



International Civil Aviation Organization

WORKING PAPER

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ASSEMBLY — 36TH SESSION

EXECUTIVE COMMITTEE

Agenda Item 20: Transition to a new policy on technical co-operation

INTRODUCTION OF EXPOST EVALUATION IN THE PROJECTS OF TECHNICAL COOPERATION MADE THROUGH ICAO

(Presented by Colombia)

EXECUTIVE SUMMARY

The goal of the present working paper is to attract attention of the Assembly to require the insertion of EXPOST evaluation of projects in the “Statement Of Continuing ICAO Policies On Technical Cooperation” as a mechanism to measure the impact and results of the projects made by the Technical Cooperation Bureau in relation with ICAO Strategic Objectives, safety or security and to assure that the cycle of the projects fulfills its intention.

Actions: The Assembly is invited to:

a) Insert the following paragraph in the Statement of Continuing ICAO Policies on Technical Cooperation, appendix A:

“X. Recommends that the ICAO Technical Cooperation Programme of counts on an EXPOST evaluation mechanisms that allows to establish an instrument for the institutional learning, for an effectiveness systematic evaluation and the shared responsibility of the Technical Cooperation Bureau and the Beneficiary.”

b) Urge the beneficiaries of the programme to establish, maintain or strength the processes of self-evaluation and assessment of outcomes and obtained benefits

<i>Strategic Objectives:</i>	This working paper is related to all the Strategic Objectives
<i>Financial implications:</i>	Not applicable.
<i>References:</i>	A36-WP/49, EX/14 Doc 9848, <i>Assembly Resolutions in Force</i> (as of 8 October 2004)

1. INTRODUCTION

1.1. The technical cooperation projects that the States or the private sector make through the Technical Cooperation Bureau of the ICAO would have to be put under a verification or EXPOST evaluation in order to guarantee the results and the fulfillment of the goals, as well as their relation with the Strategists Objectives defined by the ICAO, the impact in the security or safety according if is the case and the accomplishment of the frame established by the resolution of the assembly for technical cooperation activities.

1.2. It becomes necessary that the supervision level does not decrease once the execution of a cooperation project or technical assistance is finished, during the period of active supervision the attention of the TCB goes over the problems of the moment, but the necessity has become evident of a much more broad approach of the evaluation of the results of a project or technical cooperation activity. Preceding is not new in the cycle of projects, already from 1,970 has been settled down by the World Bank an EXPOST evaluation system as final stage of the projects, the same happens in other Regional banks as it is the case of the Inter-American Development Bank.

1.3. An EXPOST evaluation of the projects starts from the closing report of the project in which are represented some valuable commentaries over execution and the results of the project and from there a documentary study of the project is made, verifying the validity of the assumptions made at the time of its evaluation, determining if it is necessary to make an examination on the field, that sometimes is as extensive as the original evaluation, also for some projects and once is carried out the last payment an after an important time interval a report is made about the repercussions of itself.

1.4. The added value of the evaluation system EXPOST is in the information that supplements and complements the one that provides other sources of data on the projects, its conclusions induce to reflection, other many are reassuring and others help in the process of learning in like obtaining significant increases in the profits and their impacts, thanks to that the subsequent projects in a State or Regionally take advantage of the experience obtained with the previous ones. Evident profits of this action of evaluation occur when adopting new approaches, norms and procedures that help to the accomplishment of the objectives or better mechanisms to determine the estimations of over cost or of reducing the probability of on costs or delays in the execution.

2. ANALYSIS

2.1. The ICAO Technical Cooperation programme has shared common responsibility with the beneficiary of the assistance, more over when it goes to correct deficiencies, mitigating risks or increasing safety or security, since the final aim is that private organizations or States reach or maintain the accomplishment of the Annexes to the Chicago Convention as is its responsibility.

2.2 The safety or security management requires an appropriate follow up through the establishment of mechanisms to verify results coming from the different actions that are made, EXPOST evaluation will provide and help the different Institutions or Organizations involved with security or safety to improve continuously its capacity to reach results in the future, also it benefits the programme itself and with it to all the Contracting States when closing indeed the cycle of the cooperation and assistance, it will contribute in the effectiveness of the ICAO, as it is demonstrated by the application of these concepts over the international financial system and throws impact indicators that will allow the Council to verify and to correct, if is necessary, the courses of action of the programme of technical cooperation programme when closing the cycle of planning, doing, verifying and to acting.

2.3. According to the principle of the common shared responsibility mentioned before EXPOST evaluation allows, through registries compiled by the executor body, to establish an instrument for institutional learning and a systematic evaluation of the effectiveness.

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