



INTERNATIONAL CIVIL AVIATION ORGANIZATION

AFI COMPREHENSIVE REGIONAL IMPLEMENTATION PLAN FOR AVIATION SECURITY AND FACILITATION IN AFRICA (AFI SECFAL PLAN)

SIXTH AFI SECFAL PLAN STEERING COMMITTEE MEETING

(Friday, 8 December 2017, Montreal, Canada)

Agenda Item 1.3: AFI SECFAL PROGRESS REPORT

THE STATUS OF IMPLEMENTATION OF AFI SECFAL PLAN PROJECTS

(Presented by AFI SECFAL Plan Secretariat)

EXECUTIVE SUMMARY

This paper presents for discussion and consideration the status of implementation of the AFI SECFAL Plan projects as recommended by the 5th Steering Committee meeting.

1. BACKGROUND

1.1. Since inception of the AFI SECFAL Plan, the Steering Committee (SC) has emphasized on the need to adopt a project based methodology in addressing common aviation security and facilitation challenges and deficiencies. The projects are intended to provide a platform for harmonized approach that ensures harnessing of synergies within the “No Country Left Behind” framework.

1.2. The Steering Committee directed that as a priority the projects should be developed to engage potential donors and other voluntary contributions partners in resource mobilization and also highlight the benefits of the AFI SECFAL Plan to States and the community in enhancing security and facilitation. This call was echoed by the ICAO Council in its observations.

1.3. In response to the directive, the secretariat has developed 5 projects, two of which have been initiated both in aviation security and facilitation funded under Plan fund and through voluntary contributions by States. Effective 2018, implementation of projects will be intensified with particular attention on assistance to States and employing skills of experts rostered under the AVSEC/FAL CIS and in partnership with other organization/donors.

2. DISCUSSION

2.1. The AFI SECFAL Plan SC has consistently called for an effective mechanism of supporting and assisting States endeavors to meet their obligation to comply with ICAO SARPs, implementation of the Plan work programme and meet the Windhoek declaration and targets based on a risk based approach.

2.2. Considering that the AFI SECFAL Plan has inadequate means to sustain the implementation of the Plan work programme and related activities, the funding of projects imposes yet another load on the allocation of the meager resources. It is therefore imperative that voluntary and other contributions through the ICAO Regular budget, States, sponsors and donors be improved to meet the current demands and facilitate fruition of project implementation.

3. FUNDING OF PROJECTS

3.1. The AFI SECFAL Plan has so far received voluntary contributions from 3 States namely, Malaysia, Kingdom of Saudi Arabia and Uganda amounting to a sum of USD 250,000=. This enabled creation of the AFI SECFAL Plan Fund under which control of all expenditure will be controlled and monitored. It's with this fund that initiation of two projects and completion of other work programme activities has been achieved. Details of the projects and the budget estimates are in **Attachment A and B respectively**.

3.2. Voluntary contributions have been allocated towards the formulation and implementation of the AFI SECFAL Plan in collaboration with the Project Review Committee – ICAO Voluntary Fund (PRC-IVF).

3.3. Under the AFI SECFAL Plan Fund, the secretariat was able to sponsor a Legal Seminar with emphasis on Ratification of International Instruments and Protocols in partnership with Legal Bureau.

3.4. The operationalization of the AFI SECFAL Plan Resources mobilization Strategy is expected to attract interest, augment contributions and generate adequate funding to sustainably perform all current and projected activities of the Plan.

3.5. The projects initiated in 2017 cover the areas of aviation security and facilitation are :

- a) **ICAO Training Package on Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1- (2 sessions in Moshi, Tanzania and Abuja, Nigeria).**
- b) **Enhancement of aviation security and facilitation in Africa in a sustainable manner through Risk assessment workshops and Crisis management training, (one training and one workshop held in Dakar);**
- c) **ICAO legal seminar on Ratification of Instruments and protocols for AFI States (1st Seminar held in Nairobi, Kenya)**

3.6. The projects initiated in 2017 as mentioned in 3.5 above will continue into 2018 and new ones will be undertaken upon approval by the Steering committee. The forthcoming and anticipated projects both in the short or long term include the following:

- a) **ICAO Training Package on Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1- (2 sessions in Niger and Botswana).**
- b) **Enhancement of aviation security and facilitation in Africa in a sustainable manner through Risk assessment workshops and Crisis management training, (one training and one workshop in ESAF Region);**
- c) **ICAO legal seminar on Ratification of Instruments and protocols for AFI States (2nd Seminar held in Dakar, Senegal);**
- d) **Joint ACI/AFI SECFAL Plan consolidated needs analysis and APEX Security programme implementation;**
- e) **Development of E-learning and Computer based Training packages in consultation with partners and donors;**
- f) **Any other programmes/activities in consultation with other UN and International Agencies especially UNCCT, IOM, UNODC, World Customs Organization and or Interpol**

4. ACTION BY THE STEERING COMMITTEE

The Steering Committee is invited to:

- a) Note information contained in the paper;
- b) Guide, advise and endorse the continued implementation of proposed projects under the AFI SECFAL Fund
- c) Encourage member States, International organizations and Partners to continue to contribute towards the AFI SECFAL Plan thus supporting aviation security and facilitation projects;
- d) Approve in principle or through the chair the projects that are under consideration.

ACTIVITY 1:**Training deliveries of the ICAO Training Package *Control of the Authenticity and Validity of Travel Document at Airport Borders – Level 1*****1. Justification:**

Front-line border control officers play a critical role in performing the initial screening of all passengers crossing borders and in identifying individuals that may be travelling for illegitimate purposes. The importance of training those officers to detect a fraudulent document or an impostor cannot be overstated and the training needs are recognized to be tremendous: every country has dozens of front-line officers performing this essential task and the turnover ratio of the target population of this ITP tends to be significant.

Several countries lack the national capacity for training their officers, given that travel document examination is a highly specialized training field. This lack of capacity results in having border control officers who do not possess the requisite knowledge about how to operationally control passengers and the expected outcomes of this process. These vulnerabilities tend to be exploited by terrorists and criminals through the fraudulent use of identity papers and travel documents.

The objectives of the delivery of this four-day training course to front-line border control officers/training from selected States are to:

- Consolidate the competencies of front-line border control officers from immigration and other airport border control authorities to examine travel documents effectively;
- Increase the capacity of the States to prevent and intercept the movement of terrorists and other high-risk individuals while expediting the movements of legitimate travelers;
- Assist States to achieve a greater compliance of Member States with their international obligations; and
- Enhance the partnership with other International Organizations in the field of training.
- Issuance of e-passports, PKD membership compliance with DOC 9303.

2. The Implementation Status:**a) Moshi, Tanzania- 31 July to 4 August 2017:**

The training was conducted at the IOM African Capacity Building Centre (ACBC), located in Moshi Tanzania. It brought in participants from Tanzania, Kenya and Uganda from respective Ministries and Agencies responsible for border control management, including Immigration, Airport Authorities, Customs, Police and Air Transport. It was delivered by two instructors namely **Francois Dery and Doug Scott**.

b) Nigeria:-23 to 26 October, 2017:

The Training brought in participants from Nigeria Immigration Service (NIS), Federal Airports Authority of Nigeria (FAAN), Nigeria Civil Aviation Authority (NCAA) and trainees from Ghana. The training was delivered by Instructors **Doug Scott and Steve Hopkins**.

c) Niger – 2018 (Date to be determined):

The training will include participants from Niger, Mali and Chad, and consultations have already begun with host States and WACAF Regional office on facilitation, logistical support and other issues.

These training activities were jointly coordinated by GAT, Facilitation Section, ESAF, and WACAF regional offices and in the case of Tanzania IOM hosted the event.

One of the deliverables of the project is identification of potential trainers by course facilitators. The purpose being to expand the pool of ICAO Qualified Instructors to be able to deliver this training and enable self-reliance and sustainable intra and inter State knowledge transfer through delivery of the ICAO training package by AFI Region based experts.

3. Funding:

The project is funded through the voluntary contributions and endorsed by SC.

ACTIVITY 2:

ICAO Legal Seminar

Justification:

The Seminar is intended to inform and update States on ICAO's work in the legal field. In particular, the Seminar, *inter alia*, highlighted the benefits for States to become parties to international legal instruments adopted by ICAO, including the Beijing Convention, the Beijing Protocol and the Montréal Protocol of 2014. The Seminar also provided an overview of other ICAO provisions dealing with emerging threats as well as providing information on ratification and implementation of international air law instruments.

Attendance was by officials responsible for international aviation law and related matters in their respective States. The Seminar was particularly useful to those officials who are working on aviation security issues and on the ratification of treaties adopted under the auspices of ICAO. The Seminar was conducted by officials from ICAO Legal Bureau as well as from invited experts from partner organizations

Implementation Status:

1. Nairobi, Kenya, 27 to 28 November, 2017:

The Seminar was successfully held at the UN Complex, Gigiri, Nairobi Kenya, and was attended by 80 participants from 20 States and 3 Regional Organizations from AFI region. Interpretation services in both French and English languages were provided.

2. Dakar, Senegal 2018 (Date TBD)

The Seminar will be open to all AFI region states and Interpretation services in both French and English languages will be provided.

Funding:

The project is funded through the AFI SECFAL Plan Fund in collaboration with Legal Bureau.

ACTIVITY 3:**Enhance aviation security and facilitation in Africa in a sustainable manner through conduct of Civil Aviation Security and facilitation workshops.*****Justification:***

The AFI SECFAL Plan eight year work programme (2015-2023) and the Windhoek declaration and targets on Aviation security and facilitation stipulate targets in terms of Effective Implementation of the critical elements of State security system under the different phases, and can be summarized as follows:

- Short-term: by 1st December 2017: 50 % of AFI States audited under USAP-CMA achieve at least the global average EI of CEs
- Medium-term: by 1st December 2020: 75% of AFI States audited under USAP-CMA achieve at least the global average EI of CEs
- Long-term: 1st December 2023: 100% of AFI States achieve the global average EI of CEs.

Aimed at expediting the realization of the short term deliverables (2015-12017) the 4th AFI SECFAL Plan SC adopted the 2017 AFI SECFAL Plan Work Programme that included the following priority actions to be implemented:

- a) All States have written and approved National Programmes: National Civil Aviation Security Programme (NCASP), National Civil Aviation Security Quality Control Programme (NCASQCP), National Civil Aviation Security Training Programme (NCASTP) and National Air Transport Facilitation Programme (NATFP)
- b) Organize and Conduct at least one workshop on Risk Management and one Crisis Management course by the first quarter of 2017 under the Regional Aviation Security Group for Security and Facilitation (RASFALG-AFI) indicative work programme

The Implementation Status:

1. Crisis Management Course, was conducted at ASTC Dakar, Senegal on 30 Oct-3 Nov 2017;
2. Risk Management Workshop , conducted at ASTC Dakar, Senegal, 6 to 9 Nov, 2017;
3. National Civil Aviation Security Quality Control Programme (NCASQCP), to be conducted at ASTC Nairobi, Kenya. 1st Quarter 2018;
4. National Civil Aviation Security Programme (NCASP), to be conducted 2nd Quarter 2018 (ASTC Nairobi as available option);
5. Crisis Management Course, ASTC Johannesburg, South Africa, 1st Quarter 2018
6. Risk Management Workshop, to be conducted 2nd Quarter, 2018 at ASTC Nairobi. as available option

Funding:

The project is funded through voluntary contributions and endorsed by SC.

BUDGET ESTIMATES

ACTIVITY 1

TRAINING BUDGET

TRAINING DELIVERIES : ICAO Training Package
Control of the Authenticity and Validity of Travel Document at Air borders

Objectives :

- 1- to assist Member States in training front-line officers
- 2- to expand the pool of ICAO Qualified Instructors able to deliver this training

TANZANIA - Moshi (For Tanzania, Kenya and Uganda)	Estimate		
Description	Price (US \$)	Number	Total US \$
Training material*	450.00	20	9,000.00
Catering (\$20/day x 5 days)	20.00	100	2,000.00
Misc.			500.00
DSA Instructor x2 (9 days)	140.00	18	2,520.00
Airfare Instructor x 2, Terminal allowance US \$152	3,750.00	2	7,500.00
Instructor's salary x 2 (6 days)	504.00	12	6,048.00
<u>SUB-TOTAL</u>			<u>27,568.00</u>

* Training tools, production of training material, shipping, support cost.

NIGERIA - Lagos (for Nigeria and Ghana)	Estimate		
Description	Price (US \$)	Number	Total US \$
Training material*	450.00	20	9,000.00
Catering (\$20/day x 4 days)	20.00	80	1,600.00
Misc.			500.00
DSA Instructor x2 (7 days)	438.00	14	6,132.00
Airfare Instructor x 2 + TA US \$152	3,750.00	2	7,500.00
Instructor's salary x 2 (5 days)	504.00	10	5,040.00
<u>SUB-TOTAL</u>			<u>29,772.00</u>

* Training tools, production of training material, shipping, support cost.

NIGER - Niamey (For Mali, Niger and Chad)	Estimate		
Description	Price (US \$)	Number	Total US \$
Training material*	450.00	20	9,000.00
Catering (\$20/day x 4 days)	20.00	80	1,600.00
Misc.			500.00
DSA Instructor 1 (7 days)**	228.00	7	1,596.00
DSA Instructor 2 (7 days)***	0.00	0	0.00
Airfare Instructor 1 + TA US \$152	1,500.00	1	1,500.00
Instructor's salary x 2 (5 days)	0.00	0	0.00
<u>SUB-TOTAL</u>			<u>14,196.00</u>
Estimate for 3 training deliveries			<u>71,536.00</u>

ACTIVITY 2

LEGAL SEMINAR

1. Cost Estimate for the Legal Seminar- Nairobi

	Price (US \$)	Number	Total US \$
Air ticket Montreal-Nairobi-Montreal (Business class)	3500	2	7000
DSA (5 days) (300\$/day for 5 days)	1500	2	3000
Hiring 3 Interpreters (E/F, F/E) for 2 days	630	3	1890
<u>SUB-TOTAL</u>			<u>11,890.00</u>

2. Cost Estimate for the Legal Seminar- Dakar

	Price (US \$)	Number	Total US \$
Air ticket Montreal-Nairobi-Montreal (Business class)	3500	2	7000
DSA (5 days) (300\$/day for 5 days)	1500	2	3000
Hiring 3 Interpreters (E/F, F/E) for 2 days	630	3	1890
<u>SUB-TOTAL</u>			<u>11,890.00</u>

Note: The budget estimate for Dakar Seminar could be lessened by advance preparations and procurement of cheaper tickets for Montreal support.

ACTIVITY 3

ENHANCE AVIATION SECURITY AND FACILITATION IN AFRICA IN A SUSTAINABLE MANNER THROUGH CONDUCT OF CIVIL AVIATION SECURITY AND FACILITATION WORKSHOPS.

I. Crisis Management Course

Item	Price (US \$)	Number	Total US \$
Air ticket: State-Dakar-State	818		818
DSA for one International Expert	247	7	1,729
DSA for one local Expert (25% of DSA rate for Dakar)	61,75	5	308.75
Catering: 14\$ for 20 participants and 2 instructors per day	308	5	1,540
<u>SUB-TOTAL</u>			<u>4,395.75</u>

II. Risk Management Workshop

	Price (US \$)	Number	Total US \$
Air ticket: State-Dakar-State	818		818
DSA for one International Expert	247	5	1,235
DSA for one local Expert (25% of DSA rate for Dakar)	61,75	4	247
Catering: 14\$ for 20 participants and 2 instructors per day	308	4	1,232
<u>SUB-TOTAL</u>			<u>3,532.00</u>

Total Estimate for the Two Training activities	<u>7,927.75</u>
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ACTIVITY 4.

AVSEC Workshops approved by PRC-2018

I. National Civil Aviation Security Program Workshop - East African School of Aviation

	Price (KSH)	Number	Total (KSHS)
10 o'clock tea with snacks	250.00	80	20,000.00
4 o'clock tea	150.00	80	12,000.00
Bottled water - 500ml	100.00	80	8,000.00
Lunch buffet	650.00	80	52,000.00
Mandela Hall	10000.00	5	50,000.00
Transport for participants	100000.00	1	100,000.00
<u>SUB-TOTAL</u>			<u>242,000.00</u> <u>approx.: USD</u> <u>2,420</u>

2. National Quality Control Workshop - East African School of Aviation

	Price (KSH)	Number	Total (KSHS)
10 O'clock tea with snacks	250.00	80	20,000.00
4 O'clock tea	150.00	80	12,000.00
Bottled water - 500ml	100.00	80	8,000.00
Lunch buffet	650.00	80	52,000.00
Mandela hall	10000.00	5	50,000.00
Transport for participants	100000.00	1	100,000.00
<u>SUB-TOTAL</u>			<u>242,000.00</u> <u>approx.: USD</u> <u>2,420</u>

3. Crisis Management Workshop - Airports Company, South Africa

	Price (ZAR)	Number	Total (ZAR)
Welcome breakfast – course opening	115.00	22	2,530.00
Refreshments – 2 Coffee Breaks; Lunch; bottled water	1150.00	22	25,300.00
Stationery – writing pads; pens	35.00	22	770.00
<u>SUB-TOTAL</u>			<u>28,600.00</u> <u>approx.: USD</u> <u>1,420</u>