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ANNEX 9 – *FACILITATION*

Key Developments



ICAO MID Regional Office, April 2022



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OVERVIEW

- Air Transport Committee Task Force on Health Issues Outbreaks in Aviation
- Twelfth Meeting of the Facilitation Panel (FALP/12)
- High-level Conference on COVID-19 2021 (HLCC 2021)
- Annex 9 – *Facilitation*

AMENDMENT 28 TO ANNEX 9 - FACILITATION



International Standards
and Recommended Practices

Annex 9 to the Convention on International Civil Aviation

Facilitation

Fifteenth Edition, October 2017



This edition incorporates all amendments adopted by the Council prior to 17 June 2017 and supersedes, on 23 February 2018, all previous editions of Annex 9.

For information regarding the applicability of the Standards and Recommended Practices, see Foreword.

INTERNATIONAL CIVIL AVIATION ORGANIZATION

Contains new and revised Standards and Recommended Practices on Passenger Name Record (PNR) data and ICAO Public Key Directory (PKD)



Amendment 28 became Applicable on 28 February 2021



Universal Security Audit Programme, Continuous Monitoring Approach (USAP-CMA) Protocol Questions



AUDITING OF ANNEX 9 PNR STANDARDS

Standard	USAP-CMA Protocol Question
9.24	Appropriate legal and administrative framework for the collection, use, processing and protection of PNR data in accordance with ICAO Doc 9944 and PNRGOV message implementation guidance materials.
9.25	Identification of the PNR data to be used in State's operations, including the purposes for which PNR data may be used.
9.26	Penalties for misuse, unauthorized access, and unauthorized disclosure of PNR data, including mechanisms to prevent unauthorized access, disclosure and use of PNR data.
9.28	Automated processing of PNR data based on objective, precise and reliable criteria that effectively indicate the existence of a risk, without leading to unlawful differentiation.
9.29	Designation of entity(ies) responsible for the independent oversight of PNR data protection.
9.31	Mechanisms related to the retention of PNR data.



Task Force on Health Issues Outbreaks in Aviation

- Established by ICAO's Air Transport Committee in April 2020
- Compose of 53 Subject Matter Experts (SMEs) nominated by 53 States; and 10 SMEs nominated by 9 international organizations
- **Mandate**
 - ❖ review all health-related Standards and Recommended Practices (SARPs) in Annex 9
 - *developed 44 health-related SARPS for inclusion in Annex 9 presented to FALP/12*
 - ❖ review all relevant guidance material and best practices
 - *development of associated guidance material for inclusion in Doc 9957, Facilitation Manual following adoption of SARPs by ICAO Council*

[Mandate now take into account outcomes of the High-Level Conference on COVID-19]

The Facilitation Panel

- Established by the Air Transport Committee in November 1995
- 33 Member States
- Mandate
 - ❖ *Formulate recommendations for new and amended SARPs or guidance material, taking into account recent developments in applicable technology, contemporary challenges, and future needs for improvement of the efficiency and effectiveness of border inspection and other control processes in airports.*





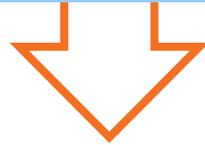
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Twelfth Meeting of the Facilitation Panel (FALP/12)

- Convened Virtually From 13 To 23 July 2021
- ICAO Six Official Languages (Arabic, Chinese, English, French, Russian, And Spanish), With Simultaneous Interpretation



Recommendation to the Air Transport Committee: new and/or revised provisions on public health emergencies, security of travel and health-proofs documents, relief and repatriation flights, air transport facilitation of persons with disabilities, assistance to aircraft accident victims and their families, trafficking in persons, and trafficking in wildlife; and new and revised definitions.



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Twelfth Meeting of the Facilitation Panel (FALP/12)



- ❖ **Establishment of a new Chapter 10 in Annex 9, on Public Health**
- ❖ **Establishment of a dedicated Working Group on Annex 9 (WGA9)**
 - *Membership: FALP Members, observers from States, other organizations, and industry.*
 - *ensure that the measures contained in Annex 9 are commensurate with the current and foreseeable global aviation facilitation environment for civil aviation.*
- ❖ **Comprehensive Strategy for Combating Human Trafficking in the Aviation Sector**

<https://www.icao.int/Meetings/FALP/Pages/FALP12-2021.aspx>



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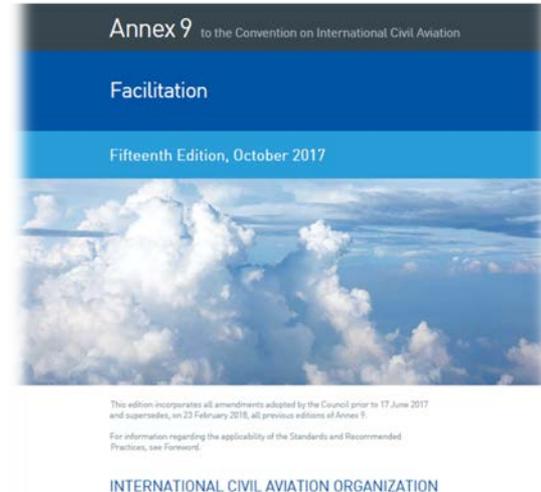


Amendment 29 to Annex 9 – Sixteenth Edition

- ❖ **Adopted by ICAO Council on 9 March 2022**
- ❖ **Prescribes the date as 18 July 2022**
[except for any part thereof in respect of which a majority of the Contracting States have registered their disapproval with the Council before that date]
- ❖ **Prescribes the Applicability date as 18 November 2022**
[State Letter EC 6/3-22/36]
- ❖ **Amendment 29 includes:**
Amendment 29 relates, to issues on public health emergencies, security of travel and health-proofs documents, relief and repatriation flights, air transport facilitation of persons with disabilities, assistance to aircraft accident victims and their families, trafficking in persons, and Passenger Name Record (PNR) data.
- ❖ **New Chapter 10 -Health- related Provisions**



International Standards
and Recommended Practices





HLCC 2021 Facilitation Stream





HLCC 2021 FACILITATION STREAM

**17 Recommendations
addressed to ICAO**

Prioritized into 3 Categories

- High – Category A
- Medium High Category B
- Low – Category C

**Defining part of Facilitation
Programme Priorities for
2023-2025**



AAAVF 2021

- **First Symposium on Assistance to Aircraft Accident Victims and their Families (AAAVF 2021)**
- **Reaffirms ICAO commitment to continue to work with States, industry and family associations on this very important priority.**
- **Provided an overview of the regulatory framework defined by Annex 9 — *Facilitation* and Annex 13 — *Aircraft Accident and Incident Investigation* to the *Convention on International Civil Aviation* and addressed various issues and challenges faced in handling aircraft accidents.**
- **30 Recommendations**
 - *National authorities*
 - *Aircraft operators*
 - *Insurance companies*
 - *Communication media and other Stakeholders*
- **Annex 9 Recommended Practice 8.46 elevated to a Standard**



ANNEX 9 – FACILITATION

Regrouping health-related SARPs to new Chapter 10 (Amendment 29)

Develop new and revised SARPs commensurate with the current and foreseeable global aviation facilitation environment

ANNEX 9

Review of SARPs in light of changes in technology and the needs of States

Streamline Annex 9 Standards and Recommended Practices





ANNEX 9 – FACILITATION

**Assistance
Member States**

*Capacity Building
Annex 9 Implementation*

**Annex 9 Facilitation
Course**

**ICAO TRIP Strategy
Course**

**Assistance to Aircraft
Accident Victims and
their Families**





Thank you!



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