



ICAO

Symposium on Assistance to Aircraft Accident Victims and their Families (AAAVF2021)

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Canary Islands, Spain

Hosted by



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&

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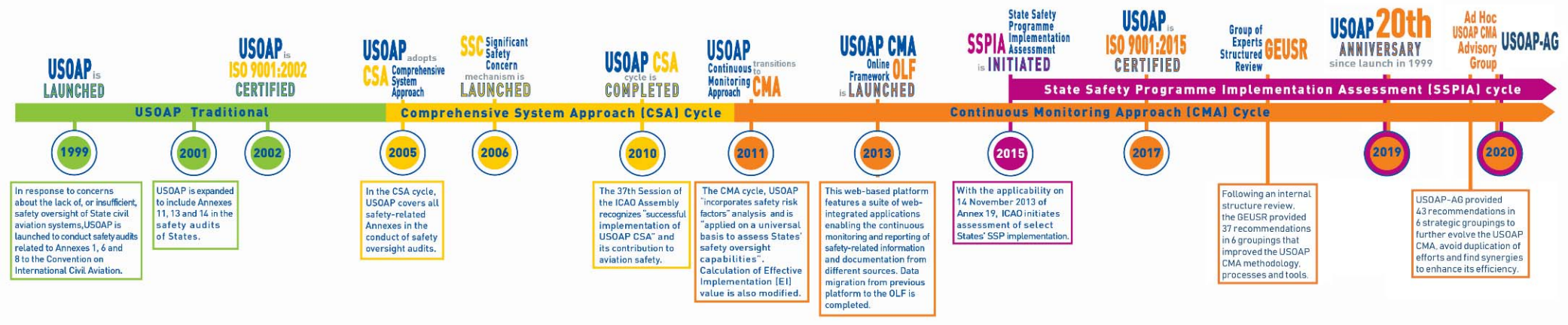
at

International Civil Aviation Organization, ICAO

Objective of the USOAP CMA

The objective of USOAP under the CMA is to promote global aviation safety through the continuous monitoring of the Member States and the determination of their safety oversight capabilities.

Evolution of the USOAP programme



By evolving, the USOAP maintains its status as the leader of global monitoring systems of States' safety oversight capabilities and/or their maturity in implementing SSPs

Types of USOAP CMA activities

➤ Audit activities

- Full scope audit
- Focused audit
- Follow-up audit
- Desk (document-based) audit
- Mandatory Information Requests (MIRs)
- Significant Safety Concerns (SSCs)

➤ Validation activities

- ICAO Coordinated Validation Mission (ICVM)
- Integrated Validation Activity (IVA)
- Off-site Validation Activity (OVA)

➤ SSP implementation assessments (SSPIA)

USOAP Activities 1999-2021

6

From 1999 to 2021, a total number of **956** activities have been performed as detailed below.

Activity Type	Total
Audit, Audit follow-up, ICAO Coordinated Validation Mission (ICVM), State Safety Programme Implementation Assessment (SSPIA)	799
Off-Site Validation Activity	130
Mandatory Information Request (MIR)	27
Grand Total	956

USOAP CMA audit areas

7

LEG: Primary aviation legislation & civil aviation regulations

ORG: Civil aviation organization

PEL: Personnel licensing and training

OPS: Aircraft operations

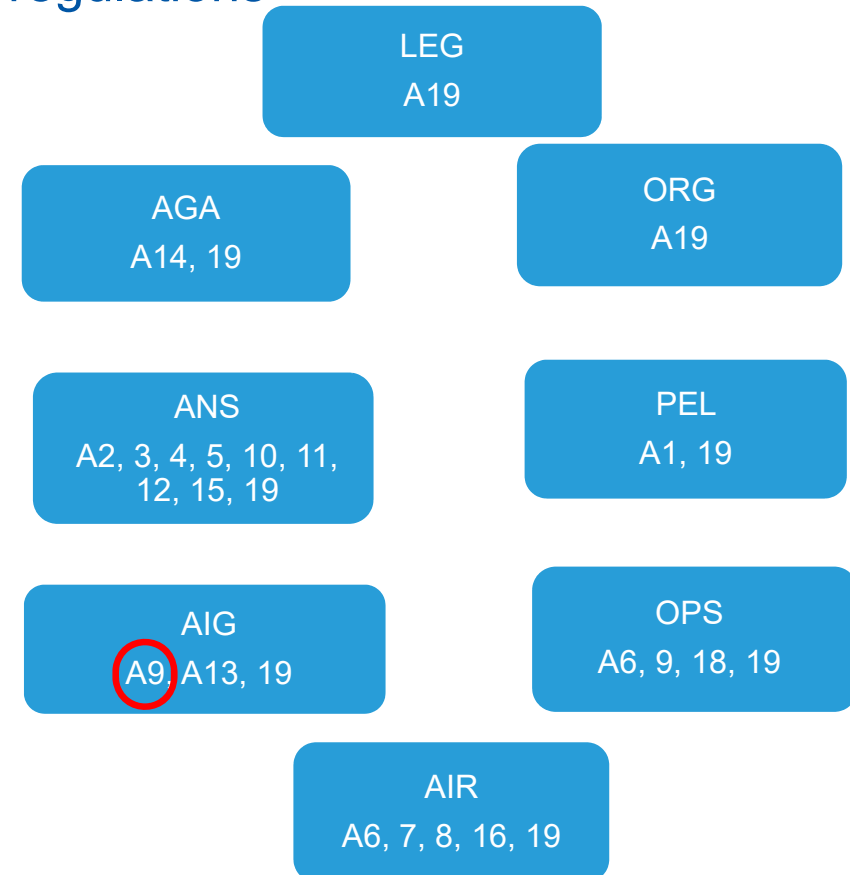
AIR: Airworthiness of aircraft

AIG: Aircraft accident and incident investigation

ANS: Air navigation services

AGA: Aerodromes and ground aids

790 Protocol Questions across all audit areas are the primary tool used for assessing the level of effective implementation of a State's safety oversight system based on the critical elements. PQs are supported by the Convention on International Aviation, ICAO Standards and other provisions.



PQs related to the assistance to aircraft accident victims and their families

- 6.381 Has the investigation authority established and implemented procedures to ensure that relevant and timely information on the progress of the investigation will be provided to the families and accident survivors? (PQ become applicable since 2008)

98 States have been audited

46 Satisfactory

52 Not satisfactory

- 6.383 Has the State established a comprehensive system for providing assistance to aircraft accident victims and their families? (PQ become applicable since 2017)

10 States have been audited

1 Satisfactory

9 Not satisfactory

EFOD related to the assistance to aircraft accident victims and their families

- Electronic Filing of Differences (EFOD) allows States to indicate compliance with and/or difference to SARPs (with details).
- Allows ICAO to review and monitor the level of global compliance and/or difference.
- 6.381 references the following Annex 9 SARPs :
 - Standards 8.41, 8.42, 8.44 and, 8.45
 - Recommended Practices 8.43 and 8.46
- 6.383 references the following Annex 9 SARPs :
 - Standard 5.27
 - Recommended Practice 5.28

Reporting of related SARPs

10

SARP	ND	LP	ME	DC
8.41 (ANNEX 9)	88	6	1	2
8.42 (ANNEX 9)	89	1	5	4
8.43 (ANNEX 9)	84	6	2	2
8.44 (ANNEX 9)	92	4	1	0
8.45 (ANNEX 9)	92	6	2	0
8.46 (ANNEX 9)	56	6	3	1
5.27 (ANNEX 13)	123	9	2	0
5.28 (ANNEX 13)	110	11	8	3



ND=No Difference LP=Least Protective/Partially or Not Implemented
ME=More Exacting DC=Difference in Character/ Other Means of Compliance

Spain case – A USOAP CMA success story

- Spain received a USOAP CMA audited from 06 to 16 July 2010
- PQ 6.383 was introduced in January 2017
- Through the CMA, the programme identified that an audit of this PQ would be beneficial for Spain and for data currency
- First virtual focused audit was conducted in Spain from 22 to 23 June 2021
- First satisfactory assessment since the inclusion of the PQ

Thank You

