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ICAO Regional USAP-CMA Seminar

Continuous Monitoring

Cairo, 15 to 17 October 2018





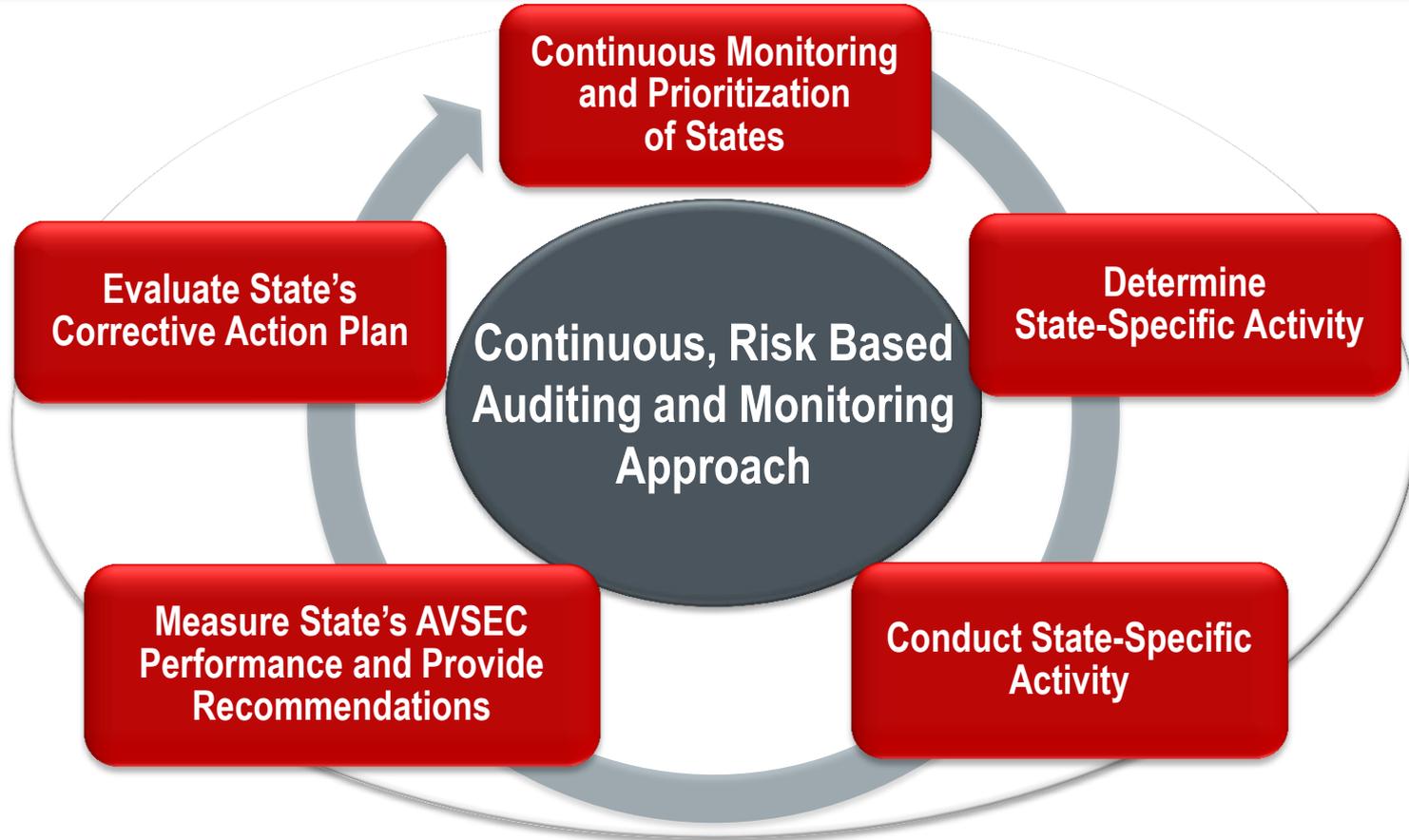
Module Objective

At the end of this module the participants will have an understanding of the methodology and techniques used for continuous monitoring of a Member State between USAP-CMA audits.



Module Outline

- Continuous monitoring
- What is monitored
- Role of ASA
- Role of ICAO Regional Offices
- Role of the State





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Continuous Monitoring

Preamble to the MoU

Recognizing that the effective implementation of State corrective action plans to address deficiencies identified through USAP-CMA activities is an integral and crucial part of the **monitoring process** in order to achieve the overall objective of enhancing global aviation security



Continuous Monitoring

Article 4 of the MoU

The types of information that ICAO may request to be submitted by State under the USAP-CMA will vary depending on the aviation security situation in each State, but may include completing and providing:

- updates to the State Aviation Security Activity Questionnaire
- status reports on the implementation of specific PQs
- information relating to identified SSeCs
- updates to the CAP
- any other relevant security information, such as national-level aviation security legislation and airport-level aviation security procedures and practices.



Continuous Monitoring

Article 7 of the MoU

While **monitoring** of all ICAO Member States will be conducted on an **on-going basis**, specific USAP-CMA activities will be scheduled in all States from time to time



State's Key Parameters (Vulnerabilities)

Performance

- Acts of unlawful interference linked to security deficiencies
- Failure or refusal to participate in significant aspects of the USAP-CMA process
- Potential SSeCs
- SSeC Resolution
- Date and type of last activity
- Previous USAP audit results
- CAP implementation progress
- Significant development in the State's oversight system
- Assistance activities

Opportunity

- Annual number of originating international flights
- Annual number of originating and transfer passengers
- Annual volume of exported cargo and mail
- Significant development in the State's aviation security system



Role of ASA

- Communicate and following up with States on all matters relating to the USAP-CMA
- Provide timely support to States, when requested
- Monitor States' progress in updating required information and resolving identified deficiencies
- Monitor States' USAP-CMA key parameters
- Coordinate actions with ROASFs



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Role of ICAO Regional Offices

Article 37 of the MoU

The ICAO Regional Office accredited to the State will be actively involved in monitoring the progress made by the State towards implementing its CAP and in the provision of advice and assistance, as required



Role of ICAO Regional Offices

- Support the prioritization process of audits – assist in updating the key parameters
- Support States preparing for audits (this does not mean pre-auditing)
- Monitor progress in implementing CAPs and provide assistance to address deficiencies



Role of the State

- States should continue sending information to ASA on progress made in the implementation of their CAPs
- CAP updates will be evaluated by ASA to measure (unvalidated) progress achieved in the rectification of deficiencies identified by the audit
- Progress in CAP implementation will be considered in when planning future audits





Role of the State

- Provide other updates, when requested, in accordance with Article 4 of the MoU
- States are encouraged to continuously monitor their own aviation security and oversight systems using USAP-CMA tools

Note. - Updates will impact the State's USAP-CMA key parameters



Module Review

- Continuous monitoring
- What is monitored
- Role of ASA
- Role of ICAO Regional Offices
- Role of the State



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Questions?



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End of Module 9