



ICAO SECURITY & FACILITATION

FACILITATION REGIONAL IMPLEMENTATION SEMINAR

Cairo, 12 – 15 March 2018

DOC 10042 & ESTABLISHMENT OF A NATFP, NATFC & AIRPORT FAL COMMITTEES: OVERVIEW

by Jitu Thaker
Technical Officer, Facilitation

14 March 2018



USAP-CMA: 8.17 & 8.19

8.17 Each Contracting State shall establish a national air transport facilitation programme . . .

→ [Annex 17 (3.1.1) Establish & implement a written NCASP]

8.19 Each Contracting State shall establish a National Air Transport Facilitation Committee, and Airport Facilitation Committees as required, or similar coordinating bodies . . .



Doc 10042

Background

Definitions

Objectives

Legislation

Organization and Management

Roles, functions & responsibilities of agencies

Aviation security

Rules of procedure: NATFC



Background: Doc 10042

- Developed to provide guidance on Standards 8.17, 8.18 & 8.19
- EC 6/3 – 15/90, Completion of Compliance Checklist
 - Council request to draw States' attention to Doc 10042
- **Not only means of compliance** with Standards: Other appropriate methods
 - A39-20: “other appropriate means” for Annex 9 compliance
- NATFP to **define roles** of all entities involved in FAL activities



Definitions

Choice of State:

- define key terms used in NATFP document
- reproduce definitions found in Annex 9, Chapter 1



Objectives of the NATFP

- Clearly list/describe objectives of NATFP
- **Standard 8.18:** Each Contracting State shall ensure that the **objective of its national air transport facilitation programme** shall be to adopt all practicable measures to facilitate the movement of aircraft, crews, passengers, cargo, mail and stores, by removing unnecessary obstacles and delays.
 - primary, mandatory objective
- Coordination between government agencies
- Distribution & coordination of tasks
- NATFP **not** responsible for **implementation**



LEGISLATION (As Appropriate)

- DGCA or other authority should initiate the process to obtain political mandate
- Lists of international conventions, regional agreements, national legislation, regulations, etc. that provide the basis for NATFP.
- Chicago Convention, Annex 9 + Annexes 15, 17, 18
- Instruments of the WCO, WHO + EU + UN (e.g.):
 - UN Convention Against Transnational Organized Crime+Protocols (UNODC)
 - Conventions Relating to the Status of Refugees & Stateless Persons
 - Convention on the Rights of Persons with Disabilities



ORGANIZATION & MANAGEMENT: NATFP

8.18.1 Recommended Practice.— In establishing a national air transport facilitation programme, States should use the guidance material outlined in **Appendix 12** and **Doc 10042** . . .

Annex 9, Appendix 12: Model National FAL Programme

- Primary responsibility for Programme: CAA and/or the Ministry of Transport
- Participation of other agencies & airport and aircraft operators crucial
- Tasks *vis-à-vis* Articles of Chicago Convention
 - **National FAL Committee**: NATFP implemented through NATFC's activities C
 - Chair: top CAA manager or other
 - Members: heads of Government agencies + CEOs of aircraft/airport operators . . . **Mid-management to represent**



Doc 10042: NATFC

- 8.19 Each Contracting State shall establish a National Air Transport Facilitation Committee . . . or similar . . . bodies, for the **purpose of coordinating facilitation activities** . . .
- **Terms of Reference** of NATFC
 - Ensure coordination between relevant agencies & industry
 - Consider recommendations to enhance facilitation
 - Discuss proposed changes to regulations: Annex 9 changes
 - Coordinate with NCASC
- Tasks and work programme (example)
- (Sample) **Rules of Procedure**: Attachment 1 of Doc 10042



Doc 10042: Airport FAL Committees

- 8.19 Each Contracting State shall establish . . . Airport Facilitation Committees as required . . . for the **purpose of coordinating facilitation activities** . . .
 - Purpose: implement NATFP at the airport level
 - Terms of Reference:
 - examine problems relating to clearance
 - provide solutions to problems
 - make recommendations to NATFC or relevant agency
 - Chair: airport operator; Members: CAA, immigration, customs, airlines, security, ground-handling, quarantine/health
- Annex 9, Appendix 11: Model Airport FAL Programme**
- Scope: SARPs of Annex 9 concerning border clearance processes (table)



ROLES, FUNCTIONS & RESPONSIBILITIES OF AGENCIES

- Allocation of responsibilities for implementation of FAL matters.
- Annex 9, Appendix 12: Examples of various agencies
 - Functions may differ in each State (e.g. immigration→border police; issuance of passports→ministry of interior)
- Items for inclusion in NATFP:
 - Designated authority responsible for NATFP + Functions (e.g. review Annex 9 & file differences; provide secretariat support)
 - Customs: Functions (Chicago Convention; Annex 9)
 - Immigration: Functions (Chicago Convention; Annex 9)
 - Health: Functions (Chicago Convention: Annex 9)



- Food and/or Agriculture (Annex 9)
- Aircraft operators: Functions (Chicago Convention; Annex 9)
- Airport operators: Functions (Annex 9)
- Aviation Security (Annexes 9 and 17)
- Ground Handlers

.....

- Travel Document Issuing Authority (Annex 9)
- Visa-issuing Authority (Annex 9)
- Law Enforcement/Police (Annex 9)
- Dangerous Goods (Customs? Other?); Disabilities (Annex 9)



EXAMPLES: NATFP IMPORTANCE

API STANDARD

- API Standard — Legal authority — Needs of all involved agencies
- Immigration; Customs; Border police; Law enforcement; Health; CAA; Department of Transport; Aviation Security; etc.
 - Need for a coordinating body (NATFP)
- NATFP: a) legal authority; b) implementation



HEALTH EMERGENCIES

- Severe Acute Respiratory Syndrome (SARS)
- “Swine flu”
- Ebola
- Plague (currently)
 - CAA + Health Agency + Aircraft operators + Airport operators
- <http://www.capsca.org/>
(Collaborative Arrangement for the Prevention and Management of Public Health Events in Civil Aviation)



| ICAO SECURITY & FACILITATION

THANK YOU!

QUESTIONS?