



Fifth Meeting of the Directors General of Civil Aviation- Middle East Region

الاجتماع الخامس للمدراء العاميين للطيران المدني في منطقة الشرق الأوسط

DGCA-MID/5

Kuwait 4-6 Nov 2019



Developments in the ICAO Facilitation Programme



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ICAO



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٧٥ عاماً
من الزعماء في أرواء العالم



Main Outcomes from A40

- **A40-14:** Aircraft disinsection & vector control methods
- **A40-15:** Combatting human trafficking
- **A40-16:** Continuing ICAO policies related to facilitation



Main Outcomes from A40

Annex 9: work Programme for 2020-2022

Priorities

Expected Outcomes

Non-compliance with SARPs

- a) Assist State compliance, through the Annex 9 EFOD Compliance Checklist;
- b) Develop a new format for FAL Regional Implementation Seminars focusing on API and PNR;
- c) Develop with the support of the Global Aviation Training (GAT) office, an Annex 9 training package.

Responses to new and emerging issues

- a) Develop PNR SARPs;
- b) Set up a FALP working group on trafficking in person; and
- c) Consider how to manage the use of multiple travel documents by passengers during air transport.

Advances in technologies

Examine the possible need to include SARPs in Annex 9 vis-à-vis FAL-related aspects of Remotely Piloted Aircraft Systems (RPAS).



Main Outcomes from A40

ICAO TRIP: work programme for 2020-2022

Priorities

Expected Outcomes

Monitor the level of implementation of the ICAO TRIP Roadmap

Analysis of the corresponding online Compliance Checklist in the EFOD system of TRIP-related Annex 9 SARPS

Enhance recognition of ICAO leadership on the ICAO TRIP Strategy

Improved service delivery to States through international cooperation on TRIP-related activities

Development of capacity building and assistance

Management of the assistance projects

Promotion of the ICAO TRIP Strategy by a wider distribution of the guidance material

Symposia and regional seminars, workshops on API / PNR;

Development of training material

The training courses will cover both Annex 9 related matters and the ICAO TRIP Strategy

ePassport Standard Roadmap

Development of an ePassport Standard Roadmap

Development of the Doc 9303 Compliance Scheme

ISO technical specifications and establishment of a list of accredited laboratories regarding these tests outsourcing

Cost benefit analysis (CBA) template

Assessment tool for States willing to implement ABC gates

Passenger Data exchange: Advance Passenger Information (API) and Passenger Name Record (PNR) data

Increased outreach to States on API and PNR data programmes through collaboration with WCO, IATA, UNOCT, CTED and other partners



Main Outcomes from A40

ICAO PKD: Programme for 2020-2022

Priorities

Expected Outcomes

The operational role of ICAO

Assuring uninterrupted PKD operations, by acting as a trust agent and exercising due diligence on an ongoing basis to maintain the integrity of the digital certificate.

ICAO Master List

Developing a new ICAO Master List service that will provide States with a “one-stop shop” for the validation of all ePassport information, to support the global interoperability of ePassport validation

Increasing Active Participation

- Increasing active use of the PKD at border controls, with the support of the new Border and Aviation Engagement subgroup of the TAG/TRIP;
- Expanding the activities of the PKD to exchange additional certificates, and enabling commercial entities to access the PKD data

Promotion

Ensuring compliance with ICAO standards and specifications by increasing States participation in the PKD through promotion via workshops, seminars and symposia



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ICAO Strategic Objectives 2020-2022

Security & Facilitation

Enhance global civil aviation security and facilitation. This Strategic Objective reflects ICAO's leadership in aviation security, facilitation and related border management matters.



Annex 9 related developments

- **Amendment 27 to Annex 9 – Effective 21 October 2019:**
 - Unaccompanied minors travelling by air
 - Single Window for passenger data transfer (From RP to a Standard)
 - Trafficking in persons (New RP 8.47)
- **Recommendations of Passenger Name Record Task Force (PNR-TF) on new/revised SARPs relating to PNR** reviewed by the Air Transport Committee (ATC) in October 2019

Traveller Identification Programme (TRIP) Strategy

The way forward

- Importance to comply with ICAO Standards or to notify Differences when it is not possible
- States need to ensure that their travel documents are fully interoperable
- Number of International Passengers expected to double in the next 20 years with increased number of security threats
- Focus on improving **both** Aviation Security and Travel Facilitation for passengers to have a secure & pleasant experience through a risk-based & efficient border management process.
- Effective collaboration among various government agencies
- Efficient Cooperation between international organizations.
- Challenges ahead:
 - harmonization of intelligence and data sharing;
 - shift to a secure digital process; and
 - ensure data privacy.



Traveller Identification Programme (TRIP) Strategy

New and Updated Guidance Materials

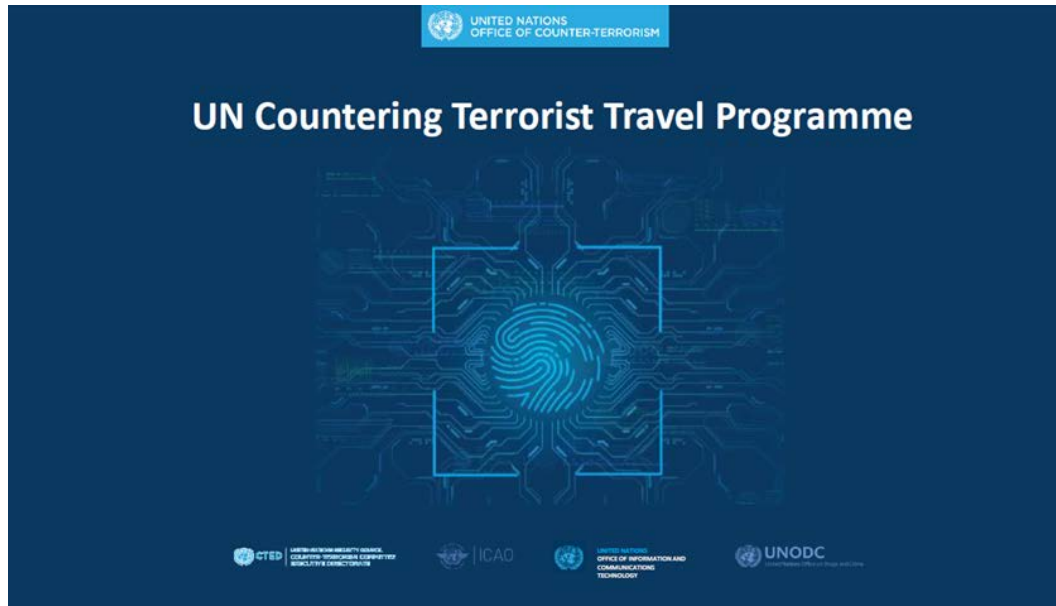
- ICAO TRIP Revised Implementation roadmap for Member States (New)
- Guide on Evidence of Identity (New)
- Doc 9303 Machine Readable Travel Documents (updated 7th Edition)
- Guide for Circulating Specimen Travel Documents (New release)
- Guidance on Migrating CSCAs (New)
- Guide for Best Practice Guidelines for Optical Machine Authentication (New)
- The Implementation Steps of Advance Passenger (API) System (New)
- Passenger Name Record (PNR) information (New)





Traveller Identification Programme (TRIP) Strategy

The UN Countering Terrorist (UNCT) Travel Programme



- A global multi-year capacity-building initiative to assist Member States to detect FTFs by using API and PNR data
- Launched on 7 May 2019 in New York in the presence of the UN and ICAO SGs
- Coordinated by UNOCT and implemented in partnership with CTED, ICAO, UNODC and OICT, as well as INTERPOL, through an 'All-of UN' approach



Traveller Identification Programme (TRIP) Strategy

Key areas of implementation of the UNCT Travel Programme

1. Legislative

Assist Member States to strengthen their national legislative frameworks to regulate the collection, transmission, use, retention and sharing of passenger data in compliance with international human rights standards

2. Operational

Provide training and other capacity-building to support the operationalization of the PIU e.g. mentorship, exchange visits, sharing of best practices and lessons learned

3. Air Carrier Engagement

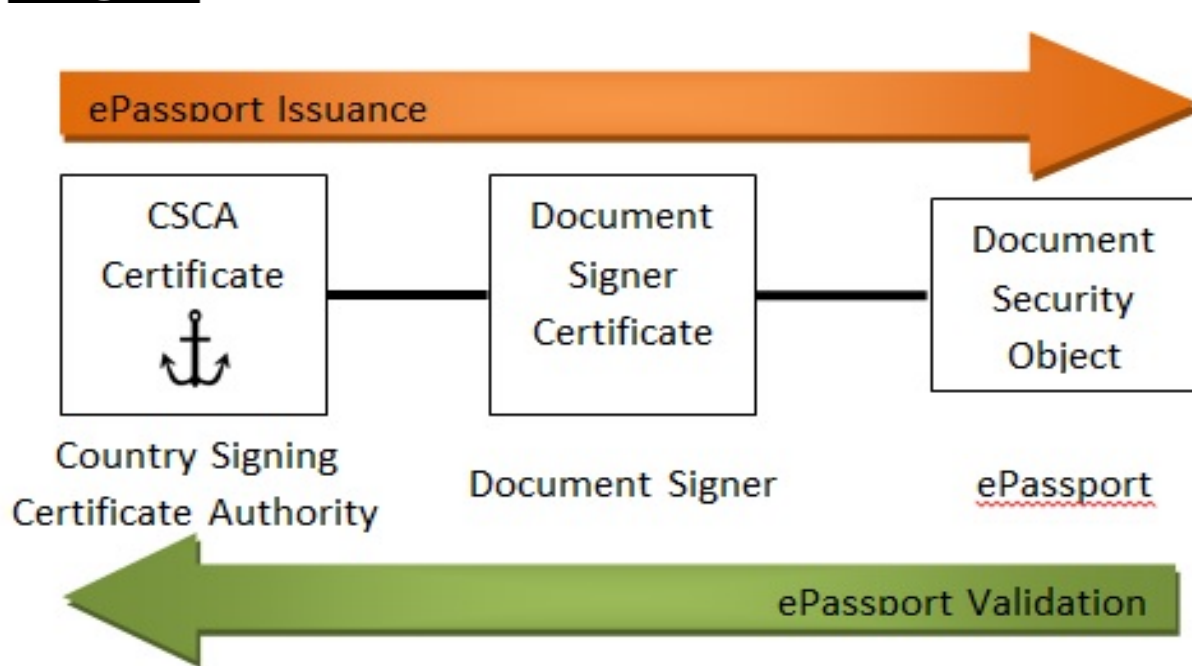
Assist beneficiary Member States in securing the provision of bulk API/PNR data from airlines to their PIUs in accordance with ICAO standards and recommended practices (SARPs)

4. Technical

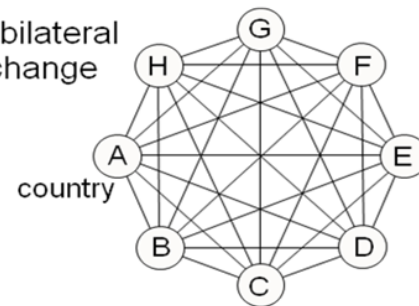
Deploy and install the UN 'goTravel' software system on the servers of beneficiary Member States and provide the necessary maintenance support



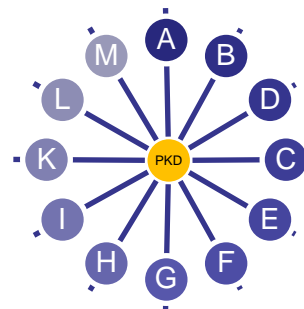
Public Key Directory (PKD)



via bilateral
exchange



country





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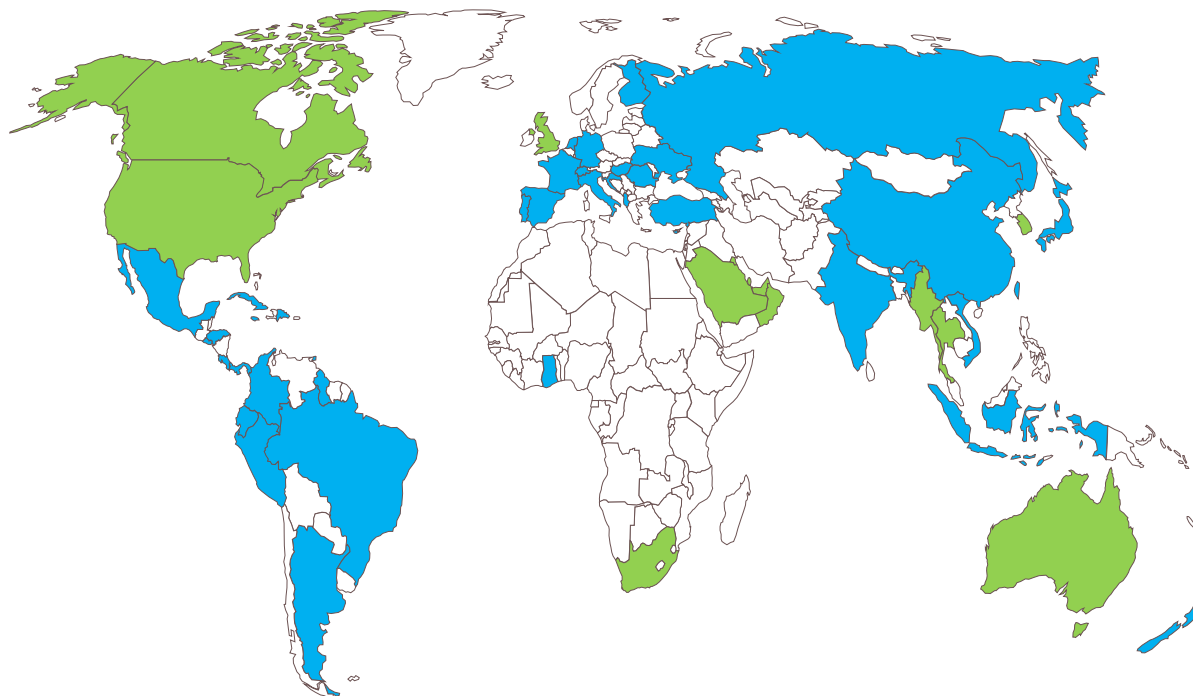
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من الزعيمين لواء العالم



API implementation: 67 Member States



Legend

■ iAPI in force (total: 16)

■ API in force (total: 51)

API is an Annex 9 Standard applicable since 23 February 2018



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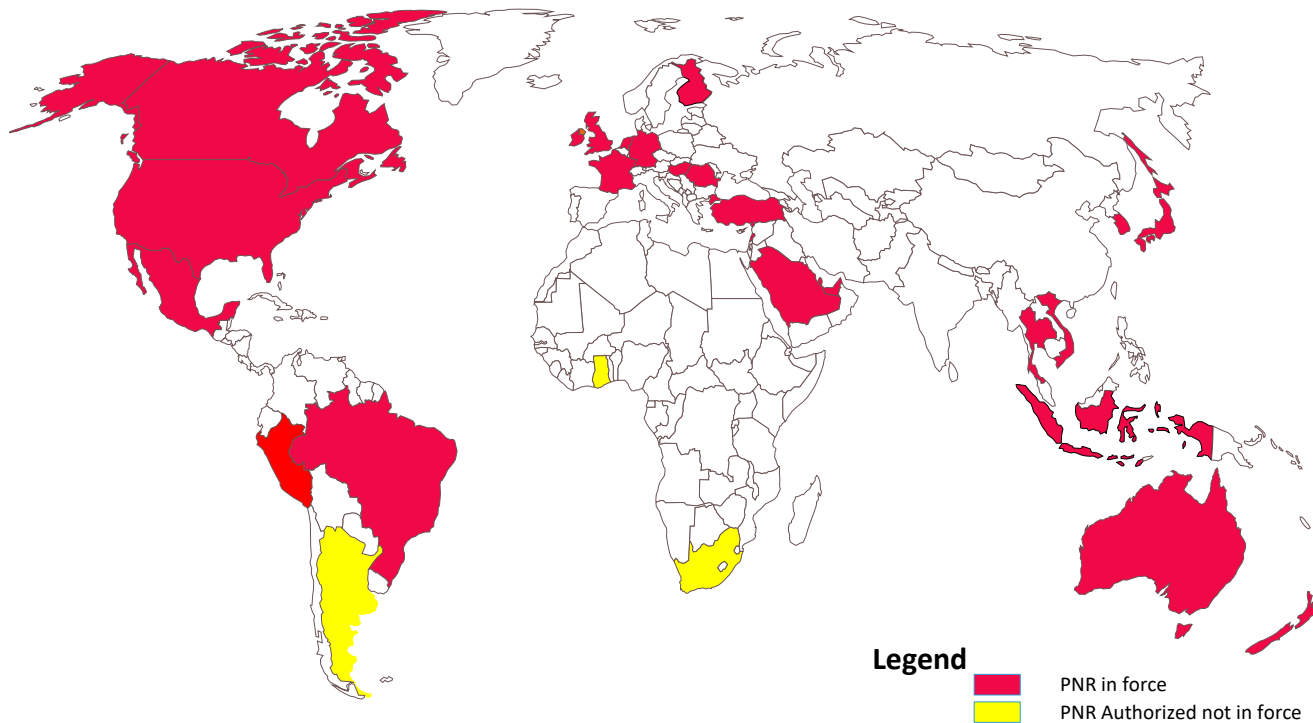
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من التأسيس إلى آراء العالم



PNR implementation: 26 Member States





Status of MID States contribution:

- **FAL Panel:** Egypt, Qatar, Saudi Arabia, UAE
- **TAG/TRIP:** Egypt, Iraq, Qatar, Sudan, UAE
- **FAL Point-of-Contact:** all 15 MID States



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PKD participation status in the Middle East: 6 out of 15 States

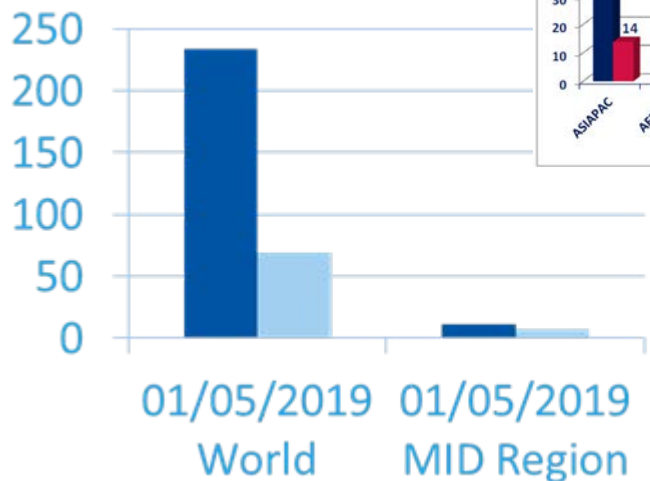
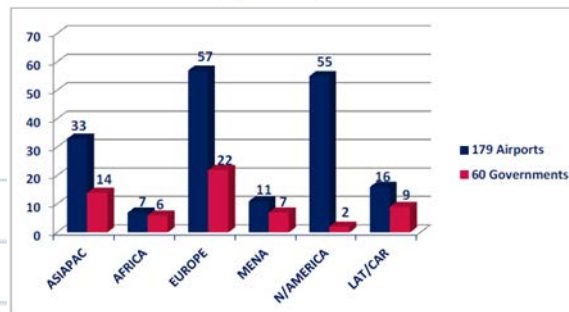




Traveller Identification Programme (TRIP) Strategy

Use of Automated Border Controls (ABCs) gates

Automated Border Control deployed per Region (Status end of November 2015)



Annex 9 Recommended Practices

- RP: States to consider introduction of ABC system
- RP: States using ABCs
 - Use PKD to validate eMRTDs
 - Biometric matching against holder of document
 - Query INTERPOL's SLTD database

Source: IATA, May 2019



Traveller Identification Programme (TRIP) Strategy

API implementation status: MID States

API is an Annex 9 Standard applicable since 23 February 2018

Status of API in MID region: 7 States

API : 1 State - Lebanon

**iAPI : 6 States: Bahrain, Kuwait, Oman, Qatar, Saudi Arabia,
United Arab Emirates**



Traveller Identification Programme (TRIP) Strategy

Status of PNR implementation: MID States
(currently, PNR is not mandatory; RP only)

Lebanon, Qatar, Saudi Arabia, United Arab Emirates



Action by the meeting

to recommend that MID States to:

1. **implement all the Annex 9 Standards and especially those related to the ICAO TRIP Strategy**, such as removing all non-Machine Readable Passports from circulation; reporting stolen, lost and revoked travel documents in the INTERPOL SLTD database; issuing MRCTDs compliant with Doc 9303 specifications; and implementing an API system;
2. **ensure that their respective Annex 9 compliance checklists (CC) are duly completed** in the Electronic Filing Of Differences (EFOD) system;
3. **establish a National Air Transport Facilitation Programme (NATFP)** and associated Committees in accordance with the relevant Annex 9 Standards, 8.17 and 8.19;
4. **endeavour to provide ICAO with specimen passports** to be used for reference and training purposes;
5. **implement the ICAO TRIP Strategy roadmap**;
6. **commit to set up robust civil registration systems** to support issuance of reliable eMRTD; and
7. **endeavour to join the ICAO PKD** as a means to authenticate travel documents in order to facilitate passengers' clearance and neutralize the activities of cross-border criminality



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SAVE THE DATES

UPCOMING ICAO TRIP EVENTS

16th TRIP Symposium March 31 – April 2, 2020

17th TRIP Symposium May 25 – May 28, 2021

18th TRIP Symposium March 29 – March 31, 2022

*Dates are subject to change

For more info please contact mcrf@icao.int



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Thank You, Merci, Gracias
Спасибо, Spasiba
شكرا, Shukran
谢谢, Sie Sie

ICAO2019



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OF CONNECTING THE WORLD

CELEBRATE



Thank You