



A36-WP/211
AD/20
23/9/07

ASSEMBLY — 36TH SESSION
ADMINISTRATIVE COMMISSION

DRAFT TEXT FOR THE REPORT
ON
AGENDA ITEM 53

The attached material on Agenda Item 53 is submitted for consideration by the Administrative Commission.

Agenda Item 53: Assessments to the General Fund for 2008, 2009 and 2010

53.1 At its first meeting, the Administrative Commission examined A36-WP/36, AD/6, EX/8, on the methodology used in calculating scales of assessment, its Appendix containing a proposed draft Assembly Resolution and A36-WP/58, AD/13, on proposed draft scales of assessment for the triennium 2008, 2009 and 2010.

53.2 In reviewing the new proposed methodology for calculation of the scales of assessment, the Commission concurred that the application of the limitation principle has outlived its usefulness and should be abolished with effect from 1 January 2009. The Commission also supported that the methodology should exclude the 0.07 per cent of the total scale of assessment in the calculations and use a flat limitation principle of 20 per cent only for the year 2008.

53.3 There were no further comments, and the Commission referred the working paper A36-WP/58, AD/13, to the Contributions Working Group for review and report thereon.

53.4 Under the terms of reference established by the Commission in A36-WP/78, AD/16, the Contributions Working Group held one meeting to examine the draft scales of assessment for 2008, 2009 and 2010, as presented in A36-WP/58, AD/13. The Working Group had at its disposal A36-DP-AD/1, an information paper regarding the principles governing the calculation of scales of assessment, as well as schedules provided by the Secretariat which show the statistical data on assessable national income and aviation capacity, used in the calculation of the new assessment scales. This data was provided to the Working Group on a confidential basis.

53.5 Before the meeting, one Contracting State that could not be present at the meeting of the Working Group, requested the Secretary of the Administrative Commission to examine the method of calculations pertaining to that State at the Working Group.

53.6 The request was accepted by the Working Group, which examined the statistics used for that State in the calculation of the scales, and reviewed the computations made to arrive at the draft scale figures. The Working Group was satisfied with the mathematical interpretation given by the Secretary General of the principles set out in Clause 1 of draft Resolution 53/1, as presented in A36-WP/36, AD/6, EX/8.

53.7 In summary, the Contributions Working Group recommends that the Administrative Commission recommend to the Plenary the adoption of the scales of assessment presented in the Appendix to A36-WP/58, AD/13. The Working Group presented its report to the Administrative Commission in A36-WP/299, AD/21.

53.8 The Administrative Commission recommends that the Plenary adopt draft Resolutions 53/1 and 53/2.

**RESOLUTIONS FRAMED BY THE ADMINISTRATIVE COMMISSION
AND RECOMMENDED FOR ADOPTION BY THE ASSEMBLY**

Resolution 53/1

Apportionment of the expenses of ICAO among Contracting States (Principles to be applied in the determination of scales of assessment)

The Assembly resolves:

1. that scales of assessments for the apportionment of expenses of the Organization shall be determined on the basis of the principles set out below:

a) The general principles determining the basis of apportionment of expenses among Contracting States are:

1. capacity of Contracting States to pay, as measured by national income, taking into consideration national income per head of population;
2. a Contracting State's interest and importance in civil aviation;
3. the use of a percentage system to apportion each State's share of the expenses of the Organization out of the total of 100 per cent;
4. the determination of a minimum and a maximum contribution.

b) In respect of the principles at paragraph a):

1. the percentage system shall express the contributions of States to two places of decimals;
2. the minimum contribution of any one Contracting State shall be .06 per cent for a full financial year;
3. the maximum contribution to be paid by any one Contracting State in any one year shall not, as a matter of principle, exceed 25 per cent of the total contribution.

c) In the application of the principles at paragraph a), the following shall be taken into account:

1. in the computation of the scale, capacity to pay shall carry a weight of 75 per cent and interest and importance in civil aviation a weight of 25 per cent, and from these shall be obtained coefficient figures for each State expressed as percentages of the whole;
2. in taking account of the capacity of Contracting States to pay, only total national income and per capita income shall be considered as being subject to quantitative evaluation and to inclusion in the computed scale;
3. the adjustment to each State's national income shall be based on the arrangements in force in this

- regard in the United Nations at the time that the scales of assessments of the Organization are prepared by the Secretary General;
4. interest and importance in civil aviation shall be measured by the capacity tonne-kilometres available on each State's scheduled air services;
 5. capacity tonne-kilometres shall be given a weight of 75 per cent for international services and 25 per cent for domestic services.
- d) The difference between the maximum contribution by application of the principles and the fixed maximum contribution shall be distributed over the remaining Contracting States by applying the same principles.
- e) The increase in a State's contribution as compared with the previous year's, expressed in per cent of the total contribution, shall not exceed 20 per cent of the previous year's contribution for the year 2008 and no further limitation principle will be applied for subsequent years.
2. that the approved scales of assessments shall not be adjusted to include the assessments of new Member States joining the Organization in the interval between Assemblies; the assessments of these new States shall be kept in addition to the existing 100 per cent scale and their contributions shall be credited to the General Fund;
 3. that the draft scales of assessments for each successive triennial period shall be prepared by the Secretary General on the basis of the principles set out in Clause 1 above; and
 4. that this resolution consolidates the existing assessment principles of the Organization and supersedes, effective 1 January 2008, resolutions A21-33 and A23-24.

Resolution 53/2

Assessments to the General Fund for 2008, 2009 and 2010

The Assembly:

1. *resolves* that the amounts to be assessed on Contracting States for 2008, 2009 and 2010 pursuant to Article 61, Chapter XII, of the Convention shall be determined in accordance with the scales set out below.

| | <u>2008</u> | <u>2009</u> | <u>2010</u> |
|--------------------|-------------|-------------|-------------|
| | % | % | % |
| Afghanistan | 0.06 | 0.06 | 0.06 |
| Albania | 0.06 | 0.06 | 0.06 |
| Algeria | 0.08 | 0.08 | 0.08 |
| Andorra | 0.06 | 0.06 | 0.06 |
| Angola | 0.06 | 0.06 | 0.06 |

| | | | |
|---------------------------------|------|------|------|
| Antigua and Barbuda | 0.06 | 0.06 | 0.06 |
| Argentina | 0.33 | 0.31 | 0.31 |
| Armenia | 0.06 | 0.06 | 0.06 |
| Australia | 1.77 | 1.71 | 1.71 |
| Austria | 0.74 | 0.71 | 0.71 |
| Azerbaijan | 0.06 | 0.06 | 0.06 |
| Bahamas | 0.06 | 0.06 | 0.06 |
| Bahrain | 0.07 | 0.09 | 0.09 |
| Bangladesh | 0.08 | 0.08 | 0.08 |
| Barbados | 0.06 | 0.06 | 0.06 |
| Belarus | 0.06 | 0.06 | 0.06 |
| Belgium | 0.83 | 0.80 | 0.80 |
| Belize | 0.06 | 0.06 | 0.06 |
| Benin | 0.06 | 0.06 | 0.06 |
| Bhutan | 0.06 | 0.06 | 0.06 |
| Bolivia | 0.06 | 0.06 | 0.06 |
| Bosnia and Herzegovina | 0.06 | 0.06 | 0.06 |
| Botswana | 0.06 | 0.06 | 0.06 |
| Brazil | 0.92 | 0.89 | 0.89 |
| Brunei Darussalam | 0.06 | 0.06 | 0.06 |
| Bulgaria | 0.06 | 0.06 | 0.06 |
| Burkina Faso | 0.06 | 0.06 | 0.06 |
| Burundi | 0.06 | 0.06 | 0.06 |
| Cambodia | 0.06 | 0.06 | 0.06 |
| Cameroon | 0.06 | 0.06 | 0.06 |
| Canada | 2.48 | 2.39 | 2.39 |
| Cape Verde | 0.06 | 0.06 | 0.06 |
| Central African Republic | 0.06 | 0.06 | 0.06 |
| Chad | 0.06 | 0.06 | 0.06 |
| Chile | 0.26 | 0.25 | 0.25 |
| China | 2.42 | 3.67 | 3.67 |
| Colombia | 0.21 | 0.20 | 0.20 |
| Comoros | 0.06 | 0.06 | 0.06 |
| Congo | 0.06 | 0.06 | 0.06 |
| Cook Islands | 0.06 | 0.06 | 0.06 |
| Costa Rica | 0.06 | 0.06 | 0.06 |
| Côte d'Ivoire | 0.06 | 0.06 | 0.06 |
| Croatia | 0.06 | 0.06 | 0.06 |
| Cuba | 0.07 | 0.07 | 0.07 |
| Cyprus | 0.06 | 0.06 | 0.06 |

| | | | |
|----------------------------------------------|------|------|------|
| Czech Republic | 0.18 | 0.22 | 0.22 |
| Democratic People's Republic of Korea | 0.06 | 0.06 | 0.06 |
| Democratic Republic of the Congo | 0.06 | 0.06 | 0.06 |
| Denmark | 0.56 | 0.54 | 0.54 |
| Djibouti | 0.06 | 0.06 | 0.06 |
| Dominican Republic | 0.06 | 0.06 | 0.06 |
| Ecuador | 0.06 | 0.06 | 0.06 |
| Egypt | 0.17 | 0.16 | 0.16 |
| El Salvador | 0.06 | 0.06 | 0.06 |
| Equatorial Guinea | 0.06 | 0.06 | 0.06 |
| Eritrea | 0.06 | 0.06 | 0.06 |
| Estonia | 0.06 | 0.06 | 0.06 |
| Ethiopia | 0.07 | 0.06 | 0.06 |
| Fiji | 0.06 | 0.06 | 0.06 |
| Finland | 0.48 | 0.47 | 0.47 |
| France | 5.17 | 5.00 | 5.00 |
| Gabon | 0.06 | 0.06 | 0.06 |
| Gambia | 0.06 | 0.06 | 0.06 |
| Georgia | 0.06 | 0.06 | 0.06 |
| Germany | 7.08 | 6.85 | 6.85 |
| Ghana | 0.06 | 0.06 | 0.06 |
| Greece | 0.46 | 0.44 | 0.44 |
| Grenada | 0.06 | 0.06 | 0.06 |
| Guatemala | 0.06 | 0.06 | 0.06 |
| Guinea | 0.06 | 0.06 | 0.06 |
| Guinea-Bissau | 0.06 | 0.06 | 0.06 |
| Guyana | 0.06 | 0.06 | 0.06 |
| Haiti | 0.06 | 0.06 | 0.06 |
| Honduras | 0.06 | 0.06 | 0.06 |
| Hungary | 0.14 | 0.19 | 0.19 |
| Iceland | 0.07 | 0.06 | 0.06 |
| India | 0.54 | 0.55 | 0.55 |
| Indonesia | 0.29 | 0.28 | 0.28 |
| Iran (Islamic Republic of) | 0.18 | 0.19 | 0.19 |
| Iraq | 0.06 | 0.06 | 0.06 |
| Ireland | 0.42 | 0.50 | 0.50 |
| Israel | 0.47 | 0.45 | 0.45 |
| Italy | 3.71 | 3.59 | 3.59 |
| Jamaica | 0.06 | 0.06 | 0.06 |

| | | | |
|-----------------------------------------|-------|-------|-------|
| Japan | 12.16 | 11.75 | 11.75 |
| Jordan | 0.06 | 0.06 | 0.06 |
| Kazakhstan | 0.06 | 0.06 | 0.06 |
| Kenya | 0.06 | 0.06 | 0.06 |
| Kiribati | 0.06 | 0.06 | 0.06 |
| Kuwait | 0.19 | 0.18 | 0.18 |
| Kyrgyzstan | 0.06 | 0.06 | 0.06 |
| Lao People's Democratic Republic | 0.06 | 0.06 | 0.06 |
| Latvia | 0.06 | 0.06 | 0.06 |
| Lebanon | 0.06 | 0.06 | 0.06 |
| Lesotho | 0.06 | 0.06 | 0.06 |
| Liberia | 0.06 | 0.06 | 0.06 |
| Libyan Arab Jamahiriya | 0.06 | 0.06 | 0.06 |
| Lithuania | 0.06 | 0.06 | 0.06 |
| Luxembourg | 0.38 | 0.36 | 0.36 |
| Madagascar | 0.06 | 0.06 | 0.06 |
| Malawi | 0.06 | 0.06 | 0.06 |
| Malaysia | 0.57 | 0.55 | 0.55 |
| Maldives | 0.06 | 0.06 | 0.06 |
| Mali | 0.06 | 0.06 | 0.06 |
| Malta | 0.06 | 0.06 | 0.06 |
| Marshall Islands | 0.06 | 0.06 | 0.06 |
| Mauritania | 0.06 | 0.06 | 0.06 |
| Mauritius | 0.07 | 0.06 | 0.06 |
| Mexico | 1.46 | 1.63 | 1.63 |
| Micronesia (Federated States of) | 0.06 | 0.06 | 0.06 |
| Monaco | 0.06 | 0.06 | 0.06 |
| Mongolia | 0.06 | 0.06 | 0.06 |
| Montenegro | 0.06 | 0.06 | 0.06 |
| Morocco | 0.09 | 0.08 | 0.08 |
| Mozambique | 0.06 | 0.06 | 0.06 |
| Myanmar | 0.06 | 0.06 | 0.06 |
| Namibia | 0.06 | 0.06 | 0.06 |
| Nauru | 0.06 | 0.06 | 0.06 |
| Nepal | 0.06 | 0.06 | 0.06 |
| Netherlands | 1.96 | 1.90 | 1.90 |
| New Zealand | 0.37 | 0.36 | 0.36 |
| Nicaragua | 0.06 | 0.06 | 0.06 |
| Niger | 0.06 | 0.06 | 0.06 |

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|-----------------------------------------|------|------|------|
| Nigeria | 0.06 | 0.06 | 0.06 |
| Norway | 0.57 | 0.55 | 0.55 |
| Oman | 0.11 | 0.11 | 0.11 |
| Pakistan | 0.16 | 0.16 | 0.16 |
| Palau | 0.06 | 0.06 | 0.06 |
| Panama | 0.06 | 0.06 | 0.06 |
| Papua New Guinea | 0.06 | 0.06 | 0.06 |
| Paraguay | 0.06 | 0.06 | 0.06 |
| Peru | 0.10 | 0.10 | 0.10 |
| Philippines | 0.18 | 0.17 | 0.17 |
| Poland | 0.42 | 0.41 | 0.41 |
| Portugal | 0.47 | 0.45 | 0.45 |
| Qatar | 0.16 | 0.24 | 0.24 |
| Republic of Korea | 2.45 | 2.37 | 2.37 |
| Republic of Moldova | 0.06 | 0.06 | 0.06 |
| Romania | 0.07 | 0.08 | 0.08 |
| Russian Federation | 0.73 | 0.79 | 0.79 |
| Rwanda | 0.06 | 0.06 | 0.06 |
| Saint Kitts and Nevis | 0.06 | 0.06 | 0.06 |
| Saint Lucia | 0.06 | 0.06 | 0.06 |
| Saint Vincent and the Grenadines | 0.06 | 0.06 | 0.06 |
| Samoa | 0.06 | 0.06 | 0.06 |
| San Marino | 0.06 | 0.06 | 0.06 |
| Sao Tome and Principe | 0.06 | 0.06 | 0.06 |
| Saudi Arabia | 0.71 | 0.69 | 0.69 |
| Senegal | 0.06 | 0.06 | 0.06 |
| Serbia | 0.06 | 0.06 | 0.06 |
| Seychelles | 0.06 | 0.06 | 0.06 |
| Sierra Leone | 0.06 | 0.06 | 0.06 |
| Singapore | 1.24 | 1.20 | 1.20 |
| Slovakia | 0.06 | 0.06 | 0.06 |
| Slovenia | 0.07 | 0.07 | 0.07 |
| Solomon Islands | 0.06 | 0.06 | 0.06 |
| Somalia | 0.06 | 0.06 | 0.06 |
| South Africa | 0.46 | 0.45 | 0.45 |
| Spain | 2.38 | 2.30 | 2.30 |
| Sri Lanka | 0.08 | 0.08 | 0.08 |
| Sudan | 0.06 | 0.06 | 0.06 |
| Suriname | 0.06 | 0.06 | 0.06 |

| | | | |
|--------------------------------------------------|----------------------|----------------------|----------------------|
| Swaziland | 0.06 | 0.06 | 0.06 |
| Sweden | 0.77 | 0.75 | 0.75 |
| Switzerland | 1.00 | 0.97 | 0.97 |
| Syrian Arab Republic | 0.06 | 0.06 | 0.06 |
| Tajikistan | 0.06 | 0.06 | 0.06 |
| Thailand | 0.56 | 0.54 | 0.54 |
| The former Yugoslav Republic of Macedonia | 0.06 | 0.06 | 0.06 |
| Timor-Leste | 0.06 | 0.06 | 0.06 |
| Togo | 0.06 | 0.06 | 0.06 |
| Tonga | 0.06 | 0.06 | 0.06 |
| Trinidad and Tobago | 0.06 | 0.06 | 0.06 |
| Tunisia | 0.06 | 0.06 | 0.06 |
| Turkey | 0.44 | 0.43 | 0.43 |
| Turkmenistan | 0.06 | 0.06 | 0.06 |
| Uganda | 0.06 | 0.06 | 0.06 |
| Ukraine | 0.06 | 0.06 | 0.06 |
| United Arab Emirates | 0.64 | 0.88 | 0.88 |
| United Kingdom | 5.94 | 5.74 | 5.74 |
| United Republic of Tanzania | 0.06 | 0.06 | 0.06 |
| United States | 25.00 | 25.00 | 25.00 |
| Uruguay | 0.06 | 0.06 | 0.06 |
| Uzbekistan | 0.06 | 0.06 | 0.06 |
| Vanuatu | 0.06 | 0.06 | 0.06 |
| Venezuela | 0.16 | 0.15 | 0.15 |
| Viet Nam | 0.07 | 0.09 | 0.09 |
| Yemen | 0.06 | 0.06 | 0.06 |
| Zambia | 0.06 | 0.06 | 0.06 |
| Zimbabwe | <u>0.06</u> | <u>0.06</u> | <u>0.06</u> |
| | <u>100.00</u> | <u>100.00</u> | <u>100.00</u> |