



International Civil Aviation Organization

**WORKING PAPER**

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English only

## **FACILITATION PANEL (FALP)**

### **SEVENTH MEETING**

**Montréal, 22-26 October 2012**

**Agenda Item 2: Report on the outcome of the High-level Conference on Aviation Security and the Twenty-third Meeting of the Aviation Security Panel**

**Agenda Item 4: Developments on Advance Passenger Information (API) and Passenger Name Record (PNR) data**

### **HIGH-LEVEL CONFERENCE ON AVIATION SECURITY: TECHNICAL ISSUES RELATING TO AGENDA ITEM 7**

(Presented by the Secretary)

#### **SUMMARY**

ICAO convened a High-level Conference on Aviation Security (HLCAS) in Montréal from 12 to 14 September 2012. Under Agenda Item 7, the Conference recommended, *inter alia*, that the technical issues raised during the Conference be addressed during the Seventh Meeting of the Facilitation Panel. The Conference outcomes are scheduled to be considered by the ICAO Council. This paper focuses on those issues that fall directly within the Panel's mandate and thus subject for consideration by the Panel for possible (additional) action.

#### **Action by the FAL Panel:**

The FAL Panel is invited to consider the proposals set out in the Appendix, and provide its views on these.

## **1. INTRODUCTION**

1.1 ICAO convened a High-level Conference on Aviation Security (HLCAS) in Montréal from 12 to 14 September 2012: (<http://www.icao.int/meetings/avseconf/Pages/default.aspx>). Under Agenda Item 7, *The role of the Machine Readable Travel Document (MRTD) Programme, Advance Passenger Information (API) and Passenger Name Record (PNR)*, the Conference considered the following Secretariat papers: HLCAS-WP/2, titled *Advance Passenger Information (API) and its Role in Aviation Security*, HLCAS-WP/5, titled *Passenger Name Record (PNR) Data and its Role in Aviation Security*, HLCAS-WP/8, titled *The Public Key Directory (PKD)*, and HLCAS-WP/9, titled *Traveller Identification and the Machine Readable Travel Document (MRTD) Programme*.

1.2 This subject was also addressed in HLCAS-WP/46, titled *Preserving Individual Rights*, presented by AFCAC on behalf of 54 African States. Information papers HLCAS-IP/5, titled *Position of Cuba Regarding Each Item of the Conference Agenda* and HLCAS-IP/9, titled *Implementation of the MRTD Programme: Travel Document Security and Passport Quality Test*, presented by the Republic of Korea, also related to this agenda item.

1.3 The Recommendations of the Conference are presented, for information, in FALP/7-IP/4. The Report on Agenda Item 7 is presented, for information, in FALP/7-IP/8.

## 2. DISCUSSION

2.1 In November 2012, the Council will consider a working paper containing proposed follow-up actions, to be completed within a fixed time-frame, by the Secretariat on the Recommendations of the HLCAS. With regard to passenger data exchange systems, it is being proposed that the Secretariat should:

- a) issue a State letter to promote international uniformity in the use of API and iAPI (by end-2012);
- b) develop (revised) guidance and training material (by end-2013);
- c) develop new/revised Annex 9 SARPs (2014-16); and
- d) conduct training and/or seminars for States (2013-2016). With regard to ePassports and the ICAO Public Key Directory (PKD), follow-up actions include the holding of seminars and development of new/revised guidance material.

2.2 These actions, however, are directed more at other ICAO entities such as the Technical Advisory Group on Machine Readable Travel Documents and the PKD Board, and hence do not specifically invite the attention of the FAL Panel.

2.3 Of direct interest to the Panel are the technical issues and proposed action items listed in sub-paragraphs 2.1 (b), (c) and (d). Also of direct interest are those issues not scheduled for consideration by the Council, which fall directly within the Panel's mandate and thus subject for consideration by this body for possible action.

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**APPENDIX**

**HLCAS: RECOMMENDATIONS** (FALP/7-IP/4 and IP/8 refer)

7.4.1 The HLCAS recommends that:

- a) States seeking to achieve enhanced aviation security, and to prevent illegal migration and the movement of potentially inadmissible persons, consider implementing iAPI systems;

| Possible action item                           | Council decision (pending) | Consideration by FAL Panel |
|--|----------------------------|----------------------------|
| a) State letter                                | ●                          | —                          |
| b) Conduct training and/or seminars for States | —                          | How the Panel may assist.  |

- b) States develop a single API or iAPI reporting requirement based on international standards, and a single agency be identified to receive all data and be responsible for internal dissemination to other agencies;

| Possible action item                           | Council decision (pending) | Consideration by FAL Panel     |
|--|----------------------------|--------------------------------|
| a) State letter                                | ●                          | —                              |
| b) Annex 9 SARP: single agency                 | (Future action)            | 2014-16?                       |
| c) Revised guidance material                   | —                          | API/PNR WG: Review of Doc 9944 |
| d) Conduct training and/or seminars for States | —                          | How the Panel may assist.      |

- c) States align the various data exchange systems that currently exist with the international data transmission standards adopted by relevant United Nations agencies, while ensuring the protection of the privacy and civil liberties of passengers;

| Possible action item                           | Council decision (pending) | Consideration by FAL Panel |
|--|----------------------------|----------------------------|
| a) State letter                                | ●                          | —                          |
| b) Conduct training and/or seminars for States | —                          | How the Panel may assist.  |

- d) PNR systems, based on the PNRGOV message format, require only those data elements collected and stored in aircraft operator systems, as described in *Guidelines on Passenger Name Record (PNR) Data* (Doc 9944), to meet the business and operational needs of those aircraft operators;

| Possible action item                           | Council decision (pending) | Consideration by FAL Panel |
|--|----------------------------|----------------------------|
| a) Annex 9 SARPs                               | (Future action)            | FALP/7; 2014-16?           |
| b) Conduct training and/or seminars for States | —                          | How the Panel may assist.  |

- e) ICAO Member States not require air transport operators to modify any existing systems in order to consolidate data flows, unless such operators choose to do so on an ad hoc basis, where practicable from business and operational viewpoints;

| Possible action item         | Council decision (pending) | Consideration by FAL Panel |
|------------------------------|----------------------------|----------------------------|
| a) Annex 9 SARP              | (Future action)            | 2014-16?                   |
| b) Revised guidance material | —                          | FAL Manual: future edition |

- f) ICAO incorporate new Standards and Recommended Practices into Annex 9 with the objective of enhancing global standardization on passenger data transmission and safeguarding the economic sustainability of international air transport;

| Possible action item         | Council decision (pending) | Consideration by FAL Panel |
|------------------------------|----------------------------|----------------------------|
| a) Annex 9 SARPs             | (Future action)            | 2014-16?                   |
| b) Revised guidance material | —                          | FAL Manual: future edition |

- g) ICAO provide States with assistance and training on the implementation of API and PNR systems, and the introduction of ePassports;

| Possible action item                                      | Council decision (pending) | Consideration by FAL Panel |
|---|----------------------------|----------------------------|
| a) Conduct training and/or seminars for States: API & PNR | —                          | How the Panel may assist.  |

- h) States consider issuing ePassports;

| Possible action item | Council decision (pending) | Consideration by FAL Panel |
|----------------------|----------------------------|----------------------------|
| a) State letter      | ●                          | —                          |

- i) States consider participating in the PKD, and ICAO disseminate the requirements of the programme and assist States in understanding these requirements;

| Possible action item | Council decision (pending) | Consideration by FAL Panel |
|----------------------|----------------------------|----------------------------|
| a) State letter      | ●                          | —                          |

- j) States consider implementing automated travel document validation using ePassport readers;

| Possible action item | Council decision (pending) | Consideration by FAL Panel |
|----------------------|----------------------------|----------------------------|
| a) State letter      | ●                          | —                          |
| b) Annex 9 SARP      | (Future action)            | 2014-16?                   |

- k) the proposed new strategy for the MRTD Programme be submitted to the Council for approval on the basis that it describe outcomes to be achieved; and

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| Not applicable to FALP. |
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