

# FALP/5 – WP/3 Passenger Facilitation and the ICAO PKD for ePassports

**April 3, 2008** 

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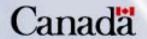
## **Proposed Amendments to Annex 9**

## To Chapter 1

 Add definitions for the terms "e-Passport" and "ICAO Public Key Directory (PKD)"

## To Chapter 3

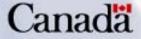
- Add as a Recommended Practise :
- 3.9.1 "Contracting States:(a) issuing or intending to issue ePassports; and/or (b) implementing at border controls automated checks on ePassports; should join the ICAO Public Key Directory (PKD)."





## What is the ICAO PKD?

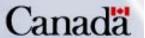
- Central Repository of PKI Certificates and Certificate Revocation Lists (CRLs) issued by Participants
- System for distribution of the PKI Certificates and CRLs
- Maintained by ICAO to facilitate validation of data in ePassports





# ePassport PKI Validation

- ePassport validation is achieved via the exchange of Public Key Infrastructure (PKI) certificates.
- The system is **privacy enhancing** because it does <u>not</u> require or involve any exchange of the personal data of passport holders and the validation transactions help combat identity theft.





#### **The Border Clearance Process**

Step # 1 - Confirm identity



Integrity of issue process?



Genuine passport?



Passport unaltered?



Genuine holder?



Passport lost/stolen/cancelled?

Step # 2 – Decide whether the passenger and accompanying goods can enter



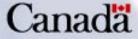
Intentions of passport holder?



Entry criteria met?



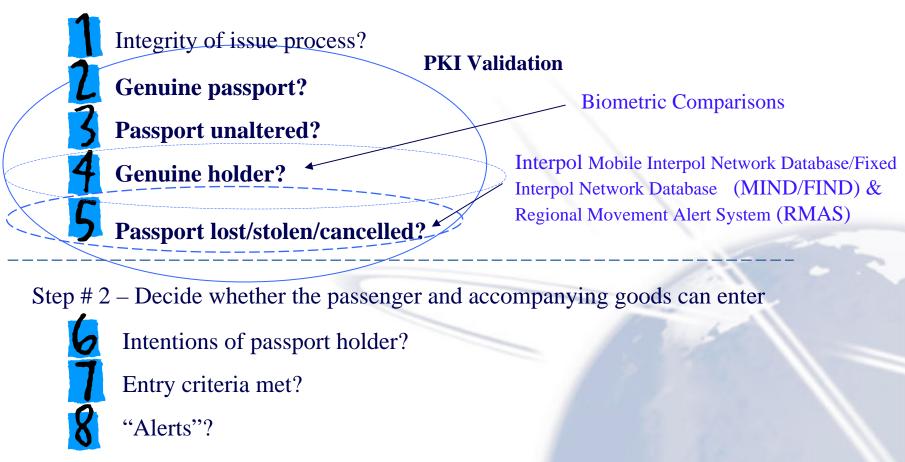
"Alerts"?

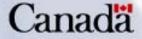




## ePassports in the Border Clearance Process

Step # 1 - Confirm identity



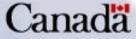




#### **Benefits of ICAO PKD in Border Control**

• Benefits of PKI validation (and checks of lost/stolen passports, and biometric comparisons) are maximised in fully integrated solutions where <u>all</u> Passport holders are examined (eg Smartgate)

Benefits can still be obtained from cheaper, less integrated, risk based approaches – eg PKI validation (and checks of lost/stolen passports and photos on chips) of ePassport holders referred to secondary examination.

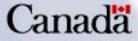




# Why the ICAO PKD?

# Exchange of public key certificates must be:

- manageable
- reliable
- timely
- sustainable.... indefinitely





# **ICAO Public Key Directory**

• For more information go to:

http://www.mrtd.icao.int/

or address queries via e-mail to:

ICAO-PKD@ICAO.INT

