



**TECHNICAL ADVISORY GROUP ON MACHINE READABLE
TRAVEL DOCUMENTS (TAG/MRTD)**

TWENTY-FIRST MEETING

Montréal, 10 to 12 October 2012

Agenda Item 1: ICAO Secretariat Updates
Agenda Item 1.1 Report of MRTD Outcomes of FALP/7

**REPORT ON THE MRTD-RELATED OUTCOMES OF THE SEVENTH MEETING OF
THE FACILITATION PANEL (FALP/7)**

(Presented by the Secretariat)

1. INTRODUCTION

1.1 The Seventh Meeting of the Facilitation Panel (FALP/7) was held at ICAO Headquarters, Montreal, from 22 to 26 October 2012.

1.2 Among other matters, the FAL Panel considered proposals for the amendment of MRTD-related Standards and Recommended Practices (SARPs) of Annex 9 — *Facilitation*. The recommendations of the Panel on MRTDs are reproduced in the Appendix, for information.

1.3 The Panel's report is scheduled to be presented to the Air Transport Committee early in 2013.

APPENDIX

1. RECOMMENDATIONS OF FALP/7

1.1 In FALP/7-WP/4, Canada, on behalf of Australia, Canada, New Zealand, the United Kingdom and the United States, presented proposals to amend existing Standard 3.8 and to add a new Standard 3.8.1 to enhance the security of the travel document issuance process. In this regard, the Panel recommended the following amendments to Annex 9:

3.8 Contracting States shall establish controls ~~on the creation and issuance of travel documents in order~~ to safeguard against the theft of their ~~stocks~~ blank travel documents and the misappropriation of newly issued travel documents.

3.8.1 Contracting States shall establish appropriate controls over the entire travel document application, adjudication and issuance processes to ensure a high level of integrity and security.

1.2 In FALP/7-WP/7, Canada, on behalf of the International Air Transport Association's Control Authorities Working Group (IATA /CAWG), presented proposals to insert a new definition, two new Standards and one new Note with regard to persons identified as holding fraudulent or falsified travel documents. In this regard, the Panel recommended the following amendments to Annex 9:

Imposter. A person who impersonates the rightful holder of a genuine travel document.

3.XX.1 Contracting States shall not require aircraft operators to seize documents referred to in Standard 3.46.

3.XX.2 Contracting States shall not require an aircraft operator to carry a passenger from a point of departure or transit when the travel document presented by that passenger is determined by the State to be fraudulent, falsified, or counterfeit, or is held by a person other than to whom the document was legitimately issued.

Note.— Nothing in this provision is to be construed so as to prevent the return of inadmissible passengers whose travel document(s) are fraudulent, falsified or counterfeit or held by an imposter, and have been seized by a Contracting State, in accordance with Standard 3.46 and who are travelling under a covering letter issued in accordance with 5.7.

Appendix

1.3 In FALP/7-WP/11, the Secretariat presented a proposal to amend Standard 3.10, as the deadline prescribed in the Standard has expired. In this regard, the Panel recommended the following amendment to Annex 9:

3.10 All passports issued by Contracting States shall ~~be~~ ~~begin issuing only~~ Machine Readable ~~Passports~~, in accordance with the specifications of Doc 9303, Part 1, ~~no later than 1 April 2010~~.

Note.—This provision does not intend to preclude the issuance of non-machine readable passports or temporary travel documents of limited validity in cases of emergency.

1.4 In FALP/7-WP/13, the European Civil Aviation Conference (ECAC) recommended the inclusion of a new definition for Automated Border Control (ABC) and a new Recommended Practice on this topic. In this regard, the Panel recommended the following amendments to Annex 9:

Automated Border Control (ABC). An automated system which authenticates the electronic machine readable travel document or token, establishes that the passenger is the rightful holder of the document or token, queries border control records, then determines eligibility for border crossing according to pre-defined rules.

[Chapter 3] xx. Recommended Practice.— Contracting States utilizing Automated Border Control (ABC) systems should ensure that gates are adequately staffed whilst operational to ensure a smooth passenger flow and respond rapidly to safety and integrity concerns in the event of a system malfunction.