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States' Action Plans Seminar

# 4. Mitigation Measures

Selection, Examples,  
and Expected Results



ICAO Secretariat



- Origin of the Basket of Measures
- The Basket of Measures
- Guidance on Selecting Measures
- Examples of Mitigation Measures
- How to make the assessment

# Context within the Action Plan Development Process

1

Contact information

2

Baseline (without action) fuel consumption CO<sub>2</sub> emissions and traffic (2010 or earlier to 2050)

3

List of selected measures

4

Expected results (fuel consumption, CO<sub>2</sub> emissions and traffic with the actions in #3 being taken 2014 to 2050)

5

Assistance needs



- **Chapter 4** – Selection of measures and quantifying their expected results
- **Appendix A** – Basket of measures to limit or reduce CO<sub>2</sub> emissions from international civil aviation
- **Appendix C** – Key stakeholders, analysis methods and tools
- **Appendix D** – Reference material relevant to the implementation of mitigation measures
- **Appendix E** - Examples of measures selected in action plans
- **Appendix F** – Costs and benefits related to the basket of measures



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# ICAO Basket of Measures

- High-level Meeting on International Aviation and Climate Change in October 2009 (HLM-ENV/09) endorsed the Programme of Action on International Aviation and Climate Change, which included:
  - global aspirational goals;
  - a basket of measures; and
  - the means to measure progress.



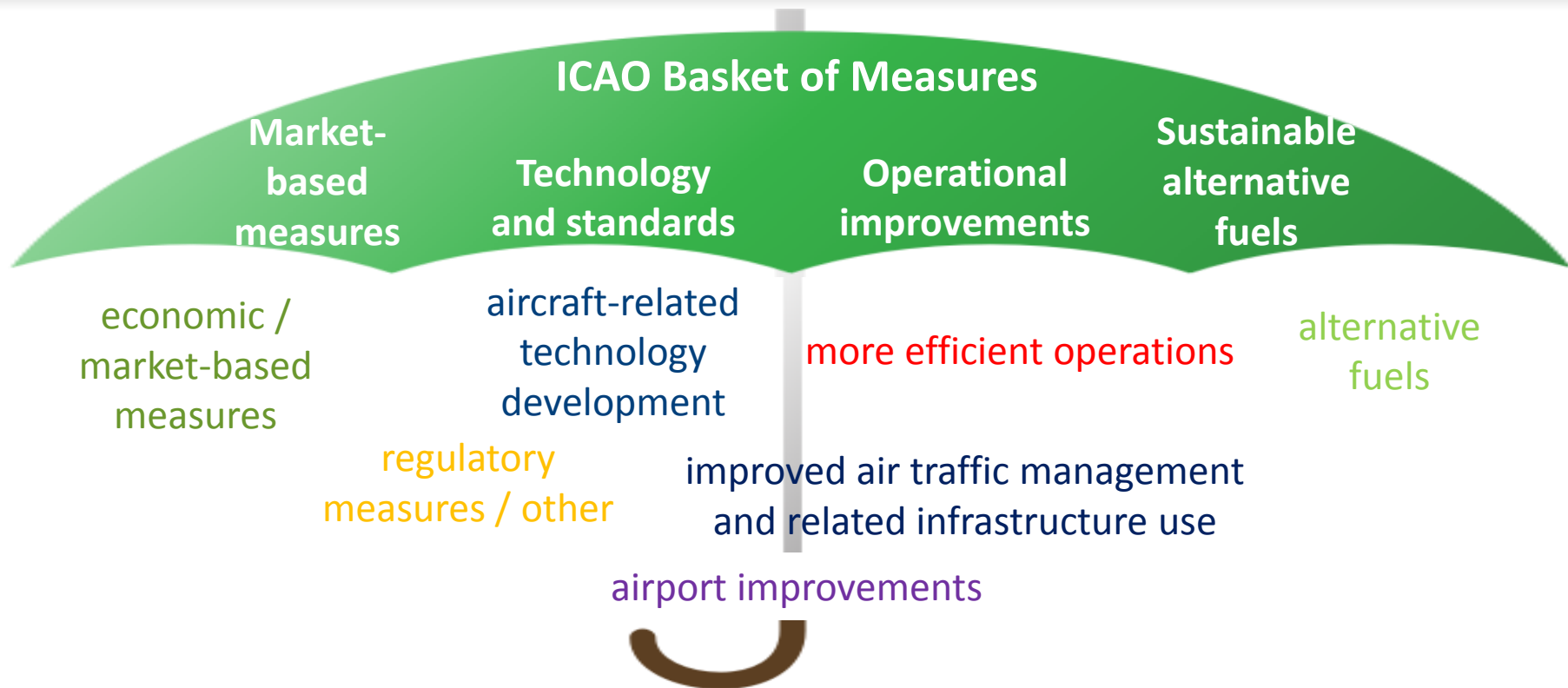
## Seven (7) categories of measures:

1. aircraft-related technology development;
2. alternative fuels
3. improved air traffic management and related infrastructure use
4. more efficient operations
5. economic/market-based measures
6. regulatory measures/other; and
7. airport improvements



As defined in the ICAO 39<sup>th</sup> Assembly Resolution A39-2:

- Technology and standards,
- Sustainable alternative fuels,
- Operational improvements, and
- Market-based measures

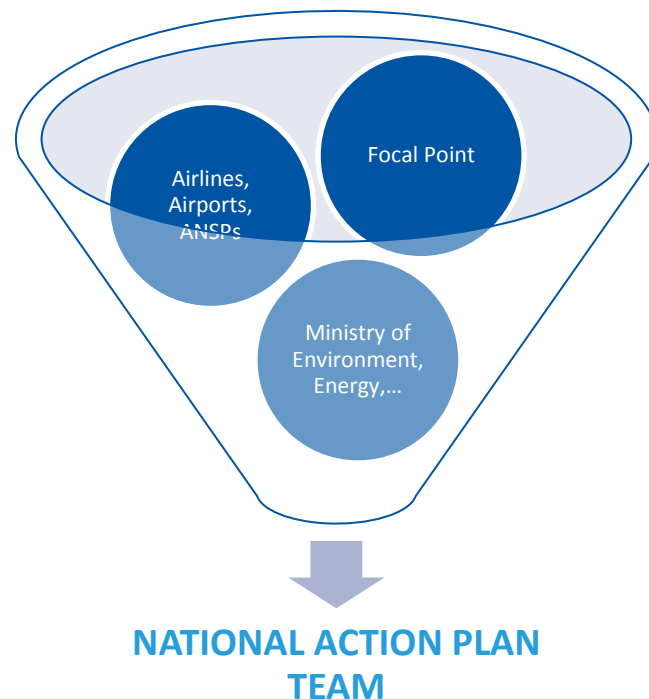






- Reference material:
  - **ICAO Doc 9988** Appendices A, C, D, E and F
  - Guidance on Environmental Assessment of Proposed Air Traffic Management Operational Changes – **ICAO Doc 10031**
  - Operational Opportunities to Reduce Fuel Burn and Emissions – **ICAO Doc 10013**
- Considerations:
  - Safety
  - Steps required
  - Resources needed (time, cost, human resources)
  - Timing of measures
  - Entity responsible for tasks
  - Environmental benefits

- The Focal Point should always work in collaboration with the National Action Plan Team
- **Context** is key for the selection of appropriate mitigation measures



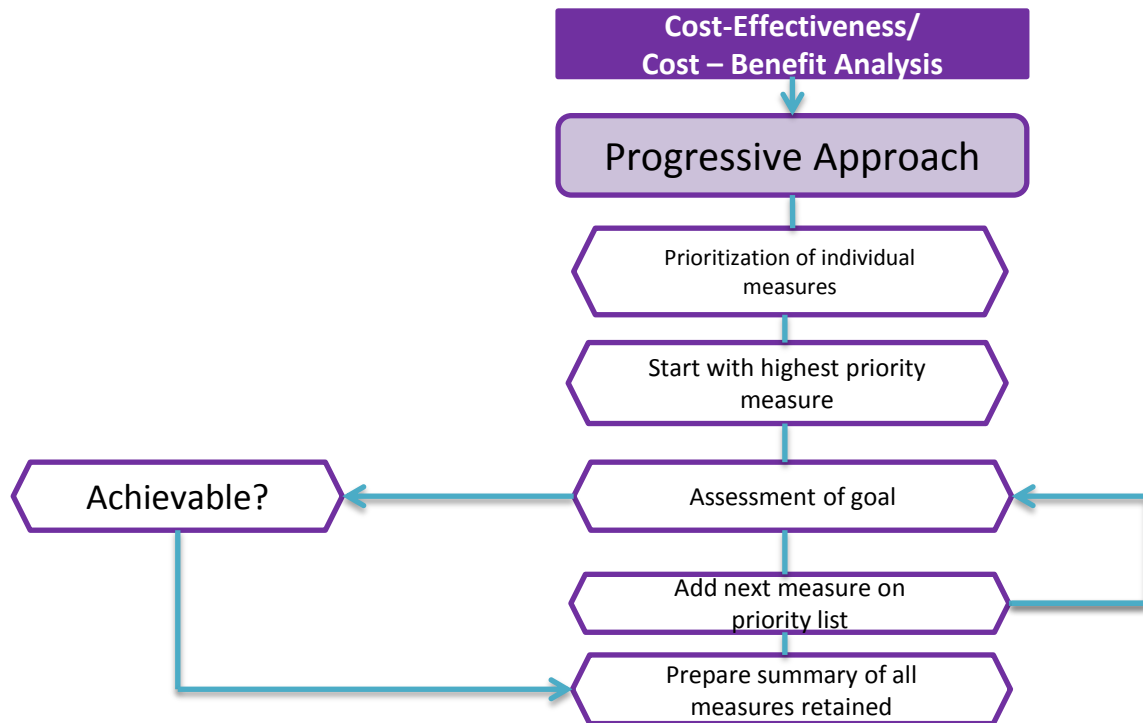


# Prioritization and selection of mitigation measures

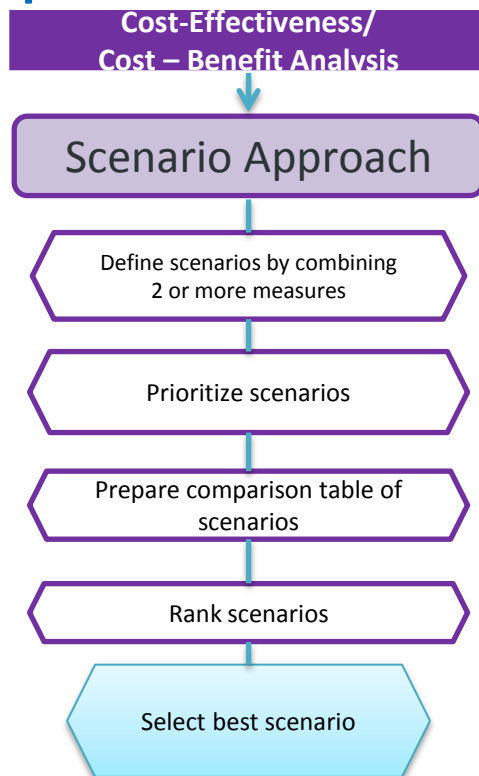
A cost-effectiveness or cost-benefit analysis may be performed prior to the prioritization exercise (see Appendix F) . There are two possible approaches to select measures:

- *Progressive approach*
  - Measures are ranked individually and added progressively to achieve the goal(s)
- *Scenario approach*
  - Measures are combined in scenarios and ranked in combination

## Indicative sequence of steps for a cost-effectiveness or cost-benefit analysis



## Indicative sequence of steps for a cost-effectiveness or cost-benefit analysis





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# Examples of Mitigation Measures





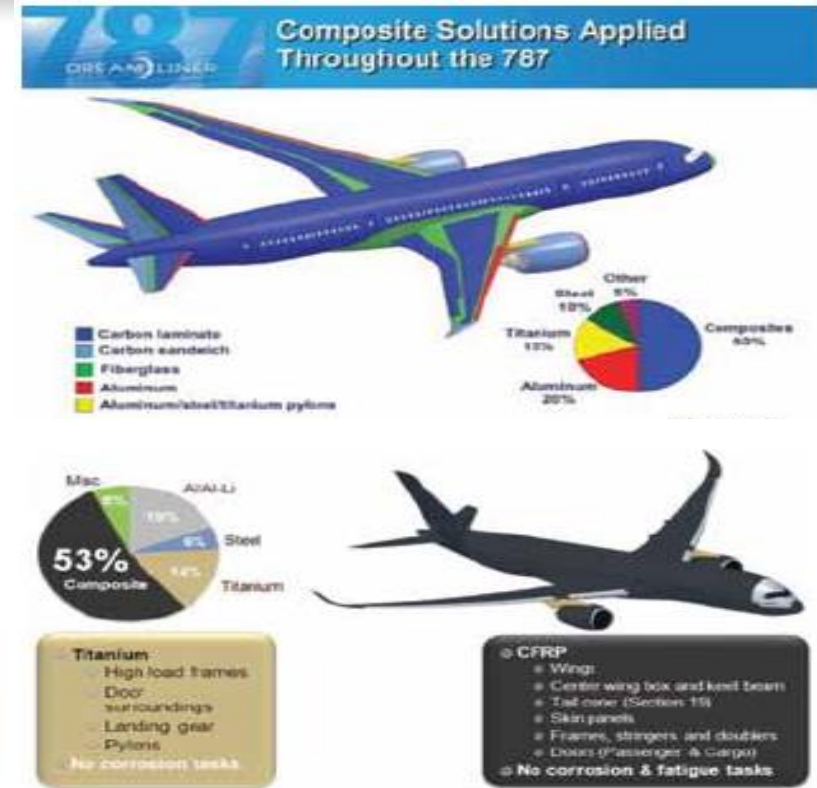
- Aircraft minimum fuel efficiency standards;
- Aggressive aircraft fuel efficiency standards, setting standards for the future;
- Purchase of new aircraft;
- Retrofitting and upgrade improvements on existing aircraft;
- Optimizing improvements in aircraft produced in the near- to mid-term;
- Avionics;
- Adoption of revolutionary new designs in aircraft/engines.



- To improve fuel efficiency there are continuous efforts in:
  - Structures
  - Propulsion
  - Aerodynamics
- Advanced technologies are already being incorporated into aircraft designs in order to contribute to carbon neutral growth by 2020.



- Reductions in weight are a key factor in reducing fuel burn:
  - Use of Carbon Fibre Reinforced Plastic (CFRP) and advanced alloys is increasing;
- Airbus A380 contains 25% composites.
- Boeing 787 and Airbus A350 have pushed the composite use to 50%.

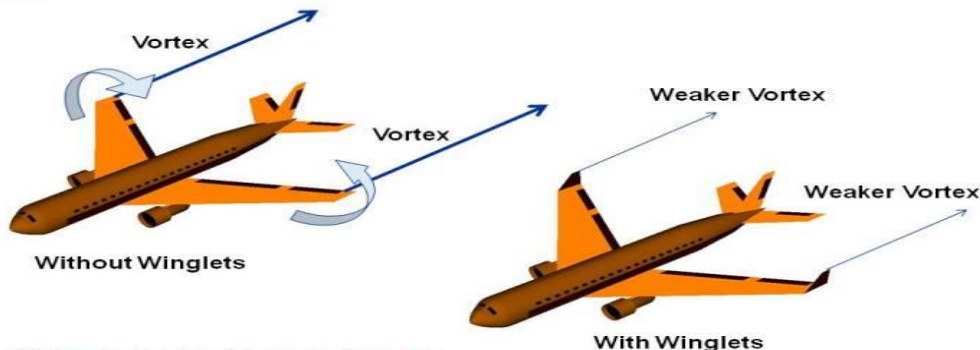


Source: ICCAIA

- Aerodynamics, for example:
  - Drag reduction technologies
  - Wingtip devices

National Aeronautics and Space Administration

## Winglets



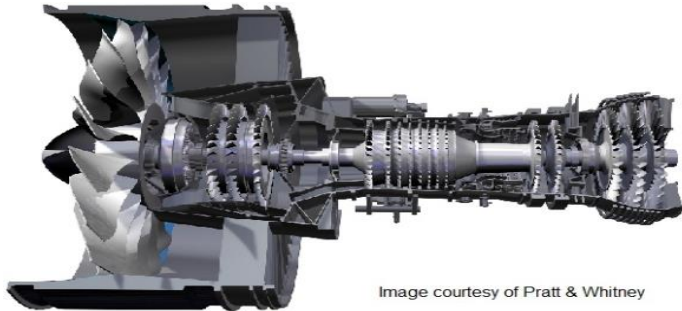
**Winglets reduce induced drag component.**

www.nasa.gov



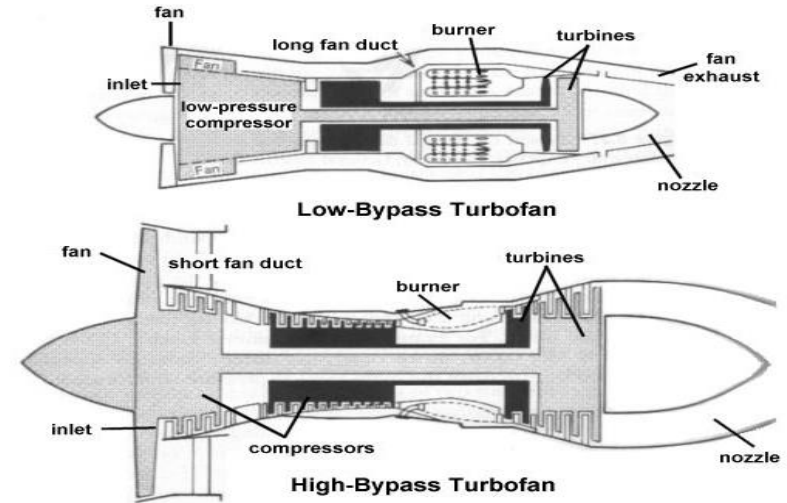
<http://www.airlinereporter.com/>

- Drive towards increased propulsive efficiency:
  - Higher by-pass ratio engines deliver thrust at lower fuel consumption
  - Lighter and higher temperature materials



<http://www.ecomagination.com/portfolio/genx-aircraft-engine>

<http://machinedesign.com/archive/fewer-trips-fuel-truck>





- Lead to significant emissions reductions
- Require substantial investment
- Medium-term, long-term, longer-term
- In some cases, cannot be justified solely on the grounds of environmental goals
- May be more feasible and attractive should funding and other assistance be made more accessible

- development of biofuels;
- development of other fuels with lower life-cycle CO<sub>2</sub> emissions;
- standards/requirements for alternative fuel use.



<http://lae.mit.edu/alternative-fuels/>



- Potential for significant emissions reductions
  - Depends on feedstock type and cultivation, conversion process...
- Emissions reductions achievable with existing aircraft
- Benefits will depend on:
  - the availability of such fuels and the time profile of their deployment;
  - their actual lifecycle emissions reduction
- Challenges
  - Decreasing production cost
  - Investment in feedstock production and conversion facilities
  - Ensuring a sustainable deployment
- States' policy support is required



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# Sustainable Alternative Fuels

More than 5,000 commercial flights have been flown on sustainable alternative fuels



IBERIA



Thomson



AIRFRANCE



AIR CANADA



porter

UNITED



Linhas aéreas inteligentes



Scandinavian Airlines



norwegian



CATHAY PACIFIC

British Midland International



SOUTH AFRICAN AIRWAYS

Next

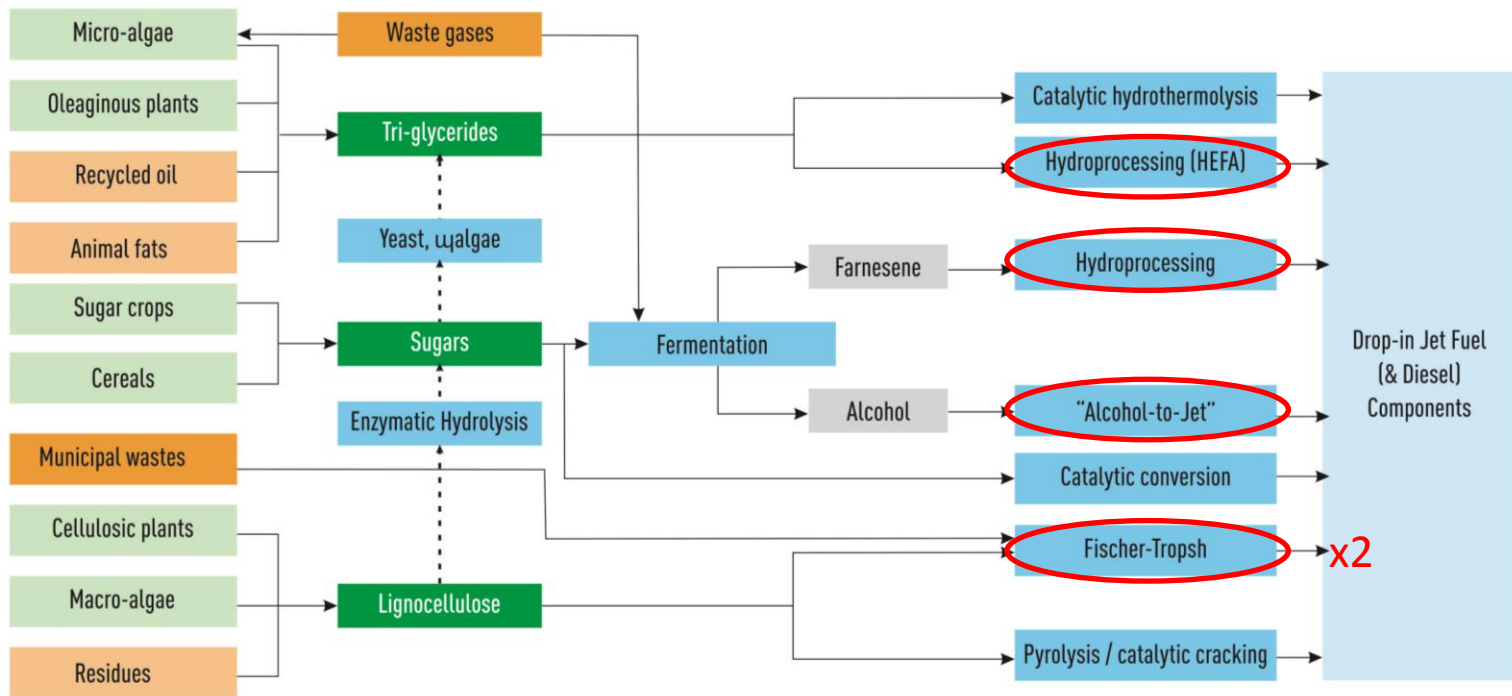


海南航空  
HAINAN AIRLINES



# Sustainable Alternative Fuels

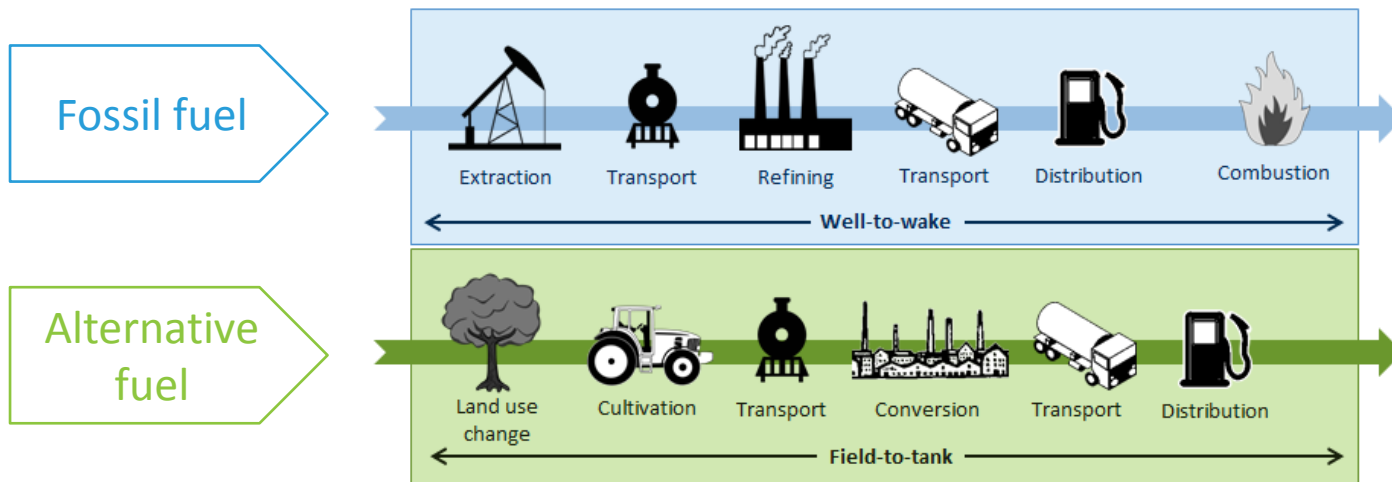
- Five fuel production processes are certified for use in aviation





# Sustainable Alternative Fuels

## How can a drop-in fuel reduce CO<sub>2</sub> emissions?



# Sustainable Alternative Fuels

## ICAO Global Framework for Aviation Alternative Fuels (GFAAF)



- Started in 2009
- Database for relevant activities
  - Frequently asked questions
  - Facts and Figures
  - News and Activities
  - Initiatives and Projects

### Current Activities



Or to see more information visit the [News and Activities](#) page.

*\*Zoom in for best results*

### Current Initiatives



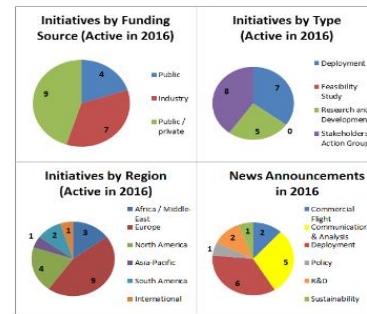
Or to see more information visit the [Initiatives & Projects](#) page.

### Frequently Asked Questions

1. [Why introduce alternative fuels in aviation?](#)
2. [What are sustainable alternative jet fuels?](#)
3. [What are the potential environmental benefit of alternative fuels?](#)
4. [Which alternative fuels can currently be used?](#)
5. [What are the challenges for the development and deployment of alternative fuels?](#)
6. [What are the initiatives worldwide for the development of alternative fuels?](#)
7. [What is ICAO doing in the field of alternative fuels?](#)

### Facts and Figures

Click the image below to view Facts and Figures from 2016





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## Improved air traffic management and infrastructure use

- more efficient Air Traffic Management (ATM) planning, ground operations, terminal operations (departure, approach and arrivals), en-route operations, airspace design and usage, aircraft capabilities;
- more efficient use and planning of airport capacities;
- collaborative research endeavours.



- Lead to moderate emissions reductions (significant in some cases)
- Involve substantial investments (ANSPs, air carriers)
- Other performance dimensions (safety, reliability, cost, capacity, etc.)

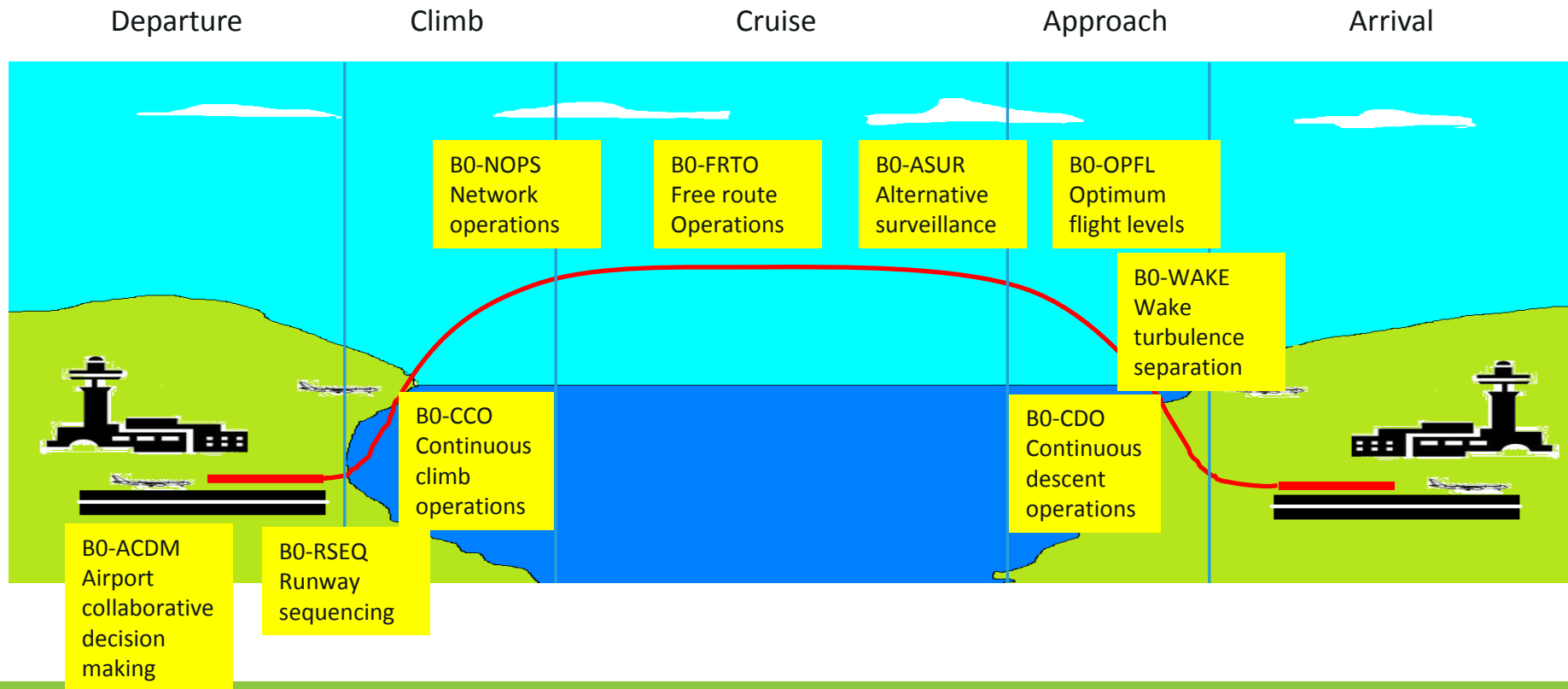




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# Improved air traffic management and infrastructure use





## Resource list:

- ICAO's Global Air Navigation Plan (Doc 9750)
- ICAO's Global Air Navigation Report – April 2014
- ICAO's PIRGs' environmental initiatives
- ICAO's Aviation System Block Upgrades
- The Global Air Traffic Management Operational Concept (Doc 9854)
- Manual on Air Traffic Management System Requirements (Doc 9882)
- Manual on Global Performance of the Air Navigation System (Doc 9883)
- Guidance on Environmental Assessment of Proposed Air Traffic Management Operational Changes (Doc 10031)

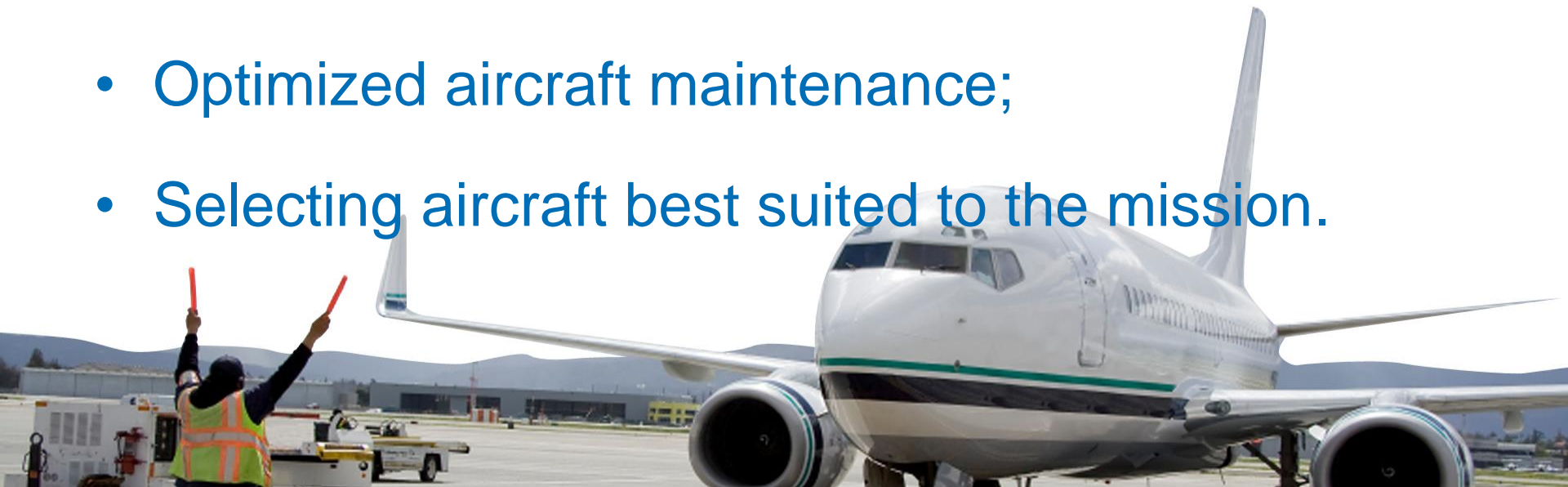


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# More Efficient Operations

- Best practices in operations – ICAO Doc 10013;
- Optimized aircraft maintenance;
- Selecting aircraft best suited to the mission.



# More Efficient Operations



Engine washing



Use of Ground Power Units

<http://www.anahd.co.jp/en/csr/environment/effort.html>



# More Efficient Operations

## Green Taxiing

### Taxi-bot



On engaging with the TaxiBot, the nose wheel of the aircraft enters the vehicle turret and is quickly clamped securely into position. The turret is able to rotate freely and can hence take steering and braking requests directly from the nose wheel - the flight crew can thus manoeuvre the aircraft around the taxi-ways of the airport without using the plane's main engines

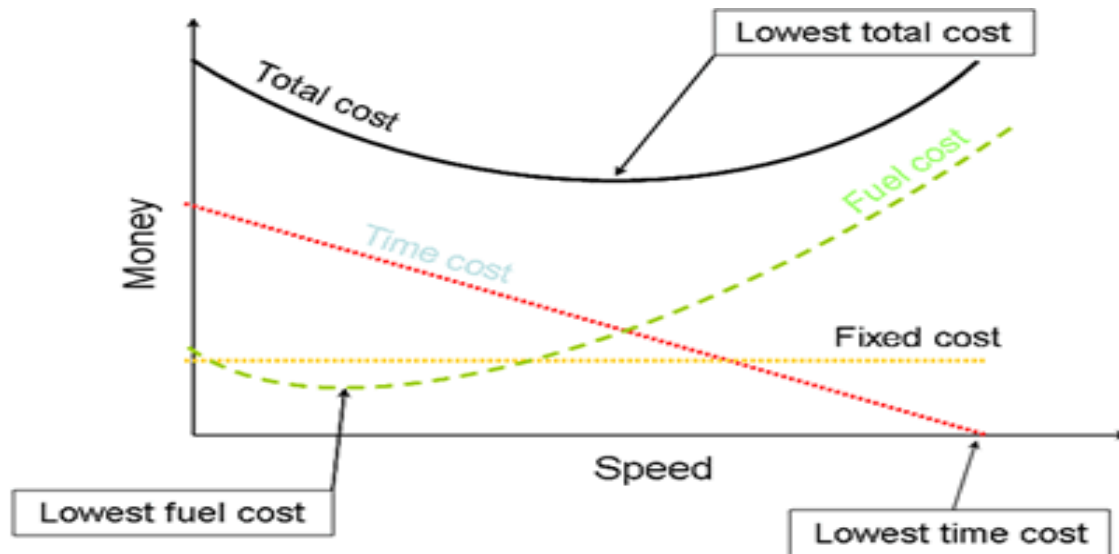
<http://www.planet-trucks.com/truck-news/a32889/taxibot-causes-zero-fuel-emission-and-zero-noise-pollution-html>



[http://www.aviationpros.com/press\\_release/10705890/altalia-and-wheeltug-sign-electric-taxiing-partnership](http://www.aviationpros.com/press_release/10705890/altalia-and-wheeltug-sign-electric-taxiing-partnership)

Wheel tug

## Cost Index



[http://www.airways.co.nz/aspire/content/cost\\_index.asp](http://www.airways.co.nz/aspire/content/cost_index.asp)



# More Efficient Operations

## Assessment

- Short-term
- Lead to moderate emissions reductions (significant in some cases)
- Require minimal (or no) investment

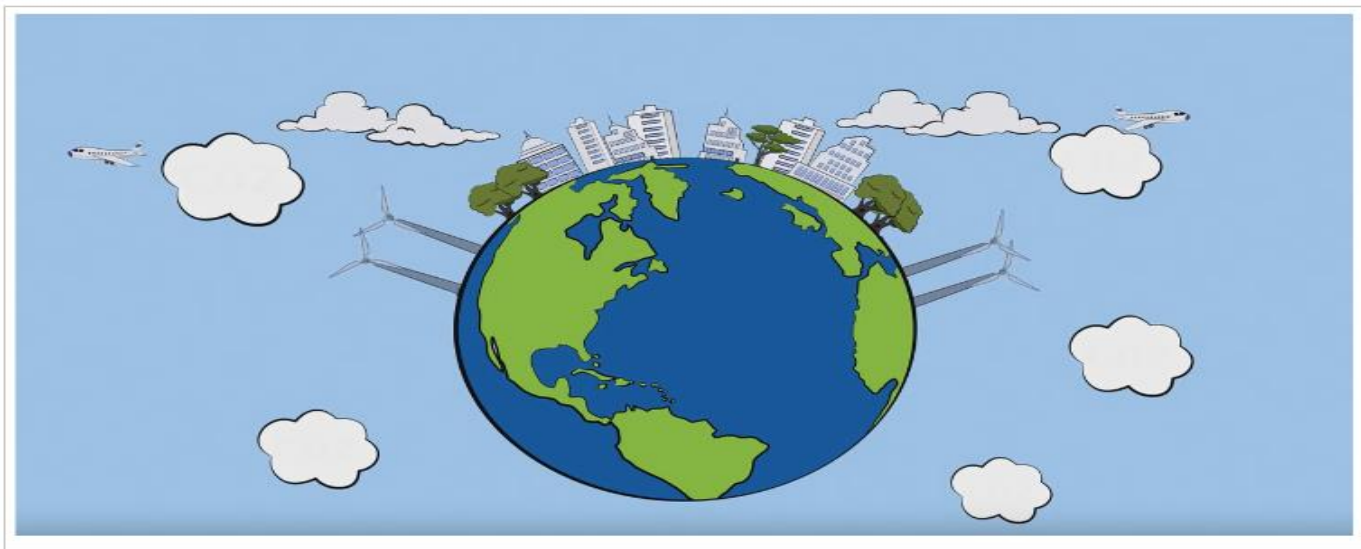
## Resource List

- ICAO's *Procedures for Air Navigation Services — Aircraft Operations* (Doc 8168),
- *Operational Opportunities to Minimize Fuel Use and Reduce Emissions* (Doc 10013),
- Airbus' *Getting to Grips with Fuel Economy* (and technical documentation and guidance)
- Boeing's *Fuel Conservation Strategies: Descent and Approach* (and technical documentation and guidance).



- Voluntary inclusion of aviation sector in market-based measures
- Establishment of a multilateral emissions trading scheme for aviation
- Emissions charges or modulation of landing/take-off (LTO) charges
- Positive economic stimulation by regulator
  - Research programmes
  - Special consideration and government programmes/legislation
  - Accelerated depreciation of aircraft
- Accredited offsetting schemes

## The Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA)





# Regulatory measures/other

- airport movement caps/slot management
- enhancing weather forecasting services
- requiring transparent carbon reporting
- conferences/workshops
- other





# Airport Improvements

- Airfield improvements
- Reduced energy demand and preferred cleaner energy sources
- Enhanced GSE ( Ground Support Equipment) management
- Conversion of GSE to cleaner fuels
- Improved transportation to and from airport





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# Airport Improvements

Use cleaner alternative sources of power generation ( photovoltaic panels)

## Airfield improvements



<http://www.passengerterminaltoday.com/viewnews.php?NewsID=36516>

<http://arabianindustry.com/construction/photos/2012/mar/20/pictures-chinas-hefei-xinqiao-airport-project-3534908/>





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# Airport Improvements

## Improved public transport access

### Conversion of GSE to cleaner fuels



<http://www.globalgse.com/>

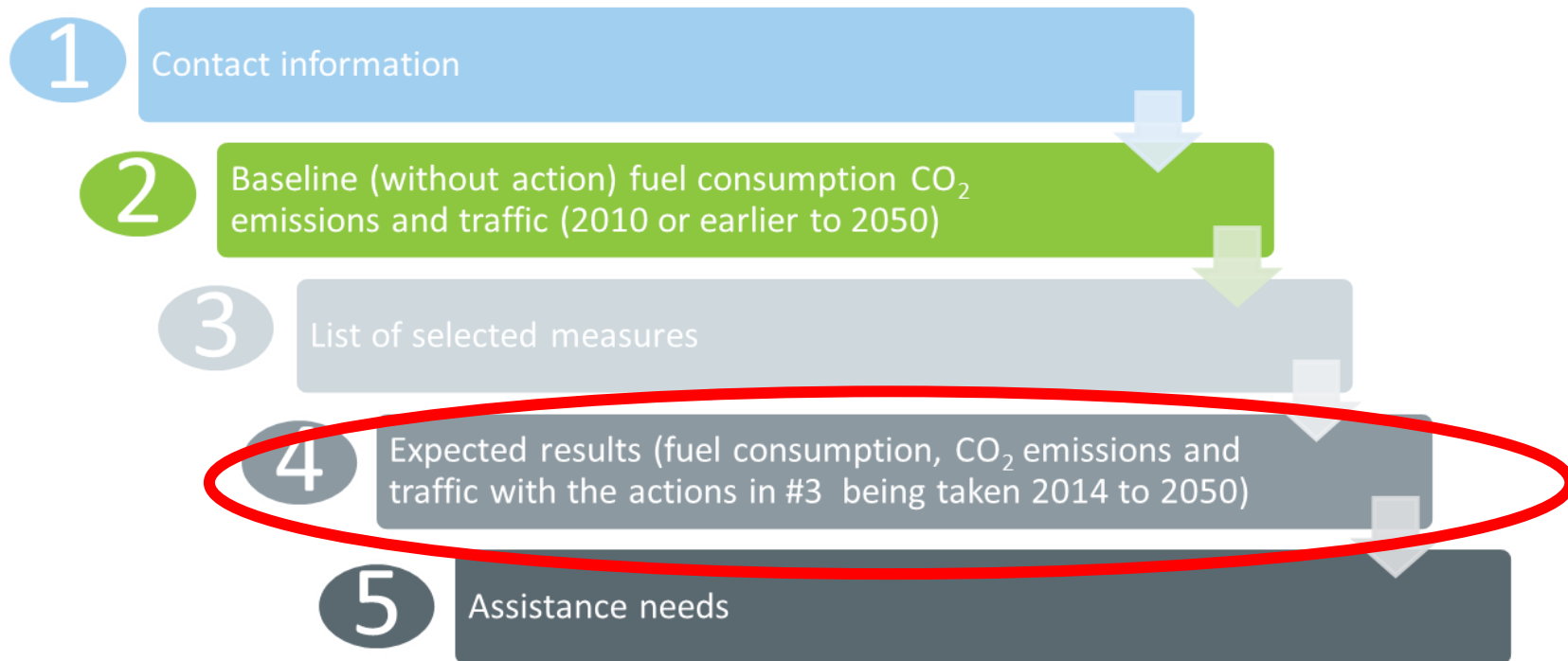


<http://www.rtcwashoe.com/section-public-transportation>



- Offer significant potential for emissions reduction, however, not all of those changes will directly affect international aviation emissions
- States are encouraged to include them in their action plans as well, while differentiating between those that will contribute to reduced fuel consumption by aircraft flying internationally and those that offer “co-benefits.”

## Context within the Action Plan Development Process





# About Expected Results

- ICAO Assembly Resolution A39-2, para 11 *“Invites those States that choose to prepare or update action plans to submit them to ICAO”* and in doing so, include *“quantified information on the expected environmental benefits from the implementation of the measures chosen from the basket”*
- Expected results are the effect of the implementation of the selected measures on the baseline
- Only aggregate expected results need to be submitted



# About Expected Results

- However, the techniques shown in the guidance allow the incremental benefits of each measure to be calculated
- encourage States to include this quantified information in the Action Plan
- promote the use of the Environmental Benefit Tool (EBT) available to Focal Points on the ICAO APER website.



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# How to describe the selected measures in your Action Plan? ICAO Doc 9988

Measure	Benefit/cost
	Benefit:  Relative potential gains:  Co-benefits  Cost:  Cost range:  Additional metric(s):

Title	
Description	
Category	
Measure	
Action	
Start date	
Date of full implementation	
Implemented by	(when there are benefits from the measures)
Economic cost	
Currency	
Reference to existing legislation	
Legislation is proposed	
Compliance	<ul style="list-style-type: none"><li>— voluntary</li><li>— mandatory</li><li>— N/A</li></ul>
Assistance needed	
Assistance needed (check more than one)	<ul style="list-style-type: none"><li>— finance</li><li>— technology</li><li>— technical support</li><li>— education</li><li>— research</li><li>— other</li></ul>
Currency for financial assistance	
List of stakeholders involved	
Point of contact	

- Quantified results needed to assess the plan

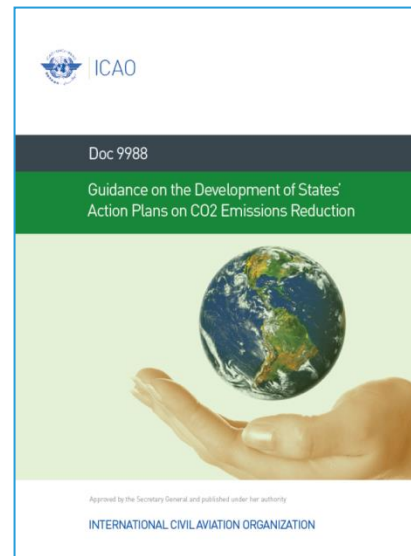
### 3.3 Incremental improvements/benefits of each measure

Please inscribe below the anticipated improvements/benefits associated with each specific measure. A measure can have several anticipated improvements for different years.

Year			
Improvement in total fuels (litres)			
Improvement in total fuels (%)			
Improvement in international fuels (litres)			
Improvement in international fuels (%)			
Improvement in total CO <sub>2</sub> emissions (kg)			
Improvement in total CO <sub>2</sub> emissions (%)			
Improvement in international CO <sub>2</sub> emissions (kg)			
Improvement in international CO <sub>2</sub> emissions (%)			
Anticipated co-benefits			

# For More Information...

- See Chapter 4 and Appendix C of the Guidance, Second Edition







# Conclusion

- Based on today's presentations and Guidance Document 9988, *Chapter 4*, you are prepared to determine which mitigation measures are most appropriate for the aviation industry in your State
- After choosing your mitigation measures you will be able to use the EBT to estimate the impacts of their implementation on your baseline (calculate your expected results)