



| ICAO

TRIP 2022

SEAMLESS AND CONTACTLESS

Sharing data to accelerate the recovery

13 - 15 SEPTEMBER 2022

Evidence of Identity Management & Legal identity

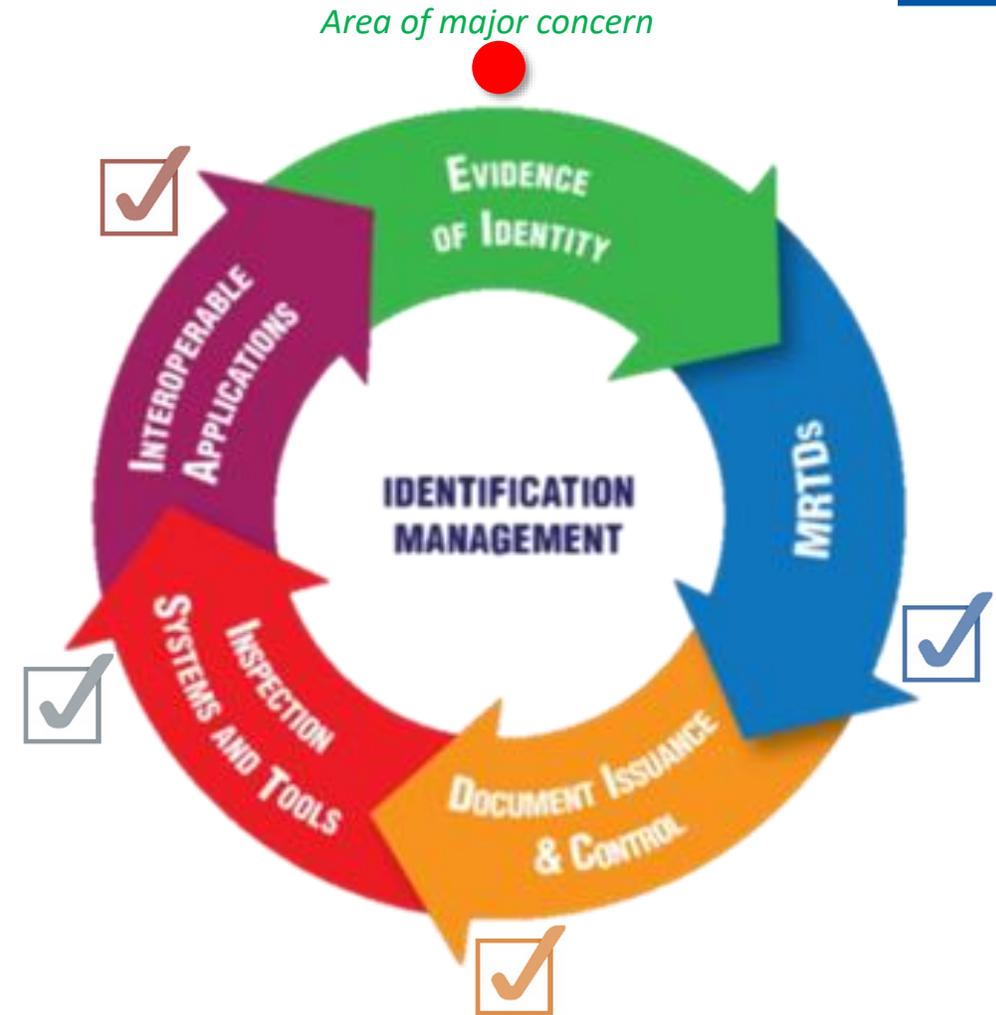
Sanjay Dharwadker

Senior Digital Identity Officer, UNHCR



5 elements of the TRIP strategy

- Chicago Convention on International Civil Aviation. 193 member states.
- Annex 9 to the Chicago Convention
- The FAL Panel (FALP) - keeping Annex 9 current. 32 member states + ACI + IATA.
- ICAO Traveller Identification Program (TRIP) strategy. 5 elements.
- New Technology Working Group (NTWG)
- Implementation and Capacity Building Working Group (ICBWG)
- TRIP related International standards, recommended practices (SARPs) and guidance.

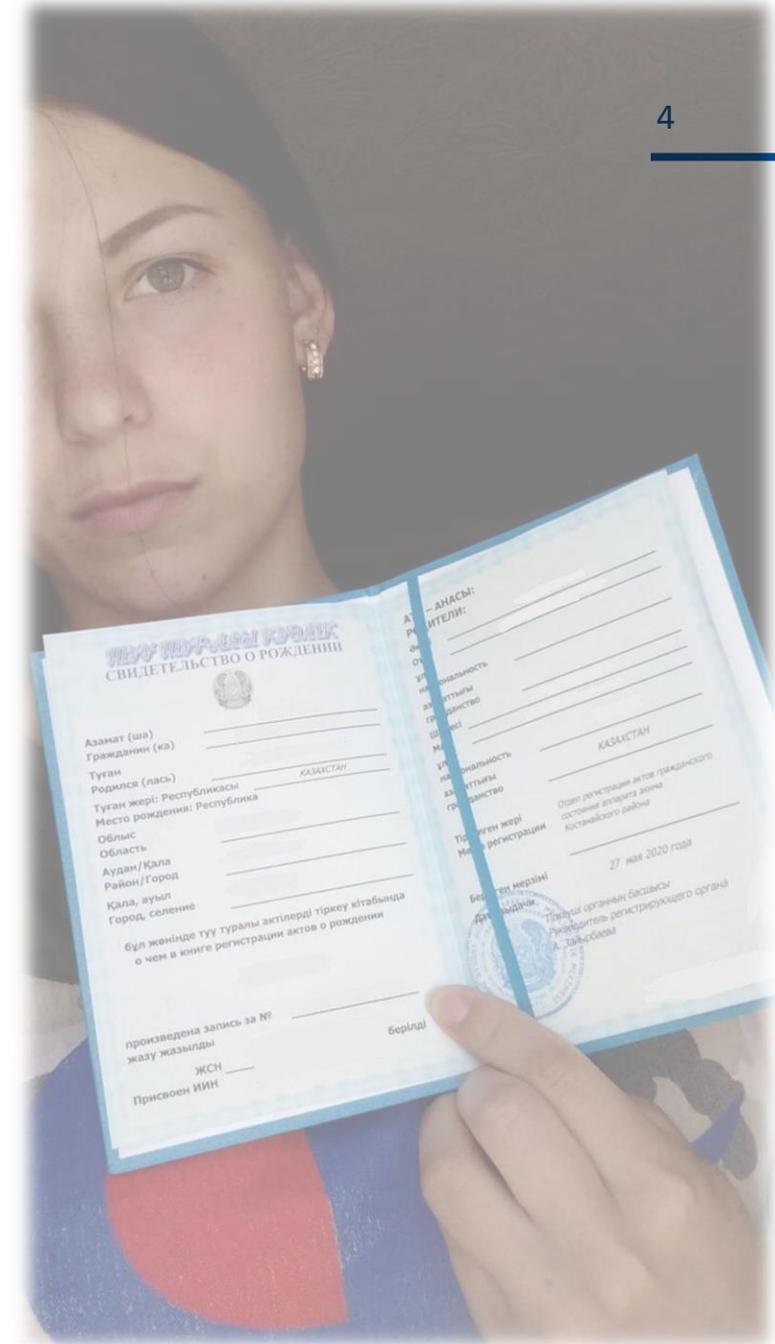


Why is Evidence of identity important? ... and challenging.

- Substantial progress on other aspects of the Identity life-cycle.
- Evidence of Identity (Eoi) is currently the most vulnerable.
- Significant fraud arises out of manipulating Evidence of Identity.

- Eoi is a broad subject – legal, human rights, policy, administrative, technical.
- Eoi is scattered and not under a single administrative control.
- Eoi is impacted by history, geography, war, and culture.
- Eoi is a powerful state instrument, often politically contested.

- *Multi-disciplinary effort is still required to strengthen Eoi-related processes.*



How does EoI work?

... and the foundations.

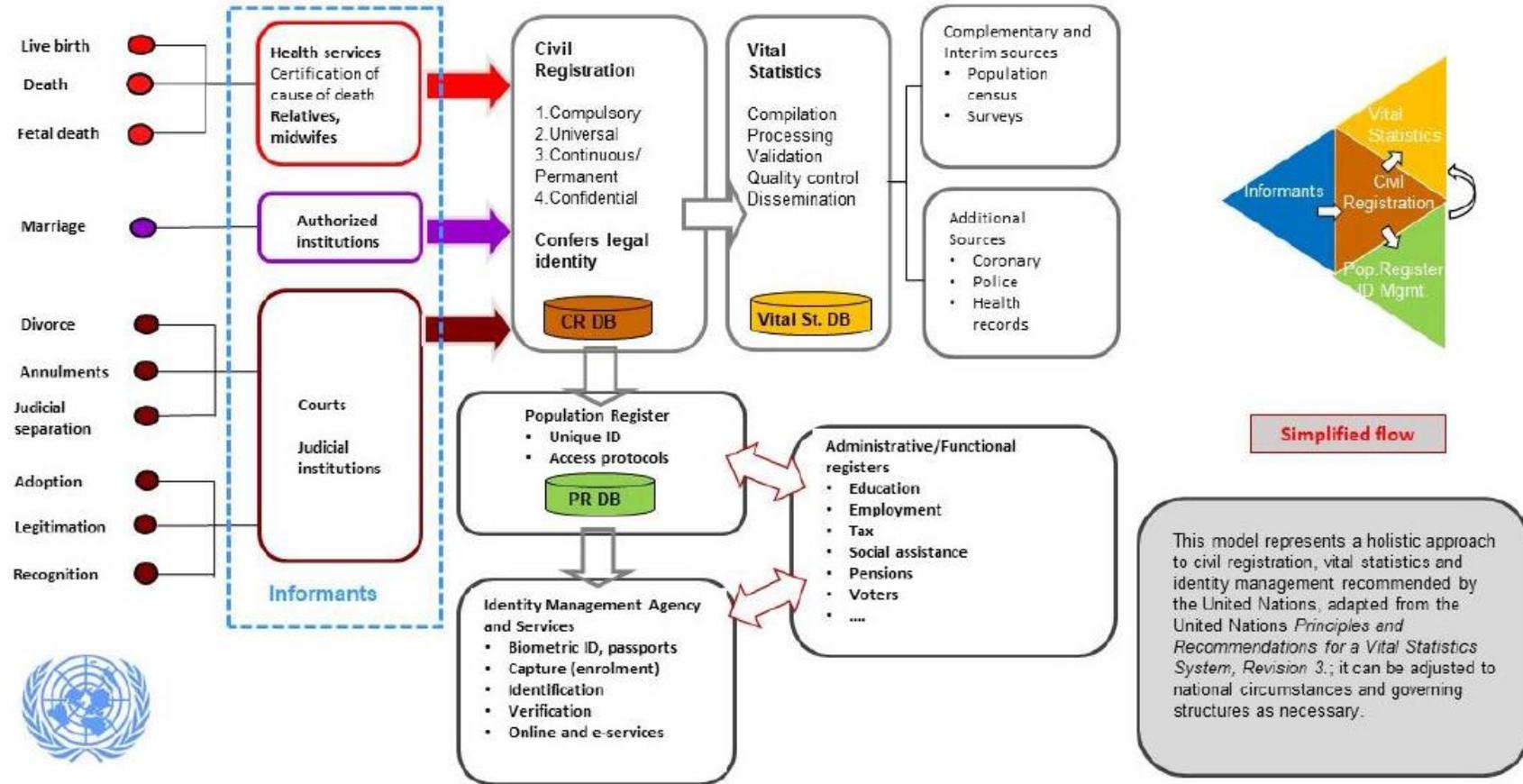
- Founded in constitutions, laws and practices.
- Includes citizens, nationals, residents, refugees, asylum seekers and the stateless.
- Closely linked to Civil Registration.
- Breeder Documents – birth, death, marriage / separation, adoption, naturalization, name-change and others.
- Connected to state-specific passport laws and rules.
- Applied to verification and authentication processes.
- Affects the decision to issue (or not) a Travel Document.



Freedom of Movement (travel) enshrined in Article 13 of the Universal Declaration of Human Rights, 1945.

Birth registration, the principal basis for legal identity as per SDG 16.9.

Civil Registration, Vital Statistics and Identity Management System



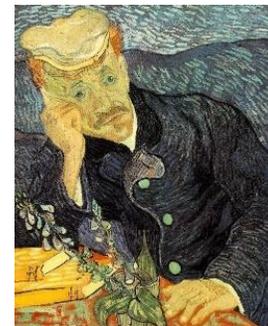
Civil registration.
... the basis for legal identity.

Does EoI make you worried?

... and why?

- Is this birth certificate genuine?
- Are these two names the same, from different languages / spellings?
- Do all these supporting records add up?
- Is this person the same as the person in the document?
- What if the identity is fake or forged?

- Am I taking adequate precautions against issuing travel documents to terrorists?
- Am I upholding the basic rights of the people of my country?
- Am I sensitive to humanitarian issues?



Portrait of Dr. Gachet By Vincent Van Gogh
Two versions painted by the artist in June 1890

Don't worry.

... help is at hand.

- The ICAO TRIP Guide on EVIDENCE OF IDENTITY.
- Released in May 2018.
- Supported by ICBWG.
- Available at:

<https://www.icao.int/Security/FAL/TRIP/Pages/Publications.aspx>

Download

<https://www.icao.int/Security/FAL/TRIP/Documents/ICAO%20Guidance%20on%20Evidence%20of%20Identity.pdf>



ICAO TRIP Guide on
**EVIDENCE
OF IDENTITY**



ICAO Security and Facilitation

The ICAO TRIP Guide on **EVIDENCE OF IDENTITY**

CONTENTS

Executive Summary

1. Introduction (mandate, purpose & scope)
2. Evidence of Identity Approach (defining identity, what is EOI, types & objectives A - E)
3. Operational considerations (children, risks, documentation, legislation & policy)
4. Appendices (case studies, Civil Registration & Vital Statistics, law, info sharing, evidence & risk assessment, trusted referees)



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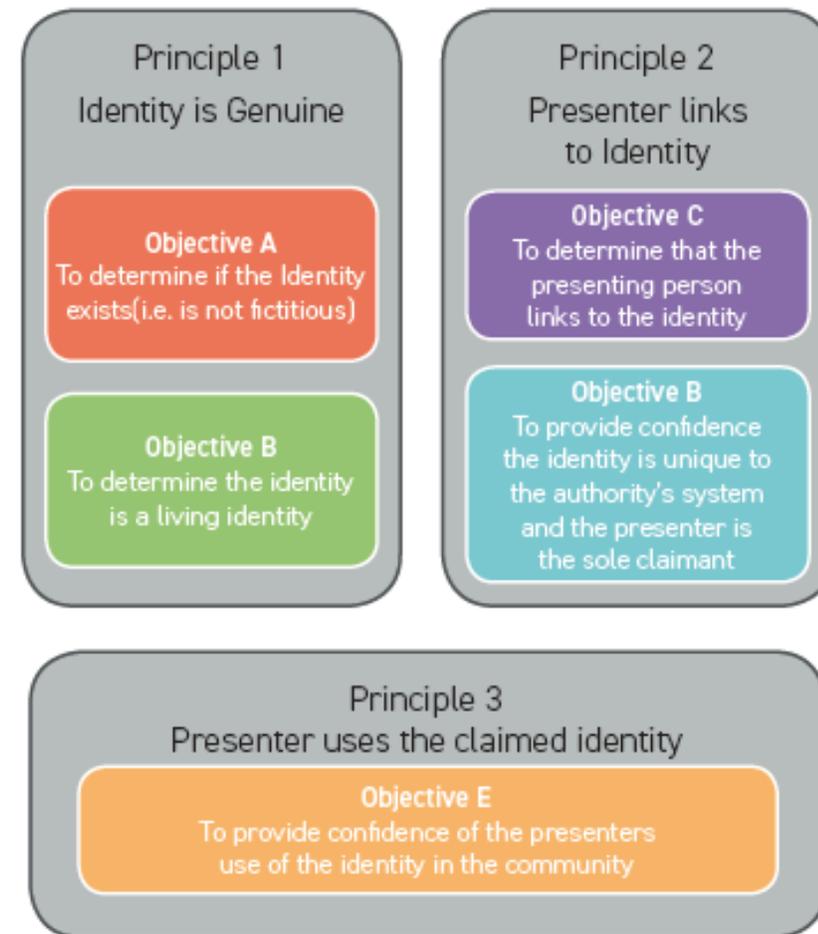
The new EoI at work

- Biometrics - to authenticate physical identity.
- Biographics - to establish legal identity (civil registration).
- Robust breeder documents.
- Social footprint.

- No silver bullet, no single solution.
- Combination of factors to minimize risk.

- New laws & amendments to existing laws (e.g., privacy).
- Increased engagement with digital identity & online data access.

- New set of SARPs from ICAO.



Eol Objectives

Objective A Identity Exists

- 1-2 Documents, where available have been validated against sources records, or authenticated by staff trained in document recognition.
- OR
- Verification of information against 1-2 source records, e.g. birth record or part of civil registration.

Objective B Identity is Living

- Verification against the State's Death Register (part of Civil Registration).
- OR
- An in-person component to the application process combined with process/es to meet Objective C.

Objective C Person Links to Identity

- In-person verification with interview and/or against photo identification.
- OR
- Assertion by a trusted referee, preferably known to the authority, and able to be verified.
- OR
- Biometric recognition against the agency database, and/or other relevant databases.

Eol Objectives

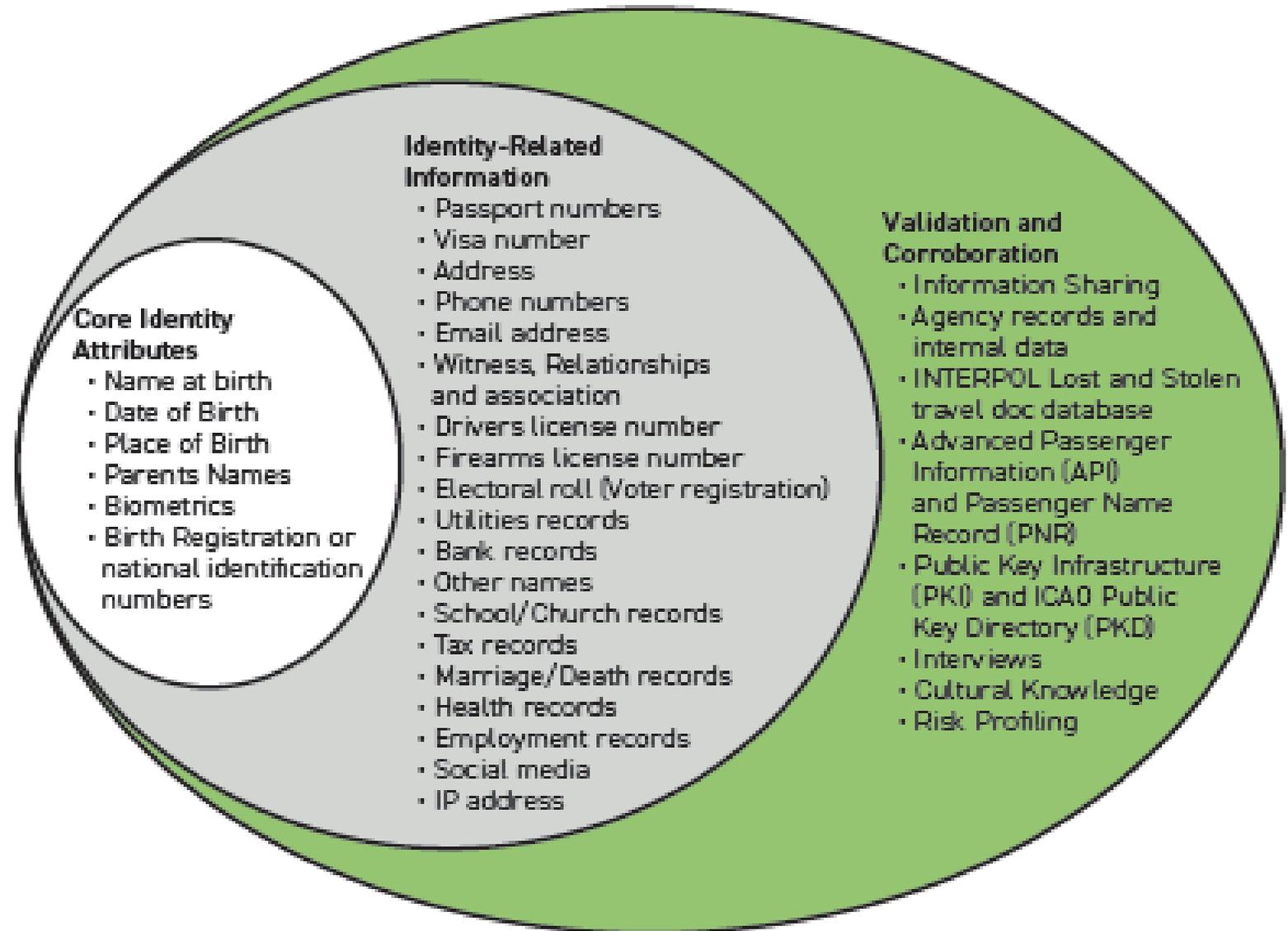
Objective D
Identity is Unique
to system and is
Sole claimant

- Check against authority's own records for matching biometrics.
- OR
- Check authority's records for matching biographical details such as similar names, addresses, contact details or other information collected.

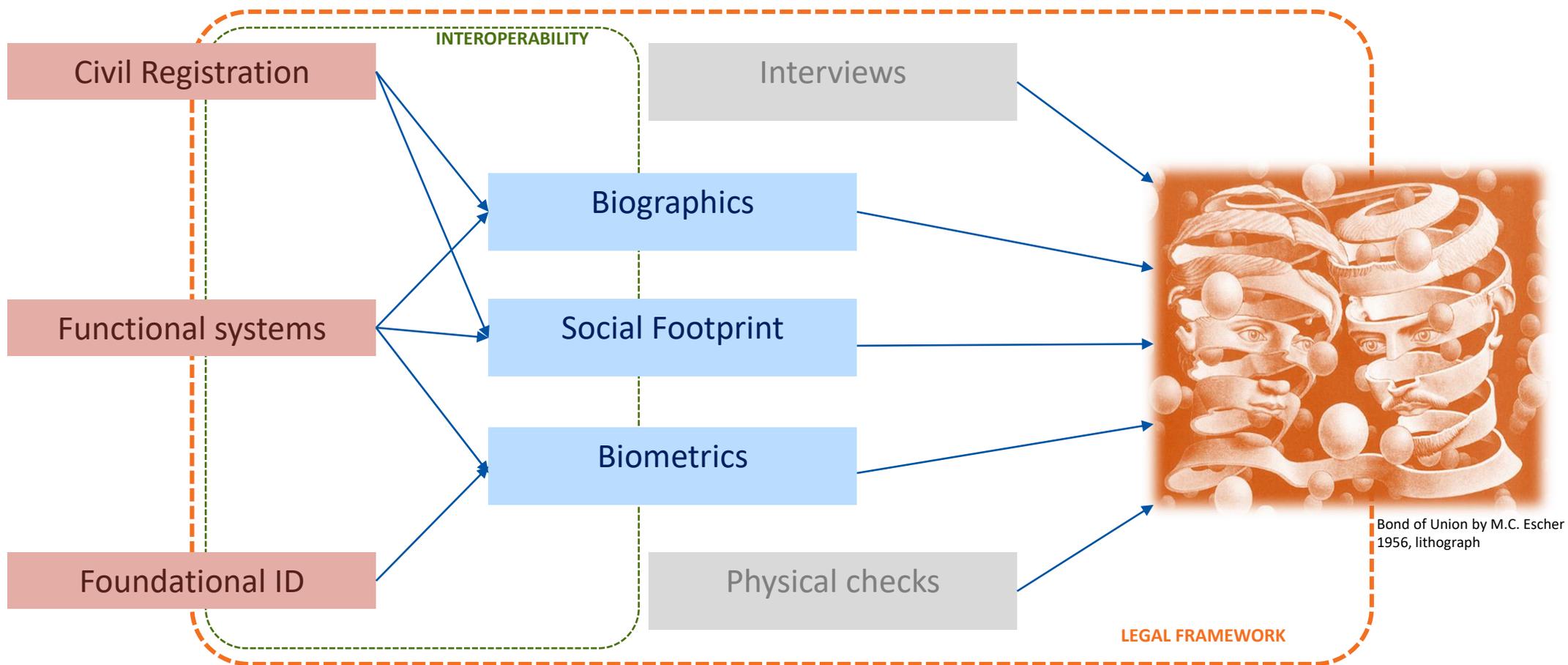
Objective E
Identity is used in
the Community

- Evidence from reliable third parties to show the identity in use for the majority of the customer's life.
- OR
- Statements from trusted referees confirming use in the community.
- OR
- At least 2 supporting documents/records (e.g. electoral roll, banking and utilities statements).
- OR
- Where a previous passport is held, validation against agency records.
- OR
- Interview of the applicant, if required to help build confidence due to lack of evidence in other areas, or suspicions raised during application process.

The new Eol eco-system



The new Eol – process overview



Eol and other global organizations.

- UNLIA – Legal Identity Agenda
- OSCE / ODIHR – Compendium of Evidence of Identity (57 States).
- World Bank – ID4D various documents (SDG 16.9 deficient countries – Civil registration & civil ID).
- IOM – Regional travel documents & borders.
- UNHCR – Persons of Concern (POC): internally displaced, asylum seekers, refugees, stateless.
- UNICEF / WHO - focus on the child and health.
- EU – VIS, SIS, Eurodac, ECRIS-TCN, EES, ETIAS etc.
- CEN/TC 224/WG 19 – Breeder Documents (EU).
- Other



Together, we must land at the same place.

- Review existing Eol processes.
- Review Eol legal framework touch-points.
- Capacity building and training

- Review and update BCM and other related ICAO TRIP guidelines.

- Keep in mind – facilitation, security and human rights – go together.



Thank You

