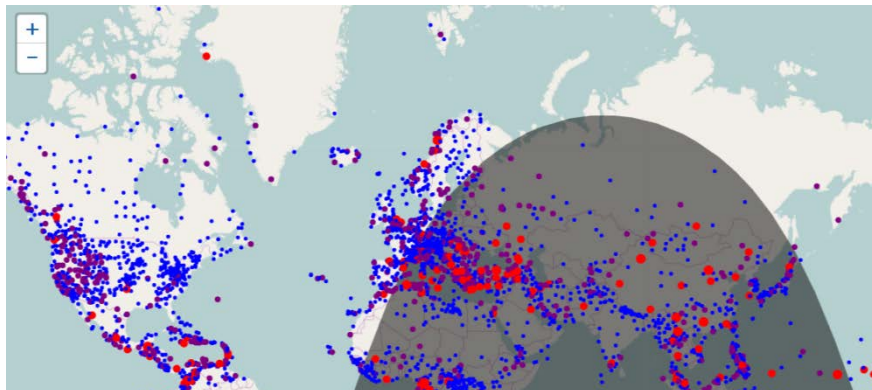
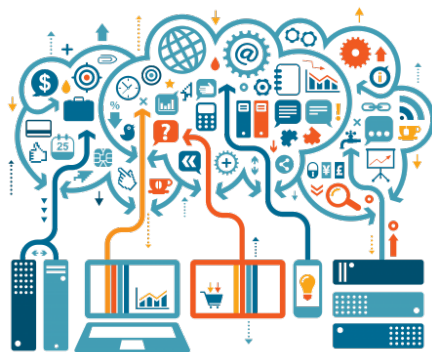
Airport Briefing





# Risk Mitigation: Managing the Hazards

## Airport Hazards (from METARs, NOTAMs, and USOAP)



Data driven decision making processes using data from States, Industry, and other stakeholders



## Risks/barriers to achieve our goal

- Effective Implementation of SARP
- ICAO's Safety priorities:  
Operational Risks
- Current and emerging issues

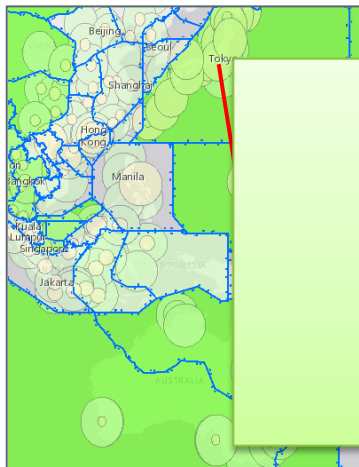




# Current and Emerging Issues



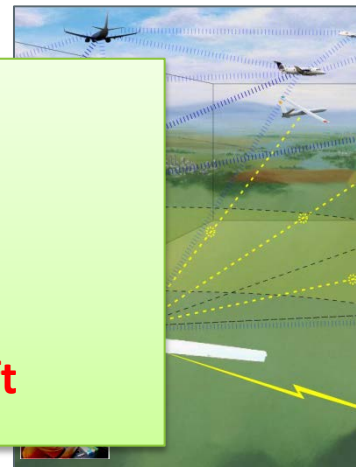
Conflict Zones



Global Tracking



Cyber Safety



RPAS



Space Transportation

**What next?**  
**Mental Health**  
**GPS interruption**  
**Cargo Safety**  
**Supersonic aircraft**



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# SUMMARY





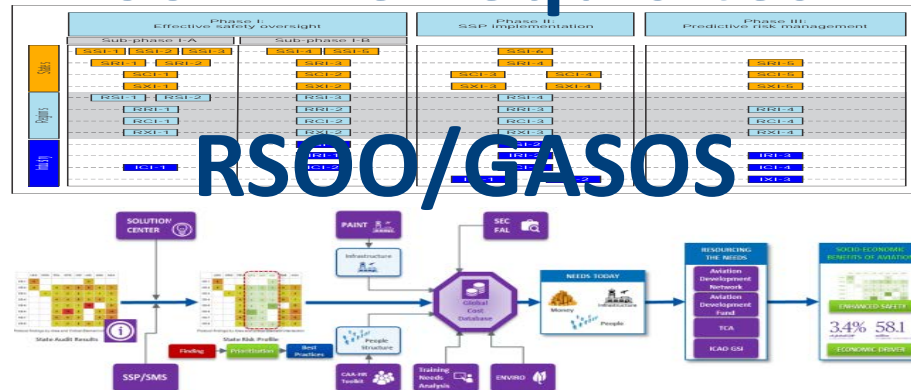
## Effective Implementation



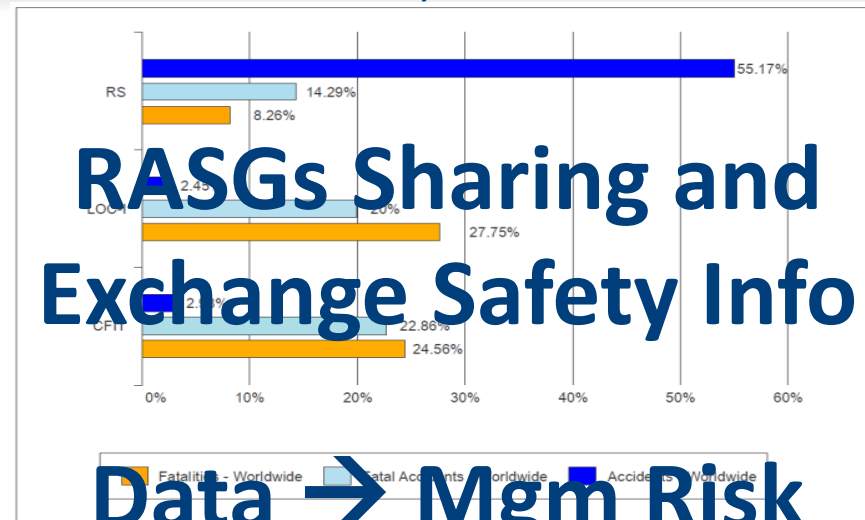
60% → Safety Margin

SSP Pre-requisites

RSOO/GASOS



## Safety Priorities



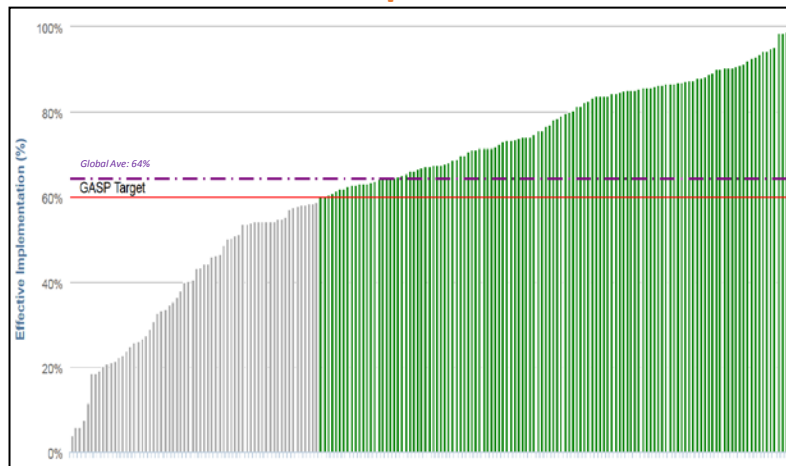
Data → Mgm Risk

Figure 3-1. High-risk accident categories worldwide (2010-2014)



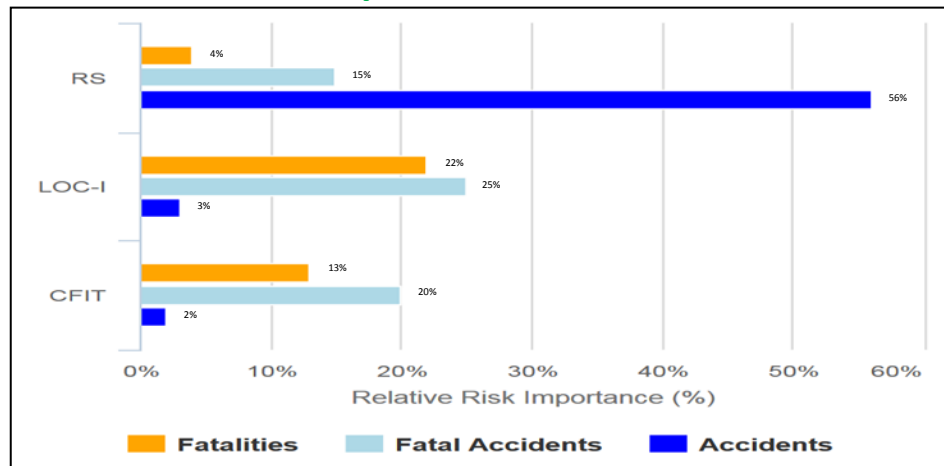


## Effective Implementation



USOAP Audit Results

## Operational Risk



Scheduled Commercial flights on airplanes above 5.7t 2012-2016

TARGET 2.1	TARGET 4.1	TARGET 3.1	TARGET 3.2	TARGET 2.2	TARGET 1.2	TARGET 5.1	TARGET 4.2	TARGET 4.3	TARGET 5.2	TARGET 1.1
Safety Margin > 10%	Recognized RSOO functions	Sustainable SSP	Effective SSP	EI > 95%	Reduced Accident rate	Harmonized KPIs in SMS	Safety Risk information to RASG	RASG Risk Mgmt. Activities	INDUSTRY Assessment Programmes	Zero Fatal Accidents
2022	2022	2022	2025	2028	Yearly	2020	2022	2022	2022	2030





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## Effective Implementation

## Operational Risk

All States to reach a positive safety margin of at least 10%, in all areas	States that need support in areas with negative safety margins, to use recognized RSOO functions	All States to implement a Sustainable SSP	All States to implement an Effective SSP, as appropriate to their aviation system complexity	All States to obtain a score of 95% effective implementation of the eight critical elements of a safety oversight appropriate	Maintain an annual decreasing trend of global accident rate	All Service Providers to use globally harmonized indicators, as part of their SMS	All States to contribute information on safety risks, including SSP SPIs, to their respective RASGs	All States with a safety margin of at least 10%, and an Effective SSP, to actively engage in RASGs' safety risk activities	Increase the number of Service Providers participating in the corresponding industry assessment programmes by ICAO	Achieve a consecutive 3-years period without fatal accidents
TARGET 2.1	TARGET 4.1	TARGET 3.1	TARGET 3.2	TARGET 2.2	TARGET 1.2	TARGET 5.1	TARGET 4.2	TARGET 4.3	TARGET 5.2	TARGET 1.1
Safety Margin > 10%	Recognized RSOO functions	Sustainable SSP	Effective SSP	EI > 95%	Reduced Accident rate	Harmonized KPIs in SMS	Safety Risk information to RASG	RASG Risk Mgmt. Activities	INDUSTRY Assessment Programmes	Zero Fatal Accidents
2022	2022	2022	2025	2028	Yearly	2020	2022	2022	2022	2030

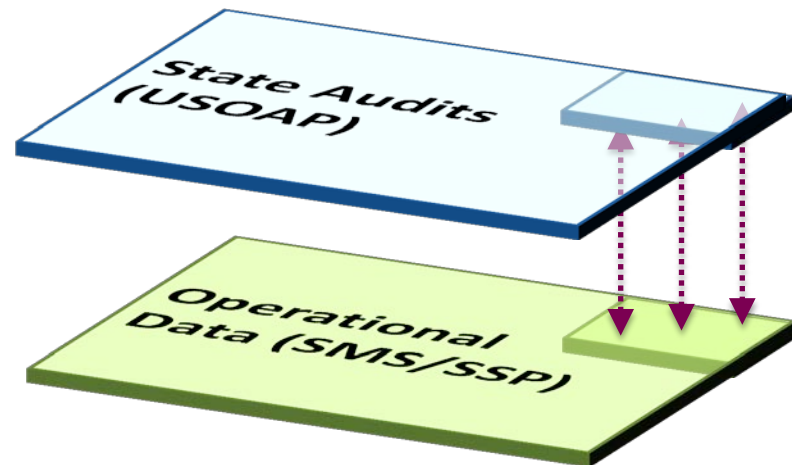




# Real-Time Monitoring Aviation's Health

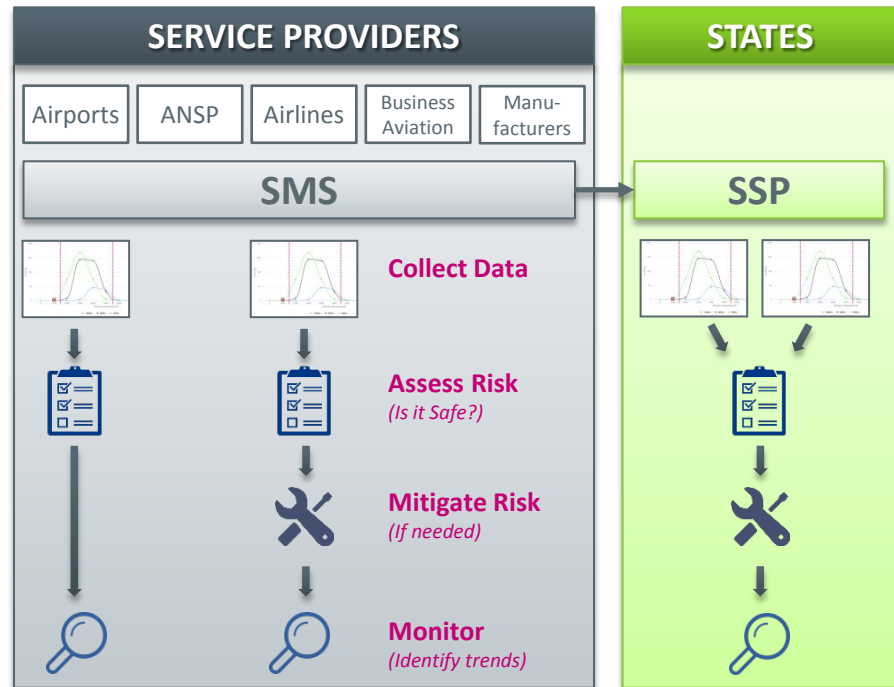
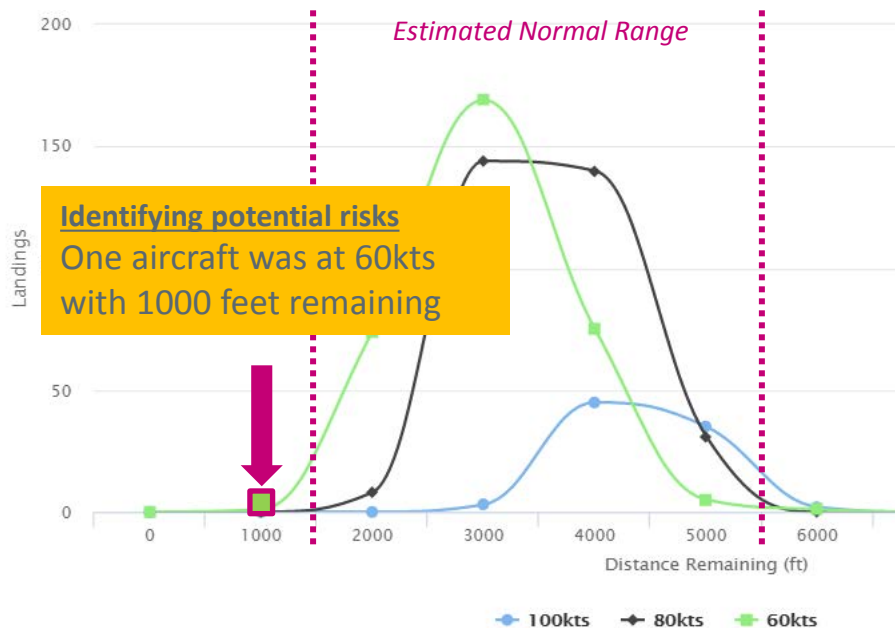
## Combining State audits with State/Industry operational data

- Through SMS and SSP
- Protection of information/operational data through Amendment 1 to Annex 19





# Real-Time Monitoring Aviation's Health





**ZERO  
FATALITIES**

Our  
Aspirational  
**Safety** Goal



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## UPCOMING EVENTS





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# Next Council Off-site Strategy Meeting

- Continuing the theme of 'No Country Left Behind'
- **Date:** September 2017
- **Topic:** *Improving the implementation of Global Plans*
- **3<sup>rd</sup> IWAF** to be held in Africa, with the theme *"Financing the Aviation Infrastructure"*



# RPAS Symposium for AFI Region

*17-18 July, Abuja, Nigeria*

- A forum for participants to exchange ideas and implementation experiences and contribute to the future of RPAS and UAS in Africa.
- The symposia will provide an important information-sharing opportunity for regulators, service providers, operational personnel and all aviation professionals involved in RPAS or UAS activities.
- Followed by a WACAF regional RPAS workshop 19-21 July.





# Safety Management Regional Symposia

*4 Regional Symposia, starting from Q3 2017*

- A forum for participants to **exchange ideas** and **implementation experiences** and contribute to the future of safety management.
- The symposia will provide an important information-sharing opportunity for **regulators, service providers, operational personnel and all aviation professionals involved in safety-management activities.**
- To include a **workshop** with **hands-on exercises**
- **Dates:**
  - **APAC and MID Regions:** Hosted by **Singapore / 12-15 September 2017**
  - **EUR/NAT Region:** Hosted by the EC and Estonian Presidency of the EU, **Tallinn / 16-18 October 2017**
  - **NACC and SAM Regions:** **2018** (exact dates and location to be confirmed)
  - **ESAF and WACAF Regions:** **2018** (exact dates and location to be confirmed)



## *Sustainability and enhancement of safety and air navigation system performance*

- **Two back-to-back symposiums from 11 – 15 December 2017 in Montréal, Canada**
  - 2nd **Global Air Navigation Industry Symposium (GANIS)** to discuss issues and chart next steps to achieve a seamless global aviation system
  - 1st **Safety and Air Navigation Implementation Symposium (SANIS)** to offer a performance-based implementation methodology including the first **Global RASG/PIRG Forum**
- **Includes an Industry exhibition of current and emerging technologies**
  - Showcase solutions which will provide a snapshot of the global air navigation industry
  - Provide insight on how all stakeholders can work together to address technical, operational, regulatory and economic challenges
- **A crucial networking and knowledge-sharing opportunity**
  - Presentations from ICAO, international and regional organizations, standards-making organizations and leading industry stakeholders
- **Will lay the foundation for the 13th AN-Conference in 2018**



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THANK YOU!