



## **FACILITATION PANEL (FALP)**

### **FIFTH MEETING**

**Montréal, 31 March to 4 April 2008**

#### **Agenda Item 4: Other matters**

#### **FUTURE WORK PROGRAMME**

(Presented by the Secretary)

#### **SUMMARY**

This paper concerns the setting up of a Secretariat Study Group on the international supply chain and the holding of FALP/6 in 2010. Members are requested to give thought to whether a Session of the FAL Division could be held during the 2010-2013 triennium.

#### **Action by the FAL Panel:**

The Panel is requested to consider the invitation found in paragraph 4.1.

### **1. INTRODUCTION**

1.1 The Twelfth Session of the Facilitation Division (FAL/12) was held in Cairo from 22 March to 1 April 2004. The Division's Report was published as Doc 9838.

1.2 The Division made sixteen "A-type" Recommendations, i.e. Recommendations proposing to amend existing Standards and Recommended Practices (SARPs), and/or appendices, of Annex 9 or establish new ones.

1.3 The Division also made sixteen "B-type" Recommendations. These have either of two different purposes: some of them are proposed guidance for action by States in conjunction with SARPs and are added to the planned Facilitation Manual or other guidance material of Annex 9; others call for further work by the Organization, with assistance from Contracting States and/or other agencies.

1.4 A list of both A-type and B-type Recommendations of FAL/12, and the status of their implementation, is in the Appendix.

## 2. RECOMMENDATIONS OF FAL/12

2.1 Most Recommendations addressed to the Secretariat have been implemented, except for the following:

- a) **Recommendation B/7:** ICAO to establish internationally-agreed principles under which Advance Cargo Information (ACI) systems should be developed;
- b) **Recommendation B/9:** ICAO to develop security standards by which air cargo operators, agents, airports and ground handlers may be certified as authorized entities in the international supply chain;
- c) **Recommendation B/10:** ICAO to work proactively to support, and promote the implementation of authorized supply chain arrangements; and,
- d) **Recommendation B/11:** ICAO to review and update SARPs in Annex 9 in order to take into account the security and facilitation aspects of specifications for the authorized supply chain.

2.2 The Secretariat lacks in-house expertise on the various complex issues relating to the international supply chain. In order to complete this work, and that on the ACI principles, the Secretariat requires assistance. The work could be accomplished by a Secretariat Study Group that would work through correspondence, and prepare, in time for the next Panel meeting (tentatively planned to be held from **22 to 26 March 2010**) proposals for SARPs for Annex 9 on the security and facilitation aspects of the authorized supply chain and suggestions for Recommendations B/7, B/9 and B/10 above.

## 3. 13TH SESSION OF THE FACILITATION DIVISION

3.1 Recent Sessions of the Facilitation Division (i.e. worldwide conferences open to all Contracting States) have been held at 7- to 9-year intervals (FAL/12 was held in Cairo in 2004; FAL/11 in Montreal in 1995; FAL/10 in Montreal in 1988; FAL/9 in Montreal in 1979).

3.2 Therefore, if the 13th Session of the FAL Division is to be held, the ideal time for it would be during the 2010 – 2013 (ICAO budget) triennium, perhaps sometime in the first quarter of 2012. However, approval of the Air Transport Committee and/or the Council would be required, and allowance made for it in the next budget.

3.3 Before the idea is formally brought up at the Committee/Council level, thought needs be given on possible agenda items for the Division. These items should concern facilitation-related issues that require attention at a high policy level, and should be substantive enough to sustain a two-week meeting. The Secretariat would welcome any input on this from Panel Members and Observers, latest by **31 July 2009**. A final decision on FAL/13 could be made at the Panel meeting in 2010.

4. **ACTION BY THE FAL PANEL**

4.1 FAL Panel Members and Observers are invited to:

- a) volunteer for the Secretariat Study Group on the international supply chain;
- b) note that the next meeting of the Panel is planned for 22 to 26 March 2010; and
- c) provide any ideas, including suggestions for agenda items, for a possible 13th Session of the Facilitation Division, latest by 31 July 2009.

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APPENDIX to FALP/5-WP/19

FAL/12: COMPLETE LIST OF RECOMMENDATIONS

STATUS AS OF 25 MARCH 2008

Type	Subject	Para. No. in Doc 9838	Remarks
<b>Agenda item 1: Developments since the Eleventh Session of the Division</b>			
B/1	Annex 9 and AVSEC Programmes	22	Action for Contracting States
B/2	Travel advisories	27	Action for Contracting States
<b>Agenda item 2: Facilitation and security of travel documents and border control formalities</b>			
A/1	Amendment of Chapter 3 of Annex 9	32	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
<b>Agenda item 2.1: Machine readable travel documents (MRTDs)</b>			
(A/1)	(States to issue only MRPs from 1 April 2010)	42	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
B/3	Technical assistance on Machine Readable Passports	43	To be included in the work programme of the FAL Programme <b>Status: Completed: UIMRTD in MRTD Programme</b>
<b>Agenda item 2.2: Biometrics</b>			
A/2	Biometric data in Machine Readable Travel Documents	52	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
<b>Agenda item 2.3: Crew identity documentation</b>			
A/3	Crew identity documentation	61	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
<b>Agenda item 2.4: Advance passenger information (API)</b>			
A/4	Advance passenger information (API)	71	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
A/5	API — Fines and penalties	72	
A/6	API — Passenger manifest	73	

B/4	Advance Passenger Information (API) requirements	74	Action for Contracting States
A/7	Passenger Name Records — ICAO guidelines	77	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
B/5	Guidance material on Passenger Name Record (PNR) data	78	ICAO to develop PNR guidelines <b>Status: Completed</b> Guidelines published as Cir. 309 (June 2006)
<b>Agenda item 2.5: Implementation of aviation security</b>			
A/8	Unruly passengers	88	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
B/6	Unruly passengers	88	Action for Contracting States
<b>Agenda item 3: Implementing modernized provisions for facilitation and security in air cargo service operations</b>			
<b>Agenda item 3.1: Facilitation of cargo clearance</b>			
B/7	Principles of Advance Cargo Information (ACI)	102	ICAO to establish ACI principles <b>Status: PENDING</b>
B/8	Advance Cargo Information (ACI) Systems	102	Action for Contracting States (dependant on B/7)
<b>Agenda item 3.2: Security</b>			
B/9	Security standards for the international supply chain	107	ICAO to develop security standards <b>Status: PENDING</b>
B/10	Implementation of the authorized supply chain	109	FAL Programme to promote implementation (dependant on B/9, B/10)
B/11	The authorized supply chain in Annex 9	109	FAL Panel to revise Annex 9 SARPs <b>Status: PENDING</b>
<b>Agenda item 4: Controlling travel document fraud and illegal migration</b>			
A/9	Amendment of Chapter 1 of Annex 9	124	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
A/10	New Chapter 5	133	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
	Establishment of a FAL Manual Drafting		<b>Status:</b> Drafting Group not

B/12	Group	136	required as Ch. 5 will be included in FAL Manual (1st ed.) to be published in 2008
B/13	Refugees, asylum seekers and deported persons	139	Sec-Gen to support work in areas identified in the recommendation
<b>Agenda item 5: Modernizing airport facilities and service delivery systems</b>			
	<b>Agenda item 5.1: Revision of Annex 9, Chapter 6</b>		
	<b>Agenda item 5.2: National, regional and airport FAL programmes</b>		
A/11	Servicing operations at parking spaces	150	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
A/12	Privatization of airports	153	
A/13	Airport and national FAL Programmes	155	
<b>Agenda item 6: International Health Regulations (IHRs)</b>			
A/14	Alternative approaches to aircraft disinsection	161	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
B/14	Disinsection Standards of Annex 9	165	Action for Contracting States
B/15	Aircraft disinsection Standards	165	ICAO to develop guidance material <b>Status: PENDING (Dependent on B/16)</b>
B/16	Alternatives to chemical aircraft disinsection methods	165	ICAO to examine alternatives to currently approved methods <b>Status: WHO has initiated work on this (C/MED contact point)</b>
<b>Agenda item 7: Other Facilitation Matters</b>			
	<b>Agenda item 7.1: Assistance to accident victims</b>		
A/15	Assistance to aircraft accident victims and their families	180	<b>Status: Completed</b> 12 <sup>th</sup> Ed. Annex 9
A/16	Formalities for general aviation	183	