5 Elements of TRIP and Role of the ICBWG

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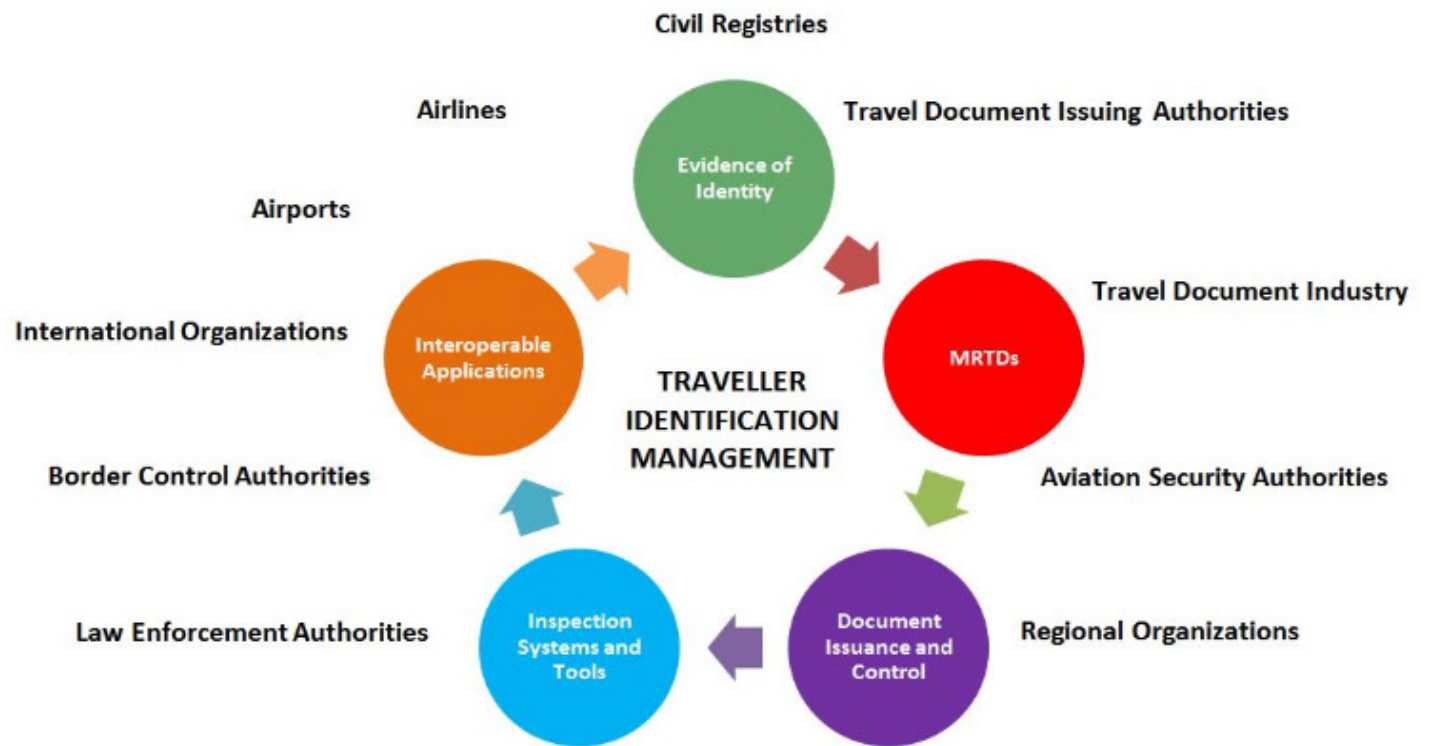Department of Internal Affairs, New Zealand

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A Clear & **POWERFUL** STRATEGY

ICAO TRIP Strategy

Civil Registries

Airlines

Travel Document Issuing Authorities

Airports

Travel Document Industry

Evidence of Identity

MRTDs

International Organizations

Interoperable Applications

TRAVELLER IDENTIFICATION MANAGEMENT

Aviation Security Authorities

Border Control Authorities

Law Enforcement Authorities

Inspection Systems and Tools

Document Issuance and Control

Regional Organizations

5 Pillars

Evidence of identity – credible evidence of identity, involving the tracing, linkage and verification of identity against breeder documents to ensure the authenticity of identity;

MRTDs – the design and manufacture of standardized MRTDs, including ePassports, that comply with ICAO specifications;

Document issuance and control – processes and protocols for document issuance by appropriate authorities to authorized holders, and controls to prevent theft, tampering and loss;

Inspection systems and tools – inspection systems and tools for the efficient and secure reading and verification of MRTDs, including use of the ICAO PKD; and

Interoperable applications – globally interoperable applications and protocols that provide for timely, secure and reliable linkage of MRTDs and their holders to available and relevant data in the course of inspection operations.

Role of ICBWG

- ICAO ICBWG was established in 2008 primarily to assist with the universal implementation of Machine-Readable Travel Documents (MRTD)

- Establishment of the ICAO Traveller Identification Program (TRIP) introduced a wider mandate incorporating ICAO Standards and Recommended Practices (SARPs) across a range of travel document and border control/facilitation areas.

- ICBWG assists states to comply with SARPs and UN Resolutions, invest in appropriate systems and technologies, and implement best practice processes to achieve global interoperability and security.

- Primary ICBWG concerns:
    - *1) ICAO Member States can uniquely identify individuals, and*
    - *2) enhance the security, integrity and efficiency of travel document issuance and border clearance operations*

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ICBWG Outcome Framework

- Align with 5 key areas of the ICAO Traveller Identification Program (TRIP)
- Engaging Border is a key area for Technical Advisory Group (TAG/TRIP) and both its working groups
  - **ICBWG** – Advice/Capacity Building for optimum use of existing technologies
  - **NTWG** – Future-focussed/new specifications and technologies

- Key SARPs and Specifications:
  - Annex 9 to the Chicago Convention (Facilitation)
  - ICAO Doc. 9303 Specifications (Travel Documents)

1. States effectively establish and authenticate identity

2. States issue travel documents that comply with ICAO Standards and Recommended Practices (SARPs)

ICAO GOAL: Reduce Aviation Security Risk and Improve Efficiency at Borders

3. States have robust and secure document issuance systems and controls

5. States utilize globally interoperable applications to manage risk and maximize border efficiency

4. States routinely read and validate MRTDs (including eMRTDs) at borders

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Sub Groups

ICBWG delivers work items through sub groups, each with Government leads who are responsible for the administration of work items, job cards and reporting of progress at meetings.

Sub groups align with ICAO TRIP focus areas, with additional groups to focus on 'No Country Left Behind' initiatives and communications.

- Evidence of Identity and Biometrics (New Zealand)
- MRTDs (Switzerland)
- Document Issuance and Control (Canada)
- Border Control Management – Inspection Systems and Tools/Interoperable Applications (United Kingdom)
- Small Island Developing and Least Developed States (Papua New Guinea)
- Information and Communications (Netherlands)

Key ICAO Guidance Material

- The TRIP Strategy Compendium
- Guide on Evidence of Identity (EOI)
- Guide on Secure Handling and Issuance (3 Parts)
    - Guide
    - Assessment Tool
    - Assessors Templates
- Guide on Border Control Management
- Guide for Circulating Specimens
- Collection of Best Practices for the Procurement of MRTD-Related Goods and Services
- **All available FREE online:**
  https://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx

- Under Development – Guide for Using Advanced Passenger Information (API) and Passenger Name Record (PNR) Data

ICBWG – Current Focus

- **Non-Compliance with Doc 9303**
  - Taskforce that identifies non-compliant travel documents and communicates issues and remedies via ICAO State letter. (50 State letters sent to date – most issues fixed)
  - Undertakes ad-hoc assessments on behalf of ICAO Secretariat.
  - Currently identifying and contacting States issuing non machine-readable Convention Travel Documents (Refugee and Stateless)
- **Doc 9303 Conformance Scheme**
  - Designing a scheme that enables States to get independent technical advice on whether their travel document complies with specifications
  - ICAO Secretariat is developing business process for scheme and a list of accredited labs and testers for ISO and ICBWG expert endorsement
  - ISO developing additional test specifications
- **Developing guidance material for States**
  - Developing and maintaining guidance, including Border Control Management, Evidence of Identity and Biometrics, Secure Issuance, and API/PNR

ICBWG – Future Focus

- **Capacity building**
  - Combining ICBWG meetings with expert seminars and workshops, and working closely with international partners (largely IOM) to further develop guidance and webinars
- **Helping states to meet new SARPs and UN Resolutions**
  - Reporting stolen and lost passport to the INTERPOL 24/7 Database
  - API/PNR implementation
- **Outlining the 'Economic Case for the ePassport'**
  - Assisting States to understand the economic case for an ePassport – cost vs benefit
- **Support states to issue compliant ePassports**
  - Large number of issues identified with ePassports which is impacting border systems and confidence in the electronic component, and undermining investment in ePassports
  - Non-compliance sub-group has started producing ICAO State letters for non-compliance in the electronic components of states' ePassports
  - Guidance and advice on the validation of ePassports (huge facilitation and security benefit currently being missed)
  - Doc. 9303 Conformance Scheme is a key initiative for addressing non-compliance (at procurement and proof of concept stage)

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