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SECURITY & FACILITATION



The Facilitation Programmes

Hubert Gattet

ICAO TRIP Officer

Email: hgattet@icao.int





What is Travel Facilitation?



Expedite border clearance process



Involving Immigration, Customs, Health, Quarantine



Main challenge for Facilitation

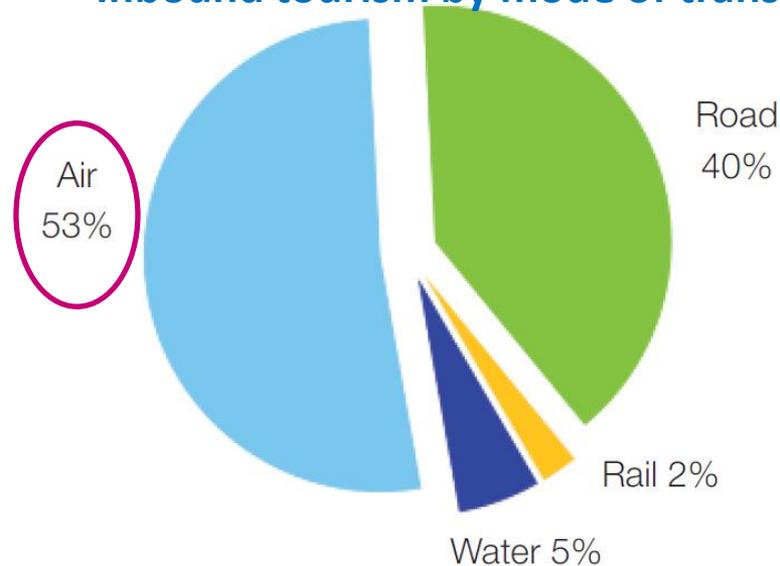


**More than 3.5 annual Million International passengers per year
for whom border clearance need to be expedited**



More than half of International tourists arrive by air

Inbound tourism by mode of transport



Source: World Tourism Organization (UNWTO)

- **Tourism expansion relies heavily on air transport.**
- **Harmonious sectorial policies on air transport and tourism will facilitate the development of both air transport and tourism**
- **Legitimate ICAO leadership in Travel Documents matters**



Three interrelated pillars of Facilitation

Annex 9



ICAO TRIP Strategy



ICAO PKD

Chapter 3 & 9: main SARPs related to the TRIP

Inspection Tool for ePassports verification, validation & authentication





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Mainstreaming Aviation Security and Travel Facilitation in the global agenda



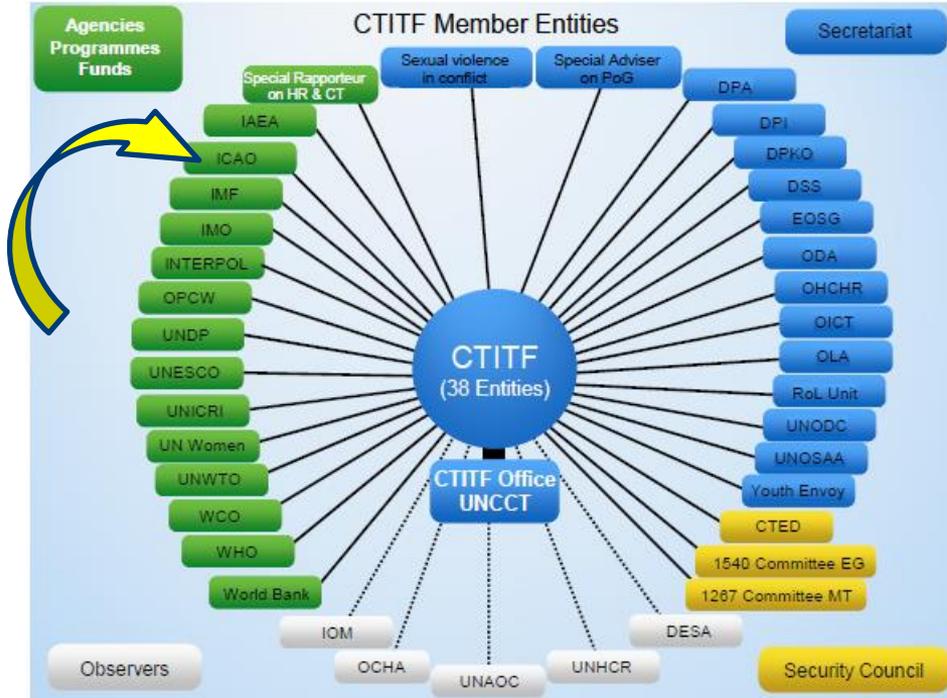


UN 17 Sustainable Development Goals (SDGs)





ICAO and the United Nations Global Counter-Terrorism Strategy



- Counter-Terrorism Implementation Task Force (CTITF) established by the UN Secretary-General in 2005.
- Mandate: strengthen coordination and coherence of counter-terrorism efforts of the UN system to avoid duplication of efforts, and improve accountability.
- CTITF consists of 38 international entities including CTED and ICAO, each of them contributing to the multilateral counter-terrorism efforts consistently with its own mandate.



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Please refer to the presentation of Mr. Pablo Lampariello



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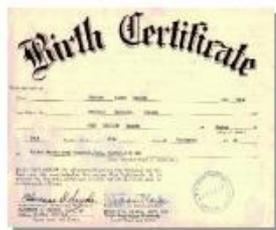
Annex 9

- Ch. 1. Definitions and General Principles
- Ch. 2. Entry and departure of aircraft
- Ch. 3. Entry and departure of persons and their baggage**
- Ch. 4. Entry and departure of cargo and other articles
- Ch. 5. Inadmissible persons and deportees
- Ch. 6. International airports – facilities and services for traffic
- Ch. 7. Landing elsewhere than at international airports
- Ch. 8. Other facilitation provisions
- Ch. 9. Passenger Data Exchange Systems**

Main SARPS in Chapters 3 & 9 of Annex 9:
64 SARPs related to the ICAO TRIP Strategy



Traveller Identification Programme : ICAO TRIP Strategy





Globally interoperable applications :

- INTERPOL SLTD
- Watch lists
- information sharing
- "Trusted" or "expedited" travellers

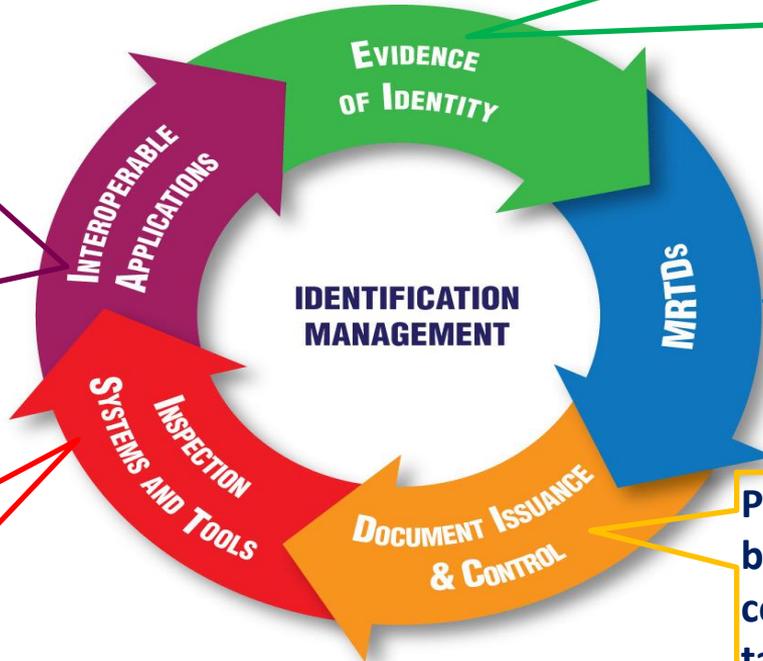
Objective: All Member States can uniquely identify individuals

Credible evidence of identity, involving the tracing, linkage and verification of identity against breeder documents to ensure the authenticity of identity

Manufacture of standardized MRTDs, that comply with ICAO specifications (Doc 9303)

Processes for document issuance by appropriate authorities, and controls to prevent theft, tampering and loss

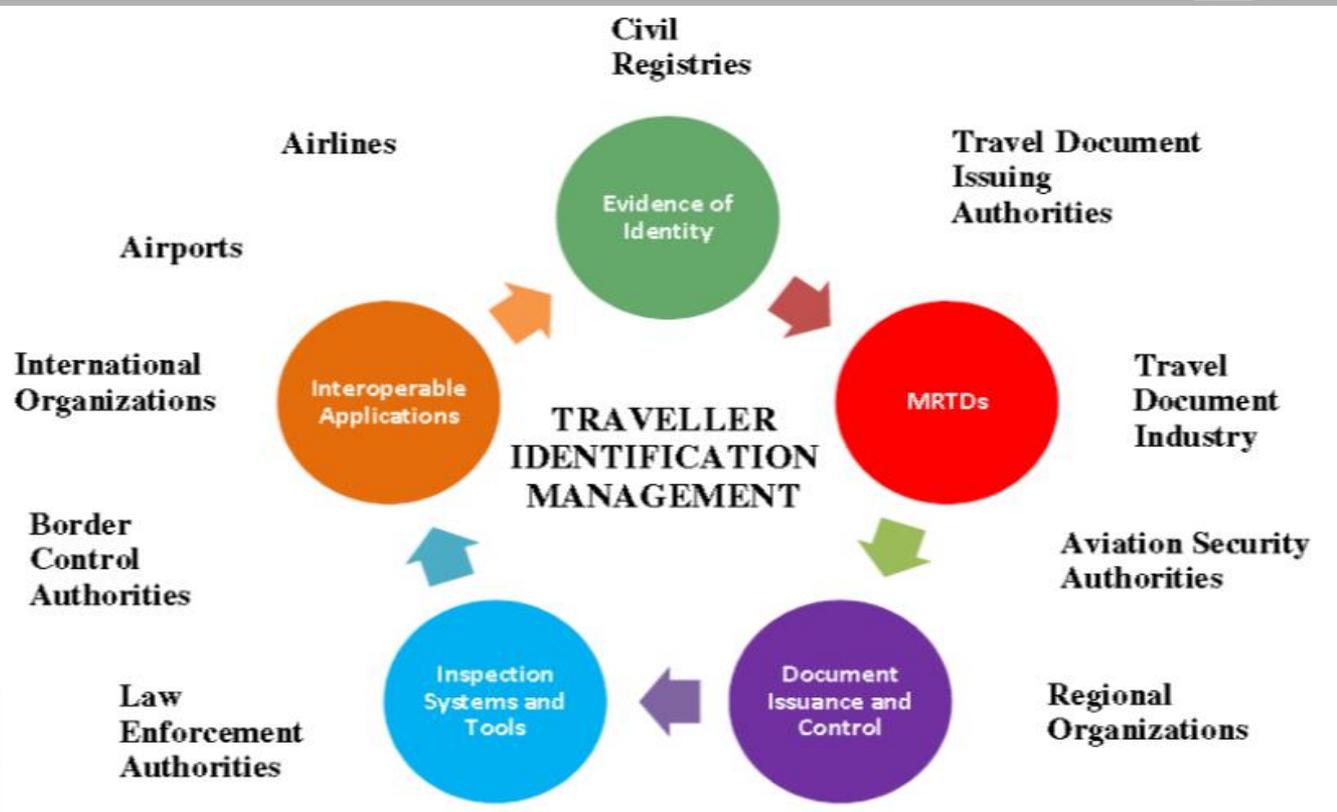
For the efficient and secure reading and verification of MRTDs (PKD, forensic travel Doc examination, etc..)





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Overview of the ICAO TRIP Roadmap

- Target milestones
- National coordination
- **Preliminary step: Establish a National Air Transport Facilitation Programme and Committees**
- International context,



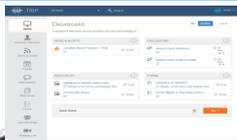


Actions and Steps for all TRIP elements

DGCA focal point for Facilitation matters



Access ICAO TRIP Platform



Q2

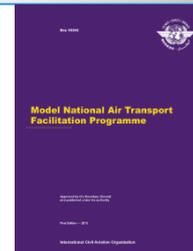
2017

Complete EFOD Compliance Checklist for Annex 9 SARPs



Q3 2017

Establish National Air Transport Facilitation Programme & Committee



Std 8.17

Std 8.19

2020 & onward

Responsibility: Civil Aviation Authorities



Actions and Steps for Evidence of Identity (Eoi)

National Strategy for Authenticity of identity



Application of Eoi principles



Security features for breeder documents

2020 and onward

Responsibility: National Civil Registration Authorities



Actions and Steps for MRTDs

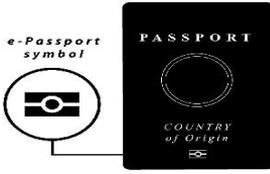
Machine Readable Convention Travel Documents (MRCTDs)

Completion of MRPs implementation

Implementation of ePassports, when a State chooses to do

Compliance with MRTD Specifications: Doc 9303

Std 3.12



Std 3.11

RP 3.9

Ongoing

Ongoing

2020 & onward

Q4 2019

Responsibility: Travel Documents Issuance Authorities

REGION	RESPONSES: EC6/3-12/70 <u>(23 February 2018)</u>	Q1: YES [Non-MRPs expired before 24/11/2015]	Q2: NO [Non-MRPs not expired before deadline]	REMARKS Number in circulation / date of expiry
APAC	25/39 (last response received:5/9/2017) (+1 by Note Verbale)	19 out of 39	6 (+ 1 by Note Verbale)	217/2016 (Japan); 1.5m/2020 (Nepal); Lao PDR; 680/2018 60,000/2017 (Timor Leste); 259,727/2016 (India); 2,500,000/24NOV2017 (Afghanistan); 1,500/2018July(Sri Lanka) —/— (Note Verbale) (Bangladesh)
ESAF	22/24 (13/6/2016)	23 out of 24	1	5000/30NOV2015 (Mozambique)
EURNAT	52/56 (20/11/2015) (+ 1 non-ICAO State)	52 out of 56 (+1 non-ICAO State)	0	300,000/2017 (Latvia); 20,000/30JUN2016 (Czech Rep.)
MID	13/15 (15/11/2015)	11 out of 15	2	600,000/31DEC2016 (Libya); 44,300/early 2017 (Lebanon)
NACC	14/21 (20/6/2017)	12 out of 21	2	—/2017 (Barbados); -/31Dec2015 (Guatemala)
SAM	13/13 (4/6/2017)	12 out of 13	1	5435/March 2016 (Bolivia)
WACAF	24/24 (18/11/2016)	21 out of 24	3	10,000 (in 7 years; 2022) (Sao Tome & Principe); 984500/2020 (Rep. of the Congo); —/31DEC2015 (Guinea Bissau)
TOTALS	163/192	150	15	[18]



Actions and Steps for Document issuance & control

Processes for document issuance & controls

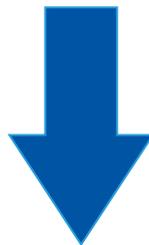


Stds

3.7, 3.8
& 3.8.1

Ongoing

Use of Biometrics



Ongoing

Issuance of Emergency Travel Documents (ETDs)



ongoing

Responsibility: Travel Document Issuance Authorities



ePassport: Current status

Member States issuing ePassports

Asia and Pacific (APAC) :18 out of 39

Eastern and Southern African Office (ESAF) : 9 out of 24

European and North Atlantic (EUR/NAT) : 53 out of 56

Middle East (MID) : 7 out of 15

North American, Central American and Caribbean (NACC) : 4 out of 21

South American (SAM) : 8 out of 13

Western and Central African (WACAF) : 15 out of 24

Total: 114 + 12 other entities

Source: States and Industry

- 125 Member States claim issuing chip-based passports
- Over **800 million ePassports** in circulation
- The inspection of these documents lags far behind the issuance programs

Issuance of ePassport is not an Annex 9 Standard





ePassport Standard roadmap: status

- **ICAO Secretariat WP to Air Transport Committee (ATC):** No Standard roadmap until a proposal to be submitted in 2022 based on the challenges faced by States in ensuring that travel documents are issued on proper verification of identity.
- **ATC decision:**
 - a) The Secretariat, with the assistance of TAG/TRIP, will continue working with States issuing, or planning to issue, ePassports to ensure the implementation of robust identity management, including civil registry systems;
 - b) the final removal from circulation of non-Machine Readable Passports by certain States is planned to be achieved by 2022; and
 - c) in the meantime, the Air Transport Committee will consider the possibility of an ePassport Standard, following ad-hoc recommendations from the Facilitation Panel.



Actions and Steps for MRTDs

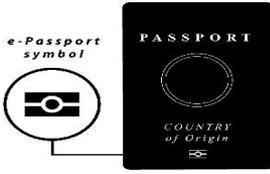
Machine Readable Convention Travel Documents (MRCTDs)

Completion of MRPs implementation

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Ongoing

Ongoing

2020 & onward

Q4 2019

Responsibility: Travel Documents Issuance Authorities



Actions and Steps for Inspection Systems and tools

Secure reading and verification of MRTDs



Participate in the ICAO Public Key Directory (PKD) & Use it to validate ePassports

RP

3.9.1 & 3.9.2



Ongoing

Implementation of ABCs



2020 onward

Ongoing

Responsibility: Border Control Authorities



Actions and Steps for Interoperable Applications

Report Stolen and lost TDs to the INTERPOL SLTD database & Check passports against it

Passenger data exchange
Implementation of API
Use guidelines for PNR

Linkage of MRTDs to Watchlists, e.g. Al Qaeda, Taliban, etc

Std

3.10 &
RP 3.10.1

Ongoing



February
2018

Ongoing

Ongoing

Responsibility: Border Control Authorities & airlines



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ICAO Universal Security Audit Programme – Continuous Monitoring Approach (USAP-CMA)

1	LEG	REGULATORY FRAMEWORK AND THE NATIONAL CIVIL AVIATION SECURITY SYSTEM
2	TRG	TRAINING OF AVIATION SECURITY PERSONNEL
3	QCF	QUALITY CONTROL FUNCTIONS
4	OPS	AIRPORT OPERATIONS
5	IFS	AIRCRAFT AND IN-FLIGHT SECURITY
6	PAX	PASSENGER AND BAGGAGE SECURITY
7	CGO	CARGO, CATERING AND MAIL SECURITY
8	AUI	RESPONSE TO ACTS OF UNLAWFUL INTERFERENCE
9	FAL	SECURITY ASPECTS OF FACILITATION



Four sub-areas of assessment with the FAL audit area in relation to the ICAO TRIP Strategy

Subarea	Annex 9 Standards
9.1 Programme and Coordination	8.17, 8.19
9.2 Security of Travel Documents	3.7, 3.8, 3.8.1, 3.10, 3.11, 3.12, 3.66, 3.68
9.3 Inspection of Travel Documents	3.33, 3.35, 3.35.1
9.4 Entry Procedures and Responsibilities	3.48, (9.5, 9.6)



9.1 Programme and Coordination

- **44%** of audited States have not established a National Air Transport Facilitation Programme based on the facilitation requirements of Annex 9.
- **49%** of the audits reveal States have not established a National Air Transport Facilitation Committee and/or Airport Facilitation Committees, or similar coordinating bodies.



9.2 Security of Travel Documents

- Over **95%** of audited States are issuing MRPs in accordance with DOC 9303.
- Over **90%** of audited States :
 - incorporate security features in current travel documents;
 - have safeguards against theft of blank travel documents; and
 - establish appropriate controls over the entire travel document application, adjudication and issuance processes.



9.2 Security of Travel Documents

State's policies and processes to report information about stolen, lost, and revoked travel documents, issued by the State, to INTERPOL

- Approximately **80% of States audited** have:
 - established a policy to report to INTERPOL;
 - designated an office or entity as responsible for reporting information;
 - developed guidelines for reporting information.
- Over **80% States audited** have reported stolen, lost, and revoked travel documents to INTERPOL for inclusion in the SLTD database.



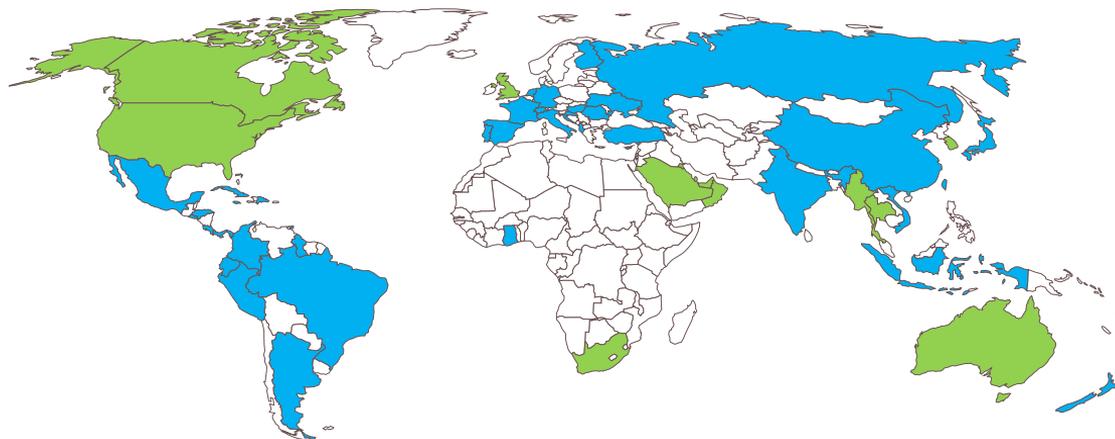
9.3 Inspection of Travel Documents

- Over **90% of audited States** require appropriate measures and procedures to be established to assist aircraft operators in the evaluation of travel documents presented by passengers in order to detect fraud and abuse
- Only **68% of audited States** ensure this requirement is implemented.
- Necessary precautions are taken by aircraft operators at the point of embarkation in **70% of audited States** to ensure that persons are in possession of the documents prescribed by the States of transit and destination.
- Over **75% of audited States** are also ensuring that fraudulent, falsified or counterfeit travel documents are removed from circulation immediately and returned to the appropriate authorities of the State named as issuer.



9.4 Entry Procedures and Responsibilities

- Approximately 60% of audited States have not introduced an Advance Passenger Information (API) system under their national legislation



Legend

- iAPI in force (total: 16)
- API in force (total: 49)

Status of API / iAPI programs: 66 States



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International cooperation: key for successful implementation





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ICAO TRIP compendium: a planning and implementation guide for secure traveller identification management



UNHCR
The UN Refugee Agency



CTED

UNITED NATIONS SECURITY COUNCIL
COUNTER-TERRORISM COMMITTEE
EXECUTIVE DIRECTORATE



INTERPOL



ICAO

SECURITY & FACILITATION



WORLD BANK GROUP



UNWTO



ISO



World Customs Organization
Organisation Mondiale des Douanes



<https://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx>



Forthcoming TRIP Events in 2018

Mark your
calendar

14th TRIP (ex-MRTD) Symposium 2018 (Montréal, 23 - 25 October)
Regional TRIP Seminar (Cotonou, Benin, 28-30 November)



These events are world-class fora for the exchange of information on all aspects of traveller identification management, and the ICAO TRIP Strategy providing decision makers and technical experts with valuable insight on key current and emerging issues.



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ICAO PKD



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Please refer to the presentation of Mrs. Christiane Dermakar



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