

Panel 4 – Interaction Between SSP and SMS

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I want to briefly touch on two issues...

- Oversight and monitoring activities of service providers under SMS; and,
- Links between the ALoSP and service provider SPIs.

Objective of oversight...

- The ultimate aim of oversight is to monitor compliance to regulatory requirements.
- To that end, all service providers have an obligation to comply with their respective regulatory requirements at the time of certification and on an on-going basis.

Oversight and monitoring activities

- Traditional oversight methods (i.e. audits and inspections) focus on determining regulatory compliance.
- Under SMS regulators are moving to a different approach, which includes activities such as system assessments.

Oversight and monitoring activities

- The transition process will take time and a great deal of effort.
- There are new safety inspector competencies required for working in an SMS environment including SMS oversight and monitoring in order to achieve the desired safety outcomes.

Enforcement under SMS...

- It is critical that authorities review their current approaches to enforcement in light of the transition to SMS.
- Misaligned enforcement policies will derail any attempts to have SMS “work” for either the regulator or the service provider.

Links between the ALoSP and service provider SPIs

Achieving an ALoSP together

- The aviation system can be considered as a system of “organizations” in the sense that several different organizations cooperate to achieve an overall objective of an ALoSP for the system that none of the individual units can accomplish by itself.

Links between the ALoSP and service provider SPIs

- The success of safety management depends on the commitment of the State and its service providers to improving the overall safety performance in the State.
- This means that there must be continuous dialogue, monitoring and the sharing of safety information between the State and the service providers.

To summarize



- The journey to find the end of the SMS rainbow is long, arduous, exciting, challenging, frustrating.... but above all **worth the effort.**
- **The important thing in our journey is not to leave anyone behind. We are truly all in this together.**

Thank you for your attention.