

International Civil Aviation Organization

#### MIDANPIRG/18 and RASG-MID/8 Virtual Meetings

(15-22 February 2021)

#### Agenda Item 5.2.3 Revised MID Air Navigation Strategy

#### REVISED MID AIR NAVIGATION STRATEGY

(Presented by the Secretariat)

#### **SUMMARY**

This paper presents the Draft Revised MID Air Navigation Strategy (ICAO MID Doc 002) for review and endorsement.

Action by the meeting is at paragraph 3.

#### REFERENCES

- MSG/7 Report
- ICAO GANP Portal
- 2<sup>nd</sup> MID ASBU Webinar SoD

#### 1. Introduction

- 1.1 The 13th Air Navigation Conference, through recommendation 4.3/1, encouraged the PIRGs to embrace a performance-based approach for implementation and adopt the six-step performance management process, as described in the Manual on Global Performance of the Air Navigation System (Doc 9883).
- 1.2 The Global Air Navigation plan 6th edition endorsed by 40th session of the ICAO General assembly brought major changes, which need to be reflected in the Revised version of the MID Region Air Navigation Strategy.

#### 2. DISCUSSION

- 2.1 The MSG/7 meeting (1-3 September 2020) agreed that many of the ASBU Threads/Elements contained in the GANP are specialized packages that should be applied only where the specific operational requirement exists or corresponding benefits can be realistically projected.
- 2.2 The MSG/7 meeting reviewed the initial draft of the revised Strategy developed by the Secretariat, identifying the ASBU Threads/Elements that might be classified as priority 1; along with associated proposed monitoring elements (applicability area, performance indicators/supporting metric, and timeline). The MSG/7 meeting agreed that States and MIDANPIRG Sub-Groups should review the initial draft Strategy and provide their inputs/feedback. Thus, the MSG/7 agreed to the following MSG Conclusion 7/6:

MSG CONCLUSION 7/6: UPDATE OF MID REGION AIR NAVIGATION STRATEGY

That, in order to improve the Initial Draft of the revised MID Region Air Navigation Strategy at Appendix 5.1A, with States and stakeholders inputs:

- a) States be invited to provide the MID Office by 15 October 2020 with their Air Navigation priorities and updated National Plan considering the provisions of the 6<sup>th</sup> Edition of the GANP endorsed by the 40<sup>th</sup> Session of the General Assembly (A40);
- b) MIDANPIRG Sub-Groups provide proposals of amendment of the MID Region Air Navigation Strategy, considering the 6<sup>th</sup> Edition of the GANP, the inputs of States and Stakeholders, and agreed priorities, before 15 Dec 2020; and
- c) the joint ACAO/ICAO ASBU Symposium review the inputs of States, Stakeholders and MIDANPIRG Sub-Groups for consolidation of the revised version of the MID Region Air Navigation Strategy to be presented to MIDANPIRG for endorsement.
- 2.3 The ICAO MID Office organized MID ASBU Webinar virtually (13-15 October 2020). The Webinar provided an opportunity to familiarize the participants from States and stakeholders with the 6th Edition of the GANP (multi-layer Structure, Performance Framework, Basic Building Block (BBB) Framework); and showed case the different ASBU Threads through online demonstration using the GANP Portal, for harmonization purpose and an increased efficiency of the planned MIDANPIRG Sub-Groups during the discussion of the subject.
- 2.4 The MID ASBU Webinar identified the ASBU Threads and elements, which would be proposed to MIDANPIRG/18 as priority 1 subject to the review, agreement or amendment by the relevant MIDANPIRG Sub-Groups. The Webinar also reviewed the initial list of Key Performance Indicators (KPIs) to be used for performance monitoring, and agreed on an initial set of KPIs to be monitored at regional level, starting from 2021.
- 2.5 The MIDANPIRG Sub-Groups conducted virtually in the 4<sup>th</sup> quarter 2020 as follow, The SGs meetings reviewed the Threads and agreed to the prioritization of the different elements of Block 0 and 1. Furthermore, the monitoring table of priority 1 elements, including the applicability areas, indicators, metrics, targets and timelines were reviewed and updated.
  - PBN SG/5 (19-20 October 2020)
  - AIM SG/7 (20-21 October 2020)
  - ATM SG/6 (9-12 November 2020)
  - ASPIG SG/2 (24-26 November 2020)
  - CNS SG/10 (1-3 December 2020)
- 2.6 The ATM SG/6 reviewed the initial list of Key Performance Indicators (KPIs) to be used for performance monitoring and agreed that the month of June 2021 will be used for the collection of required data for measuring the KPIs. Furthermore, the ATM SG/6 meeting agreed to the following Draft Conclusion:

DRAFT CONCLUSION 6/1: ANS PERFORMANCE MONITORING

That, in order to optimize allocation and use of resources in the modernization of the air navigation system, States:

- a) be urged to:
  - i. embrace a performance based approach in line with the 6<sup>th</sup> Edition of the Global Air Navigation Plan and the six-step performance management process, as described in the Manual on Global Performance of the Air Navigation System (Doc 9883);
  - ii. follow-up a phased approach in the performance monitoring of their air navigation system using as an initial phase the list of KPIs at Appendix 4C; and
  - iii. provide ICAO with the results of the KPIs monitoring for the agreed period, as part of the data necessary for the development of the Annual Air Navigation Report, starting with the Report for 2021.
- b) be encouraged to start as soon as possible, on an experimental basis, to establish the necessary processes, procedures and systems for the collection of necessary data to measure the selected KPIs; and
- c) ICAO MID Office to develop and circulate a questionnaire on States' ASBU Threads and Elements implementation and Planning for the priority 1 ASBU elements.
- 2.7 The ICAO MID Office developed and circulated a questionnaire (17 December 2020) to acquire States' inputs regarding the status of implementation and/or plans for each ASBU Thread/Element.
- 2.8 The 2nd MID ASBU Webinar was organized (19-20 January 2021). The Webinar reviewed States' inputs and the outcome of MIDANPIRG Sub-Groups meetings and developed a consolidated version of Draft Revised MID Air Navigation Strategy Doc 002 at **Appendix A**, to be presented to MIDANPIRG/18 for endorsement.

#### 3. ACTION BY THE MEETING

3.1 The meeting is invited to agree to the following Draft Conclusions:

Why	To optimize allocation and use of resources in the modernization of the air navigation system
What	-Develop National Air Navigation Plan using the six-step performance management process and Performance Based Approach (PBA) -Collect and analyse data to measure the selected KPIs and provide the result of Performance monitoring on annual basis
Who	States
When	June and July 2021

#### DRAFT MIDANPIRG/18 CONCLUSION 18/XX: ANS PERFORMANCE MONITORING

That, in order to optimize allocation and use of resources in the modernization of the air navigation system, States:

#### a) be urged to:

- i. embrace a performance based approach in line with the 6<sup>th</sup> Edition of the Global Air Navigation Plan and the six-step performance management process, as described in the Manual on Global Performance of the Air Navigation System (Doc 9883);
- ii. follow-up a phased approach in the performance monitoring of their air navigation system using as an initial phase the list of KPIs at Appendix 4C; and
- iii. provide ICAO with the results of the KPIs monitoring for the agreed period, as part of the data necessary for the development of the Annual Air Navigation Report, starting with the Report for 2021.
- b) be encouraged to start as soon as possible, on an experimental basis, to establish the necessary processes, procedures and systems for the collection of necessary data to measure the selected KPIs; and
- c) ICAO MID Office to develop and circulate a questionnaire on States' ASBU Threads and Elements implementation and Planning for the priority 1 ASBU elements.

Why	To identify priority 1 ASBU threads/elements and list of KPIs to be monitored and reported at Regional level.
What	Post the Revised MID Air Navigation Strategy (ICAO MID DOC 002) on ICAO Website.
Who	ICAO MID Office
When	February 2021

### DRAFT MIDANPIRG CONCLUSION 18/XX: REVISED MID AIR NAVIGATION STRATEGY

That, The Revised MID Air Navigation Strategy (ICAO MID DOC 002) is endorsed and be published by the ICAO MID Office.

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#### INTERNATIONAL CIVIL AVIATION ORGANIZATION

#### MIDDLE EAST AIR NAVIGATION PLANNING AND IMPLEMENTATION REGIONAL GROUP (MIDANPIRG)

# MID REGION AIR NAVIGATION STRATEGY

**EDITION FEBRUARY, 2021** 

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MID Region AIDC/OLDI Applicability Area (Priority 1 and 2 for Implementation)

### PART I: AIR NAVIGATION PRIORITIES AND MONITORING OF THE STATUS OF IMPLEMENTATION

#### 1. Introduction

- 1.1 As traffic volume increases throughout the world, the demands on air navigation service providers in a given airspace increase, and air traffic management becomes more complex.
- 1.2 It is foreseen that the implementation of the components of the ATM operational concept will provide sufficient capacity to meet the growing demand, generating additional benefits in terms of more efficient flights and higher levels of safety. Nevertheless, the potential of new technologies to significantly reduce the cost of services will require the establishment of clear operational requirements.
- 1.3 Taking into account the benefits of the ATM operational concept, it is necessary to make many timely decisions for its implementation. An unprecedented cooperation and harmonization will be required at both global and regional level.
- 1.4 ICAO introduced the Aviation System Block Upgrades (ASBU) framework as a systemic manner to achieve a harmonized implementation of the air navigation services. An ASBU designates a set of improvements that can be implemented globally from a defined point in time to enhance the performance of the ATM system.
- 1.5 In accordance, with the Resolutions of the 40th Session of the ICAO Assembly, particularly Resolution A40-1 "ICAO global planning for safety and air navigation", the ICAO Assembly urged States and PIRGs to utilize the guidance provided in the GANP for planning and implementation activities which establish priorities, targets and indicators consistent with globally-harmonized objectives, taking into account operational needs. In response to this, the MID Region developed the MID Region Air Navigation Strategy Part 1, which is aligned with the GANP 6<sup>th</sup> Edition and ASBU Framework.
- 1.6 Stakeholders including service providers, regulators, airspace users and manufacturers are facing increased levels of interaction as new, modernized ATM operations are implemented. The highly integrated nature of capabilities covered by the block upgrades requires a significant level of coordination and cooperation among all stakeholders. Working together is essential for achieving global harmonization and interoperability.

#### 2. Strategic Air Navigation Capacity and Efficiency Objective

2.1 The Strategic Objective related to Air Navigation Capacity and Efficiency is to realize sound and economically-viable civil aviation system in the MID Region that continuously increases in capacity and improves in efficiency with enhanced safety while minimizing the adverse environmental effects of civil aviation activities.

#### 3. MID Air Navigation Objectives

- 3.1 The MID Region air navigation objectives are set in line with the global air navigation objectives and address specific air navigation operational improvements identified within the framework of the Middle East Regional Planning and Implementation Group (MIDANPIRG).
- 3.2 Blocks '0' and "1" feature Elements are characterized by operational improvements, which have already been developed and implemented in many parts of the world. The MID Region priority 1 Block 0 & 1 Elements are reflected in **Table 1** below.
- 3.3 The MID Region Air Navigation Strategy aims to maintain regional harmonisation. The States should develop their National Air Navigation Plan (NANP), including action plans for the implementation of relevant priority 1 ASBU Elements and other ASBU elements or non ASBU solutions based on the States' operational requirements and cost benefits analysis.
- 3.4 The implementation of the ASBU Block 0 Elements in the MID Region started before 2013 and is continuing. For the short and medium term, the MID Region priorities include identified ASBU Elements

#### 4. MID Region ASBU Threads/Elements Prioritization and Monitoring

4.1 On the basis of operational requirements and taking into consideration the associated benefits, **Table 1** below shows the priority associated for each ASBU element from Block 0 and Block 1, as well as the MIDANPIRG subsidiary bodies that will be monitoring and supporting the implementation of these Threads/Elements:

**Priority 1 ASBU Element**: Elements that have the highest contribution to the improvement of air navigation safety and/or efficiency in the MID Region. These Elements should be implemented where applicable and will be used for the purpose of regional air navigation monitoring and reporting.

**Priority 2 ASBU Element**: Elements recommended for implementation based on identified operational needs and benefits by States.

Priority 1 Thread: Any Thread with at least one priority 1 element

Table 1. MID REGION ASBU THREADS & ELEMENTS (BLOCK 0 & 1) PRIORITIZATION AND MONITORING

	Element	Title	2.1.1	Start	Mor	nitoring			
Thread	code	Title	Priority	Date	Main	Supporting	Remarks		
Information	Information Threads								
DAIM	DAIM								
	B1/1	Provision of quality- assured aeronautical data and information	1	2021	AIM SG		It was B0, monitored earlier		
	B1/2	Provision of digital Aeronautical Information Publication (AIP) data sets	2						
DAIM	B1/3	Provision of digital terrain data sets	1	2021			It was B0, monitored earlier		
DAIM	B1/4	Provision of digital obstacle data sets	1	2021			It was B0, monitored earlier		
	B1/5	Provision of digital aerodrome mapping data sets	2						
	B1/6	Provision of digital instrument flight procedure data sets	2						
	B1/7	NOTAM improvements	2						
AMET									

	B0/1	Meteorological observations products	1	2014	MET SG		
	B0/2	Meteorological forecast and warning products	1	2014	MET SG		
	B0/3	Climatological and historical meteorological products	1	2014	MET SG		
	B0/4	Dissemination of meteorological products	1	2014	MET SG	CNS SG	
AMET	B1/1	Meteorological observations information	2				
	B1/2	Meteorological forecast and warning information	2				
	B1/3	Climatological and historical meteorological information	2				
	B1/4	Dissemination of meteorological information	2				
FICE							
FICE	B0/1	Automated basic inter facility data exchange (AIDC)	1	2014	CNS SG ATM SG		
Operationa	l Threads						
APTA							
	B0/1	PBN Approaches (with basic capabilities)	1	2014		ATM SG AIM SG CNS SG	
	B0/2	PBN SID and STAR procedures (with basic capabilities)	1	2014		ATM SG AIM SG	
APTA	B0/3	SBAS/GBAS CAT I precision approach procedures	2				
	B0/4	CDO (Basic)	1	2014		ATM SG	
	B0/5	CCO (Basic)	1	2014		ATM SG	

	B0/6	PBN Helicopter Point in Space (PinS) Operations	2				
	B0/7	Performance based aerodrome operating minima – Advanced aircraft	1	2021	PBN SG	AIM SG	
	B0/8	Performance based aerodrome operating minima – Basic aircraft	2				
	B1/1	PBN Approaches (with advanced capabilities)	2				
	B1/2	PBN SID and STAR procedures (with advanced capabilities)	2				
	B1/3	Performance based aerodrome operating minima – Advanced aircraft with SVGS	2				
	B1/4	CDO (Advanced)	2				
	B1/5	CCO (Advanced)	2				
FRTO							
	B0/1	Direct routing (DCT)	2				
		Airspace planning and Flexible Use of Airspace (FUA)	1	2014		AIM SG	
	B0/2	Level 1 Strategic	1	2014		AIM SG	
FRTO		Airspace planning and Flexible Use of Airspace (FUA) Level 2	1	2014		AIM SG	
	B0/3	Pre-validated and coordinated ATS routes to support flight and flow	2				
	B0/4	Basic conflict detection and conformance monitoring	1	2014		CNS SG	
	B1/1	Free Route Airspace (FRA)	2				
	B1/2	Required Navigation Performance (RNP) routes	2				

		Advanced Flexible				
		Use of Airspace				
	B1/3	(FUA) and	2			
		management of real				
		time airspace data				
		Dynamic				
	B1/4	sectorization	2			
		Enhanced Conflict				
	B1/5	Detection Tools and	2			
		Conformance				
		Monitoring				
	B1/6	Multi-Sector	2			
	<b>D1</b> /0	Planning	2			
	D4/5	Trajectory Options				
	B1/7	Set (TOS)	2			
NOPS						
11015						
	I	Initial integration of	I	1	I	
		Initial integration of				
	D0/4	collaborative		2015		
	B0/1	airspace management	1	2015		
		with air traffic flow				
		management				
		Collaborative				
	<b>B</b> 0/2	Network Flight	2			
		Updates				
		Network Operation				
	B0/3	Planning basic	2			
	<b>D</b> 0/3	features	2			
	70/4	Initial Airport/ATFM				
	B0/4	slots and A-CDM	2			
		Network Interface				
	B0/5	Dynamic ATFM slot	2			
	D0/5	allocation	2			
	B1/1	Short Term ATFM				
		measures	2			
	B1/2	Enhanced Network				
	D1/2	Operations Planning	2			
	B1/3	Enhanced integration				
	D1/3					
		of Airport operations				
		planning with	2			
NOPS		network operations				
		planning				
	B1/4	Dynamic Traffic				
		Complexity	2			
		Management				
	B1/5	Full integration of				
		airspace management				
		with air traffic flow	2			
		management				
	B1/6	Initial Dynamic				
	D1/0	Airspace	2			
			2			
	D1/=	configurations				
	B1/7	Enhanced ATFM slot	2			
		swapping				
	B1/8	Extended Arrival				
		Management				
		supported by the	2			
		ATM Network				
		function				
	B1/9	Target Times for				
		ATFM purposes	2			
	B1/10	Collaborative				
	D1/10		2			
		Trajectory Options	Z			
\		Program (CTOP)		- 5 -		Fahruary 2021
MID Region						

ACAS							
ACAS	B1/1	ACAS Improvements	1	2014	ATM SG CNS SG		It was B0, monitored earlier
SNET				•			
	B0/1	Short Term Conflict Alert (STCA)	1	2017	ATM SG	CNS SG	
	B0/2	Minimum Safe Altitude Warning (MSAW)	1	2017	ATM SG	CNS SG	
SNET	B0/3	Area Proximity Warning (APW)	1	2020	ATM SG	CNS SG	
SINE	B0/4	Approach Path Monitoring (APM)	2				
	B1/1	Enhanced STCA with aircraft parameters	2				
	B1/2	Enhanced STCA in complex TMA	2				
GADS							
CADG	B1/1	Aircraft Tracking	2				
GADS	B1/2	Contact directory service	1	2021	CNS ATM		
RSEQ							
	B0/1	Arrival Management	1	2021	ASPIG ATM	CNS SG	
RSEQ	B0/2	Departure Management	2				
KSEQ	B0/3	Point merge	2				
	B1/1	Extended arrival metering	2				
SURF							
	B0/1	Basic ATCO tools to manage traffic during ground operations	1	2014	ASPIG	ATM SG CNS SG	
	B0/2	Comprehensive situational awareness of surface operations	1	2014	ASPIG	ATM SG CNS SG	
	B0/3	Initial ATCO alerting service for surface operations	1	2021	ASPIG	ATM SG CNS SG	
SURF	B1/1	Advanced features using visual aids to support traffic management during ground operations	2		ASPIG	ATM SG CNS SG	
	B1/2	Comprehensive pilot situational awareness on the airport surface	2		ASPIG	ATM SG CNS SG	
	B1/3	Enhanced ATCO alerting service for surface operations	2		ASPIG	ATM SG CNS SG	
	B1/4	Routing service to support ATCO	2		ASPIG	ATM SG CNS SG	

		surface operations management								
	B1/5	Enhanced vision systems for taxi operations	2		ASPIG	ATM SG CNS SG				
ACDM	ACDM									
	B0/1	Airport CDM Information Sharing (ACIS)	1	2014	ASPIG	CNS SG, AIM SG, ATM SG				
ACDM	B0/2	Integration with ATM Network function	1	2014	ASPIG	CNS SG, AIM SG, ATM SG				
ACDM	B1/1	Airport Operations Plan (AOP)	1	2021	ASPIG	CNS SG, AIM SG, ATM SG				
	B1/2	Airport Operations Centre (APOC)	2		ASPIG	CNS SG, AIM SG, ATM SG				
Technology	Threads									
ASUR										
ASUR	B0/1	ADS-B	1	2021	CNS SG	ATM SG ASPIG				
	B0/2	MLAT	1	2021	CNS SG	ATM SG ASPIG				
	B0/3	SSR-DAPS	1	2021	CNS SG	ATM SG ASPIG				
	B1/1	SB ADS-B	2							
NAVS										
NAVS	B0/1	Ground Based Augmentation Systems (GBAS)	2							
	B0/2	Satellite Based Augmentation Systems (SBAS)	2							
	B0/3	Aircraft Based Augmentation Systems (ABAS)	1	2021	CNS SG	PBN SG ATM SG AIM SG				
	B0/4	Navigation Minimal Operating Networks (Nav. MON)	1	2021	CNS SG	PBN SG				
	B1/1	Extended GBAS	2							
COMI										
	B0/1	Aircraft Communication Addressing and Reporting System (ACARS)	2							
СОМІ	B0/2	Aeronautical Telecommunication Network/Open System Interconnection (ATN/OSI)	2							

		Limb				
	B0/3	VHF Data Link (VDL) Mode 0/A	2			
	B0/4	VHF Data Link (VDL) Mode 2 Basic	2			
	B0/5	Satellite communications (SATCOM) Class C Data	2			
	B0/6	High Frequency Data Link (HFDL)	2			
	B0/7	AMHS	1	2014	CNS SG	
	B1/1	Ground-Ground Aeronautical Telecommunication Network/Internet Protocol Suite (ATN/IPS)	1	2021	CNS SG	
	B1/2	VHF Data Link (VDL) Mode 2 Multi-Frequency	2			
	B1/3	SATCOM Class B Voice and Data	2			
	B1/4	Aeronautical Mobile Airport Communication System (AeroMACS) Ground-Ground	2			
COMS						
	B0/1	CPDLC (FANS 1/A & ATN B1) for domestic and procedural airspace	2			
	B0/2	ADS-C (FANS 1/A) for procedural airspace	2			
COMS	B1/1	PBCS approved CPDLC (FANS 1/A+) for domestic and procedural airspace	2			
	B1/2	PBCS approved ADS-C (FANS 1/A+) for procedural airspace	2			
	B1/3	SATVOICE (incl. routine communications) for procedural airspace	2			

#### 5. Measuring and Monitoring Air Navigation Performance

5.1 The monitoring of air navigation performance and its enhancement is achieved through identification of relevant air navigation Metrics and Indicators as well as the adoption and attainment of air navigation system Targets. The monitoring of the priority 1 ASBU Threads/Elements is carried out through the MID eANP Volume III.

- 5.2 MIDANPIRG through its activities under the various subsidary bodies will continue to update and monitor the implementation of the ASBU Threads and elements to achieve the air navigation targets.
- 5.3 The priority 1 Threads/Elements along with the associated elements, applicability, performance Indicators, supporting Metrics, and performance Targets are shown in the **Table 2** below.

**Note**: Further details on the ASBU elements objectives, description, implementation requirements and performance impact assessment can be found on the ICAO GANP Portal <a href="https://www4.icao.int/ganpportal/ASBU">https://www4.icao.int/ganpportal/ASBU</a>

#### 6. Governance

- 6.1 Progress report on the status of implementation of the different priority 1 Threads/Elements should be developed by MIDANPIRG Subsidary bodies and presented to the MIDANPIRG on regular basis. A consolidated MID Air Navigation Report showing the status of implementation of the different priority 1 ASBU Elements by Thread will be developed on annual basis and presented to MIDANPIRG for endorsement.
- 6.2 The MIDANPIRG will be the governing body responsible for the review and update of the MID Region Air Navigation Strategy.
- 6.3 The MID Region Air Navigation Strategy will guide the work of MIDANPIRG and its subsidary bodies and all its member States and partners.
- Progress on the implementation of the MID Region Air Navigation Strategy and the achievement of the agreed air navigation targets will be reported to the ICAO Air Navigation Commission (ANC), through the review of the MIDANPIRG Reports, MID Air Navigation Reports, etc.; and to the stakeholders in the Region within the framework of MIDANPIRG.

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# Table 2. MONITORING THE IMPLEMENTATION OF THE PRIORITY 1 ASBU THREADS/ELEMENTS (Block 0 & 1 IN THE MID REGION

El	ement	Applicability	Performance Indicators/ Supporting Metrics	Targets	Timelines
Information T	Threads				
DAIM					
DAIM B1/1	Provision of quality-assured aeronautical data and information	All States	Indicator*: Regional average implementation status of DAIM B1/1 (provision of quality-assured aeronautical data and information).  Supporting Metrics:  1. Number of States that have implemented QMS for AIS/AIM  2. Number of States that have implemented WGS-84 for horizontal plan (ENR, Terminal, AD) and have implemented WGS-84 Geoid Undulation  3. Number of States that are compliant with the requirements of AIRAC adherence,  4. Number of States that have implemented an AIXM-based AIS database (AIXM V5.1+)  5. Number of States that have established formal arrangements with at least 50% of their AIS data originators.	80%	Dec 2021
DAIM B1/3	Provision of digital terrain data sets	All States	Indicator*: Regional average implementation status of DAIM B1/3(Provision of Terrain digital datasets).  Supporting Metric: Number of States that provide required Terrain digital datasets	60%	Dec 2021
DAIM B1/4	Provision of digital obstacle data sets	All States	Indicator*: Regional average implementation status of DAIM B1/4(Provision of obstacle digital datasets).  Supporting Metric: Number of States that provide required obstacle digital datasets	60 %	Dec 2021
AMET					
AMET B0/1	Meteorological observations products	All states	Indicator*: Regional average implementation status of B0/1 (Meteorological observations products).  Supporting Metrics: Number of States that provide the following Meteorological observations products, as required:  1. Automatic Weather Observation System (AWOS) information	80%	Dec 2021

El	ement	Applicability	Performance Indicators/ Supporting Metrics	Targets	Timelines
			(including real-time exchange of wind and RVR data)  2. Local reports (MET REPORT/SPECIAL)  3. Aerodrome reports (METAR/SPECI)  4. Lightning Information  5. Ground-based weather radar information  6. Meteorological satellite imagery  7. Aircraft meteorological report (ie. ADS-B, AIREP, etc.)  8. Vertical wind and temperature profiles  9. Wind shear alerts		
AMET B0/2	Meteorological forecast and warning products	All states	Indicator*: Regional average implementation status of B0/2 (Meteorological forecasts and warning products)  Supporting Metrics: Number of States that provides the following Meteorological forecast and warning products, as required:  1. World Area Forecast System (WAFS) gridded products  2. Significant Weather (SIGWX)  3. Aerodrome Forecast (TAF)  4. Trend Forecast (TREND)  5. Take-off Forecast  6. SIGMET  7. Aerodrome Warning  8. Wind Shear Warning	90%	Dec 2021
AMET B0/3	Climatological and historical meteorological products	All states	Indicator: % of States that provide Climatological and historical meteorological products, as required.  Supporting Metric: Number of States that provide Climatological and historical meteorological products, as required	85%	Dec 2021
AMET B0/4	Dissemination of meteorological products	All states	Indicator: % of States disseminating Meteorological products using a variety of formats and means (TAC, Gridded, Graphical, BUFR code, IWXXM)  Supporting Metric: Number of States disseminating Meteorological products using a variety of formats and means (TAC, Gridded, Graphical, BUFR code, IWXXM)	85%	Dec 2021
FICE					
FICE B0/1	Automated basic inter facility data exchange (AIDC)	According to the MID Region AIDC/OLDI Priority 1 Applicability Area at Attachment A	Indicator*: % of priority 1 AIDC/OLDI Interconnection have been implemented Supporting metric: Number of AIDC/OLDI interconnections implemented between adjacent ACCs	70%	Dec 2020

El	ement	Applicability	Performance Indicators/ Supporting Metrics	Targets	Timelines
Operational T	Γhreads				
APTA					
APTA B0/1	PBN Approaches (with basic capabilities)	All RWYs ENDs at International Aerodromes	Indicator: % of Runway ends at international aerodromes provided with Baro-VNAV approach procedures (LNAV/VNAV)  Supporting metric: Number of Runways ends at international aerodromes provided with Baro-VNAV approach procedures (LNAV/VNAV)	100%	Dec 2017
APTA B0/2		All RWYs ENDs at International Aerodromes	Indicator: % of Runway ends at international aerodromes provided with PBN SID and STAR (basic capabilities).  Supporting Metric: Number of Runway ends at international aerodromes provided with PBN SID and STAR (basic capabilities).	70%	Dec 2022
APTA B0/4	CDO (Basic)	OBBI, OIIE, OIKB, OIFM, OJAI, OLBA, OOMS, OTHH, OTBD, OEJN, OEMA, OEDF, OERK, HSSS, HSPN, OMAA, OMAL, OMAD, OMDW, OMDB, OMSJ, OMRK and	Indicator*: % of International Aerodromes with CDO implemented as required.  Supporting Metric: Number of International Aerodromes with CDO implemented as required.  *As per the applicability area	100%	Dec 2021
APTA B0/5	CCO (Basic)	OBBI, OIIE, OIKB, OIFM, OJAI, OLBA, OOMS, OTHH, OTBD, OEJN, OEMA, OEDF, OERK, HSSS, HSPN, OMAA, OMAL, OMAD, OMDW, OMDB, OMSJ, OMRK and	Indicator*: % of International Aerodromes with CCO implemented as required.  Supporting Metric: Number of International Aerodromes with CCO implemented as required.  *As per the applicability area	100%	Dec 2021
APTA B0/7	Performance based aerodrome operating minima – Advanced aircraft	All States	Indicator: % of States authorizing Performance-based Aerodrome Operating Minima for Air operators operating Advanced aircraft.  Supporting Metric: Number of States authorizing Performance-based Aerodrome Operating Minima for Air operators operating Advanced aircraft.	50%	Dec 2021
FRTO					

Element		Applicability	Applicability Performance Indicators/ Supporting Metrics		Timelines			
FRTO B0/2	Airspace planning and Flexible Use of Airspace (FUA)	Bahrain, Egypt, Jordan, Qatar, Saudi Arabia (2 ACCs), Sudan, UAE	Indicator*: % of ACCs using and implementing appropriate means (procedures and tools (automation)) to support Airspace planning and FUA and improve data exchange between Civil and Military to improve efficiency of Airspace.					
			Supporting metric: Number of ACCs using and implementing appropriate means (procedures and tools (automation)) to support Airspace planning and FUA and improve data exchange between Civil and Military to improve efficiency of Airspace.  * As per the applicability area	50%	Dec 2022			
FRTO B0/4	Basic conflict detection and conformance monitoring	Bahrain, Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia (2 ACCs), Sudan, UAE	Indicator*: % States that implemented MTCD and MONA, for ACCs, as required.  Supporting metric: The number of States that implemented MTCD and MONA for ACCs, as required.  * As per the applicability area	70%	Dec 2021			
NOPS								
NOPS B0/1	Initial integration of collaborative airspace management with air traffic flow management	Bahrain, Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia, Sudan, UAE	Indicator*: % of States implementing ASM/ATFM techniques, procedures and tools for the initial establishment of an integrated collaborative airspace management and air traffic flow and capacity management process  Supporting metric: number of States implementing ASM/ATFM techniques, procedures and tools for the initial establishment of an integrated collaborative airspace management and air traffic flow and capacity management process.  * As per the applicability area	50%	Dec 2022			
ACAS								
ACAS B1/1	ACAS Improvements Operational	All States	Indicator: % of States requiring carriage of ACAS (TCAS v 7.1) for aircraft with a max certificated take-off mass greater than 5.7 tons  Supporting metric: Number of States requiring carriage of ACAS (TCAS v 7.1) for aircraft with a max certificated take-off mass greater than 5.7 tons	100%	Dec 2017			
SNET								

Element		Applicability Performance Indicators/ Supporting Metrics		Targets	Timelines
SNET B0/1	Short Term Conflict Alert (STCA)  Bahrain, Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia, Sudan, UAE		Indicator*: % of States that have implemented Short-term conflict alert (STCA)  Supporting metric: number of States that have implemented Short-term conflict alert (STCA)	80 %	Dec 2018
			* As per the applicability area		
SNET B0/2	Minimum Safe Altitude Warning (MSAW)	Bahrain, Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia, Sudan, Syria, UAE	Indicator*: % of States that have implemented Minimum safe altitude warning (MSAW)  Supporting metric: number of States that have implemented Minimum safe altitude warning (MSAW)	80 %	Dec 2018
SNET B0/3	Area Proximity Warning (APW)	Bahrain, Egypt, Iran, Iraq, Kuwait, Jordan, Lebanon, Oman, Qatar, Saudi Arabia, Sudan, UAE	* As per the applicability area  Indicator*: % of States that have implemented Area Proximity Warning (APW) for ACCs, as required  Supporting metric: number of States that have Implemented Area Proximity Warning (APW) for ACCs, as required  * As per the applicability area	70%	Dec 2021
GADS					
GADS B1/2	Contact directory service	All States	Indicator: % of States that provided GADSS Point of Contact (PoC) information  Supporting Metric: Number of States that provided GADSS Point of Contact (PoC) information	100%	Dec 2021
RSEQ					
RSEQ B0/1	Arrival Management	OBBI, HECA, HEBA, HELX, HESN, HESH, OTBD, OTHH, OEJN, OEDF, OEMA, OERK OMDB, OMAA	Indicator*: % of Aerodromes that have implemented arrival manager (AMAN), where required/applicable  Supporting Metric: Number of Aerodrome that have implemented arrival manager (AMAN), where required/applicable  * As per the applicability area	80%	Dec 2022
SURF					
SURF-B0/1	Basic ATCO tools to manage traffic during ground operations	All International Aerodromes	Indicator: % of Aerodromes having implemented Basic ATCO tools to manage traffic during ground operations  Supporting metric: Number of Aerodromes having implemented Basic ATCO tools to manage traffic during ground operations	100%	Dec 2021
SURF-B0/2	Comprehensive situational awareness of	OBBI, HECA, OIII, OOMS, OTBD, OTHH,	Indicator*: % of Airports having implemented the surveillance service of A-SMGCS	80%	Dec 2021

Element		Applicability	Performance Indicators/ Supporting Metrics	Targets	Timelines
	surface operations	OEDF, OEJN, OERK, OEMA, OMDB, OMAA.	Supporting metric: Number of Airports having implemented the surveillance service of A-SMGCS  * As per the applicability area		
SURF-B0/3	Initial ATCO alerting service for surface operations	OBBI, HECA, OIII, OOMS, OTBD, OTHH, OEDF, OEJN, OERK, OEMA, OMDB, OMAA.	Indicator*: % of Airports having implemented the A-SMGCS alerting service.  Supporting metric: Number of Airports having implemented the A-SMGCS alerting service  * As per the applicability area	80%	Dec 2021
ACDM					
ACDM B0/1	Airport CDM Information Sharing (ACIS)	OBBI, OIII, OKBK, OOMS, OTHH, OEJN, OERK, OMDB, OMAA	Indicator*: % of Airports having implemented ACIS  Supporting metric: number of Airports having implemented ACIS  * As per the applicability area	50%	Dec 2021
ACDM B0/2	Integration with ATM Network function	OBBI, OIII, OKBK, OOMS, OTHH, OEJN, OERK, OMDB, OMAA.	Indicator*: % of Airports having integrated ACDM with the ATM Network function.  Supporting metric: Number of Airports having integrated ACDM with the ATM Network function  * As per the applicability area	50%	Dec 2022
ACDM B1/1	Airport Operations Plan (AOP)	OBBI, OIII, OKBK, OOMS, OTHH, OEJN, OERK, OMDB, OMAA.	Indicator*: % of Airports having implemented an Airport Operations Plan (AOP)  Supporting metric: having implemented an Airport Operations Plan (AOP)  * As per the applicability area	50%	Dec 2021
Technology T	hreads				
ASUR					
ASUR B0/1	Automatic Dependent Surveillance – Broadcast (ADS-B)	(Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Saudi Arabia, Qatar, Sudan, UAE)	Indicator*: % of States that have implemented ADS-B to improve surveillance coverage/capabilities  Supporting Metric: Number of States that have implemented ADS-B to improve surveillance coverage/capabilities  * As per the applicability area	80%	Dec 2022
ASUR B0/2	Multilateration cooperative surveillance	Bahrain, Egypt, Jordan, Kuwait, Oman, Saudi	Indicator*: % of States that have implemented Multi-lateration (M-LAT)	80%	Dec 2022

Element		Applicability	Performance Indicators/ Supporting Metrics	Targets	Timelines
	systems (MLAT)	Arabia, Qatar, UAE	Supporting Metric: Number of States that have implemented Multi-lateration (M-LAT)		
ASUR B0/3	Cooperative Surveillance Radar Downlink of Aircraft Parameters (SSR-DAPS)	Bahrain, Egypt, Iran, Iraq, Kuwait, Lebanon, Jordan, Oman, Qatar, Saudi Arabia, Sudan and UAE	* As per the applicability area  Indicator*: % of States that have implemented Downlink of Aircraft Parameters (SSR-DAPS)  Supporting Metric: Number of States that have implemented Downlink of Aircraft Parameters (SSR-DAPS)  * As per the applicability area	80%	Dec 2021
NAVS					
NAVS B0/3	Aircraft Based Augmentation Systems (ABAS)	All States	Indicator: % of States requiring Aircraft Based Augmentation System (ABAS) equipage for aircraft with a max certificated take-off mass greater than 5,700 Kg to enable PBN Operations  Supporting metric: Number of States requiring Aircraft Based Augmentation System (ABAS) equipage for aircraft with a max certificated take-off mass greater than 5,700 Kg to enable PBN Operations  Indicator: % of States that have	70%	Dec 2021
NAV3 B0/4	Minimal Operating Networks (Nav. MON)	All States	developed a plan of rationalized conventional NAVAIDS network to ensure the necessary levels of resilience for navigation  Supporting metric: Number of States that have developed a plan of rationalized conventional NAVAIDS network to ensure the necessary levels of resilience for navigation	70%	Dec 2022
COMI					
COMI B0/7	ATS Message Handling System (AMHS)	All States	Indicator: % of States that have established AMHS interconnections with adjacent COM Centres  Supporting metric: Number of States that have established AMHS interconnections with adjacent COM Centres	90%	Dec 2020
COMI B1/1	Ground-Ground Aeronautical Telecommunica tion Network/Interne t Protocol Suite (ATN/IPS)	All States	Indicator: % of States that have established National IP Network for voice and data communication  Supporting metric: Number of States that have established National IP Network for voice and data communication	80%	Dec 2021

#### PART II: PERFORMANCE MONITORING OF THE AIR NAVIGATION SYSTEM

#### 1. Introduction

The Performance-Based Approach (PBA) is a decision-making method based on three principles: strong focus on desired/required results; informed decision-making driven by those desired/required results; and reliance on facts and data for decision-making. The PBA is a way of organizing the performance management process.

The Thirteenth Air Navigation Conference recommended that the planning and implementation regional groups (PIRGs) embrace a performance-based approach for implementation and adopt the six-step performance management process, as described in the Manual on Global Performance of the Air Navigation System (Doc 9883), Recommendation 4.3/1 — Improving the performance of the air navigation system refers.

Doc 9883 outlines the general sequence of steps in the performance management process that States should implement as follow:

#### Step 1: define/review scope, context and general ambitions/expectations.

The purpose of Step 1 is to reach a common agreement on the scope and context of the "system" on which the performance management process will be applied, as well as a common view on the general nature of the expected performance improvements. An important part of the PBA is the development of cause-effect relationships between these technical performance characteristics and the selected higher level KPAs from the eleven key performance areas (KPAs) as identified in the Global Air Traffic Management Operational Concept (Doc 9854).

#### Step 2: Identify opportunities, issues and set (new) objectives

Based on the scope, context and general ambitions/expectations which were agreed to during the previous step, the system should be analysed in order to develop an inventory of present and future opportunities and issues (weaknesses, threats) that may require performance management attention. This part of the process is generally known as the SWOT (strengths, weaknesses, opportunities and threats) analysis.

#### Step 3: Quantify objectives

During this step, the current/past performance (Perfromance Baseline), expected future performance, as well as actual progress in achieving performance objectives is quantitatively expressed by means of Key Performance Indicators (KPIs). Performance targets (KPAs) are closely associated with performance indicators (KPIs) as they represent the values of performance indicators that need to be reached or exceeded to consider a performance objective as being fully achieved. The objectives in PBA should be specific, measurable, achievable, relevant and time-bound (SMART). The difference between the baseline and the target is called the performance gap.

#### Step 4: Select solutions to exploit opportunities and resolve issues

This is the part of the process where decision-makers need to know their options for mitigating pre-identified issues and therefore to exploit available opportunities. The solution might be ASBU or non-ASBU solution. Depending on the nature of the project, the output of this process step is either a single preferred solution or a road map of selected solutions. In any case, decision-makers need to gain a good understanding of the strategic fit, the benefits, cost and feasibility of each option for operational improvement.

#### **Step 5: Implement solutions**

Step 5 is the execution phase of the performance management process. This is where the changes and improvements that were decided upon during the previous step are organized into detailed plans, implemented, and begin delivering benefits.

#### **Step 6: Assess achievement of objectives**

The purpose of Step 6 is to continuously keep track of performance and monitor whether performance gaps are being closed as planned and expected. First and foremost, this implies data collection to populate the supporting metrics with the data needed to calculate the performance indicators. The indicators are then compared with the targets defined during Step 3 to draw conclusions on the speed of progress in achieving the objectives.

#### 2. MID Air Navigation Key Performance Indicators (KPIs)

Data collection, processing, storage and reporting are fundamental to the performance-based approach. The data can be captured by automatic means and forwarded in electronic form with little or no human intervention or manually reported that requires human effort to collect, interpret, analyse, structure and otherwise prepare the data for reporting.

The 6th edition of the Global Air Navigation Plan (GANP, Doc 9750) includes 19 key performance indicators (KPIs) for States' adoption to facilitate the performance-based approach and management to improve air traffic management (ATM) operations. An overview of ICAO KPIs is at https://www4.icao.int/ganpportal/ASBU/KPI. In the MID Region, an initial set of KPIs has been identified to be used for monitroing the performance of the Air Navigation System at National and Regional Levels, as in **Table 3**.



**Table 3. MID Air Navigation KPIs** 

KPI (KPAs)	Title / Definition	Measureme nt Units	Variants	Data Requirement	Formula / Algorithm	Timeframe
KPI 01 (predicta bility)	Departure punctuality Percentage of flights departing from the gate on-time (compared to schedule).	% of flights	Variant 2A - % of departures within ± 15 minutes of scheduled time of departure	For each departing scheduled flight:  - List of all IFR scheduled departure for each international aerodrome  - Scheduled time of departure (STD) or Scheduled off-block time (SOBT)  - Actual off-block time (AOBT)	At the level of individual flights:  1. Exclude non-scheduled departures  2. Categorize each scheduled departure as on-time or not  At aggregated/National level:  3. Compute the KPI: number of on-time departures divided by total number of IFR scheduled departures	1 month (June 2021)
KPI 02  (Efficien cy  Environ mental Impact)	Taxi-out additional time Actual taxi-out time compared to an unimpeded/refer ence taxi-out time.	Excess taxi- out time in Minutes/flight	Variant 1 – basic (computed without departure gate and runway data)	For each departing flight: -List of all IFR departures for each international aerodrome - Actual off-block time (AOBT) - Actual take-off time (ATOT)	At the level of individual flights:  1. Select departing flights, exclude helicopters  2. Compute actual taxi-out duration: ATOT minus  AOBT  3. Compute additional taxi-out time: actual taxi-out duration minus unimpeded/reference taxi-out time  At aggregated/National level:  4. Compute the KPI: sum of additional taxi-out times divided by number of IFR departures	1 month (June 2021)
KPI 13  (Efficien cy  Environ mental Impact	Taxi-in additional time Actual taxi-in time compared to an unimpeded/refer ence taxi-in time	Excess taxi-in time in Minutes/flight	Variant 1 – basic (computed without landing runway and arrival gate data)	For each arriving flight: - List of all IFR scheduled Arrivals for each international aerodrome - Actual landing time (ALDT) - Actual in-block time (AIBT)	At the level of individual flights:  1. Select arriving flights, exclude helicopters  2. Compute actual taxi-in duration: AIBT minus ALDT  3. Compute additional taxi-in time: actual taxi-in duration minus unimpeded/reference taxi-in time  At aggregated/National level:  4. Compute the KPI: sum of additional taxi-in times divided by number of IFR arrivals	1 month (July 2021)
KPI 14 (predicta bility)	Arrival punctuality Percentage of flights arriving at the gate on- time (compared to schedule)	% of flights	Variant 2A – % of arrivals within ± 15 minutes of scheduled time of arrival	For each arriving scheduled flight: - List of all IFR scheduled arrival for each international aerodrome - Scheduled time of arrival (STA) or Scheduled in-block time (SIBT) - Actual in-block time (AIBT)	At the level of individual flights:  1. Exclude non-scheduled arrivals  2. Categorize each scheduled arrival as on-time or not  At aggregated/National level:  3. Compute the KPI: number of on-time arrivals divided by total number of scheduled arrivals	1 month (July 2021)

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### MID Region AIDC/OLDI Applicability Area (Priority 1 and 2 for Implementation) As of July 2018

ACC	Adjacent ACCs							
Amman	Cairo (1)	Baghdad (2)	Damascus (2)	Jeddah (1)	Tel A	Tel Aviv (2)		
Baghdad	Amman (2)	Ankara (1)	Damascus (2)	Jeddah (2)	Tehran (2)	Kuwait (1)		
Bahrain	Doha (1)	Emirates (1)	Jeddah (1)	Kuwait (1)	Riyadh (1)	Tehran (2) AFTN MSG	Dammam(2)	
Beirut	Damas	scus (2)	Nicosia (1)					
Cairo	Amman (1)	Athena (2)	Jeddah (1)	Khartoum (1)	Nicosia (1)	Tel Aviv (2)	Tripoli (2)	
Damascus	Amman (2)	Ankara (2)	Bagdad (2)	Beirut (2)	Nicosia (2)			
Doha*	Bahrain (1)	Emirates (1)	Jeddah (2)	Riyadh (2)				
Emirates	Bahrain (1)	Doha (1)	Jeddah (1)	Muscat (1)	Tehran (2) AFTN MSG			
Jeddah	Amman (1)	Asmara (2)	Baghdad (2)	Bahrain (1)	Cairo (1)	Doha (2)	Emirates (1)	
Jeuuan	Khartoum (1)	Kuwait (2)	Muscat (1)	Riyadh (1)	Cano (1)	Sana'a (2)		
Riyadh	Bahrain (1)	Doha (2)	Kuwait (2)	Jeddah (1)				
Khartoum	Addis (1)	Asmara (2)	Brazzaville (2)	Cairo (1)	Entebbe (2)	Jeddah (1)	Juba (1)	
Knartoum	Kinshasa (2)	N'Djamena (2)	Nairobi (2)	Tripoli (2)				
Kuwait	Baghdad (1)	Bahrain (1)	Jeddah (2)	Tehran (2)				
Muscat	Emirates (1)	Jeddah (1)	Karachi (2)	Mumbai (1)	Sana'a (2)	Tehran (1)		
Sana'a	Djibouti (Addis Ababa)	Asmara (2)	Jeddah (2)	Mogadishu (2)	Mumbai (2)	Muscat (2)		
Tehran	Ankara (1)	Ashgabat (2)	Baghdad (2)	Bahrain (1)	Baku (2)	Emirates (2) AFTN MSG	Kabul (2)	
	Karachi (1)	Kuwait (2)	Muscat (1)	Yerevan (2)				
Tripoli	Algiers (2)	Cairo (2)	Khartoum (2)	Malta (2)	N'Djamena (2)	Niamey (2)	Tunis (2)	

<sup>(1) =</sup> Priority 1 for implementation based on the number of traffic movements and/or operational needs (Green color means already implemented)

<sup>(2)</sup> = Priority 2 for implementation based on the number of traffic movements or if other solution is in place such as exchange of information via AFTN