



| ICAO

TRIP 2022

SEAMLESS AND CONTACTLESS

Sharing data to accelerate the recovery

13 - 15 SEPTEMBER 2022

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Novel Technologies for seamless Traveler
Authentication

AIT – Austrian Institute of Technology

Content

Quick Intro

- New upcoming biometric possibilities

- Contactless capturing

- Compare flats vs. contactless

- How about accuracy ?

- Presentation Attack Detection (PAD)

The Holy Grail ?

- Privacy preserving checks

- Distributed ledgers

- Homomorphic Encryption



Border crossing is simple: Justification by several means



Are you the eligible holder of your document ?



IMPfZERTIFIKAT | VACCINATION CERTIFICATE

| | |
|--|---|
| Nachname(n), Vorname(n) Surname(s), Forename(s) | Musterfrau, Maxima |
| Geburtsdatum (JJJJ-MM-TT) Date of birth (yyyy-mm-dd) | 1995-01-31 |
| Zielkrankheit oder -erreger Disease or agent targeted | COVID-19 |
| COVID-19-Impfstoff oder -Prophylaxe COVID-19 vaccine or prophylaxis | Covid-19 Vakzine COVID-19 vaccines |
| COVID-19-Impfstoffhandelsname COVID-19 vaccine product name | Pfizer BioNTech COVID 19 Vaccine Suspension for Intramuscular Injection |

3 Basic-Factors of Authentication

Knowledge
(What you know)



Password
Passphrase
PIN
Pattern

Hardware Token
(What you have)



Key
USB Stick
Smart Card
QR-Code
Passport



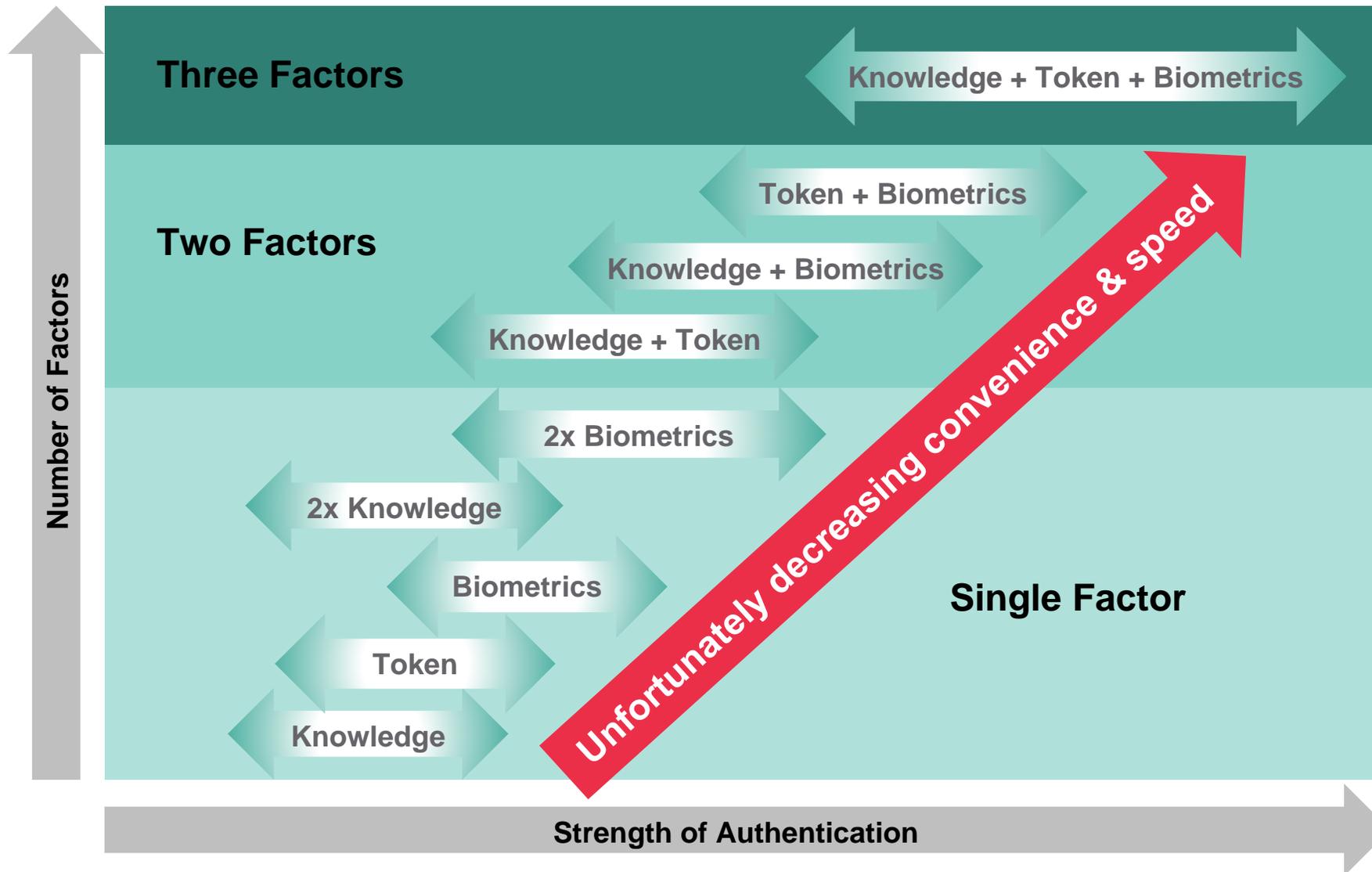
Biometrics
(What you are)



Face
Fingerprint
Iris
Voice
Signature
Gait
DNA



Convenience – Authentication Strength - Dilemma



Covenient, accurate, fast, secure, hygienic biometric data aquisition

- Iris – very good, acquisition more complicated, small industry
 - Veins – only very few manufacturers, little is known about accuracy
 - Voice – not really privacy preserving, not the best accuracy
 - Gait - not practical in “wild environment”
 - Ears – not so bad, but very unpractical
 - Multifactor – yes, but takes time
 - DNA ...

 - Face - tremendous progress, still morphing problem, liveness check
 - Finger - very accurate, acquisition procedure lengthy, touch based
- Can we capture fingerprints faster, more hygienic ?

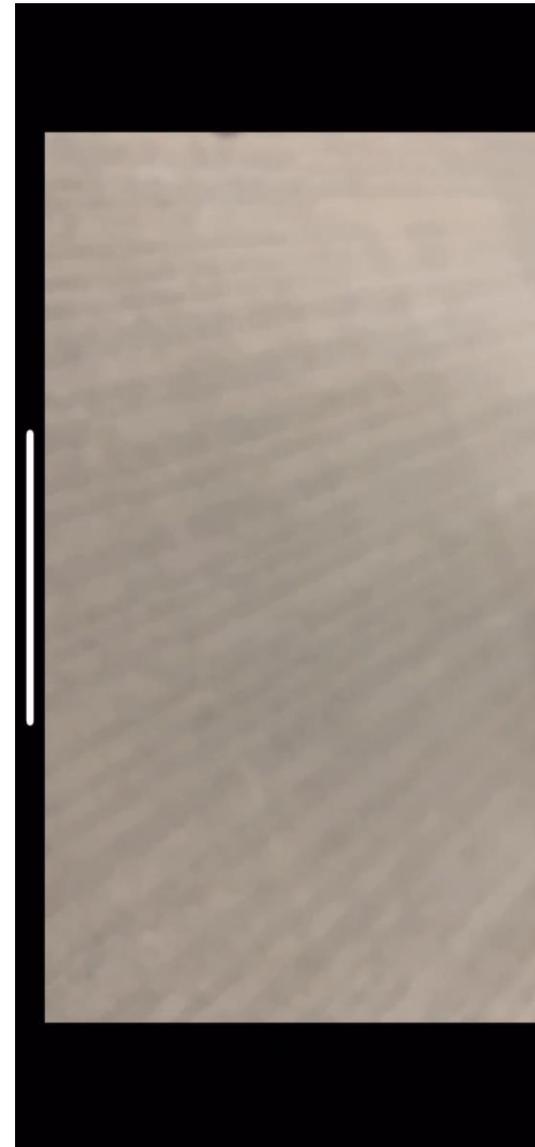
Covenient, accurate, fast, secure, hygienic biometric data aquisition



- ✓ Contactless
- ✓ 4 fingers
- ✓ No latent traces
- ✓ Hygienic
- ✓ Fast

Covenient, accurate, fast, secure, hygienic biometric data aquisition

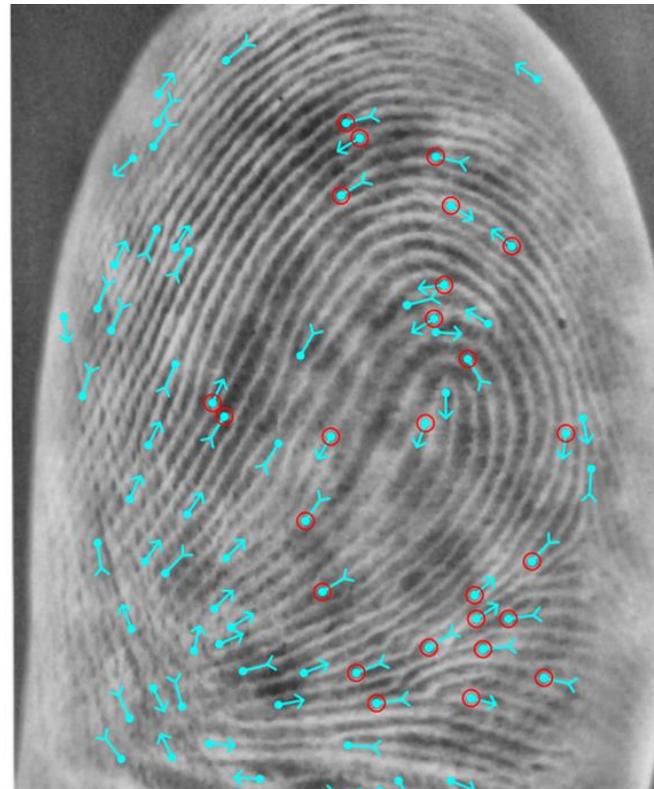
- ✓ Contactless
- ✓ 4 fingers
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Flat - Contactless Comparison ?



Contact Sample



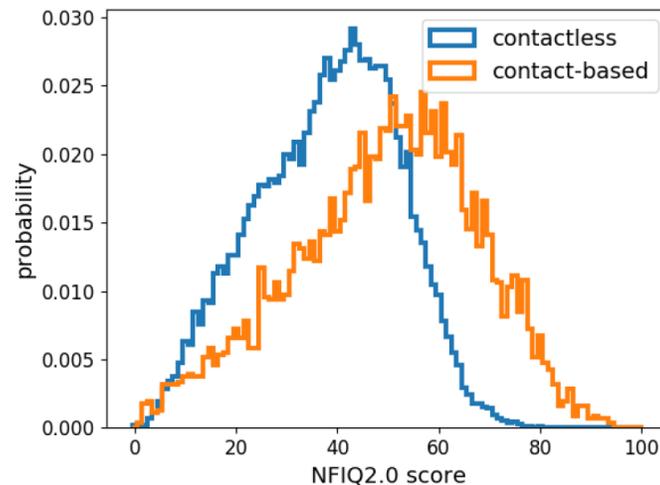
Contact-less Sample

Tests

- NIST (IR-8307) Interoperability Assessment shows very acceptable results for verification
- AIT test at the premises of the Austrian police comparing contact-less vs contact-based:

~600 pax, 50 samples = 300.000 fingerprints
good ethnic, gender and age distribution

- EER of 2.7×10^{-4}
- \emptyset capture time: <10s
- \emptyset NFIQ 2.2 score:
- 38.1 contact-less
- 49.4 contact-based



| Ethnic group | Male | Female | Unknown |
|-----------------|------|--------|---------|
| Africa | 75 | 18 | 1 |
| Asia | 273 | 153 | 0 |
| Europe | 59 | 13 | 0 |
| Central America | 2 | 1 | 0 |
| South America | 4 | 3 | 0 |
| Unknown | 7 | 4 | 0 |
| Total | 420 | 192 | 1 |

Tab. 2: Gender distribution of the participants.

| Age group | Male | Female | Unknown |
|-----------|------|--------|---------|
| < 20 | 49 | 24 | 1 |
| [20, 30) | 167 | 64 | 0 |
| [30, 40) | 119 | 59 | 0 |
| [40, 50) | 52 | 24 | 0 |
| [50, 60) | 25 | 15 | 0 |
| > 60 | 8 | 6 | 0 |
| Total | 420 | 192 | 1 |

Tab. 3: Age distribution of the participants.

Utilisation

- Very few manufacturers worldwide
- No certification procedures available for very high-quality capturing (enrollment) (appendix F)
- Uncertainty of end-users

- Call to action:
 - Start worldwide efforts with new certification procedures
 - Ask authorities to have medium scale proof-of-concepts installations

Presentation Attack Detection



(Micro)-movements (+eyes)
 Challenge response systems
 3D capturing (hardware needed)
 Infrared capturing (hardware needed)



Trained AI systems
 Easy to avoid: live enrollment

Trained AI systems
 Pore detection
 Different wavelength responses
 Optical Coherence Tomography
 Difficult on smartphones



Presentation Attack Detection

Differentiate:

Attended by policeman/officer
smartphone fingerprint capture
on the street



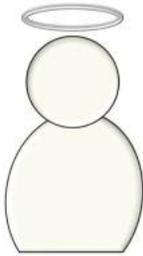
Un-attended (hardware provided)
Kiosk, e-Gate, Door-controller,
luggage service, check-in



Un-attended (self-enrollment/registration):
Link fingerprint image to facial image (one-take)

Some Metrics:

NIST does a Face Recognition Vendor Test (FRVT):



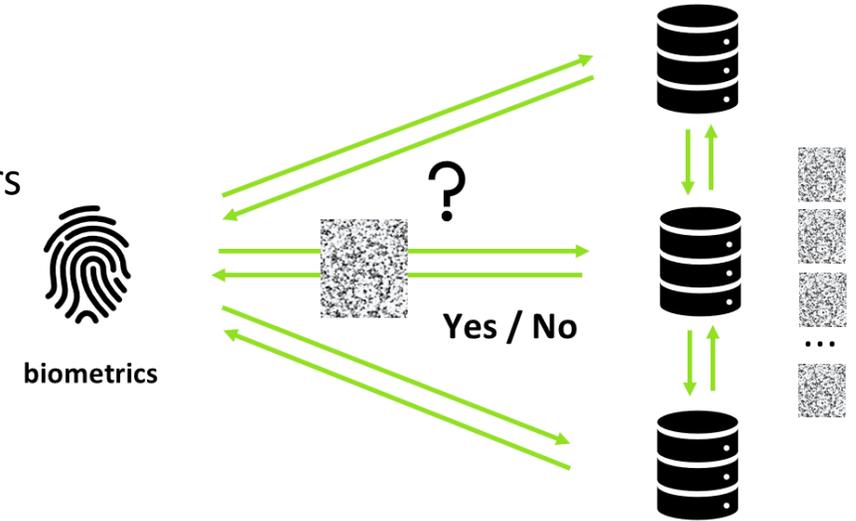
Bona Fide Presentation Classification Error Rate (BPCER)
The proportion of bona fide samples
incorrectly classified as presentation attack samples



Attack Presentation Classification Error Rate (APCER)
The proportion of presentation attack samples
incorrectly classified as bona fide presentation

The Holy Grail ?

- A distributed ledger system
 - builds trust by using several computational nodes/ledgers verifying a “transaction” located at different premises
- Secret sharing by MPC – Multi Party Computation
 - different players who jointly compute the output but no party learns anything about the input of other players
- Homomorphic encryption:
 - The server DOES NOT KNOW WHAT he is comparing
 - Original data is never revealed
- Touchless fingerprint capture
 - Convenient, hygienic, fast, accurate, secure



Thank You

