



**Network Manager**  
nominated by  
the European Commission

# European Action Plan for the Prevention of Runway Incursions – v3.0

2017 ICAO GRSS, Lima, Peru

Tzvetomir BLAJEV  
Operational Safety Coordinator  
November 2017

# In this briefing:



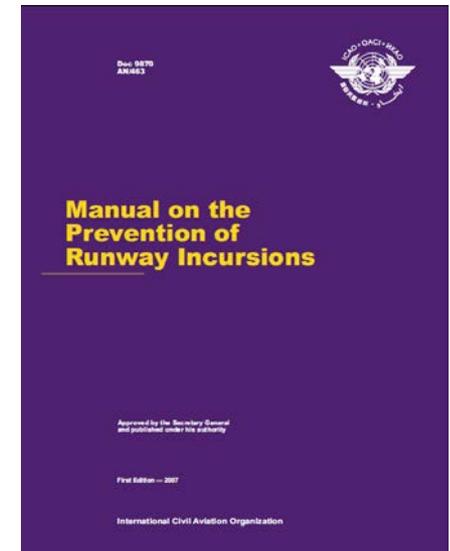
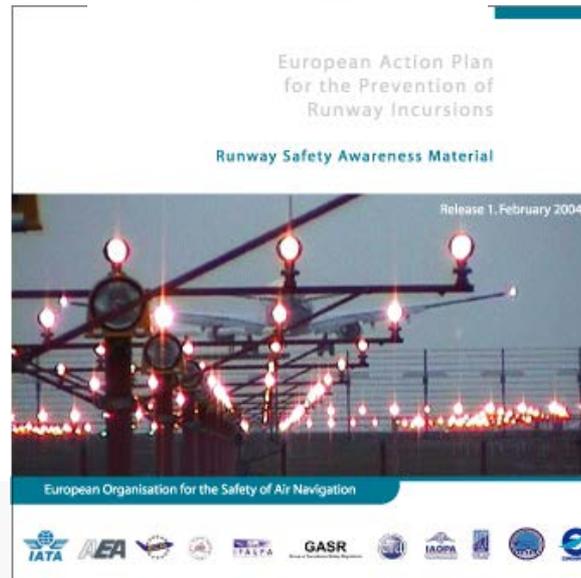
**Network Manager**  
nominated by  
the European Commission

- History**
- Change Drivers**
- Collaborative approach**
- Objectives**
- Timeline**
- EAPPRI v3.0 Recommendations and Appendices**
- What Next?**

# EAPPRI – A brief history



Network Manager  
nominated by  
the European Commission



# Drivers of Change - PESTLE

<b>P</b> olitical	SES, EU performance management
<b>E</b> conomic	Aerodrome capacity constraints, runway throughput/pressure, fuel efficiency
<b>S</b> ocial	Increasing mobility, demand, public expectations (safety levels)
<b>T</b> echnological	Aerodrome Safety Nets: A-SMGCS, Runway Status Lights ATC Safety Nets (SESAR), datalink. Aircraft Operator Safety Nets: RAAS Future: Remote Tower, drones/RPAS
<b>L</b> egal	New ICAO and EU regulations and requirements (e.g. aerodrome certification, SMS)
<b>E</b> nvironmental	Noise abatement – sub optimal runway configurations

# Collaborative Approach

*For the industry by the industry – best practice and sharing of excellence (Safety-II)*



# EAPPRI 3.0

## Objective

- Ensure document remains up to date and relevant, consistent and reflects existing and emerging industry best practices.
- Review, update and, where necessary, add new Recommendations and associated Guidance Materials.
- Continue to shine an industry spot light on Runway Safety.

## Aim

- Publish new EAPPRI v3.0 for 2<sup>nd</sup> ICAO Global Runway Safety Symposium).

## Goal

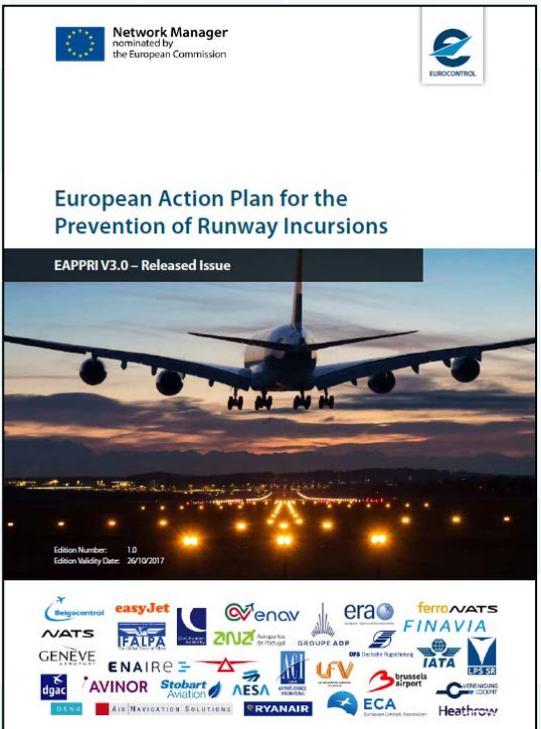
- Aspire to do even better and challenge the industry to further improve runway incursion prevention.

## Intermediate Step

- Used 2017 Safety Forum to ‘validate’ candidate EAPPRI v3.0 new Recommendations and gather additional information from delegates to further inform development.

Time / Phase	June 2016	Jun-Jul	Jul-Oct	Nov-Feb 2017	Feb-Apr	Apr-May	Jun	Jun-Sep	Oct	Nov	Dec
Scoping	10 Jun										
Sub WG and Allocation of work		15 Jul									
Initial scoping of effort/change			SISG 39 25 Oct								
Sub WG development work				Ad hoc 9 Feb							
Refinement of Sub WG developments					Ad hoc 25 Apr						
Consolidation of inputs for 1 <sup>st</sup> working draft						19 May					
Validation of working draft candidate Recommendations							SAF Forum 6-7 Jun				
Further validation post SAF Forum & SISG 40							SISG 40 8-9 Jun				
Final refinement for proposed Release version								Ad hoc 12-13 Sep			
Endorsement: SISG, ST, NDOP									ST/SISG /AOT		
Release and coordinated publication											
Implementation											
<b>Key Milestones</b>	EAPPRI v3.0 KoM		Initial progress report	2 <sup>nd</sup> progress meeting	3 <sup>rd</sup> progress meeting	1 <sup>st</sup> EAPPRI v3.0 Working draft Recs	2017 Safety Forum validation & further develop	EAPPRI v3.0 Propose d Issue	Consult, endorse & final Release Issue	Publication 2 <sup>nd</sup> ICAO GRSS November	Implement

## EAPPRI v3.0 Project Plan and Timeline



# EAPPRI 3.0



Network Manager  
nominated by  
the European Commission



Network Manager  
nominated by  
the European Commission

EUROCONTROL

## European Action Plan for the Prevention of Runway Incursions

EAPPRI V3.0 - Released Issue

Edition Number: 1.0  
Edition Validity Date: 26/10/2017

Belgaccontrol easyJet NATS IFALPA enav era ferroVATS FINAVIA  
GENEVE ENAIRE ZNŽ GROUPE ADP IATA LPS SR  
dgac AVINOR Stobart Aviation AESA UfV brussels airport  
RYANAIR ECA Heathrow

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION General Principles

(applies to Air Navigation Service Provider, Aircraft Operator, Aerodrome Operator)

**GP1**  
Assess effectiveness of SMS (with regard to runway safety/runway incursion prevention)

**Rationale:**  
SMS should have been implemented in line with ICAO and EU provisions; however, in the spirit of continuous improvement, re-assess all aspects of SMS related to runway safety (in particular runway incursion prevention) and ensure optimisation

**GP2**  
Continue to develop components of SMS and move towards a data driven, performance based safety-system approach with an emphasis on safety assurance and identifying best practice and signs of excellence

**Rationale:**  
Ensure a proactive approach; learn from "what goes right" as well as "what goes wrong" in line with Safety-II philosophy

**GP3a**  
Assess effectiveness of aerodrome local Runway Safety Team (RST), how it works and consider how it can be improved

**Rationale:**  
Aerodrome local RST should have been established in line with ICAO guidance and EU regulation; however, in the spirit of continuous improvement, confirm that working arrangements are optimal. Check role, terms of reference, composition, frequency, tasks and outputs

**GP3b**  
Assess effectiveness of runway safety awareness campaigns

**Rationale:**  
In conjunction with GP3a above, specifically re-assess safety awareness campaigns established at each aerodrome. Consider format, method of delivery, frequency and feedback

**GP4**  
European stakeholders should work together to study the interpretation of the ICAO runway incursion definition with the aim of improving the consistency and credibility of runway incursion reporting via appropriate regulatory channels

**Rationale:**  
Still widespread disparity in interpretation of ICAO runway incursion definition. Improve consistency and credibility of runway incursion reporting

**Note:**  
All runway safety related events should be reported and investigated as necessary



- Development of SMS (data driven, performance based)
- Effectiveness of SMS
- Effectiveness of runway safety team
- Effectiveness runway safety campaigns
- Runway Incursion definition

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION Aerodrome Operator (Ad Op)

<h3>Ad Op 1</h3> <p>Ensure briefing and supervision of external aerodrome construction contractors' drivers and other personnel working on the airfield</p> <p><b>Rationale:</b> External contractors may not be runway safety 'aware'; important to ensure that they are properly briefed and supervised</p>	<h3>Ad Op 2</h3> <p>Carry out regular audits of airside driving permits (e.g. check 'recency' of use) in particular those allowing access to the runways, which should be as few as possible</p> <p><b>Rationale:</b> Best practice to ensure access to runways is kept as low as possible</p>	<h3>Ad Op 3</h3> <p>Promote the adoption of 'sterile cab' procedures when on the manoeuvring area</p> <p><b>Rationale:</b> Aerodrome local RST should have been established in line with ICAO guidance and EU regulation; however, in the spirit of continuous improvement, confirm that working arrangements are optimal. Check role, terms of reference, composition, frequency, tasks and outputs</p>
<h3>Ad Op 4</h3> <p>Identify aerodrome Protected Areas and produce a map for drivers</p> <p><b>Rationale:</b> Improve drivers' situational awareness. Assist in interpretation of runway incursion definition</p>	<h3>Ad Op 5</h3> <p>Assess the numbering/naming policy for aerodrome vehicles and consider assignment of unique numbers/names for each airside vehicle</p> <p><b>Rationale:</b> Best practice measure to clarify the role of airside vehicles (e.g. Fire One (or similar) is always the Chief Fire Officer) and reduce risk of vehicle related call sign confusion</p>	<h3>Ad Op 6</h3> <p><b>Recommendation AD Op 6:</b> Closely spaced multiple parallel runway holding positions on the same taxiway should be avoided.</p> <p><b>Rationale:</b> Wide (nonstandard) taxiway entrances reduce the effectiveness of signs and markings as aids to prevent ground routing error and the infringement of the runway protections</p>



- Aerodrome contractors
- Runway driver audit checks (e.g. recency)
- “Sterile” cab
- “Ops Normal” calls (with ATC)
- Protected Area Map
- Vehicle naming/numbering policy
- Closely spaced parallel holding positions
- H24 Stop Bars or equivalent means (with ATC)
  - Elevated lights

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION Aircraft Operator

**A01**  
If received significantly early, flight crew should confirm with ATC the line-up/take-off or crossing clearance when approaching the runway holding position.  
**Rationale:**  
Aims to reduce RIs caused by pilots not remembering if they have clearance (or not) to enter the runway. Complementary Recommendation for ANSPs not to give clearance too early.

**A02**  
Flight crew should consider to confirm Landing clearance on short final, if ATC issued it more than 5 nautical miles from touch down.  
**Rationale:**  
Aims to reduce RIs caused by pilots not remembering if they have clearance (or not) to land. Complementary Recommendation for ANSPs not to give clearance too early.

**A03**  
Ensure that crews are aware of the significance of red lights (e.g. stop bars and other red lights) used in line with alerting systems to prevent incorrect entry onto a runway and to enhance situational awareness.  
**Rationale:**  
Take account of introduction of runway status lights in Europe.



**A04**  
Pilots shall only apply EOT procedure after careful consideration of local and operational circumstances so as to avoid a conflict with the sterile cockpit procedures.  
**Rationale:**  
EOT could potentially be a distraction; aim is to maintain a sterile cockpit as far as practicable.

- Early take-off/landing clearance
- Aerodrome **red** lights (e.g. RWSL)
- Engine Out Taxi

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION ANSPs

(also applies to Aerodrome Operator for ANSP 1, 2 and 3)

### ANSP 1

Consider implementation of H24 stop bars (and associated no crossing illuminated stop bar procedures)

**Rationale:** They world SSG studies consistently show that if H24 stop bars available then many reported ILS could/would most likely have been avoided

**Note:** Important to acknowledge potential cost and drawback, e.g. potential increase in controller workload (lower ergonomics and stop bar ops need to be optimized/automated)

### ANSP 2

Assess conditional clearance operational procedures and practices. Consider if the operational use of conditional clearances can be removed or reduced

**Rationale:** Safety studies have demonstrated that the misapplication and misinterpretation of conditional clearances can be a contributing factor in runway incursions.

### ANSP 3

Controllers should only issue line-up and/or take-off or crossing clearance when the aircraft is approaching the runway holding point

**Rationale:** Early passing of line-up and/or take-off clearance, which has no capacity related benefits, has been a contributing factor in some ILS

### ANSP 4

Controllers should avoid issuing landing clearance earlier than necessary

**Rationale:** Early passing of landing clearance has been a contributing factor in some ILS

**Note:** This should be established as local best practice and can normally be defined as a distance from touchdown



- H24 Stop Bars or equivalent means
- Review contingency
- “HOLD POSITION” phraseology
- Runway occupancy status
- Ops Normal” calls (with ATC)
- Conditional clearances
- Early take-off and landing clearances
- HMI – Controller Working Positions
  - Facilitate improved scanning & “Heads up”
- Vacating aircraft

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION Regulator

(also applies to Aerodrome Operator for ANSP 1, 2 and 3)

### Reg 1

National agencies charged with the oversight of aviation safety should consider how they discharge their responsibilities for runway safety which may include:

- 1a The establishment and coordination of a national/state runway safety group/team
- 1b Including prevention of runway incursions in national runway safety plans/State Safety Plans
- 1c Supporting the state-wide promotion and coordinated implementation of (EAPPRI v3.0)
- 1d Participating in aerodrome local Runway Safety Teams (at their invitation)

**Rationale:**  
Regulator involvement, behind support and improves coordination of national runway safety activities

### Reg 2

Assess the operator's SMS performances and its risk exposure related to runway incursions and use this assessment to adjust the oversight accordingly on a risk and performance-based approach

**Rationale:**  
Improve national oversight of runway incursion prevention activities of all stakeholders



- Assess operators' SMS
  - Performance and risk based
- RI prevention in State Safety Plans
- National runway safety teams
- Promotion of EAPPRI v3.0
- Aerodrome local runway safety team

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION AIM

(Applies to AIM Providers, ANSPs, Aircraft Operators, Aerodrome Operators)

**AIM 1**  
Provide information on aerodrome conditions that is simple to understand and focused on what is operationally usable, expressed in a standardised manner on format and content

**AIM 2**  
Provide information on temporary changes to operating conditions at the aerodrome that is optimized/ focussed to increase the situational awareness of the most critical changes. When needed, AIP Supplement with graphics and charts should be published. For planned temporary changes, issuance of NOTAM with short notice ahead of the effective date or non-AIRAC publications should be avoided

**Rationale:**  
Ever expanding volume of aerodrome AIM data created. Need to simplify and better target safety critical information for flight crews



- Simplify NOTAM information/data
  - Enhance readability/usability
- Move to digitise data for graphical display of NOTAM

# EAPPRI 3.0 – New Recommendations



Network Manager  
nominated by  
the European Commission

European Action Plan for the Prevention of Runway Incursions v3.0 (EAPPRI v3.0) new Recommendations



## SECTION Future Work

(Applies to EUROCONTROL but other stakeholders' views are welcome)

**FW 1**  
Monitor and evaluate emerging technologies that may affect future aerodrome operations. Consider potential implications concerning runway safety and provide appropriate guidance, for example

**FW 1a**  
Authorised Remotely Piloted Aerial Systems (RPAS)/drones' and autonomous vehicle operations on and around the aerodrome

**FW 1b**  
Remote Tower (RTWR) operations

**Rationale:**  
Emerging technologies may impact on runway incursion prevention. There is a need to monitor potential effects, e.g. enhanced RTWR sensors may benefit controllers but how will RTWR operations affect ANSP participation in local RSTI

How might future authorised drone use, e.g. for infrastructure inspections, including aerodrome surfaces, and instrument flight checks/calibrations affect runway safety/runway incursion prevention?



## ➤ Emerging technologies

- Authorised RPAS/drone use on airports
- Remote Tower technologies

# New Guidance Material Appendices

**Appendix E – ANSP/ATCO, completely re-written.**

**Appendix K - Aerodrome Design**

**Appendix L - Inspections/maintenance/work in progress**

**Appendix M – Emerging Technologies - SESAR**

**Appendix N – Interpretation of Runway Incursions**

# What next - Promotion

- Improve regulators' awareness of the Plan**
- Develop standard PPTs for use at national/local level**
- Organise workshop(s) with A(L)RST chairs**
- Organise workshop for pilots and controllers at ECTL HQ**
- Reach OPS/SAF managers and training departments/establishments**
- Reach VFR pilots via appropriate channels**
- Set up and maintain RWY safety page & group in social media**

# What next – Implementation

- Improve regulators' awareness of the Plan**
- Support best practice sharing**
- Support local workshops on best practice sharing**
- Support development of (generic) training module for airside drivers**
- Cartoon posters for airside drivers (and others)**
- Virtual training (simulation)**
- Encourage/facilitate familiarisation exchange (visits, SIM sessions, flights) between controllers/pilots/drivers.**

# What next - more Implementation

- SKYclips for airside drivers on:**
  - Readbacks**
  - Stop bars**
  - Signs and markings**
- Promote SKYclips through social media**
- Provide (on SKYbrary) background information to support correct understanding/interpretation of the recommendations and local analysis/implementation activities**



# More safety knowledge?

The single point  
of reference in the network of aviation safety knowledge

**SKY**  
brary

Operational Issues   Enhancements   Safety   Safety Alerts   Skybrary Solutions

[www.skybrary.aero](http://www.skybrary.aero)