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Safety Management System Overview

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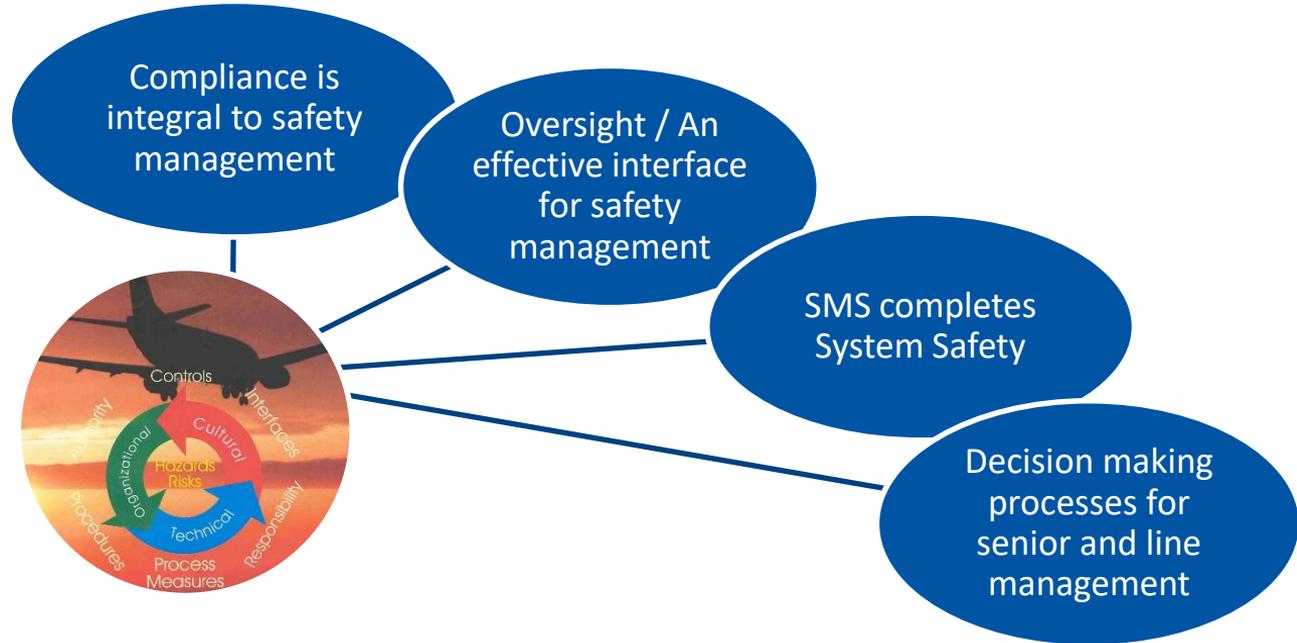




SMS Overview

- SMS ?
- SMS fundamentals
 - SMS components
 - SMS guidance, Tools and Implementation Overview

SMS ?





Safety ?

- freedom from the occurrence or risk of injury, danger, or loss (Dictionary).
- Aviation: Safety is not risk free.
- Practical Safety is Risk Management.



Safety: ICAO Definition

- The state in which **risks** associated with aviation activities, **related to**, or in direct **support of** the operation of aircraft, are **reduced and controlled** to an **acceptable level**.



SMS: ICAO Definition

- A **systematic** approach to managing safety, including the necessary **organizational structures, accountability, responsibilities, policies and procedures.**



SMS Objectives

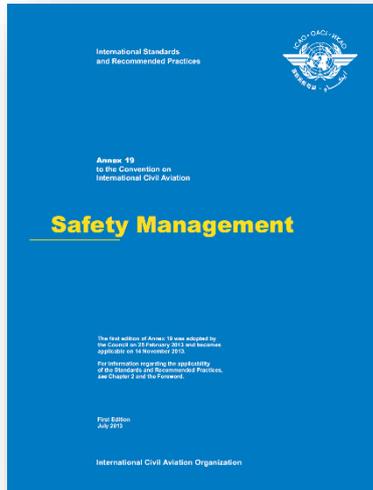
SMS provides:

- A systematic way to **identify** and **control risks**.
- **Assurance** that risk controls remain effective.
- A formal means of meeting **Statutory requirements**.
- For the CAA a mean for evaluating an organization's **Safety Management Capability**.

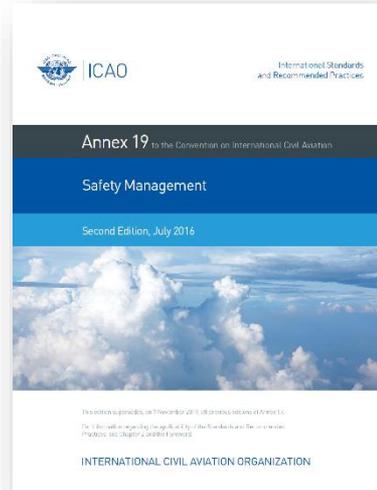


SMS: ICAO Provisions

Annex 19 (First edition): Current



Annex 19 (Second edition): This edition supersedes, on 7 November 2019, all previous editions of Annex 19.





SMS: ICAO Provisions

3.3.2.1 States shall require that the following service providers under their authority implement an SMS:

- a) approved training organizations ...;
- b) operators of aeroplanes or helicopters ...;
- c) approved maintenance organizations
- d) organizations responsible for the type design or manufacture of aircraft...;
- e) air traffic services (ATS) providers in accordance with Annex 11; and
- f) operators of certified aerodromes in accordance with Annex 14, Volume I.**



The big picture

Safety Management Manual (fourth edition)

Safety Management Implementation (SMI)
website (www.icao.int/SMI)





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SMS Fundamentals



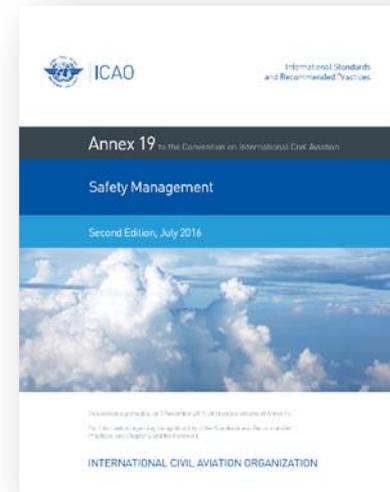


SSP, SMS and QMS

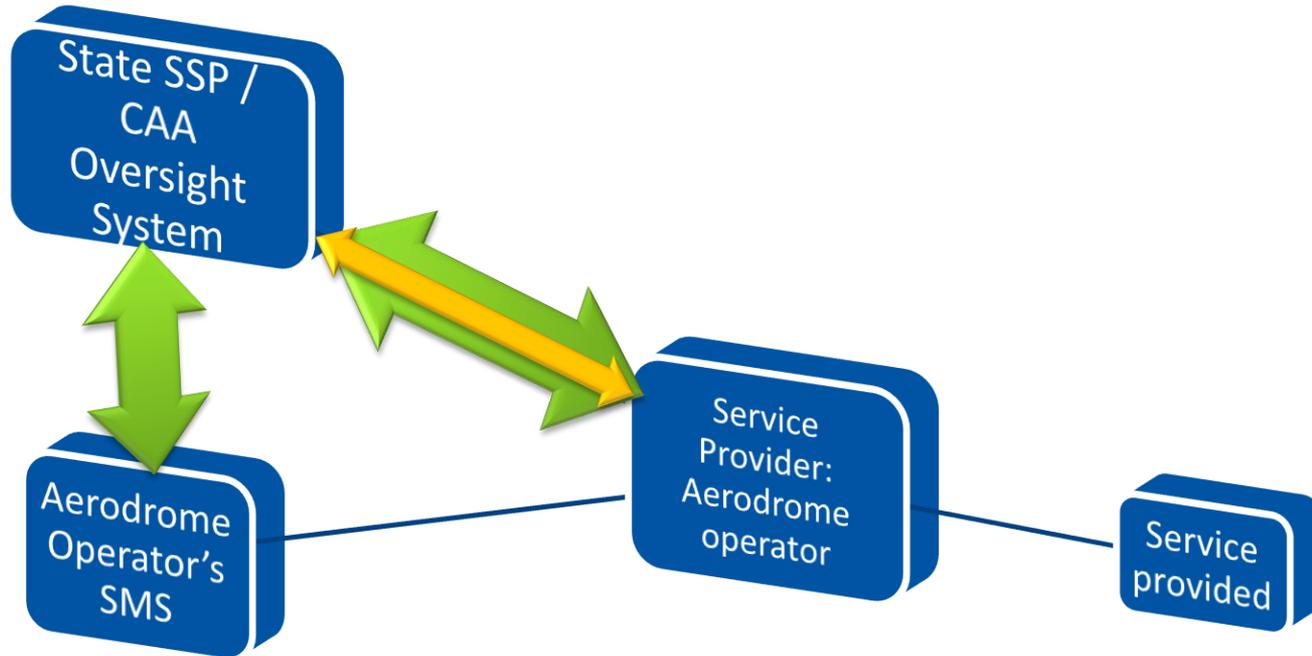
- **SSP: Safety State Programme**
 - Integrated set of regulations and activities aimed at improving safety
- **SMS: Safety Management System**
 - Managed by the Service provider  Aviation Safety Focused
- **QMS: Quality Management System**
 - Managed by the Service provider  Customer Satisfaction

SMS Framework

1. Safety policy and objectives
2. Safety risk management
3. Safety assurance
4. Safety promotion



Roles, Responsibilities and Relationships





Safety Fundamentals

What is Aerodromes #1 objective?





Safety Fundamentals

- Aerodromes Operators have to provide service at the highest level of safety in the public interest.
- Aerodrome Operators have to integrate safety in all their activities.

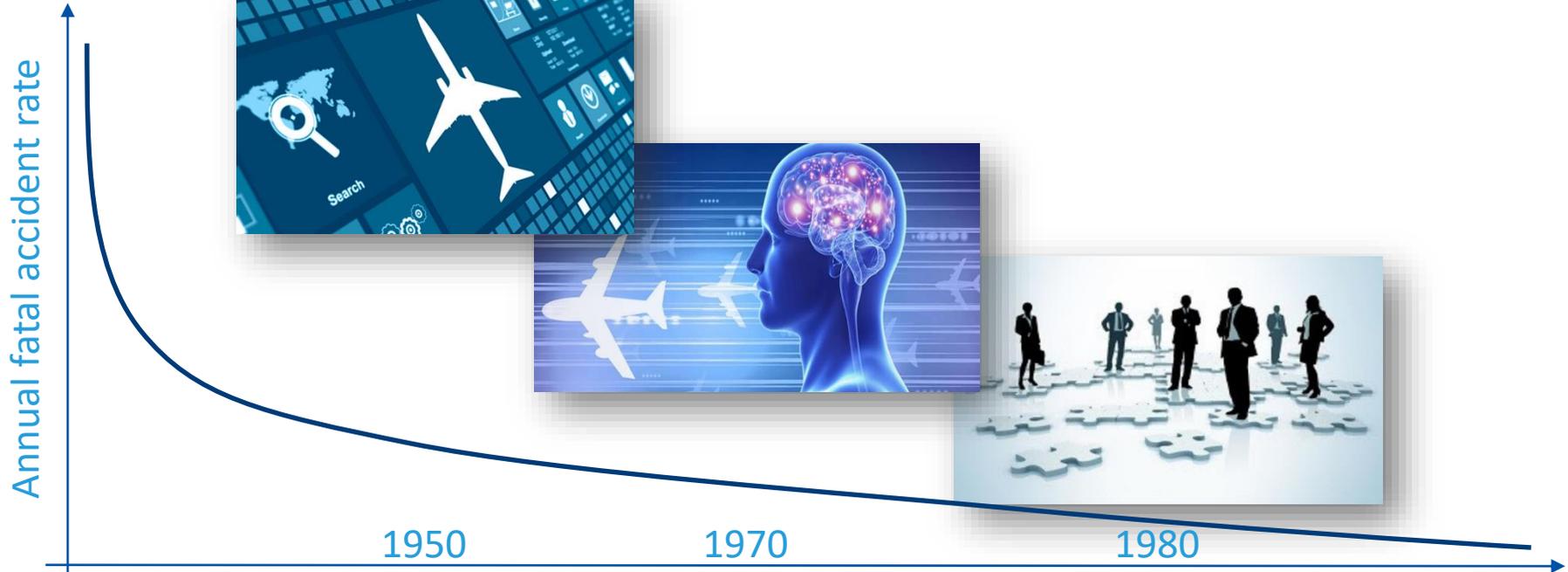
Safety Management System

- Incorporate **safety** in all parts of the system:
 - People
 - Tools
 - Materials
 - Equipment
 - Software
- Maintain a **balance** between production and protection.

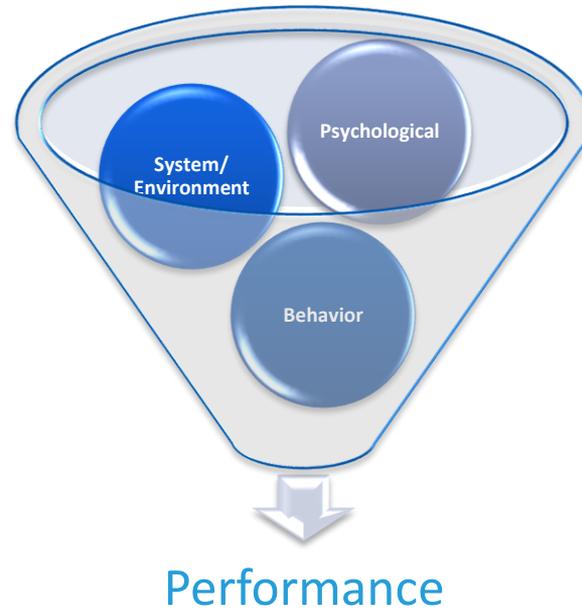




Safety Thinking Evolution



Organization Culture





Informed Decision Making

Management and employees understanding of hazards and risks

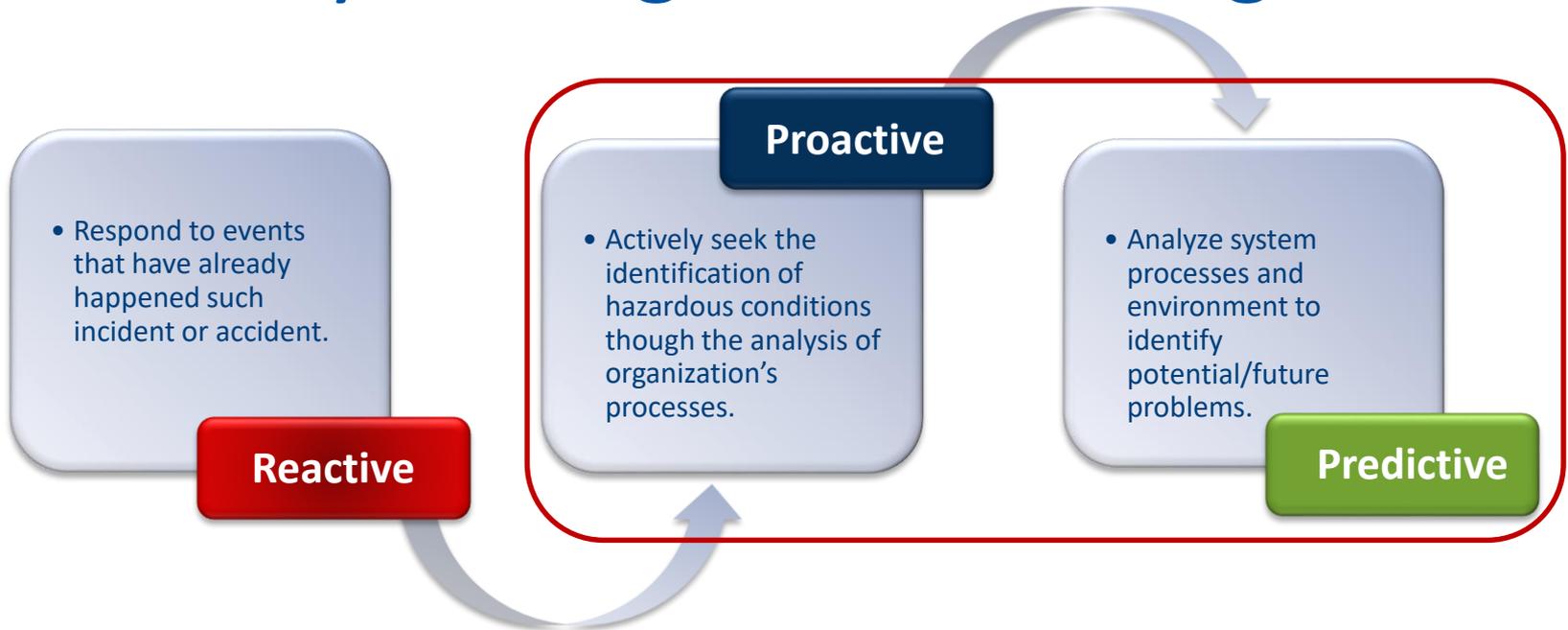
- **Reporting Culture:** All personnel **freely share** critical Safety information.
- **Just Culture:** Employees must know what is **acceptable and unacceptable** behavior.
- **Learning Culture:** The company **learns from mistakes**. Staff are updated on safety issues by management.
- **Flexible Culture:** Organizational **willingness to change**.



Support a Sound Safety Culture

- Senior management commitment
- Senior Management visibility
- Safety accountability framework
- Safety policy, goals, objectives, standards and performance
- Resource commitment
- Effective employee safety reporting system
- Safety information system

Safety Management Strategies





SMS Implementation Plan: Safety Policy and Objective

- Management commitment and responsibility
- Safety accountabilities of managers
- Appointment of key safety personnel
- SMS implementation plan
- Coordination of emergency response planning
- Documentation



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THANK YOU!