



*International Civil Aviation Organization*

**Middle East Air Navigation Planning and  
Implementation Regional Group**

**Sixteenth Meeting (MIDANPIRG/16)  
(Kuwait, 13 – 16 February 2017)**

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**Agenda Item 5.2.2: Specific Air Navigation Issues**

**AERONAUTICAL INFORMATION MANAGEMENT**

*(Presented by the Secretariat)*

**SUMMARY**

This paper presents AIM issues through the review of the outcome of AIM SG/2 meeting.

Action by the meeting is at paragraph 3.

**REFERENCES**

- AIM SG/2 Report
- ANSIG/2 Report
- MSG/5 Report

**1. INTRODUCTION**

1.1 The Second Meeting of the AIM Sub-Group (AIM SG/2) was held in Kish Island, Iran, from 31 August to 2 September 2015. The meeting was attended by a total of thirty six (36) participants from seven (7) States (Egypt, Iran, Kuwait, Lebanon, Oman, Sudan and United Arab Emirates) and two (2) International Organizations (IATA and IFAIMA). The meeting agreed on seven (7) Draft Conclusions and one (1) Draft Decision.

**2. DISCUSSION**

***National AIM Implementation Roadmap***

2.1 The meeting may wish to recall that the MSG/4 meeting invited States to take into consideration the “*MID Region AIM implementation Roadmap*” in planning for the transition from AIS to AIM in a prioritized manner; and to provide the ICAO MID Regional Office with their National AIM Implementation Roadmap using the National AIM Implementation Roadmap Template at **Appendix A**.

2.2 The meeting may wish to note that twelve (12) States (Bahrain, Egypt, Iran, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia, Sudan and UAE) have provided their National AIM Implementation Roadmaps. The meeting may wish to recall that the MSG/5 meeting recognized that the “National AIM Implementation Template” was a useful tool to support States in the development of their National AIM Implementation Roadmaps.

2.3 The meeting may wish to note that the AIM SG/2 meeting reviewed the “*MID Region AIM implementation Roadmap*” endorsed by the MSG/4 meeting as at **Appendix B** and agreed that it is still current and valid. The MSG/5 meeting, through MSG Conclusion 5/10, urged States to take into consideration the “MID Region AIM implementation Roadmap” in planning for the transition from AIS to AIM in a prioritized manner; and provide their updated National Implementation Roadmap on an annual basis (by end of December) using the Roadmap Template.

***Guidance for AIM Planning and Implementation in the MID Region***

2.4 The meeting may wish to note that, in order to support AIM Planning and Implementation in the MID Region, the ICAO MID Office developed a draft Guidance Material on the AIM Implementation: “*Guidance for AIM Planning and implementation in the MID Region*”. The Document explains concept and operational elements of AIM; outlines the Regional and National AIM planning (Roadmaps); and provides guidance and tools for their implementation at the Regional and National levels.

2.5 The meeting may wish to note that the MSG/5 meeting urged States to review the draft “Guidance for AIM Planning and implementation in the MID Region”, and provide the ICAO MID Regional Office with their comments/inputs, including their needs/expectations and best practices/success stories, before 15 September 2016, for the development of the final version to be presented to MIDANPIRG/16 for endorsement. The Final version of the “Guidance for AIM Planning and implementation in the MID Region”, consolidated considering States’ comments/inputs, is at **Appendix C**.

2.6 Based on the above, the following Draft Conclusion is proposed:

<b>Why</b>	To provide guidance and tools for AIM planning and implementation at the Regional and National levels
<b>What</b>	a) Guidance for AIM Planning and Implementation in the MID Region. b) State Letter
<b>Who</b>	MIDANPIRG/16 States
<b>When</b>	a) February 2017 b) March 2017

***DRAFT CONCLUSION 16/XX: GUIDANCE FOR AIM PLANNING AND IMPLEMENTATION IN THE MID REGION***

*That,*

- a) *the Guidance for AIM Planning and Implementation in the MID Region is endorsed as MID Doc 008; and*
- b) *States be encouraged to use the MID Doc 008 in their AIM planning and implementation.*

***AIRAC adherence monitoring***

2.7 The meeting may wish to note that IATA raised concerns related to the occurrence of late publication of aeronautical information of operational significance and the non-adherence with the AIRAC provisions in the MID Region. Accordingly, the AIM SG/2 meeting agreed on the need for continuous monitoring of AIRAC adherence. In this respect, it was highlighted that the AIRAC adherence monitoring system should be part of the Quality Management System. In addition, the meeting underlined the need for the users/IATA to report to concerned State(s) and the ICAO MID Office any case of non-adherence to the AIRAC provisions.

2.8 In this connection, the ICAO MID Office issued State Letter Ref.: ME 3/2.5 – 15/332 dated 6 December 2015 urging States to report their status of the AIRAC adherence, using the AIRAC Adherence Monitoring Questionnaire at **Appendix D**. Nine (9) States (Bahrain, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia, Sudan and UAE) reported on their AIRAC adherence system.

2.9 Based on the above, the meeting is invited to endorse the following Draft Conclusion, emanated from the AIM SG/2 (Draft Conclusion 2/2):

<b>Why</b>	To monitor the AIRAC adherence
<b>What</b>	Report AIRAC non-adherence to ICAO MID Office on annual basis
<b>Who</b>	States and IATA
<b>When</b>	Annually

***DRAFT CONCLUSION 16/XX: AIRAC ADHERENCE MONITORING***

*That:*

- a) *States be urged to:*
- i. *implement a system for AIRAC adherence monitoring; and*
  - ii. *report on annual basis (by 31 December) to the ICAO MID Regional Office the case(s) of late publication of aeronautical information of operational significance and non-adherence to the AIRAC provisions, using the AIRAC Adherence Monitoring Questionnaire at **Appendix XX**.*
- b) *IATA report to the concerned State(s) and the ICAO MID Regional Office any case of late publication of aeronautical information of operational significance and non-adherence to the AIRAC provisions.*

***Interregional Seminar on “Service improvement through integration of digital AIM, MET and ATM Information”***

2.10 The meeting may wish to recall that the Fourth Inter-Regional Coordination meeting between APAC, EUR/NAT and MID (IRCM/4) which was held in Bangkok, Thailand from 14 to 16 September 2015, agreed that an Interregional Seminar be held jointly between the APAC, EUR/NAT and MID Regions on “*Service Improvement through Integration of Digital AIM, MET and ATM Information*” in 2017. The objective of the Seminar will be to review implementation status of the ASBU Block 0 Modules of the PIA 2 (i.e. B0-DATM, B0-AMET and B0-FICE) and associated

challenges/lessons learned and to focus on the pre-requisites for an efficient and timely planning for the implementation of the Block 1 Modules related to SWIM (B1-DATM, B1-AMET, B1-SWIM and B1-FICE).

2.11 The meeting may wish to note that the MSG/5 meeting, through MSG Conclusion 5/11, encouraged States to participate in the Seminar.

2.12 The meeting may wish to note that the Seminar is planned to be held in EUROCONTROL, Brussels, Belgium, 2 - 5 October 2017.

### ***PBN Charting***

2.13 The meeting may wish to note that the Amendment 6 to the *Procedures for Air Navigation Services — Aircraft Operations* (PANS-OPS, DOC 8168) introduced a change to the approach charts by introducing the “PBN Requirements Box” and a change in chart identifications for performance-based navigation (PBN) approaches (transition from RNAV to RNP approach chart identification).

2.14 The meeting may wish to recall that the PBN SG/2 meeting (Sharm El Sheikh, Egypt, 22-25 February 2016) noted that the inconsistencies between the aeronautical charts, PBN operational approvals and avionics displays have created confusion for pilots and air traffic controllers. It was highlighted that any approach using GNSS is in fact an RNP approach due to the requirement for on-board monitoring and alerting. Consequently, as part of PBN procedures naming convention, only the term RNP will be permitted instead of RNAV (GNSS) and/or RNAV (GPS); and RNP (AR) instead of RNAV (RNP), as of **1 December 2022**.

2.15 ICAO Circular 336, *Area Navigation (RNAV) to Required Navigation Performance (RNP) Instrument Approach Chart Depiction*, was issued in support of Amendment 6 to provide guidance on the change, in particular managing the risks involved during the transition period. However, since adoption of Amendment 6, concerns have been raised regarding the implementation of this change. The attention of the 39th Session of the Assembly was drawn to these concerns, and ICAO was encouraged to update the guidance available and develop a regionally coordinated transition plan to support the effective rollout of the change.

2.16 It is to be noted that, in order to address concerns related particularly to transition arrangements and potential confusion for operators being faced with variations in chart titling during the transition period, Circular 336 is to be replaced by new guidance material. This new material, which will also use feedback provided by early adopting States, will contain a redesigned risk assessment and extensive guidance for transition planning covering key considerations such as consultation, communication planning and impact assessment.

2.17 The new transition planning guidance material will emphasize the need for connection and synchronization between global, regional and State transition planning. The guidance is expected to be available in summer 2017. Until the guidance and regional transition plans are available, ICAO, through the electronic bulletin Ref.: EB 2017/05 dated 6 January 2017, recommended:

- a) States that have already started implementing the chart naming provisions of Amendment 6 to Doc 8168 should not revert back to the old names, but should not continue further implementation until this can be coordinated with the regional transition plan; and
- b) States planning their implementation of Amendment 6 should wait for the development of the regional transition plan which will be based on the improved guidance material replacing Circular 336.

**3. ACTION BY THE MEETING**

3.1 The meeting is invited to:

- a) endorse, as appropriate, the proposed Draft Conclusions;
- b) invite States, Organizations and Industry to actively participate in the Interregional Seminar on “Service Improvement through Integration of Digital AIM, MET and ATM Information Services” (Brussels, Belgium, 2-5 October 2017); and
- c) invite States to follow the recommendations related to PBN Charting at Para. 2.17.

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## APPENDIX A

## NATIONAL AIM IMPLEMENTATION ROADMAP TEMPLATE

Phase/Step	Step No.	Timeline												Start	End	Remarks	
		2014			2015			2016			2017						2018
<b>Phase I</b>																	
AIRAC adherence	P-03																
WGS-84 implementation	P-05																
QMS	P-17																
<b>Phase II</b>																	
Data Quality Monitoring	P-01																
Data Integrity Monitoring	P-02																
AIXM	P-06																
Unique identifiers	P-07																
Aeronautical information conceptual model	P-08																
eAIP	P-11																
Terrain A-1	P-13																
Obstacle A-1	P-14																
Terrain A-4	P-13																
Obstacle A-4	P-14																
Terrain A-2	P-13																Please specify implementation of Area 2a, 2b, 2c and/or 2d
Obstacle A-2	P-14																Please specify implementation of

Phase/Step	Step No.	Timeline												Start	End	Remarks			
		2014			2015			2016			2017						2018		
																			Area 2a, 2b, 2c and/or 2d
Terrain A-3	P-13																		
Obstacle A-3	P-14																		
AD Mapping	P-15																		
<b>Phase III</b>																			
Aeronautical data exchange	P-09																		
Communication networks	P-10																		
Aeronautical information briefing	P-12																		
Training	P-16																		
Agreement with data originators	P-18																		
Interoperability with meteorological products	P-19																		
Electronic aeronautical charts	P-20																		
Digital NOTAM	P-21																		

<b>Legend</b>		Not Started
		In Progress
		Implemented

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## MID REGION AIM IMPLEMENTATION ROADMAP FOR THE TRANSITION FROM AIS TO AIM

	2014				2015				2016				2017				2018				Priority	Remarks
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4		
AIXM																					1	The target is to have 60% by 2015, 80% by 2017 and 100% by 2019
eAIP																					1	The target is to have 60% by 2016, 80% by 2018 and 100% by 2020
Terrain A-1																					2	The target is to have 50% by 2015, 70% by 2018
Obstacle A-1																					2	The target is to have 40% by 2015, 60% by 2018
Terrain A-4																					2	The target is to have 50% by 2015, 100% by 2018
Obstacle A-4																					2	The target is to have 50% by 2015, 100% by 2018
Terrain A-2a																					3	The target is to have 30% by 2017, 50% by 2018
Obstacle A-2a																					3	The target is to have 30% by 2017, 50% by 2018
Data Quality Monitoring																					3	Target for 2018: To be implemented by 50% of the States that have implemented QMS at least for the segment originator-AIS (excluding the segment AIS-End user)
Data Integrity Monitoring																					3	
Agreement with data originators																					3	Target for 2018: 50% of the States that have implemented QMS
Terrain and Obstacle for Areas 2b, 2c, 2d and 3																					4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs
Aerodrome Mapping																					4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs

*White: Not started**Yellow: Initial Target**Orange: Intermediate Target**Green: Target for full implementation*



INTERNATIONAL CIVIL AVIATION ORGANIZATION

MIDDLE EAST AIR NAVIGATION PLANNING  
AND IMPLEMENTATION REGIONAL GROUP  
(MIDANPIRG)

GUIDANCE FOR AIM PLANNING AND IMPLEMENTATION  
IN THE MID REGION

EDITION FEBRUARY, 2017

The designations employed and the presentation of material in this publication do not imply the expression of any opinion whatsoever on the part of ICAO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontier or boundaries.



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## FOREWARD

The “Guidance for AIM Planning and Implementation in the MID Region” has been developed to harmonize Transition from AIS to AIM in the MID Region and to addresses Global and Regional issues related to planning and implementation of Aeronautical Information Management. This Regional AIM Guidance material explains concept and operational elements of AIM; outlines the Regional and National AIM Roadmaps; and provides guidance and tools for their implementation at the Regional and National levels.

This Document consolidates updates and supersedes all previous guidance materials on the AIM implementation in the MID Region (National AIM Roadmap Template, Regional AIM Roadmap, etc.). The “Guidance for AIM Planning and Implementation in the MID Region” will be reviewed and updated, whenever deemed necessary, by the AIM Sub-Group.

First edition of the Document, consolidated by the ICAO MID Regional Office, was endorsed by **MIDAPIRG/16 (Kuwait, 13-16 February 2017)**.

The Document was prepared in accordance with ICAO provisions related to AIM, the Global Air Navigation Plan, Aviation System Block Upgrades (ASBU) methodology, MID Region Air Navigation Plan and the MID Region Air Navigation Strategy, in addition to the twelfth Air Navigation Conference (AN-Conf/12) Recommendation 3/8 related to AIM. States are invited to take necessary measures to implement provisions of this document and notify their experiences and practices related to transition from AIS to AIM.

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## Abbreviations and Acronyms

The abbreviations and acronyms used in this document along with their expansions are given in the following List:

AI	Aeronautical Information
AICM	Aeronautical Information Conceptual Model
AIP	Aeronautical Information Publication
AIRAC	Aeronautical Information Regulation and Control
AIS	Aeronautical Information Services
AIS-AIM SG	AIS to AIM Study Group
AIM	Aeronautical Information Management
AIM SG	Aeronautical Information Management Sub-Group
AIXM	Aeronautical Information Exchange Model
AN-Conf/11	Eleventh Air Navigation Conference
AN-Conf/12	Twelfth Air Navigation Conference
ANP	Air Navigation Plan
ANSP	Air Navigations Services Provider
ASBU	Aviation System Block Upgrade
ATM	Air Traffic management
eAIP	electronic Aeronautical Information Publication
eANP	electronic Air Navigation Plan
eTOD	electronic Terrain and Obstacle Data
GANP	Global Air Navigation Plan
GANR	Global Air Navigation Report
GIS	Geographic Information System
GML	Geography Markup Language
IM	Information Management
IMP	Information Management Panel
ISO	International Organization for Standardization
MET	Meteorology
MIDAD	MID Region AIM Database
MIDANPIRG	Middle East Air Navigation Planning and Implementation Regional Group

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MIL	Military
MSG	MIDANPIRG Steering Group
PBN	Performance-Based Navigation
QMS	Quality Management System
RWY	Runway
SARPs	Standards and Recommended Practices
SMART	Specific, Measurable, Achievable, Relevant and Timely
SWIM	System Wide Information Management
TORs	Terms of Reference
UML	Unified Modeling Language
WGS-84	World Geodetic System-1984
XML	Extensible Markup Language

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## CHAPTER 1

### ICAO AIM CONCEPT

#### INTRODUCTION

1.1 The Eleventh Air Navigation Conference (AN-Conf/11) held in Montréal, 22 September to 3 October 2003, endorsed the Global ATM Operational Concept (Doc 9854) and recognized that, in the global air traffic management (ATM) system environment envisioned by the operational concept, aeronautical information service (AIS) would become one of the most valuable and important enabling services. As the global ATM system foreseen in the operational concept was based on a collaborative decision-making environment, the timely availability of high-quality and reliable electronic aeronautical, meteorological, airspace and flow management information would be necessary. Some recommendations of AN-Conf/11 addressed the importance of aeronautical information in particular.

1.2 Aeronautical Information Management (AIM) during its evolution has been defined as the provision of the right Aeronautical Information (quality assured), at the right place (through digital exchange), at the right time (timeliness). ICAO Annex 15 defines AIM as the dynamic, integrated management of aeronautical information through the provision and exchange of quality-assured digital aeronautical data in collaboration with all parties.

1.3 The Twelfth Air Navigation Conference (AN-Conf/12) held in Montréal, 19 to 30 November 2012, through Recommendation 3/8, supported and pushed:

- Transition from AIS to AIM by implementing a fully automated digital aeronautical data chain;
- Implementing necessary processes to ensure the quality of aeronautical data; and
- Engage in intraregional and interregional cooperation for an expeditious transition from AIS to AIM in a harmonized manner and to using digital data exchange and consider regional or subregional AIS databases as an enabler for the transition from AIS to AIM information from the origin to the end users

#### TRANSITION FROM AIS TO AIM

##### *ICAO Roadmap for the transition from AIS to AIM*

1.4 The aeronautical information/data based on paper and telex-based text messages can not satisfy anymore the requirements of the ATM integrated and interoperable system. AIS is required to evolve from the paper product-centric service to the data-centric aeronautical information management (AIM) with a different method of information provision and management.

1.5 ICAO published in 2009 the “*Roadmap for the transition from AIS to AIM*”. The changes foreseen are such that this development is being referred to as the transition from aeronautical information services (AIS) to aeronautical information management (AIM). It identifies the major milestones recommended for a uniform evolution across all regions of the world and specific steps that need to be achieved for implementation.

1.6 The Roadmap envisaged the transition into three phases and twenty one steps. Three phases of action are envisaged for States and ICAO to complete the transition to AIM:

– *Phase 1 — Consolidation*

Phase 1 is the pre-requisite for the transition from AIS to AIM (implementation of the current SARPs). In Phase 1, QMS implementation is still a challenge for some States.

– *Phase 2 — Going digital*

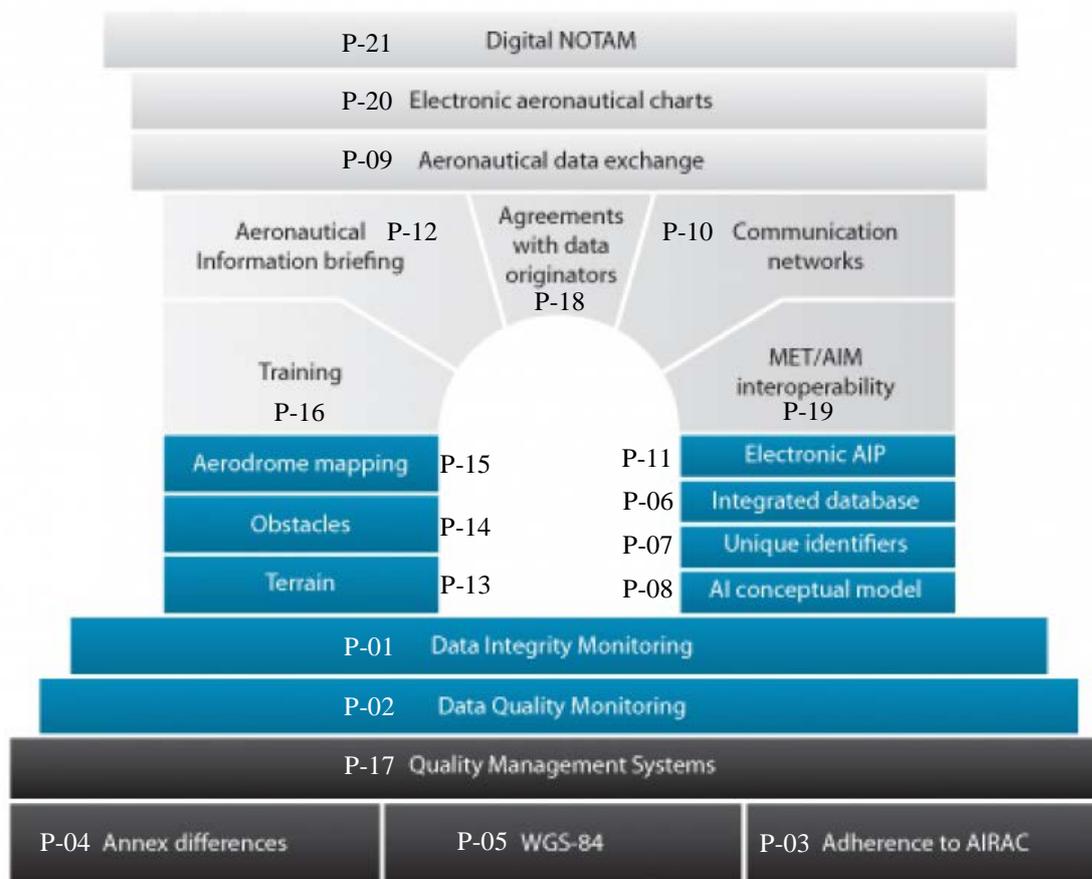
Main components of the Phase 2 are:

- Data-driven processes for the production of the current products;
- Introduction of structured digital data from databases into AIS/AIM processes;
- Introduction of highly structured databases and tools such as GIS;
- Electronic Terrain and Obstacle Datasets; and
- Implementation of aeronautical information conceptual model (AICM).

– *Phase 3 — Information management*

Main components of the Phase 3 are:

- Enabling AIM functions to address the new requirements of the Global ATM Operational Concept in a net-centric information environment;
- Transfer of information in the form of digital data based on the established databases; and
- Aeronautical data exchange model ensuring interoperability between all systems.



Positioning of the 21 steps of the roadmap in the three phases

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### ***AIS-AIM Study Group***

1.7 The Air Navigation Commission in 2008 agreed to the establishment of AIS-AIM SG in order to assist with the development of:

- A global strategy/roadmap for the transition from AIS to AIM;
- SARPs and guidance material related to the provision of a standard AICM and standard AIXM to enable the global exchange of data in digital format; and
- Other SARPs, guidance material and training material necessary to support AIM implementation.

1.8 Some achievements of the AIS-AIM Study Group are:

- ICAO Roadmap for transition from AIS to AIM;
- Amendments to Annex 15:
  - Amendment 36: New provisions related to the operational use of the public Internet; volcanic ash deposition; QMS; use of automation enabling digital data exchange; eAIP; NOTAM Format; and eTOD.
  - Amendment 37: Annex 15 restructuring; Chapter 1 (General), Chapter 2 (Responsibilities and functions) and Chapter 3 (Aeronautical Information Management) introduced in Nov 2014;
  - Amendment 40: Chapters 4 (Scope of AI and data), Chapter 5 (AI Products and services) and Chapter 6 (AI updates) instead of current Chapters 4-11 (in progress).
- Development of Aeronautical Data Catalogue (in progress)
- Development of PANS AIM (in progress)
- Development of Training Manual, Quality Manual, update of AIS Manual (Doc 8126) (in progress)

1.9 AIS-AIMSG/12 was the last AIS-AIMSG held in Montreal, Canada from 19 to 23 October 2015. Materials related to the AIS-AIM SG including the meetings' Study Notes, Information Papers and Summary of Discussions are available on the ICAO AIM website at:

<http://www.icao.int/safety/ais-aimsg/Pages/default.aspx>

### ***Information Management Panel (IMP)***

1.10 The Air Navigation Commission in 2014 agreed to the establishment of the Information Management Panel (IMP) to elaborate on necessary concepts and develop a global and interoperable approach to ensure effective management of information within the global air navigation system. The IMP will undertake tasks relating to the global transition from AIS to AIM, based upon Recommendations 3/1, 3/2, 3/3 and 3/9 of the Twelfth Air Navigation Conference in 2012 (AN-Conf/12).

1.11 Four (4) Working Groups were established to undertake tasks of the Panel:

- Information Services and NOTAM
- Information Architecture & Management

- SWIM Awareness & Communication
- SWIM Governance

1.12 Materials related to the IMP including the meetings' Working/Information Papers and Reports are available on the ICAO AIM website at:

<http://www.icao.int/airnavigation/IMP/Pages/default.aspx>

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**CHAPTER 2****REGIONAL AIM PLANNING*****MID REGION AIM IMPLEMENTATION ROADMAP***

2.2 Having Phase I of the transition from AIS to AIM mostly completed in the MID Region, the current focus should be the implementation of phase II of the Roadmap for the transition from AIS to AIM to prepare further transition to Phase III in a timely manner. Accordingly, States should take into consideration the “MID Region AIM Implementation Roadmap” in planning for the transition from AIS to AIM in a prioritized manner.

DRAFT

MID REGION AIM IMPLEMENTATION ROADMAP

	2014				2015				2016				2017				2018				Priority	Remarks
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4		
AIXM	Yellow	Orange	Green	Green	Green	Green	1	The target is to have 60% by 2015, 80% by 2017 and 100% by 2019														
eAIP	Yellow	Orange	1	The target is to have 60% by 2016, 70% by 2018 and 100% by 2020																		
Terrain A-1	Yellow	Orange	2	The target is to have 50% by 2015, 70% by 2018																		
Obstacle A-1	Yellow	Orange	2	The target is to have 40% by 2015, 60% by 2018																		
Terrain A-4	Yellow	Green	2	The target is to have 50% by 2015, 100% by 2018																		
Obstacle A-4	Yellow	Green	2	The target is to have 50% by 2015, 100% by 2018																		
Terrain A-2a	White	Yellow	Orange	Orange	Orange	Orange	3	The target is to have 30% by 2017, 50% by 2018														
Obstacle A-2a	White	Yellow	Orange	Orange	Orange	Orange	3	The target is to have 30% by 2017, 50% by 2018														
Data Quality Monitoring	Yellow	3	Target for 2018: To be implemented by 50% of the States that have implemented QMS at least for the segment originator-AIS (excluding the segment AIS-End user)																			
Data Integrity Monitoring	Yellow	3																				
Agreement with data originators	Yellow	3																				
Terrain and Obstacle for Areas 2b, 2c, 2d and 3	White	4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs																			
Aerodrome Mapping	White	4	Optional based on the States' decision to be reflected in the States' national Regulations and AIM National Plans, in accordance with operational needs																			

White: Not started    Yellow: Initial Target    Orange: Intermediate Target    Green: Target for full implementation

## CHAPTER 3

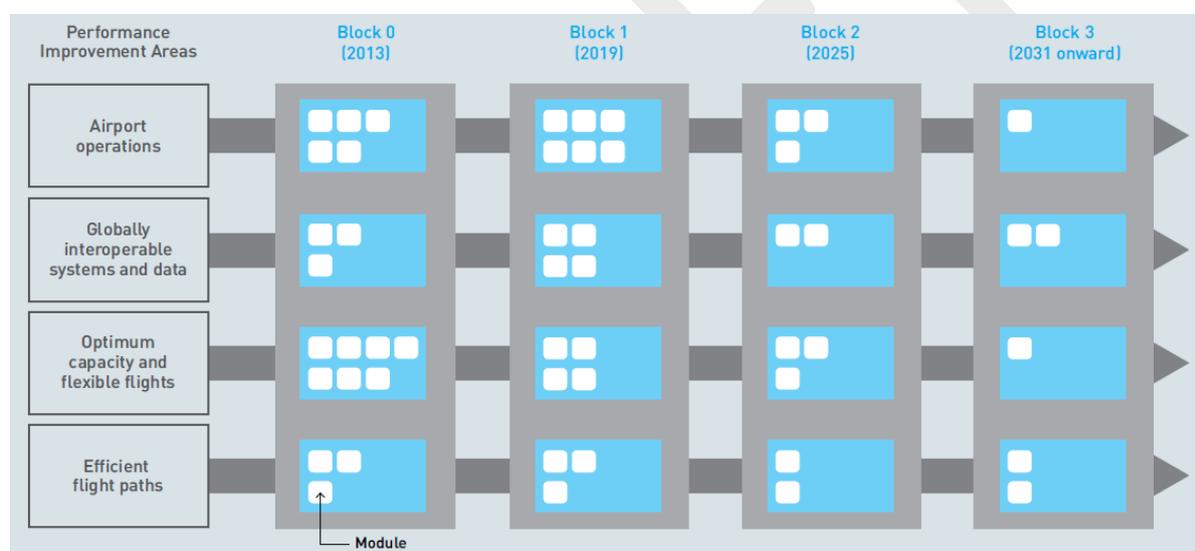
### ASBU METHODOLOGY AND THE MID AIR NAVIGATION STRATEGY (AIM/SWIM RELATED ASBU MODULES)

#### *ASBU METHODOLOGY*

3.1 ICAO introduced the Aviation System Block Upgrades (ASBU) methodology in the fourth edition of the Doc 9750 (Global Air Navigation Plan), endorsed by the ICAO Assembly in 2013, as a systemic manner to achieve a harmonized implementation of the air navigation services. An ASBU designates a set of improvements that can be implemented globally from a defined point in time to enhance the performance of the ATM system.

3.2 The GANP represents a rolling, 15-year strategic methodology which leverages existing technologies and anticipates future developments based on State/industry agreed operational objectives. The Block Upgrades are organized in six-year time increments starting in 2013 and continuing through 2031 and beyond.

3.3 ASBU methodology defines improvements, through modules, over four blocks in four performance improvements areas:



#### *MID REGION AIR NAVIGATION STRATEGY*

3.4 Revised MID Region Air Navigation Strategy (MID Doc 002) was endorsed by the MIDANPIRG/15 meeting to introduce Block 0 ASBU Modules implementation priorities, elements, indicators and targets for the MID Region. It recognizes 11 (out of 18) Block 0 Modules as priority 1 in the MID Region (for more information refer to the MID Doc 002 in the ICAO Secure Portal at: [https://portal.icao.int/RO\\_MID/Pages/MIDDocs.aspx](https://portal.icao.int/RO_MID/Pages/MIDDocs.aspx)).

#### *BLOCK 0 AIM RELATED MODULE*

##### *B0-DATM Implementation*

3.5 Block 0 contains 18 Modules and serves as the enabler and foundation for the envisioned future aviation systems. B0-DATM is a priority 1 ASBU Module in accordance with the

MID Region Air Navigation Strategy (MID Doc 002). MID Doc 002 defines the B0-DATM as follows:

### Description and purpose

The initial introduction of digital processing and management of information, through aeronautical information service (AIS)/aeronautical information management (AIM) implementation, use of aeronautical information exchange model (AIXM), migration to electronic aeronautical information publication (AIP) and better quality and availability of data.

### Main performance impact:

KPA- 01 – Access and Equity	KPA-02 – Capacity	KPA-04 – Efficiency	KPA-05 – Environment	KPA-10 – Safety
N	N	Y	Y	Y

### Applicability consideration:

Applicable at State level, with increased benefits as more States participate

<b>B0 – DATM: Service Improvement through Digital Aeronautical Information Management</b>			
<b>Elements</b>	<b>Applicability</b>	<b>Performance Indicators/Supporting Metrics</b>	<b>Targets</b>
National AIM Implementation Plan/Roadmap	<i>All States</i>	Indicator: % of States that have National AIM Implementation Plan/Roadmap  Supporting Metric: Number of States that have National AIM Implementation Plan/Roadmap	80% by Dec. 2016  90% by Dec. 2018
AIXM	<i>All States</i>	Indicator: % of States that have implemented an AIXM-based AIS database  Supporting Metric: Number of States that have implemented an AIXM-based AIS database	60% by Dec. 2015  80% by Dec. 2017  100% by Dec. 2019
eAIP	<i>All States</i>	Indicator: % of States that have implemented an IAID driven AIP Production (eAIP)  Supporting Metric: Number of States that have implemented an IAID driven AIP Production (eAIP)	60% by Dec. 2016  80% by Dec. 2018  100% by Dec. 2020
QMS	<i>All States</i>	Indicator: % of States that have implemented QMS for AIS/AIM  Supporting Metric: Number of States that have implemented QMS for AIS/AIM	70% by Dec. 2016  90% by Dec. 2018
WGS-84	<i>All States</i>	Indicator: % of States that have implemented WGS-84 for horizontal plan (ENR, Terminal, AD)  Supporting Metric: Number of States that have implemented WGS-84 for horizontal plan (ENR, Terminal, AD)  Indicator: % of States that have implemented WGS-84 Geoid Undulation  Supporting Metric: Number of States that have implemented WGS-84 Geoid Undulation	Horizontal: 100% by Dec. 2017  Vertical: 90% by Dec. 2018

eTOD	<i>All States</i>	<p>Indicator: % of States that have implemented required Terrain datasets</p> <p>Supporting Metric: Number of States that have implemented required Terrain datasets</p> <p>Indicator: % of States that have implemented required Obstacle datasets</p> <p>Supporting Metric: Number of States that have implemented required Obstacle datasets</p>	<p>Area 1 : Terrain: 50% by Dec. 2015, 70% by Dec. 2018 Obstacles: 40% by Dec. 2015, 60% by Dec. 2018</p> <p>Area 4: Terrain: 50% by Dec. 2015, 100% by Dec. 2018</p> <p>Obstacles: 50% by Dec. 2015, 100% by Dec. 2018</p>
Digital NOTAM*	<i>All States</i>	<p>Indicator: % of States that have included the implementation of Digital NOTAM into their National Plan for the transition from AIS to AIM</p> <p>Supporting Metric: Number of States that have included the implementation of Digital NOTAM into their National Plan for the transition from AIS to AIM</p>	<p>80% by Dec. 2016</p> <p>90% by Dec. 2018</p>

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### ***Aeronautical Information Exchange Model (AIXM)***

3.6 The aeronautical information exchange model (AIXM) is designed to enable the management and distribution of aeronautical information services data in digital format. AIXM takes advantages of established information engineering standards and supports current and future aeronautical information system requirements. The major tenets are:

- a) an exhaustive temporality model, including support for the temporary information contained in NOTAM;
- b) alignment with ISO standards for geospatial information, including the use of the geography markup language (GML);
- c) support for the latest ICAO and user requirements for aeronautical data including obstacles, terminal procedures and airport mapping databases; and
- d) modularity and extensibility.

3.7 AIXM covers the ICAO requirements for the “data necessary for the safety, regularity and efficiency of international air navigation”, existing industry standards (e.g. ARINC 424) and emerging data needs. It has constructs for: aerodromes, navigation aids, terminal procedures, airspace and route structures, ATM and related services, air traffic restrictions and other data.

3.8 AIXM has two components:

- a) The AIXM UML Model provides a formal description of the information.
- b) The AIXM XML Schemas are an encoding format for aeronautical data.

3.9 AIXM 5 takes advantages of established information engineering standards and supports current and future aeronautical information system requirements.

### ***electronic AIP (eAIP)***

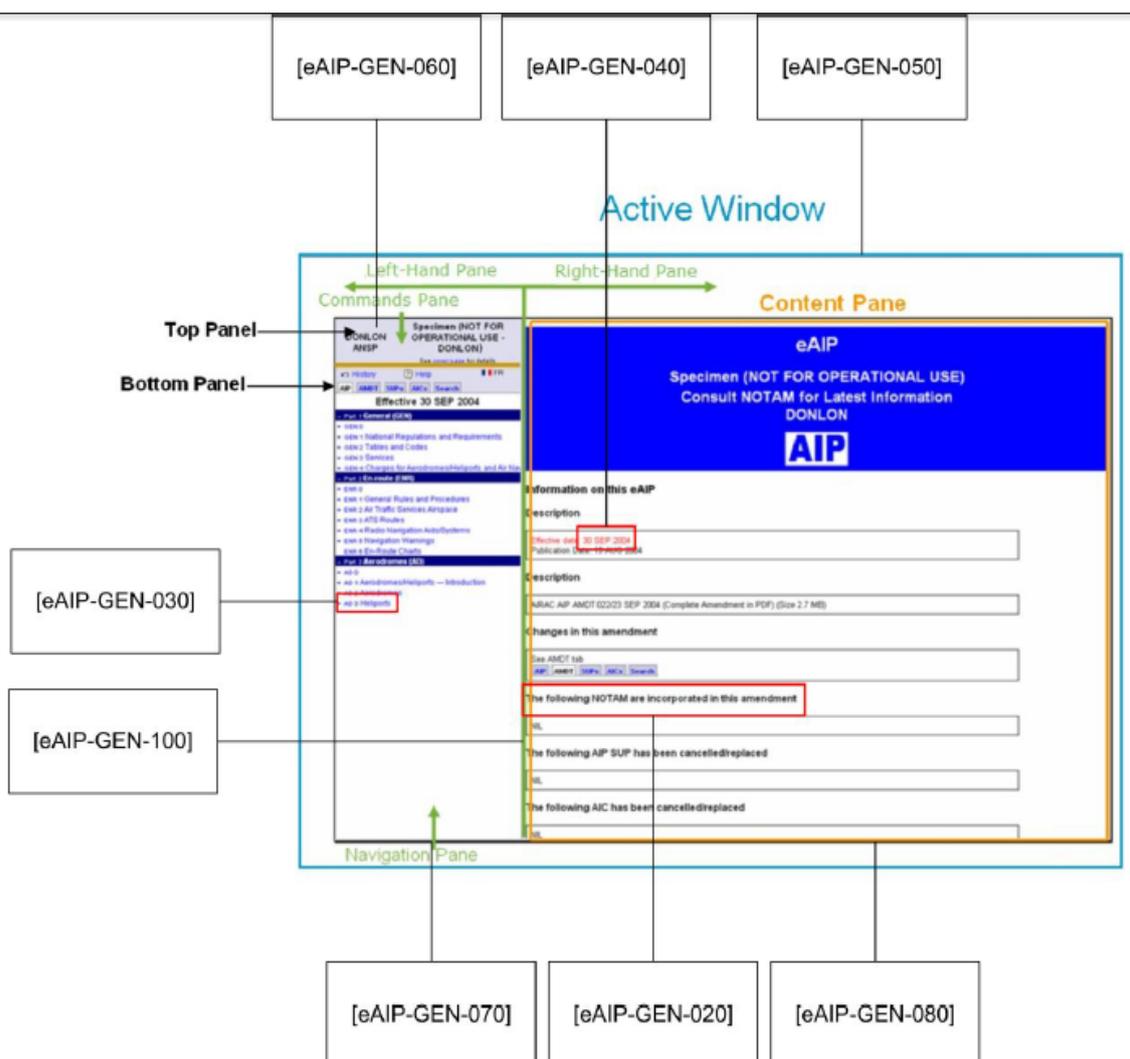
3.10 The AIP, AIP Amendment, AIP Supplement and AIC should also be published in a format that allows for displaying on a computer screen and printing on paper. When provided, the eAIP should be available on a physical distribution medium (CD, DVD, etc.) and/or online on the Internet. When provided, the information content of the eAIP and the structure of chapters, sections and sub-sections shall follow the content and structure of the paper AIP. The eAIP shall include files that allow for printing a paper AIP.

*Note 1 - This composite electronic document is named “Electronic AIP” (eAIP) and may be based on a format that allows for digital data exchange.*

*Note 2 - The eAIP is not intended to support the Digital Notice to Airmen (NOTAM) process, as Digital NOTAM require a database of aeronautical information and are, therefore, not reliant on the eAIP.*

3.11 Aeronautical data and aeronautical information within the AIPs, AMDTs and SUPs should be made available, as a minimum, “in a way that allows the content and format of the documents to be directly readable on a computer screen”.

3.12 General requirements associated with the **display of the eAIP** are reflected below:



3.13 The eAIP, as a minimum, should have help and search facility and provide history of current and previous amendments to users. It should also include a table of content. Format, display and content requirement for AIP Pages, AIP SUP, AIP Amendment and AIC should be in accordance with Annex15, Doc 8126 and other related SARPs.

*Note 3 – More guidance material on the specifications of eAIP could be found in the EUROCONTROL Specifications for the electronic Aeronautical Information Publication (eAIP).*

### **Quality Management System (QMS)**

3.14 Quality management systems shall be implemented and maintained encompassing all functions of an aeronautical information service. The execution of such quality management systems shall be made demonstrable for each function stage.

*Note 1 - An ISO 9000 certificate issued by an accredited certification body would be considered an acceptable means of compliance.*

*Note 2 - Guidance material is contained in the Manual on the Quality Management System for Aeronautical Information Services (Doc 9839).*

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*Note 3 - Necessary measures should be taken for the signature of formal arrangements concerning data quality between AIS/AIM and the data originators, commensurate with the Aerodrome operators, Air Navigation Service Providers (ANSPs) and the Military Authority.*

### ***World Geodetic System-1984 (WGS-84)***

3.15 World Geodetic System — 1984 (WGS-84) shall be used as the horizontal (geodetic) reference system for international air navigation. Consequently, published aeronautical geographical coordinates (indicating latitude and longitude) shall be expressed in terms of the WGS-84 geodetic reference datum.

3.16 WGS-84 shall be introduced in the published coordinates in AIP in the following sections:

a) Horizontal:

- Enroute
- Terminal
- Aerodrome

b) Vertical:

- Geoid Undulation

*Note - Comprehensive guidance material concerning WGS-84 is contained in the World Geodetic System - 1984 (WGS-84) Manual (Doc 9674).*

### ***electronic Terrain and Obstacle Dataset (eTOD)***

3.17 eTOD is an electronic set(s) of terrain and/or obstacle data for the defined coverage areas and with the defined data specifications to fulfill the needs of electronic air navigation applications for digital data. The coverage areas for sets of electronic terrain and obstacle data shall be specified as:

— Area 1: the entire territory of a State;

— Area 2: within the vicinity of an aerodrome, subdivided as follows;

— Area 2a: a rectangular area around a runway that comprises the runway strip plus any clearway that exists.

— Area 2b: an area extending from the ends of Area 2a in the direction of departure, with a length of 10 km and a splay of 15 per cent to each side;

— Area 2c: an area extending outside Area 2a and Area 2b at a distance of not more than 10 km from the boundary of Area 2a; and

— Area 2d: an area outside the Areas 2a, 2b and 2c up to a distance of 45 km from the aerodrome reference point, or to an existing TMA boundary, whichever is nearest;

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— Area 3: the area bordering an aerodrome movement area that extends horizontally from the edge of a runway to 90 m from the runway centre line and 50 m from the edge of all other parts of the aerodrome movement area.

— Area 4: The area extending 900 m prior to the runway threshold and 60 m each side of the extended runway centre line in the direction of the approach on a precision approach runway, Category II or III.

3.18 Electronic terrain data shall be provided for Area 1 and 4. The obstacle data shall be provided for obstacles in Area 1 higher than 100 m above ground.

*Note 1 - Comprehensive guidance material concerning eTOD is contained in Annex 15; the Guidelines for electronic terrain, obstacle and aerodrome mapping information (Doc 9881) and the EUROCONTROL Terrain and Obstacle Data Manual.*

*Note 2 – Description and method of obtaining of the eTOD should be defined in AIP GEN 3.1.6.*

#### **AIM/SWIM RELATED MODULES**

3.19 Performance Improvement Area 2 (Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management) focuses on ASBU Modules which mainly support Collaborative Decision Making (CDM) through Information Management (i.e. Aeronautical Information, MET, Flight and Flow, etc.) in a SWIM environment:

<b>Performance Improvement Area 2: Globally Interoperable Systems and Data – Through Globally Interoperable System Wide Information Management</b>			
<b>Block 0 (2013)</b>	<b>Block 1 (2018)</b>	<b>Block 2 (2023)</b>	<b>Block 3 (2028)</b>
<b>B0-FICE</b> Increased Interoperability, Efficiency and Capacity through Ground-Ground Integration	<b>B1-FICE</b> Increased Interoperability, Efficiency and Capacity through FF-ICE, Step 1 application before Departure	<b>B2-FICE</b> Improved Coordination through multi-centre Ground-Ground Integration: (FF-ICE/1 and Flight Object, SWIM)	<b>B3-FICE</b> Improved Operational Performance through the introduction of Full FF-ICE
<b>B0-DATM</b> Service Improvement through Digital Aeronautical Information Management	<b>B1-DATM</b> Service Improvement through Integration of all Digital ATM Information		
	<b>B1-SWIM</b> Performance Improvement through the application of System-Wide Information Management (SWIM)	<b>B2-SWIM</b> Enabling Airborne Participation in collaborative ATM through SWIM	
<b>B0-AMET</b> Meteorological information supporting enhanced operational efficiency and safety	<b>B1-AMET</b> Enhanced Operational Decisions through Integrated Meteorological Information (Planning and Near-term Service)		<b>B3-AMET</b> Enhanced Operational Decisions through Integrated Meteorological Information (Near-term and Immediate Service)

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**CHAPTER 4****AIM NATIONAL PLANNING AND IMPLEMENTATION*****NATIONAL PLANNING***

4.1 States should focus on the implementation of phase II and III of the ICAO Roadmap for the transition from AIS to AIM and take into consideration the “MID Region AIM implementation Roadmap” in planning for the transition from AIS to AIM in a prioritized manner

4.2 States are required to develop/update their National AIM Implementation Roadmap on an annual basis (by end of December), using the Template at **Appendix A** (National AIM Implementation Roadmap Template).

***IMPLEMENTATION OF A SYSTEM FOR AIRAC ADHERENCE MONITORING***

4.2 Operationally significant changes to the AIP, listed in Annex 15, Appendix 4 shall be published in accordance with AIRAC procedures and shall be clearly identified by the acronym — AIRAC.

4.3 When an AIP Amendment or an AIP Supplement is published in accordance with AIRAC procedures, a NOTAM called “Trigger NOTAM” shall be originated giving a brief description of the contents, the effective date and time, and the reference number of the amendment or supplement.

4.4 The Trigger NOTAM shall be issued as soon as possible, preferably at the publication date of the AIRAC AIP Amendment or the AIP Supplement. This NOTAM shall come into force on the same effective date and time as the amendment or supplement and shall remain valid for a period of fourteen days.

4.5 The text in Item E) should start with the words ‘TRIGGER NOTAM’ (followed only in the case of an AIP Amendment by the abbreviation PERM), the reference number of the published AIP Amendment or AIP Supplement concerned, the effective date and a brief description of its contents. Effective time will be omitted in Item E) unless it differs from the default AIRAC effective time of 0000 UTC.

4.6 Trigger NOTAM shall be issued in the appropriate NOTAM series, according to the information to be promulgated and shall follow the normal NOTAM procedures.

Example:

Q) HECA/QARTT/1/BO/000/999

A) HECC B) 1704270000 C) 1705102359

E) TRIGGER NOTAM – PERM AIRAC AIP AMDT 4/17 WEF 27 APR 2017.

IMPLEMENTATION OF NEW ATS ROUTE UL111.

*Note – the term ‘PERM’ is inserted in Item E) to stress that Item C) contains an artificial end-date and that the information is of a permanent nature.*

4.7 When information has not been submitted by the AIRAC date, a NIL notification shall be originated and distributed by NOTAM or other suitable means, not later than one cycle before the AIRAC effective date concerned.

4.8 Implementation dates other than AIRAC effective dates shall not be used for pre-planned operationally significant changes requiring cartographic work and/or for updating of navigation databases.

4.9 Information provided under the AIRAC system in paper copy form shall be distributed by the AIS unit at least 42 days in advance of the effective date with the objective of reaching recipients at least 28 days in advance of the effective date. Information provided as electronic media, concerning the circumstances listed in Annex 15, Appendix 4 shall be distributed/made available by the AIS unit so as to reach recipients at least 28 days in advance of the AIRAC effective date.

**Recommendation** – *Whenever major changes are planned and where advance notice is desirable and practicable, information provided as electronic media should be distributed/made available at least 56 days in advance of the effective date. This should be applied to the establishment of, and premeditated major changes in, the circumstances listed in Appendix 4, Part 3, and other major changes if deemed necessary.*

4.10 AIS/AIM should 1) raise the awareness of the Data Originators regarding the AIRAC provisions and 2) include necessary procedures related to AIRAC adherence in the arrangement with the Data Originators.

4.11 States should implement a system for AIRAC adherence monitoring and report on annual basis (by 31 December) to the ICAO MID Regional Office the case(s) of late publication of aeronautical information of operational significance and non-adherence to the AIRAC provisions. **Appendix B** could be used as a monitoring and reporting tool in the AIRAC adherence.

#### **AIR NAVIGATION DEFICIENCIES**

4.12 A deficiency is a situation where a facility, service or procedure does not comply with a regional air navigation plan approved by the Council, or with related ICAO Standards and Recommended Practices, and which situation has a negative impact on the safety, regularity and/or efficiency of international civil aviation.

4.13 Priority for action to remedy a deficiency is based on the following safety assessments:

**'U' priority** = Urgent requirements having a direct impact on safety and requiring immediate corrective actions. Urgent requirement consisting of any physical, configuration, material, performance, personnel or procedures specification, the application of which is urgently required for air navigation safety.

**'A' priority** = Top priority requirements necessary for air navigation safety. Top priority requirement consisting of any physical, configuration, material, performance, personnel or procedures specification, the application of which is considered necessary for air navigation safety.

**'B' priority** = Intermediate requirements necessary for air navigation regularity and efficiency. Intermediate priority requirement consisting of any physical, configuration, material, performance, personnel or procedures specification, the application of which is considered necessary for air navigation regularity and efficiency.

4.14 MIDANPIRG is responsible to identify and address specific deficiencies in the air navigation field and to facilitate the development and implementation of an action plan by States to resolve identified deficiencies, where necessary.

4.15 States are required to use the MID Air Navigation Deficiency Database (MANDD) for the submission of requests for addition, update, and elimination of Air Navigation Deficiencies,

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including the submission of a specific Corrective Action Plan (CAP) for each deficiency. Each State MANDD Focal Point is given the required credential and MANDD is accessible at: <http://www.icao.int/mid>

4.16 A Sample State's Corrective Action Plan (CAP) is provided as **Appendix C** for assistance to States in developing their CAPs for the Air Navigation Deficiencies.

4.17 States are required to submit a Formal Letter to the ICAO MID Regional Office containing the evidence(s) that mitigation measures have been implemented for the elimination of deficiency(ies) when requesting the elimination of deficiency(ies) from the MANDD.

#### ***HUMAN RESOURCE AND TRAINING***

4.18 Within the context of the established quality management system, the competencies and the associated knowledge, skills and abilities required for each function shall be identified, and personnel assigned to perform those functions shall be appropriately trained. Processes shall be in place to ensure that personnel possess the competencies required to perform specific assigned functions. Appropriate records shall be maintained so that the qualifications of personnel can be confirmed. Initial and periodic assessments shall be established that require personnel to demonstrate the required competencies. Periodic assessments of personnel shall be used as a means to detect and correct shortfalls.

*Note 1 - Guidance material concerning training methodology to ensure the competency of personnel is contained in the Aeronautical Information Management Training Development Manual (Doc 9991).*

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## CHAPTER 5

### REPORTING AND MONITORING

#### *MID eANP VOLUME III*

5.1 The status of implementation is reported/monitored through the Tables in the MID eANP Volume III. the MID eANP is available on the ICAO MID website at: <http://www.icao.int/MID/Pages/MIDeANP.aspx>

#### *REGIONAL PERFORMANCE DASHBOARD*

5.2 The 38th Assembly approved the Regional Performance Dashboards. The Dashboards aim to provide a glance of both Safety and Air Navigation Capacity and Efficiency strategic objectives, using a set of indicators and targets based on the regional implementation of the Global Aviation Safety Plan (GASP) and the Global Air Navigation Plan (GANP).

5.3 ICAO introduced the Regional Performance Dashboards as a framework of nested reporting of results with an increased focus on implementation. The initial version of the dashboard shows the globally agreed targeted performance at the regional level and contains graphics and maps with a planned expansion to include regionally agreed targets and the Aviation System Block upgrades (ASBU) Block 0 Modules (i.e. AIM National Plan/Roadmap, AIXM, eAIP, eTOD, WGS-84 and QMS).

5.4 For the first edition of the Regional Performance Dashboards, the implementation of 3 steps from Phase I of the ICAO Roadmap for transition from AIS to AIM (AIRAC, QMS and WGS-84) is monitored. The dashboard can be accessed on the ICAO website at: <http://www.icao.int/safety/Pages/Regional-Targets.aspx>.

5.5 It is agreed that in the expansion of the MID Regional Performance Dashboard, AIM National Roadmap, AIXM 5+, eAIP, eTOD Area 1 and 4 should be added to the MID Region Dashboard.

#### *METHODOLOGY FOR ASSESSING AND REPORTING THE PROGRESS OF TRANSITION FROM AIS TO AIM*

5.6 “*Methodology for assessing and reporting the progress of transition from AIS to AIM*” aims to develop a uniform method and plan for the reporting by the States on the progress achieved for the AIM transition, based on the ICAO Roadmap for Transition from AIS to AIM. The ICAO air navigation planning and implementation performance framework requires that reporting, monitoring, analysis and review activities be conducted on a cyclical, annual basis (ICAO DOC 9750). The Methodology is used while collecting data for monitoring the progress achieved in the transition from AIS to AIM and for the purpose of Regional Performance Dashboard, MID eANP, etc.

5.7 MIDANPIRG/15 meeting (Bahrain, 8-11 June 2015) reviewed the draft Methodology for reporting and assessing the progress related to the transition from AIS to AIM, as an initial MID Regional framework for monitoring the progress achieved for the AIM transition.

**METHODOLOGY FOR REPORTING AND ASSESSING THE PROGRESS RELATED TO THE TRANSITION FROM AIS TO AIM**

Element (Phase/Step/Step No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks	
1		2	3	4	5	
<b>Phase 1</b>						
AIRAC adherence		P-03	FC/NC	Implementation of a system for AIRAC adherence monitoring (compliance with annex 15 AIRAC provisions) (TBD)	Block 0	
WGS-84 implementation		P-05	FC/PC/NC	National AIP GEN 2.1.3 'Geodetic reference datum' provides information about the implementation of WGS-84 in ENR, Terminal and AD	Block 0	
QMS		P-17	FC/NC	ISO 9001 Certification	Block 0	
<b>Phase 2</b>						
Data quality monitoring		P-01	FI/NI	QMS (P-17) and Agreement with data originators (P-18) is implemented (TBD)	Block 0	
Data integrity monitoring		P-02			Linked to P-01	
Integrated aeronautical information database	AIXM-based AIS Database	P-06	FI/NI	National aeronautical data and information is stored and maintained in AIXM-based AIS database	Block 0	Structured AI Database with digital exchange capabilities (AIXM 5.1)
	Implementation of IAID		FI/PI/NI	Implementation of a database providing eAIP (text, tables and charts) and NOTAM, linked to the terrain/obstacles and aerodrome mapping datasets (TBD)	Block 1	
Unique identifiers		P-07			Linked to P-06	
Aeronautical information conceptual model		P-08			Linked to P-06	
Electronic AIP		P-11	FI/NI	National AIP GEN 3.1.3 'Aeronautical publications' provides information about the availability of the National AIP in electronic format (eAIP)	Block 0	
Terrain	Area 1	P-13	FC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 0	
	Area 4	P-13	FC/PC/NC or N/A	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset for specific CAT II/III RWY can be obtained. States should indicate in remarks the number of existing CAT II/III RWY. N/A for States with no CAT II/III RWY.	Block 0	In case of PC, list name of CAT II/III ADs having the dataset

Element (Phase/Step/Step No.)		Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1		2	3	4	5
	Area 2a	P-13 FC/PC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained. States should indicate in remarks the number of AD eligible for provision of Area 2 data. This number should come from the Regional eANP Table AOP II-1 – for aerodromes with one of the following designation: — RS: international scheduled air transport, regular use — RNS: international non-scheduled air transport, regular use — RG: international general aviation, regular use.	Block 0	<i>In case of PC, list name of ADs having the dataset</i>
	Take-off flight path area	P-13 FC/PC/NC	Same as Terrain Area 2a	Block 0	<i>In case of PC, list name of ADs having the dataset</i>
	An area bounded by the lateral extent of the aerodrome obstacle limitation surfaces	P-13 FC/PC/NC	Same as Terrain Area 2a	Block 0	<i>In case of PC, list name of ADs having the dataset</i>
Obstacles	Area 1	P-14 FC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 0	
	Area 4	P-14 FC/PC/NC or N/A	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset for specific CAT II/III RWY can be obtained. States should indicate in remarks the number of existing CAT II/III RWY. N/A for States with no CAT II/III RWY.	Block 0	<i>In case of PC, list name of CAT II/III ADs having the dataset</i>
	Area 2a	P-14 FC/PC/NC	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained. States should indicate in remarks the number of AD eligible for provision of Area 2 data. This number should come from the Regional eANP Table AOP II-1 – for aerodromes with one of the following designation: — RS: international scheduled air transport, regular use	Block 0	<i>In case of PC, list name of ADs having the dataset</i>

Element (Phase/Step/Step No.)	Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1	2	3	4	5
objects in the take-off flight path area which project above a plane surface having a 1.2 per cent slope and having a common origin with the take-off flight path area	P-14	FC/PC/NC	Same as Obstacles Area 2a	Block 0 <i>In case of PC, list name of ADs having the dataset</i>
penetrations of the aerodrome obstacle limitation surfaces	P-14	FC/PC/NC	Same as Obstacles Area 2a	Block 0 <i>In case of PC, list name of ADs having the dataset</i>
Aerodrome mapping	P-15	FI/PI/NI	National AIP GEN 3.1.6 'Electronic terrain and obstacle data' provides information on how the dataset can be obtained	Block 1 <i>In case of PC, list name of ADs having the dataset</i>
<b>Phase 3</b>				
Aeronautical data exchange	P-09	FI/PI/NI	Direct data exchange between AIS and data originators/users (TBD)	Block 1 <i>In case of PC, list name of Units (Data Originators/Users)</i>
Communication networks	P-10			
Aeronautical information briefing	P-12	FI/PI/NI	Provision of preflight aeronautical information briefing at the international aerodromes (TBD) Mandatory for international aerodromes contained in the Regional eANP Table AOP II-1 – for aerodromes with one of the following designation: — RS: international scheduled air transport, regular use — RNS: international non-scheduled air transport, regular use — RG: international general aviation, regular use.	Block 1 <i>In case of PC, list name of ADs providing AI briefing</i>
Training	P-16			

Element (Phase/Step/Step No.)	Metric/ Indicator	Finalization/Compliance Criteria	Link to ASBU Block	Remarks
1	2	3	4	5
Agreement with data originators	P-18	FI/PI/NI	Signed agreements between AIS and ANSPs (ATM, CNS, etc.), Aerodromes and Military	Block 0 <i>In case of PC, list name of Data Originator(s)</i>
Interoperability with meteorological products	P-19			<i>Linked to P-12</i>
Electronic aeronautical charts	P-20	FI/NI	National AIP GEN 3.2 'Aeronautical Charts provides information about the availability of the e-Aeronautical Charts	Block 1
Digital NOTAM	P-21	FI/NI	<b>TBD</b>	Block 1

*FC: Fully Compliant; PC: Partially Compliant; NC: Not Compliant; FI: Fully Implemented; PI: Partially Implemented; NI: Not Implemented; N/A: Not Applicable*

APPENDICES

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**APPENDIX A  
NATIONAL AIM IMPLEMENTATION ROADMAP TEMPLATE**

Phase/Step	Step No.	Timeline												Start	End	Remarks	
		2014			2015			2016			2017						2018
<b>Phase I</b>																	
AIRAC adherence	P-03																
WGS-84 implementation	P-05																
QMS	P-17																
<b>Phase II</b>																	
Data Quality Monitoring	P-01																
Data Integrity Monitoring	P-02																
AIXM	P-06																
Unique identifiers	P-07																
Aeronautical information conceptual model	P-08																
eAIP	P-11																
Terrain A-1	P-13																
Obstacle A-1	P-14																
Terrain A-4	P-13																
Obstacle A-4	P-14																
Terrain A-2	P-13																Please specify implementation of Area 2a, 2b, 2c and/or 2d

Phase/Step	Step No.	Timeline												Start	End	Remarks	
		2014			2015			2016			2017						2018
Obstacle A-2	P-14																Please specify implementation of Area 2a, 2b, 2c and/or 2d
Terrain A-3	P-13																
Obstacle A-3	P-14																
AD Mapping	P-15																
<b>Phase III</b>																	
Aeronautical data exchange	P-09																
Communication networks	P-10																
Aeronautical information briefing	P-12																
Training	P-16																
Agreement with data originators	P-18																
Interoperability with meteorological products	P-19																
Electronic aeronautical charts	P-20																
Digital NOTAM	P-21																

<b>Legend</b>		Not Started
		In Progress
		Implemented

## APPENDIX B

## AIRAC ADHERENCE MONITORING

YEAR: 2016			STATE: .....		
AIRAC EFF Date	AIRAC AMDT Serial Number; or NIL Notification	AIRAC AMDT PUB/Distribution Date	Trigger NOTAM (Serial Number)	No change until 28 days after EFF Date? (Yes / No)	Remarks
7 JAN 16	- AIRAC ...../16; or - NIL notification issued on .....				
4 FEB 16	- AIRAC ...../16; or - NIL notification issued on .....				
3 MAR 16	- AIRAC ...../16; or - NIL notification issued on .....				
31 MAR 16	- AIRAC ...../16; or - NIL notification issued on .....				
28 APR 16	- AIRAC ...../16; or - NIL notification issued on .....				
26 MAY 16	- AIRAC ...../16; or - NIL notification issued on .....				
23 JUN 16	- AIRAC ...../16; or - NIL notification issued on .....				
21 JUL 16	- AIRAC ...../16; or - NIL notification issued on .....				
18 AUG 16	- AIRAC ...../16; or - NIL notification issued on .....				
15 SEP 16	- AIRAC ...../16; or - NIL notification issued on .....				
13 OCT 16	- AIRAC ...../16; or - NIL notification issued on .....				
10 NOV 16	- AIRAC ...../16; or - NIL notification issued on .....				
8 DEC 16	- AIRAC ...../16; or - NIL notification issued on .....				

## APPENDIX C

## SAMPLE STATE'S CORRECTIVE ACTION PLAN

DEFICIENCY DESCRIPTION		PRIORITY (U/A/B)
		RATIONALE <i>F:Financial, H:HR, S:State, O:Other</i>
STATE'S COMMENTS/OBSERVATION		
CORRECTIVE ACTION(S) PROPOSED	ACTION OFFICE/BODY	DATE OF COMPLETION

---

## References

- ICAO Annex 15 – Aeronautical Information Services
- ICAO Doc 9750 – Global Air Navigation Plan
- ICAO Roadmap for the transition from AIS to AIM
- EUROCONTROL Guidelines – Operating procedures for AIS Dynamic Data (OPADD)
- EUROCONTROL Specifications for the electronic Aeronautical Information Publication (eAIP)
- EUROCONTROL Terrain and Obstacle Data Manual
- MIDANPIRG/15 Report
- MID Doc 002 – MID Region Air Navigation Strategy
- MSG/4 Report
- <http://www.aixm.aero>
- [http://www.icao.int/airnavigation/Documents/ICAO\\_AN%20Report\\_EN\\_final\\_30042014.pdf](http://www.icao.int/airnavigation/Documents/ICAO_AN%20Report_EN_final_30042014.pdf)
- <http://www.icao.int/airnavigation/IMP/Pages/default.aspx>
- <http://www.icao.int/safety/ais-aimsg/Pages/default.aspx>
- <http://www.icao.int/safety/Pages/Regional-Targets.aspx>
- [https://portal.icao.int/RO\\_MID/Pages/MIDDocs.aspx](https://portal.icao.int/RO_MID/Pages/MIDDocs.aspx)
- <https://portal.icao.int/space/anp/Pages/Home.aspx>

- END -

## APPENDIX D

## AIRAC ADHERENCE MONITORING QUESTIONNAIRE– 2016

NAME OF STATE: .....

Please circle the appropriate response.

1. Have you published, in 2016, any operationally significant information, as referred to in Appendix 4 of Annex 15, other than using the AIRAC System? **Yes / No**

*If the answer is “Yes”, please explain:*

.....  
 .....  
 .....  
 .....

2. Have you received, in 2016, any complain from the users about non-adherence to AIRAC? **Yes / No**

*If the answer is “Yes”, please explain:*

.....  
 .....  
 .....  
 .....

3. Please fill the required data in the table below on the AIRAC System in your State:

AIRAC EFF Date	AIRAC AMDT Serial Number; or NIL Notification	AIRAC AMDT PUB/Distribution Date	Trigger NOTAM (Serial Number)	No change until 28 days after EFF Date? (Yes / No)	Remarks
7 JAN 16	- AIRAC ...../16; or - NIL notification issued on .....				
4 FEB 16	- AIRAC ...../16; or - NIL notification issued on .....				
3 MAR 16	- AIRAC ...../16; or - NIL notification issued on .....				
31 MAR 16	- AIRAC ...../16; or - NIL notification issued on .....				
28 APR 16	- AIRAC ...../16; or - NIL notification issued on .....				
26 MAY 16	- AIRAC ...../16; or - NIL notification issued on .....				
23 JUN 16	- AIRAC ...../16; or				

	- NIL notification issued on .....				
21 JUL 16	- AIRAC ...../16; or - NIL notification issued on .....				
18 AUG 16	- AIRAC ...../16; or - NIL notification issued on .....				
15 SEP 16	- AIRAC ...../16; or - NIL notification issued on .....				
13 OCT 16	- AIRAC ...../16; or - NIL notification issued on .....				
10 NOV 16	- AIRAC ...../16; or - NIL notification issued on .....				
8 DEC 16	- AIRAC ...../16; or - NIL notification issued on .....				

4. Details and signature of the person completing this form:

**Full Name:**

**Title:**

**Organization:**

**Mailing address:**

**Contact details:**

**Email address:**

**Signature:**

.....

*Please return completed form by 31 January 2016 to:*

Email: [icaomid@icao.int](mailto:icaomid@icao.int) or Fax: +2 (02) 22674843