ICAO
TRIP
2017
Passport
Passeport Pasaporte
护照 Паспорт جواز سفر
JAMAICA
KINGSTON
PORT-AU
Caribbean Sea
Cayman Trench
Desembarco del Granma
National Park

The Facilitation Programmes

Narjes Abdennebi
Chief Facilitation section, ICAO

TOWARDS A BETTER TRAVELLER IDENTIFICATION MANAGEMENT

FOR ENHANCED BORDER CONTROL INTEGRITY

Montego Bay, Jamaica

Date 28 November 2017

Contents

1 – ICAO and the global agenda for travel Facilitation

2 – Recent developments in Annex 9

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ICAO TRIP 2017

TRIP2017

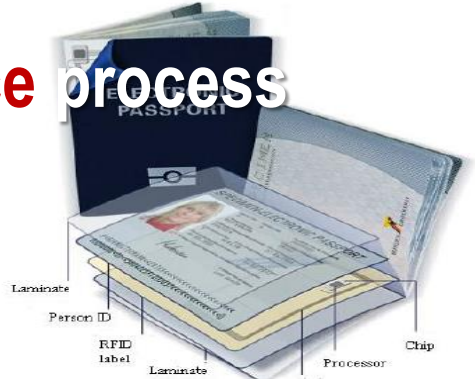
Traveller Identification Programme

Mainstreaming Aviation Security and Travel Facilitation in the global agenda

UN 17 Sustainable Development Goals (SDGs)

What is Travel Facilitation?

Expedite border clearance process



Involving Immigration, Customs, Health, Quarantine

Main challenge for Facilitation

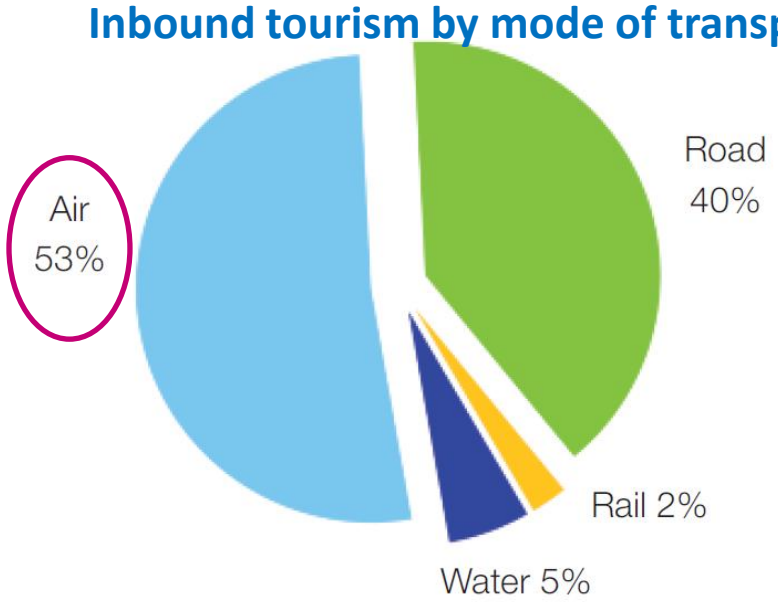
More than 3.5 annual Million International passengers per year

for whom border clearance need to be expedited

Minimum of 10 seconds are needed by the fist line Officer for each pax clearance

→ **Substantial lack of resources to expedite clearance for all pax**

More than half of International tourists arrive by air



***Source**: World Tourism Organization (UNWTO)*

- **Tourism expansion relies heavily on air transport.**
- **Harmonious sectorial policies on air transport and tourism will facilitate the development of both air transport and tourism**
- **Legitimate ICAO leadership in Travel Documents matters**

Top 5 busiest airports in the Caribbean

Rank	Country/Region	Airport name	IATA/ICAO Code	City Served	Passengers
1.	Puerto Rico	Luis Muñoz Marin International Airport	SJU/TJSJ	San Juan	8,808,028
2.	Dominican Republic	Punta Cana International Airport	PUJ/MDPC	Punta Cana	6,366,552
3.	Cuba	José Martí International Airport	HAV/MUHA	Havana	4,067,980
4.	Jamaica	Sangster International Airport	MBJ/MKJS	Montego Bay	3,952,273
5.	Dominican Republic	Las Americas International Airport	SDQ/MDSD	Santo Domingo	3,537,306

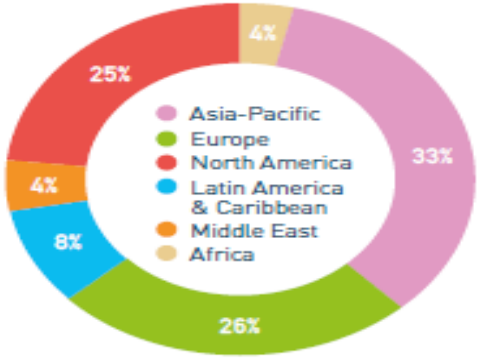
Economy and the Air Transport Industry

A **vital engine** of

global socio-economic growth

Contributes to **US$ 2.7 trillion** to global GDP (3.5%)

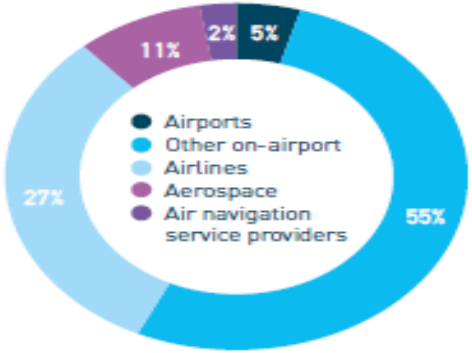
Direct employment by air transport by region (millions)



Catalyst for economic development,

- creating direct and indirect employment,
- supporting tourism and local businesses, and
- stimulating foreign investment and international trade.

Direct employment by air transport



Year 2014

Source: ATAG, 2016

Uniting Travel Statement on Facilitation

Uniting Travel

WE CALL FOR:

a) Policies which facilitate international Travel & Tourism and thus export growth which generate economic and social benefits globally.

b) The expansion of transparent visa processes, visa waiver programmes, regional visa agreements and trusted traveller programmes, as well as seamless travel procedures at borders.

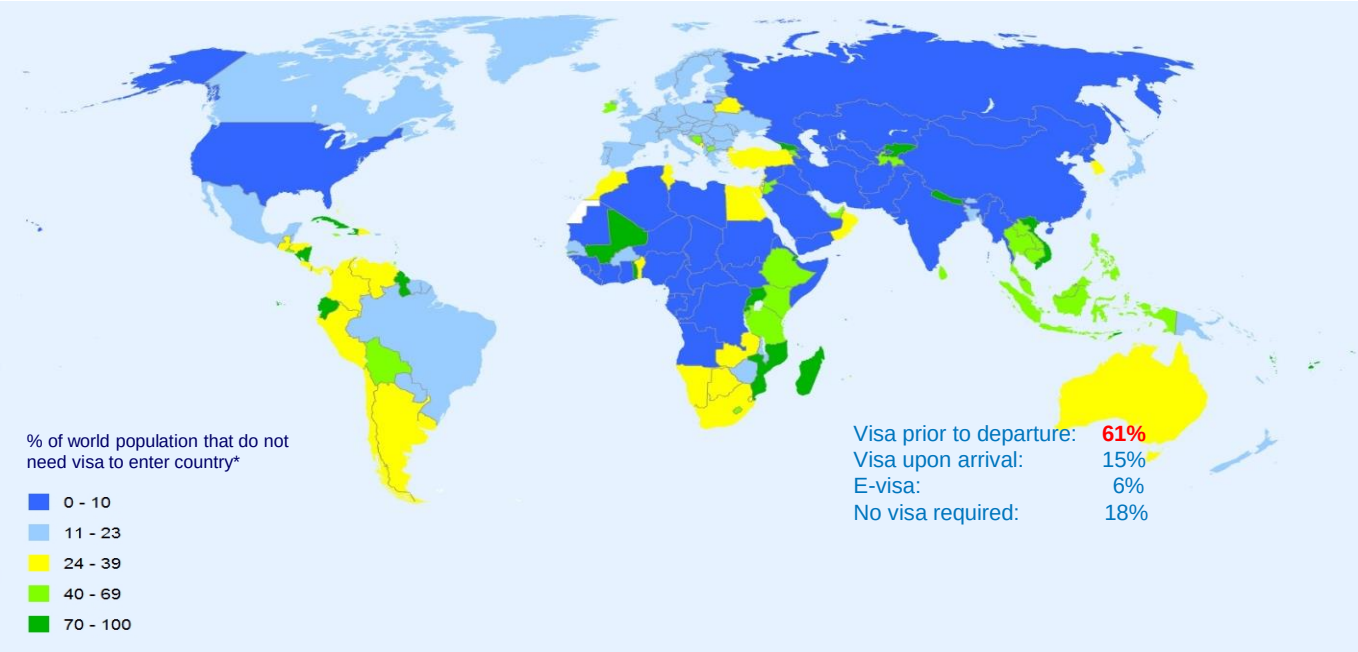
c) The use of new technologies to make travel more accessible, convenient, and more efficient while enhancing security.

***Source**: Global Travel Association Coalition (GTAC)*

AIRPORTS COUNCIL INTERNATIONAL · CLIA · IATA · ICAO · PATA Pacific Asia Travel Association · UNWTO · WORLD ECONOMIC FORUM COMMITTED TO IMPROVING THE STATE OF THE WORLD · WORLD TRAVEL & TOURISM COUNCIL

Role of visa facilitation in air transport growth

Objective:
Modernization of visa regimes and link E-visa to iAPI



Source: UNWTO Visa openness report 2015

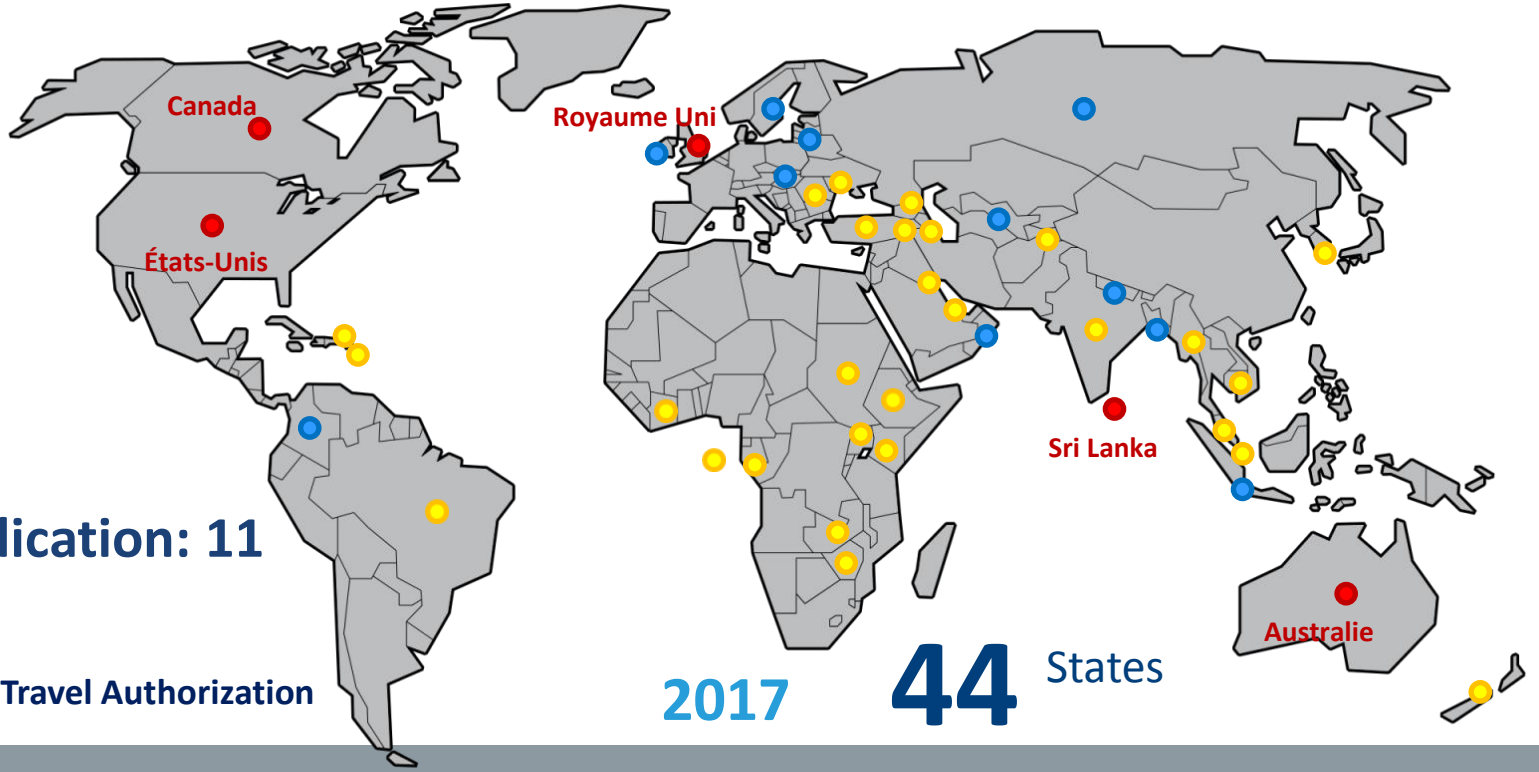
Electronic Visas status

2011

6 States

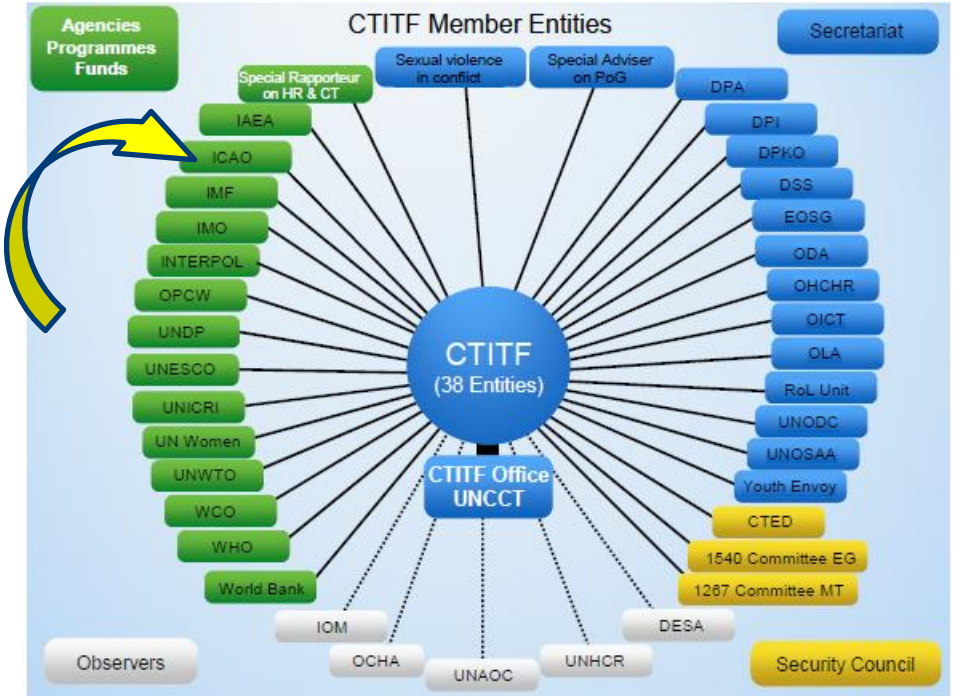
- eVisa : 28
- ETA: 5
- On-line application: 11

ETA: Electronic Travel Authorization



2017 **44** States

ICAO and the United Nations Global Counter-Terrorism Strategy



- Counter-Terrorism Implementation Task Force (CTITF) established by the UN Secretary-General in 2005.
- Mandate: strengthen coordination and coherence of counter-terrorism efforts of the UN) system to avoid duplication of efforts, and improve accountability.
- CTITF consists of 38 international entities including CTED and ICAO, each of them contributing to the multilateral counter-terrorism efforts consistently with its own mandate.

UNSC resolutions 2178 (2014) and 2309 (2016)…
…reaffirmed in UNSC resolutions 2368 (2017)

- "Reaffirms that all States shall prevent the movement of terrorists or terrorist groups by effective border controls and controls on issuance of identity papers and travel documents, and through measures for preventing counterfeiting, forgery or fraudulent use of identity papers and travel documents…"
- "9. *Calls upon* Member States to require that airlines operating in their territories **provide advance passenger information (API)** to the appropriate national authorities in order to detect the departure from their territories, or attempted entry into or transit through their territories, by means of civil aircraft, of individuals designated by the Committee established pursuant to resolutions 1267 (1999) and 1989 (2011)…"
- "*Noting* that **Annex 9 —Facilitation** to the Convention on International Civil Aviation, done at Chicago on December 7, 1944 (the "Chicago Convention") contains **standards and recommended practices** relevant to the **detection and prevention of terrorist threats involving civil aviation ‘’**
- "*Further calls upon* all States to require that **airlines operating in their territories provide advance passenger information** to the appropriate national authorities in order to detect the departure from their territories, or attempted entry into or transit through their territories, by means of civil aircraft, of individuals designated by the Committee pursuant to resolutions 1267 (1999), 1989 (2011) and 2253 (2015);"

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Please refer to the presentation of Mr. Ricardo G. Delgado

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Traveller Identification Programme : ICAO TRIP Strategy

Evidence of Identity → MRTDs → Document Issuance and Control → Inspection Systems and Tools → Interoperable Applications

Main Annex 9 SARPs related to the TRIP

Ch. 1. Definitions and General Principles

Ch. 2. Entry and departure of aircraft

Ch. 3. Entry and departure of persons and their baggage

Ch. 4.Entry and departure of cargo and other articles

Ch. 5. Inadmissible persons and deportees

Ch. 6. International airports – facilities and services for traffic

Ch. 7. Landing elsewhere than at international airports

Ch. 8. Other facilitation provisions

Appendix 1-13

Chapter 3 of Annex 9: Entry and departure of persons and their baggage

Main SARPs related to the ICAO TRIP Strategy

ICAO TRIP Strategy: various actors involved

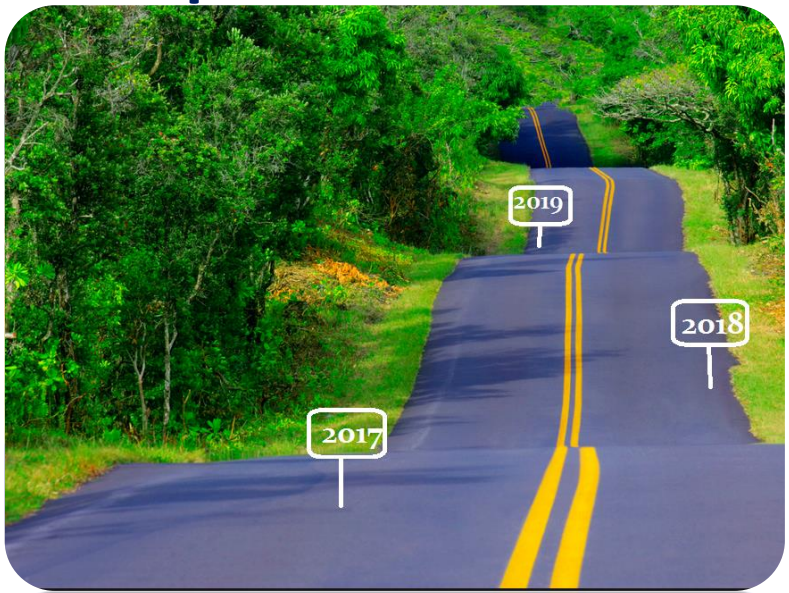
http://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx

Overview of the ICAO TRIP Roadmap

- ICAO TRIP roadmap aims to provide target milestones for the implementation by States of each element of the ICAO TRIP Strategy.
- At the national level, coordinated action would be required between many government and industry entities:

Preliminary step: Establish a National Air Transport Facilitation Programme and Committees

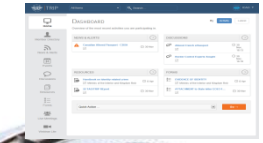
- In the international context, the aim is to systematically collaborate with all interested stakeholders to implement each element of the TRIP Strategy.



Actions and Steps for all TRIP elements

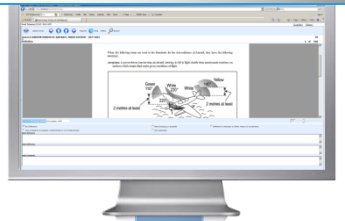
DGCA focal point for Facilitation matters

Access ICAO TRIP Platform



Q2 2017

Complete EFOD Compliance Checklist for Annex 9 SARPs



Q3 2017

Establish National Air Transport Facilitation Programme & Committee

Std 8.17

Std 8.19

2020 & onward

Responsibility: Civil Aviation Authorities

National Air Transport Facilitation Programmes (NATFP)

Reason: ►Means of coordinating activities between departments or agencies of the State concerned with or responsible for various aspects of facilitation of national civil aviation◄

Mandate: Stds 8.17 & 8.19 and
A39-20 Appendix C: National cooperation on facilitation matters

→improve the effectiveness and efficiency of clearance control formalities

→establish national FAL committees

→ national regulations & practices conform to Annex 9 SARPs

→solve day-to-day FAL problems

→study FAL problems & coordinate with other States

NATFP: essential step for ICAO TRIP Strategy implementation

Traveller Identification Programme

Regional Seminar Montego Bay

Evidence of Identity → MRTDs → Document Issuance and Control → Inspection Systems and Tools → Interoperable Applications

16 PEACE, JUSTICE AND STRONG INSTITUTIONS

Evidence of Identity: UN Sustainable Development Goals (SDG) 16

Target 16.9: By 2030, provide legal identity for all, including birth registration

Actions and Steps for Evidence of Identity (EoI)

National Strategy for Authenticity of identity

Application of EoI principles

Security features for breeder documents

2020 and onward

Responsibility: National Civil Registration Authorities

Traveller Identification Programme

Regional Seminar Montego Bay

Evidence of Identity → **MRTDs** → Document Issuance and Control → Inspection Systems and Tools → Interoperable Applications

Machine Readable Zone

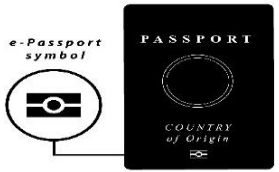
Actions and Steps for MRTDs

Completion of MRPs implementation

Std 3.11

Q4 2019

Implementation of ePassports, when a State chooses to do



RP 3.9

Ongoing

Compliance with MRTD Specifications: Doc 9303

Ongoing

Machine Readable Convention Travel Documents (MRCTDs)

Std 3.12

2020 & onward

Responsibility: Travel Documents Issuance Authorities

Traveller Identification Programme

Regional Seminar Montego Bay

Evidence of Identity → MRTDs → **Document Issuance and Control** → Inspection Systems and Tools → Interoperable Applications

Actions and Steps for Document issuance & control

Processes for document issuance & controls

Stds 3.7, 3.8 & 3.8.1

Ongoing

Use of Biometrics

Ongoing

Issuance of Emergency Travel Documents (ETDs)

ongoing

Responsibility: Travel Document Issuance Authorities

Traveller Identification Programme

Regional Seminar Montego Bay

Evidence of Identity → MRTDs → Document Issuance and Control → **Inspection Systems and Tools** → Interoperable Applications

ICAO PKD

ePassport

Actions and Steps for Inspection Systems and tools

Secure reading and verification of MRTDs

Ongoing

Participate in the ICAO Public Key Directory (PKD) & Use it to validate ePassports

RPs

3.9.1 & 3.9.2

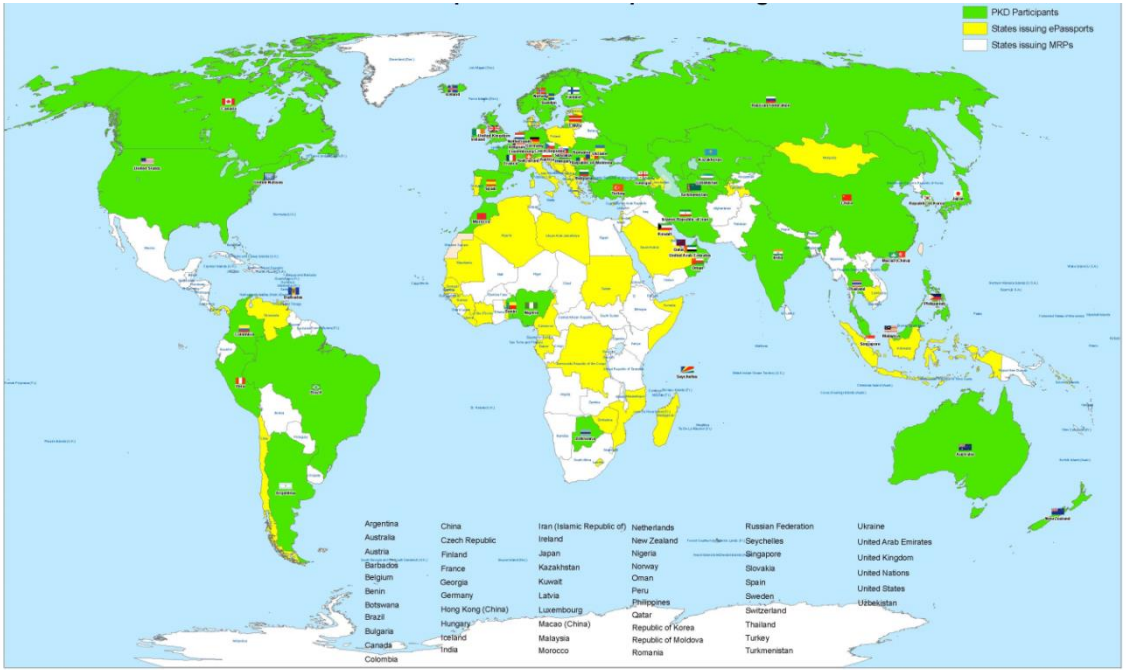
Ongoing

Implementation of ABCs

2020 onward

Responsibility: Border Control Authorities

ICAO Public Key Directory (PKD) status: 60 participants



However only about 30 States use actively the ICAO PKD as an inspection tool of ePassports at their borders

Please refer to the presentation of Mrs. Christiane Dermarkar

Traveller Identification Programme

Regional Seminar Montego Bay

Evidence of Identity → MRTDs → Document Issuance and Control → Inspection Systems and Tools → Interoperable Applications

ICAO • OACI • ИКАО

IATA

IOM • OIM INTERNATIONAL ORGANIZATION FOR MIGRATION

THE UN MIGRATION AGENCY

WORLD CUSTOMS ORGANIZATION

INTERPOL

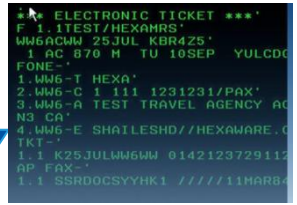
Actions and Steps for Interoperable Applications

Report Stolen and lost TDs to the INTERPOL SLTD database & Check passports against it

Std 3.10 & RP 3.10.1

Ongoing

Passenger data exchange Implementation of API Use guidelines for PNR



Ongoing

February 2018

Linkage of MRTDs to Watchlists, e.g. Al Qaeda, Taliban, etc

Ongoing

Responsibility: Border Control Authorities & airlines

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ICAO Universal Security Audit Programme – Continuous Monitoring Approach (USAP-CMA)

Scope of the USAP-CMA Audit

1	LEG	REGULATORY FRAMEWORK AND THE NATIONAL CIVIL AVIATION SECURITY SYSTEM
2	TRG	TRAINING OF AVIATION SECURITY PERSONNEL
3	QCF	QUALITY CONTROL FUNCTIONS
4	OPS	AIRPORT OPERATIONS
5	IFS	AIRCRAFT AND IN-FLIGHT SECURITY
6	PAX	PASSENGER AND BAGGAGE SECURITY
7	CGO	CARGO, CATERING AND MAIL SECURITY
8	AUI	RESPONSE TO ACTS OF UNLAWFUL INTERFERENCE
9	FAL	SECURITY ASPECTS OF FACILITATION

Four sub-areas of assessment with the FAL audit area in relation to the ICAO TRIP Strategy

Subarea	Annex 9 Standards
9.1 Programme and Coordination	8.17, 8.19
9.2 Security of Travel Documents	3.7, 3.8, 3.8.1, 3.10, 3.11, 3.12, 3.66, 3.68
9.3 Inspection of Travel Documents	3.33, 3.35, 3.35.1
9.4 Entry Procedures and Responsibilities	3.48, (9.5, 9.6)

9.1 Programme and Coordination

- **44%** of audited States have not established a National Air Transport Facilitation Programme based on the facilitation requirements of Annex 9.

- **49%** of the audits reveal States have not established a National Air Transport Facilitation Committee and/or Airport Facilitation Committees, or similar coordinating bodies.

9.2 Security of Travel Documents

- Over 95% of audited States are issuing MRPs in accordance with DOC 9303.
- Over **90%** of audited States :
  - incorporate security features in current travel documents;
  - have safeguards against theft of blank travel documents; and
  - establish appropriate controls over the entire travel document application, adjudication and issuance processes.

Machine Readable Passports (MRPs)

Machine Readable Zone

3.11.1 For passports issued after 24 November 2005 and which are not machine readable, Contracting States shall ensure the expiration date falls before 24 November 2015.

ePassport: Current status

Member States issuing ePassports
Asia and Pacific (APAC) :17 out of 38
Eastern and Southern African Office (ESAF) : 8 out of 24
European and North Atlantic (EUR/NAT) : 53 out of 56
Middle East (MID) : 7 out of 15
North American, Central American and Caribbean (NACC) : 4 out of 21
South American (SAM) : 7 out of 13
Western and Central African (WACAF) : 15 out of 24
Total: 111 + 12 other entities

Source: States and Industry

- More than **110 countries** issuing chip-based passports
- Over **700 million ePassports** in circulation
- 24 November 2015 deadline for non-MRPs is of current concern for some States
- The inspection of these documents lags far behind the issuance programs

Issuance of ePassport is not an Annex 9 Standard

9.2 Security of Travel Documents

State's policies and processes to report information about stolen, lost, and revoked travel documents, issued by the State, to INTERPOL

- Approximately **80% of States audited** have:
  - established a policy to report to INTERPOL;
  - designated an office or entity as responsible for reporting information;
  - developed guidelines for reporting information.

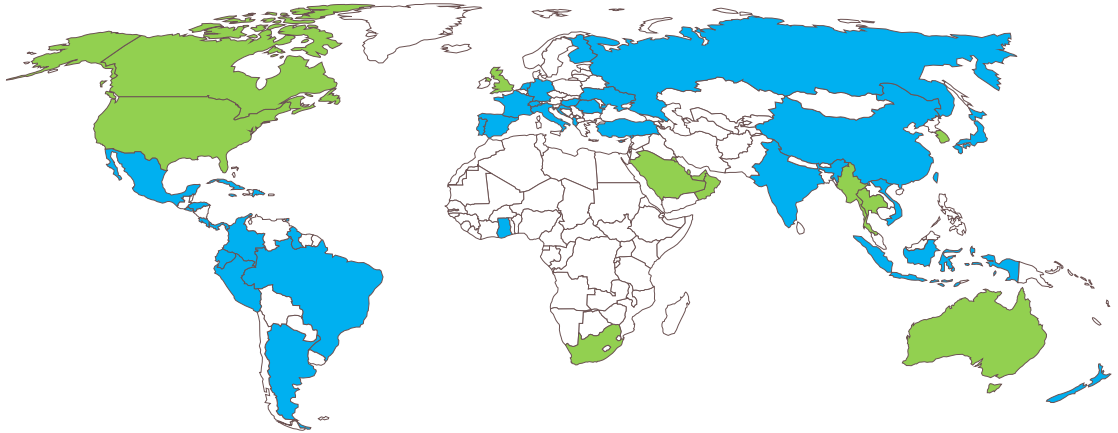
- Over **80% States audited** have reported stolen, lost, and revoked travel documents to INTERPOL for inclusion in the SLTD database.

9.3 Inspection of Travel Documents

- Over **90% of audited States** require appropriate measures and procedures to be established to assist aircraft operators in the evaluation of travel documents presented by passengers in order to detect fraud and abuse
- Only **68% of audited States** ensure this requirement is implemented.
- Necessary precautions are taken by aircraft operators at the point of embarkation in **70% of audited States** to ensure that persons are in possession of the documents prescribed by the States of transit and destination.
- Over **75% of audited States** are also ensuring that fraudulent, falsified or counterfeit travel documents are removed from circulation immediately and returned to the appropriate authorities of the State named as issuer.

9.4 Entry Procedures and Responsibilities

- Approximately 60% of audited States have not introduced an Advance Passenger Information (API) system under their national legislation



Legend

- iAPI in force (total: 16)
- API in force (total: 49)

Status of API / iAPI programs: 66 States

Source: IATA

Maximum personal data elements in API for MRPs

1. SURNAME	2. GIVEN NAME(S)
3. GENDER	4. DATE OF BIRTH
5. PLACE OF BIRTH	6. NATIONALITY
7. TYPE OF TRAVEL DOCUMENT	8. TRAVEL DOCUMENT NUMBER
9. NAME OF ISSUING STATE/ORGN.	10. EXPIRATION DATE OF TD

VIZ

All are in the Machine Readable Zone (MRZ) except
Place of birth in the Visual Inspection Zone (VIZ)

Plus Elements of Flight details: All information required shall conform to specifications for **UN/EDIFACT PAXLST** messages found in the **WCO/IATA/ICAO API Guidelines.**

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International cooperation: key for successful implementation

ICAO TRIP compendium: a planning and implementation guide for secure traveller identification management

https://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx

50

Doc 9303: International Specifications for MRTDs

http://www.icao.int/Security/mrtd/Pages/Document9303.aspx

Forthcoming Event

Regional TRIP seminar Brasilia, Brazil (5 – 7 June 2018)

Mark your calendar

The seminar is an international forum for the exchange of information on all aspects of traveller identification management, and the ICAO Traveller Identification Programme (TRIP) Strategy providing decision makers and technical experts with valuable insight on key current and emerging issues.

Project *"Strengthening Border Control Management in the Caribbean Region"*

Funded by the Government of Canada | Canada

- ICAO TRIP **Workshop** on Border Control Management in the Caribbean Region
  - ✓ Antigua, Jan-Feb 2017 – Project Launch
  - ✓ Jamaica, *This week after the ICAO TRIP Regional Seminar*
- Development of ***The ICAO TRIP Guide on Border Control Management***
- 4 x Technical Assessment Missions (**TAMs**)
  - ✓ Jamaica, March 2017, with CTED
  - ✓ Dominican Republic, August 2017
  - ✓ Barbados, September 2017
  - ✓ Saint Lucia, September 2017, with IOM

CTED Counter-Terrorism Committee Executive Directorate

International Organization for Migration (IOM) The UN Migration Agency

ICAO Training Package

Control of the Authenticity and Validity of Travel documents at Airport Borders – Level I

Purpose of this four-day course:

Examine travel documents effectively, allowing border officers to expedite the movement of legitimate travellers while identifying high-risk individuals.

2016: Available in English and French
2017: Available in Spanish and Arabic

For more information: http://www.icao.int/Training/Pages/TDexam.aspx

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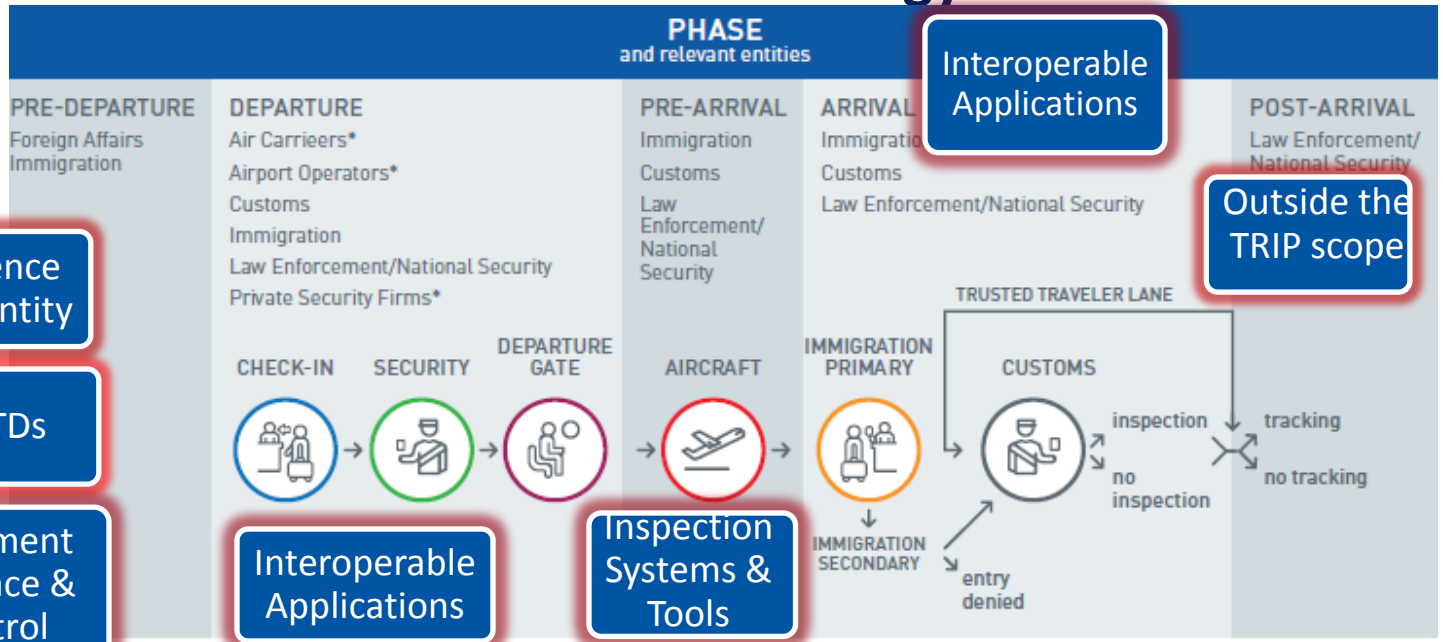
Email: nabdennebi@icao.int

Linking the Phases of the Air Passenger Travel Cycle* & the 5 ICAO TRIP Strategy elements



*An Initiative of the CTITF Working Group on Border Management Relating to Counter-Terrorism