



International Civil Aviation Organization

# **ICAO ATN/AMHS Overview, Relevant SARPs and Regional Implementation issues**

Julio Siu, ICAO NACC RO/CNS

ICAO/FAA Workshop/Meeting on the Follow-up to the Implementation of the ATS  
Message Handling System (AMHS) in the NAM/CAR Regions  
(Miami, Florida, USA, 10 to 12 April 2012)

# Objective

- 1. Present ICAO guidance and SARPS for AMHS implementation within the global operational concept framework, ASBU methodology and regional agreements;**
- 2. provide knowledge on the current and future status of the AMHS implementation issues in the NAM/CAR regions**
- 3. Pending issues for States to review**



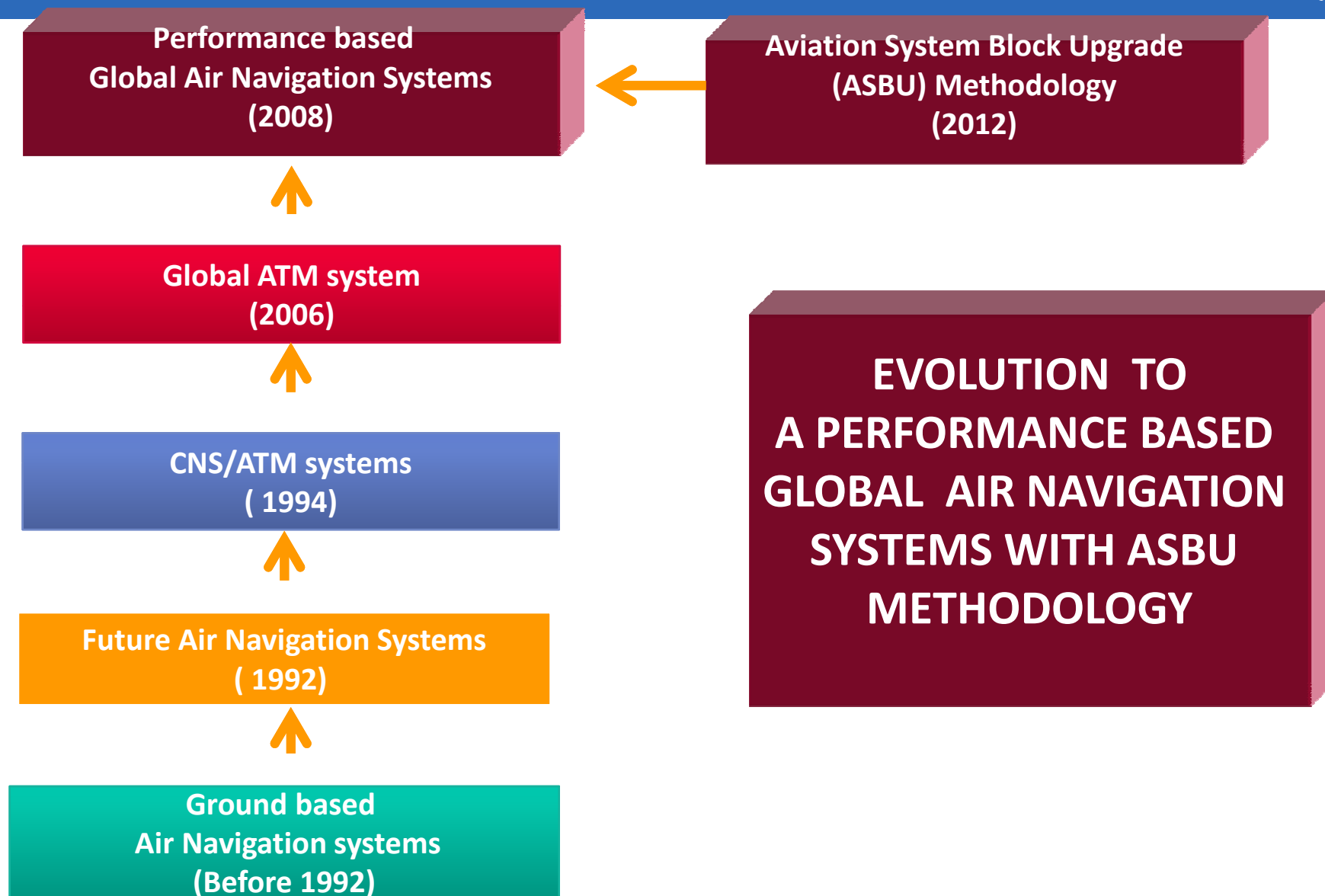


# OUTLINE

- **ICAO Global Implementation Overview**
  - Performance Based Approach (PBA) /Global ATM Operational Concept
  - Aviation System Block upgrade Methodology
  - Global Air Navigation Plan (GANP)
  - CNS/AIM + Avionics Roadmaps
- **ATN/ AMHS relevant SARPs**
  - Annex 10
  - Guidance Material
- **ATN/AMHS Regional Implementation Issues**
  - CAR/SAM Regional References
  - ATS Messaging Management Centre
  - GREPECAS Activities
  - NAM/CAR Implementation Activities



# ICAO Global Implementation Overview

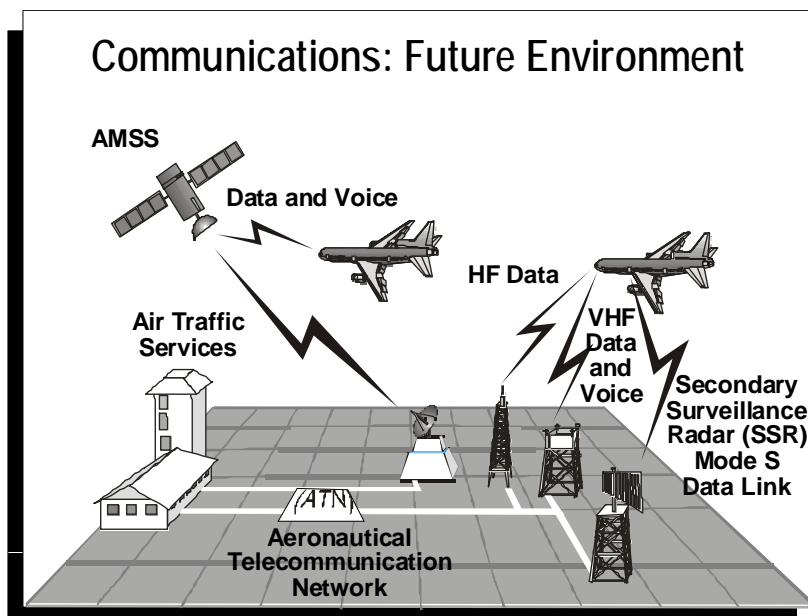




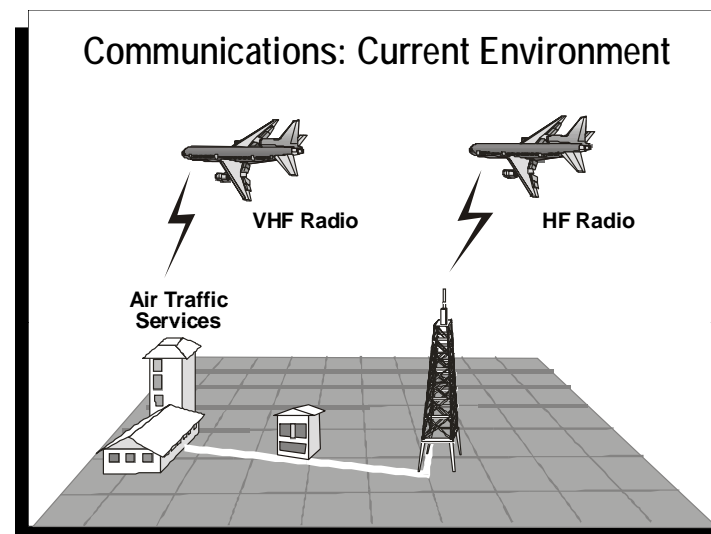
# ICAO Global Implementation Overview

## Communications

### Communications: Future Environment



### Communications: Current Environment



- a) have a mix of satellite and ground-based systems
- b) provides global coverage
- c) uses interoperable systems
- d) provides seamlessness
- e) employs air/ground data link
- f) employs digital technologies
- g) comprises various levels of automation

# ICAO Global Implementation Overview

Eleventh Air Navigation  
Conference (ANC/11)  
September 2003

- endorsed the global ATM operational concept
- Noted that corporatization and a more structured regulatory environment were placing increasing pressure on accountability
- Urged ICAO to develop a **performance framework for Air Navigation Systems**

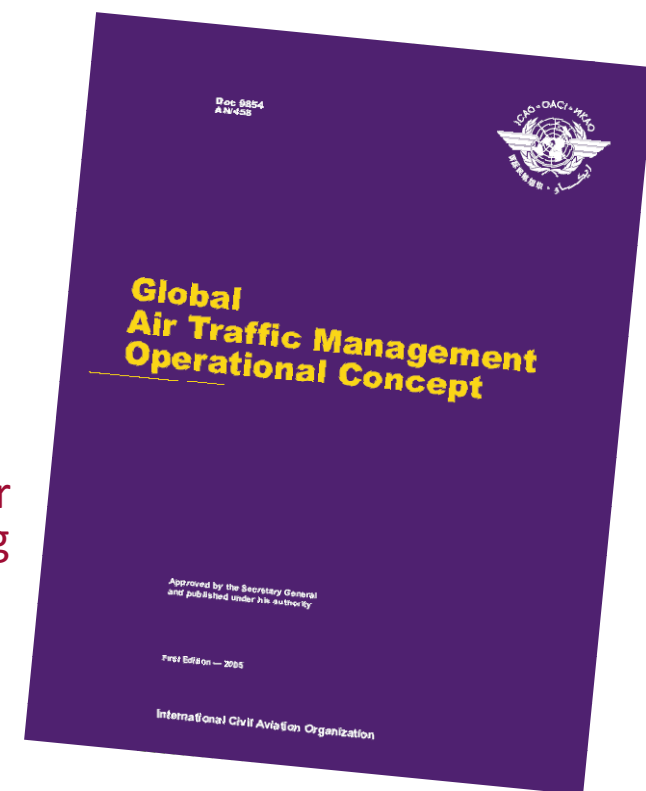
35<sup>th</sup> Session of the ICAO  
Assembly  
September 2004

- Called upon States, PIRGs and the aviation industry to use the ICAO Global ATM Operational Concept as the common framework
- Requested ICAO to develop the transition strategies, ATM requirements and SARPs necessary to support implementation of a global ATM system
- Urged ICAO to ensure that the future global ATM system is performance based and that the performance objectives and targets for the future system are developed in a timely manner

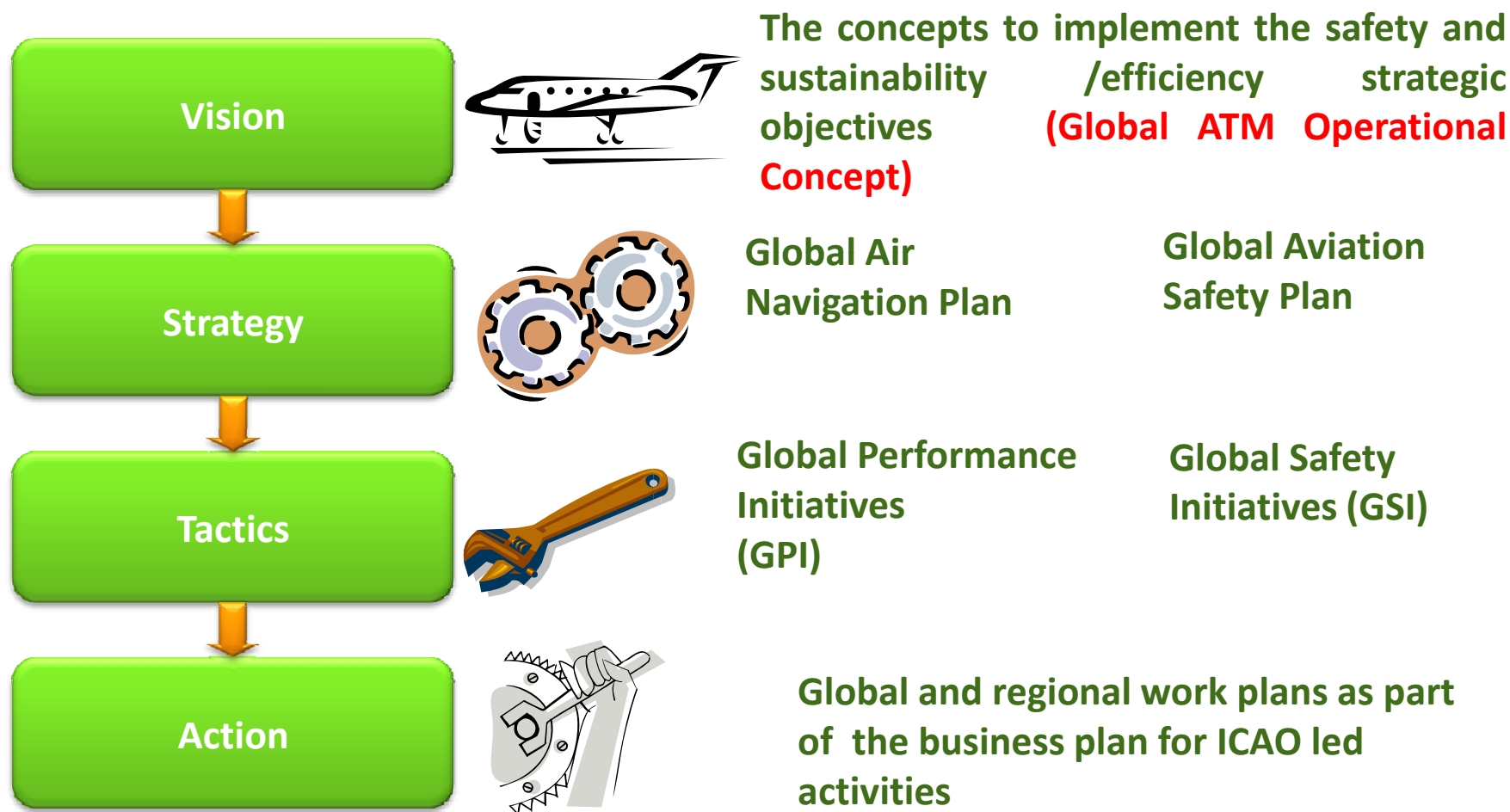
# ICAO Global Implementation Overview

## Global ATM Operational Concept

- The Global Air Traffic Management System Operational Concept;
  - describes how an integrated global air navigation system should operate
  - describes what is envisaged on the basis of services
  - describes how the services form an integrated system
  - utilizes an information rich environment, that solves most problems strategically, through a collaborative process
  - provides States and industry with clearer objectives for the design and implementation of ATM and supporting CNS systems
- ATM user expectations are drivers for change, requiring:
  - Safety case
  - Business case



# ICAO Global Implementation Overview



# ICAO Global Implementation Overview

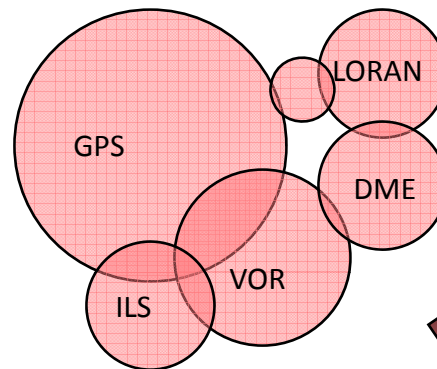
- **Technical Enablers** of the operational concept are technologies like the Air Navigation infrastructure to meet following conditions:
  - They meet properties required to implement the element/ Based on Performance Standards rather than specific technologies or equipment
  - that they can become operational in the element, during the proposed time frame/  
Recognizes the ability of modern aircraft to operate safely and efficiently using an integration of on-board systems and external signals
- Operational Enablers procedures supporting the operational concept are global operational procedures, rules of the air, standards, meeting all the following conditions:
  - They are consistent with the element
  - It is realistic to consider that they can be officially approved early enough to be operational in the element, during the proposed timeframe
  - Selection of staff, training to these procedures can be achieved early enough for application during the proposed timeframe
- Socio-economic Enablers of an element of the operational concept are decisions taken by stakeholders (including airlines), and agreements between them to make the operational concept possible, and everything that makes these decisions actually possible

# ICAO Global Implementation Overview

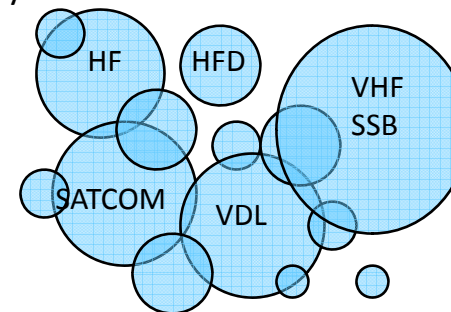
## Today

- Plethora of Disjoint CNS Technologies
- Regional solutions
- Many standards
- Regional service variations

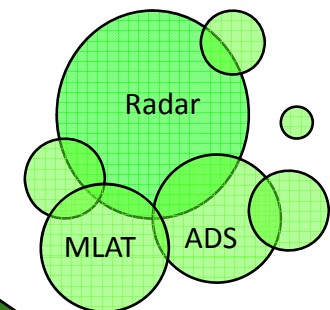
### Existing Navigation Systems



### Existing Communications Systems



### Existing Surveillance Systems

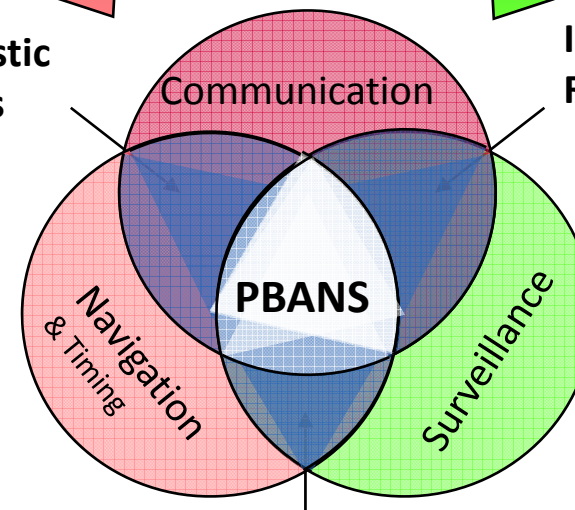


## Performance Based Air Navigation System

- Integrated CNS
- Global utility
- Global standard
- Uniform levels of service

### Synergistic Services

### Inherent Redundancy



**Lower Total Cost to Provide Services**



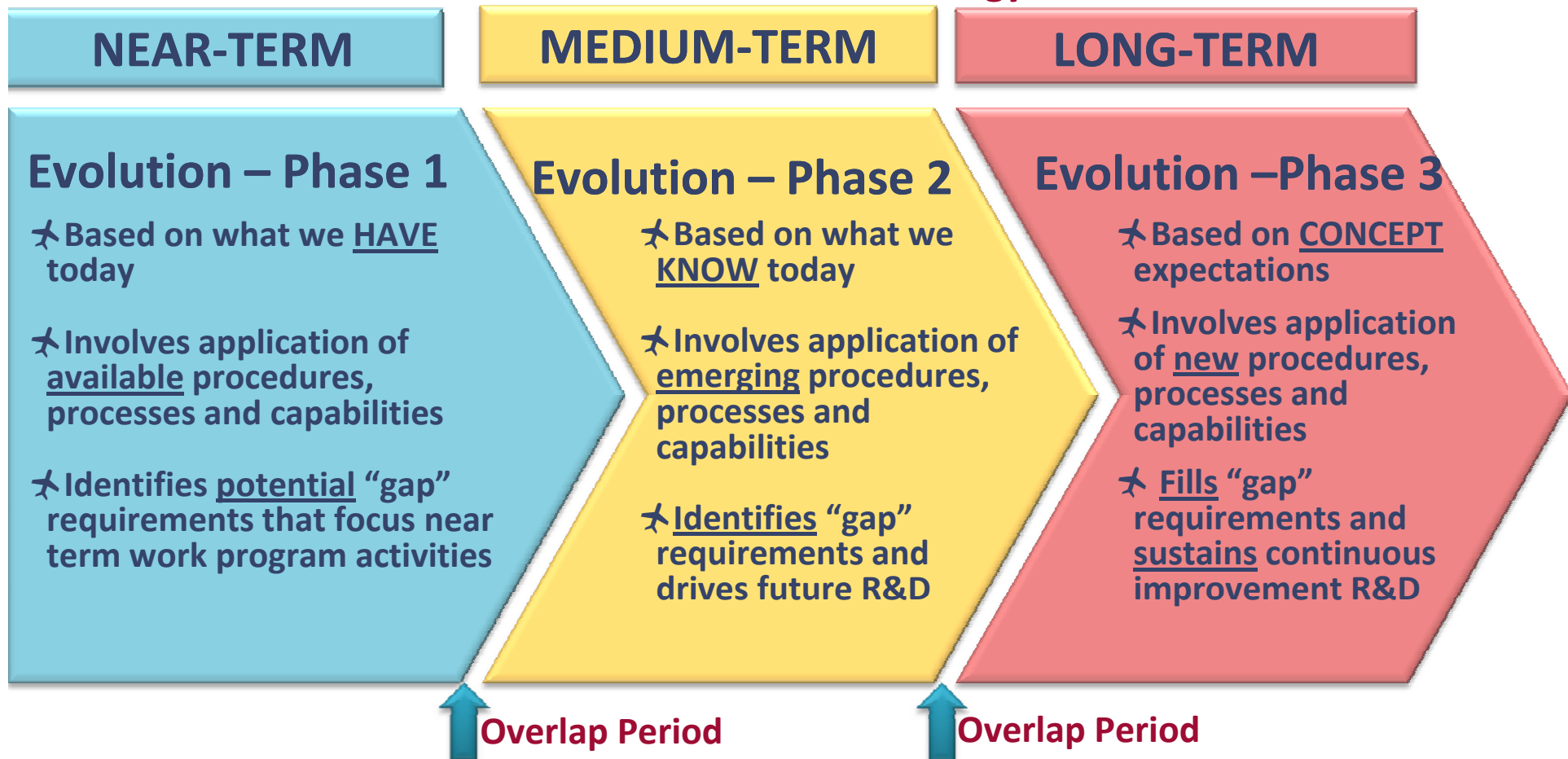
# ICAO Global Implementation Overview

## Global Air Navigation Plan (GANP)

- The Global Plan was updated on the basis of
  - Recommendations of AN-Conf/11
  - Global ATM Operational Concept, which provides the target system
  - Industry Roadmap, which provides the near and medium industry requirements
  - Assembly Resolution A35-15
- Global Plan provides guidance on environment, business case, costs, human resources, etc.
- A core element in the strategic plan of ICAO is to focus efforts on those activities that facilitate implementation.
- Result Areas are targeted efforts towards facilitating implementation.
- HQ & Regional Offices have established a process framework that will allow for measurable impacts based on ICAO controlled deliverables and external activities directly influenced by ICAO's role in the international aviation community.

# ICAO Global Implementation Overview

## GANP Transition Strategy



The “Overlap Period” indicates that there is no set date by which the objectives of each transition should be met – other than within a time band of perhaps 2-3 years. It also recognizes that some States or Regions may not have a specific performance requirement that would need the application of changes identified in the transition maps at the same time as another State or Region.



# ICAO Global Implementation Overview

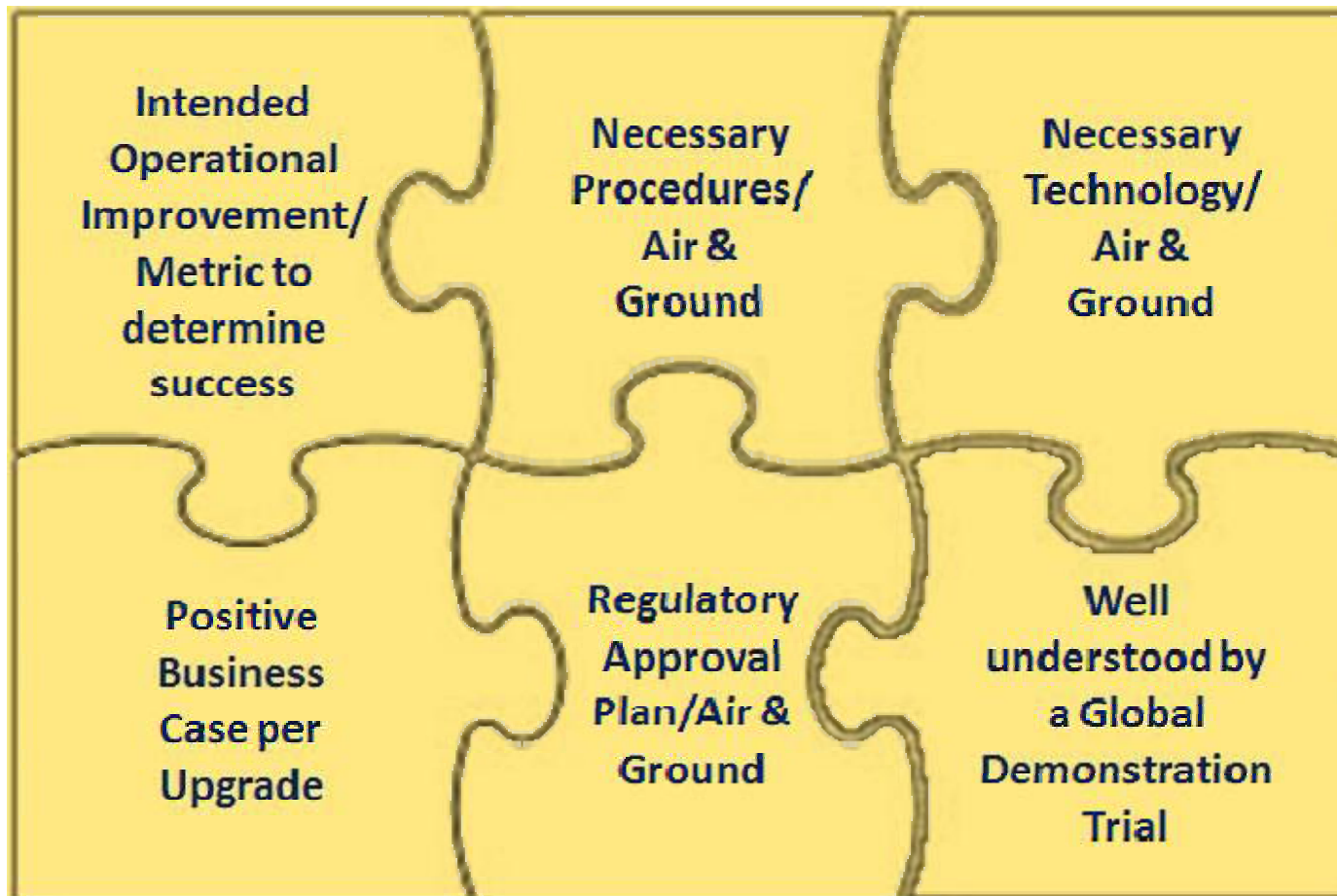


## **GANP GPI-22 – *Communication infrastructure***

- To evolve the aeronautical mobile and fixed communication infrastructure, supporting both voice and data communications, accommodating new functions as well as providing the adequate capacity and quality of service to support ATM requirements.
- the common objective is to seek the most efficient communication network service providing the desired services with the required performance and interoperability required for aviation safety levels at minimum cost.

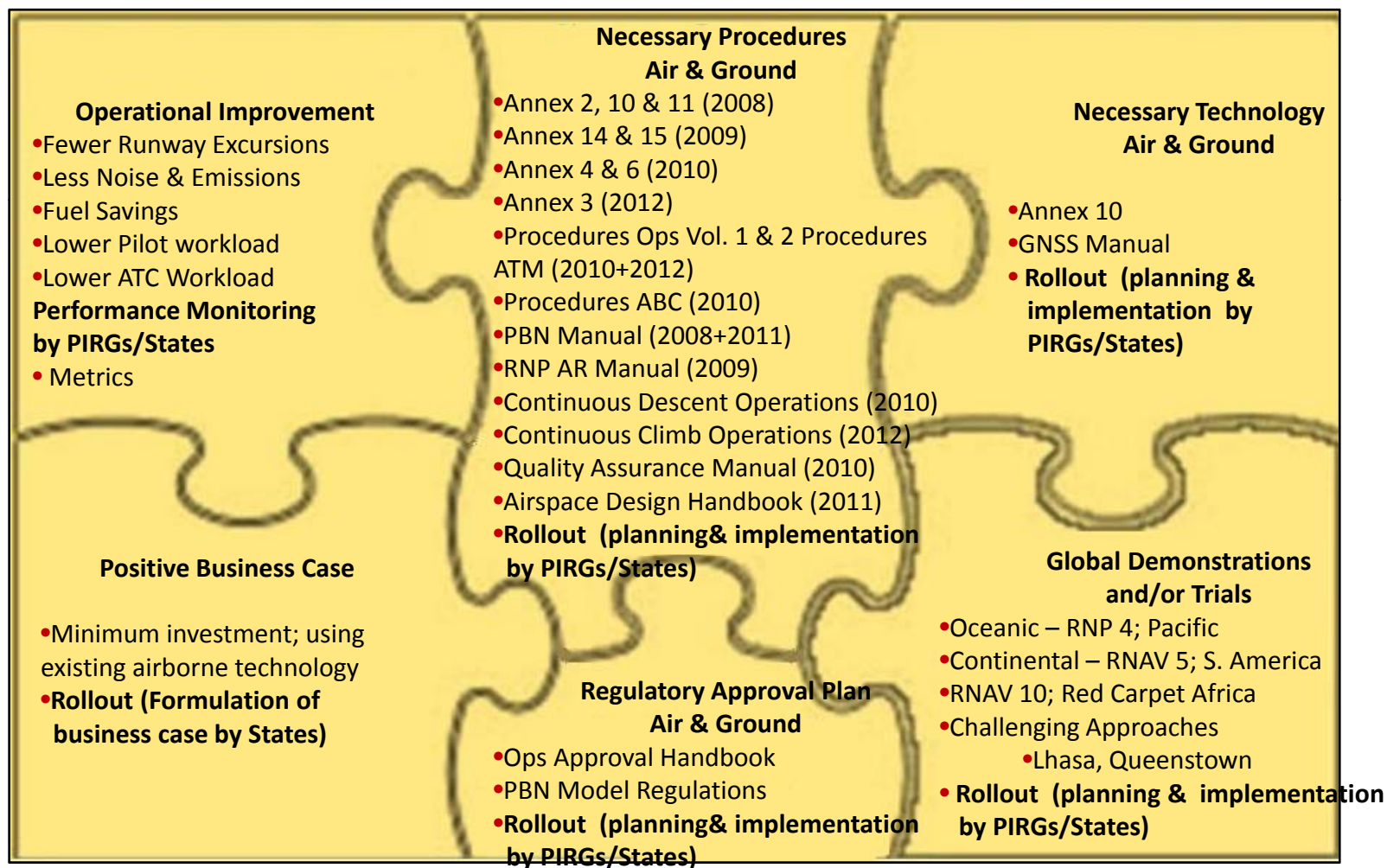
# ICAO Global Implementation Overview

## AVIATION SYSTEM BLOCK UPGRADE (ASBU) METHODOLOGY



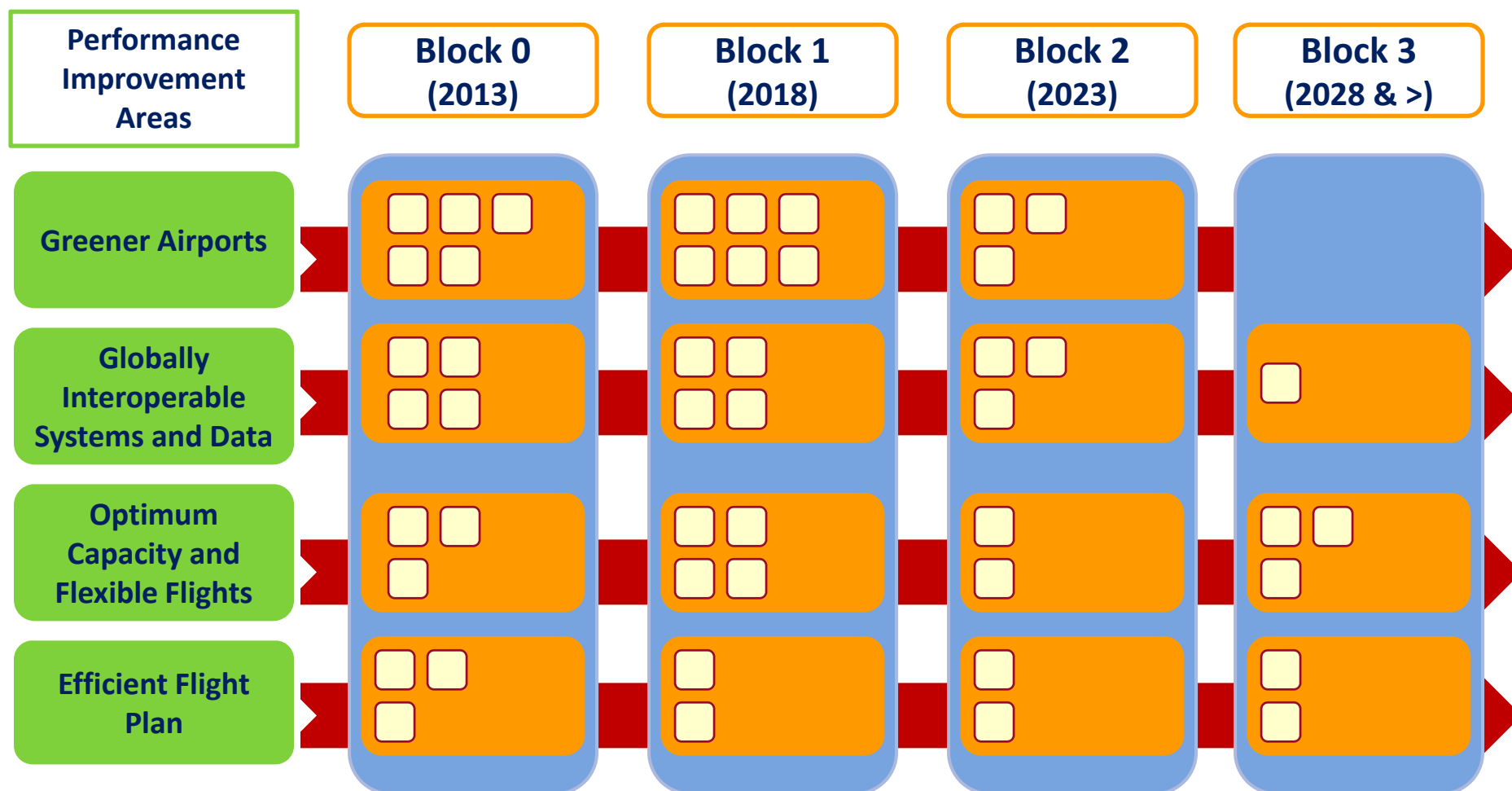
# ICAO Global Implementation Overview

## AVIATION SYSTEM BLOCK UPGRADE (ASBU) METHODOLOGY PBN Example



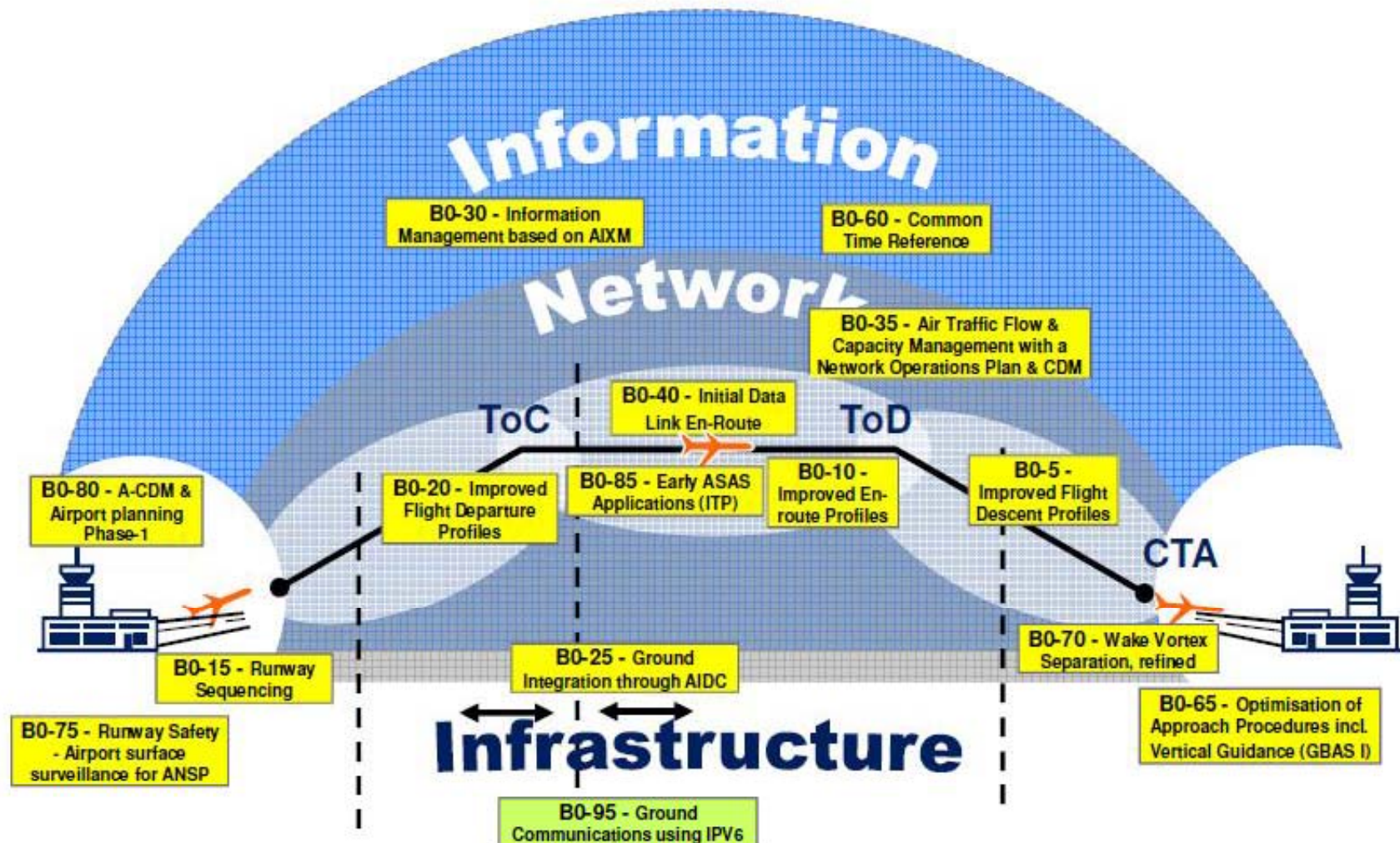
# ICAO Global Implementation Overview

## AVIATION SYSTEM BLOCK UPGRADE (ASBU) METHODOLOGY



# Improvements for Phases of Flight

Example: Block 0





# ICAO Global Implementation Overview

## AVIATION SYSTEM BLOCK UPGRADE (ASBU)



## ANCONF/12

Montréal, 19-30 Nov 2012

- ❖ Opportunity to formalize future of infrastructure & equipage
- ❖ Strategies for longer-term requirements
- ❖ Agreement of first series of block upgrades
- ❖ Encourage more efficient implementation

# ICAO Global Implementation Overview

## GANP: Review and update in ANCONF/12



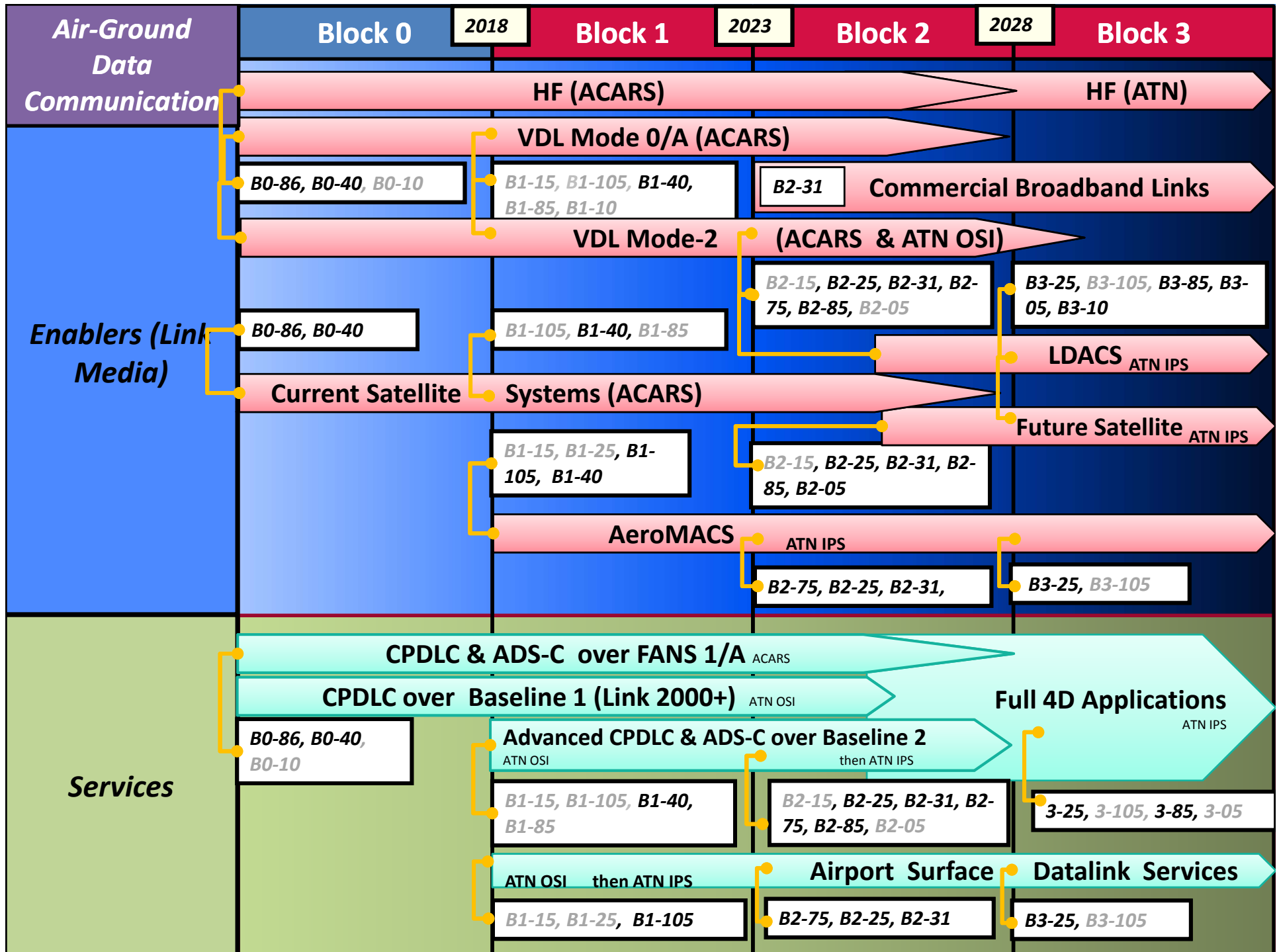


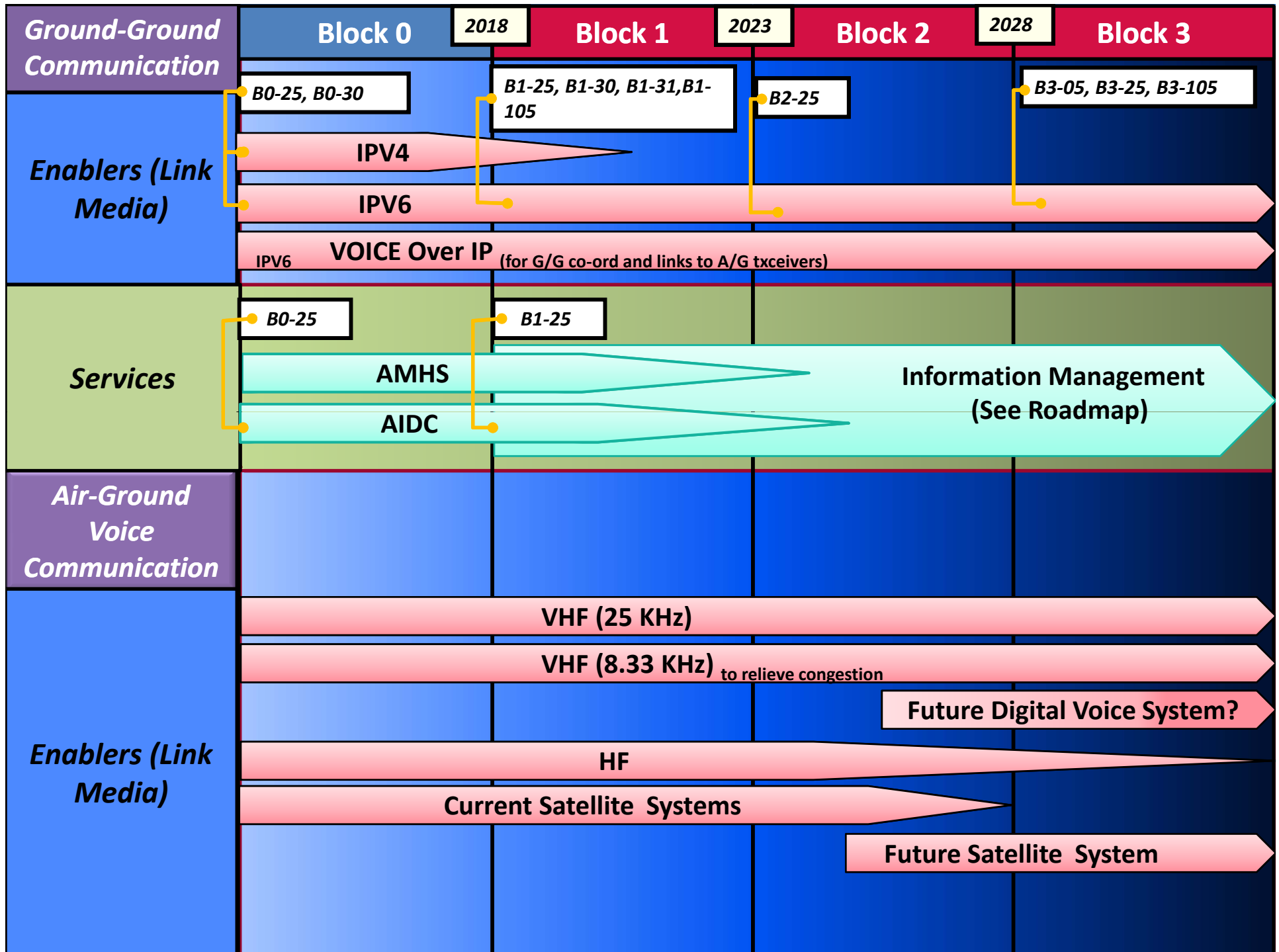
# ICAO Global Implementation Overview

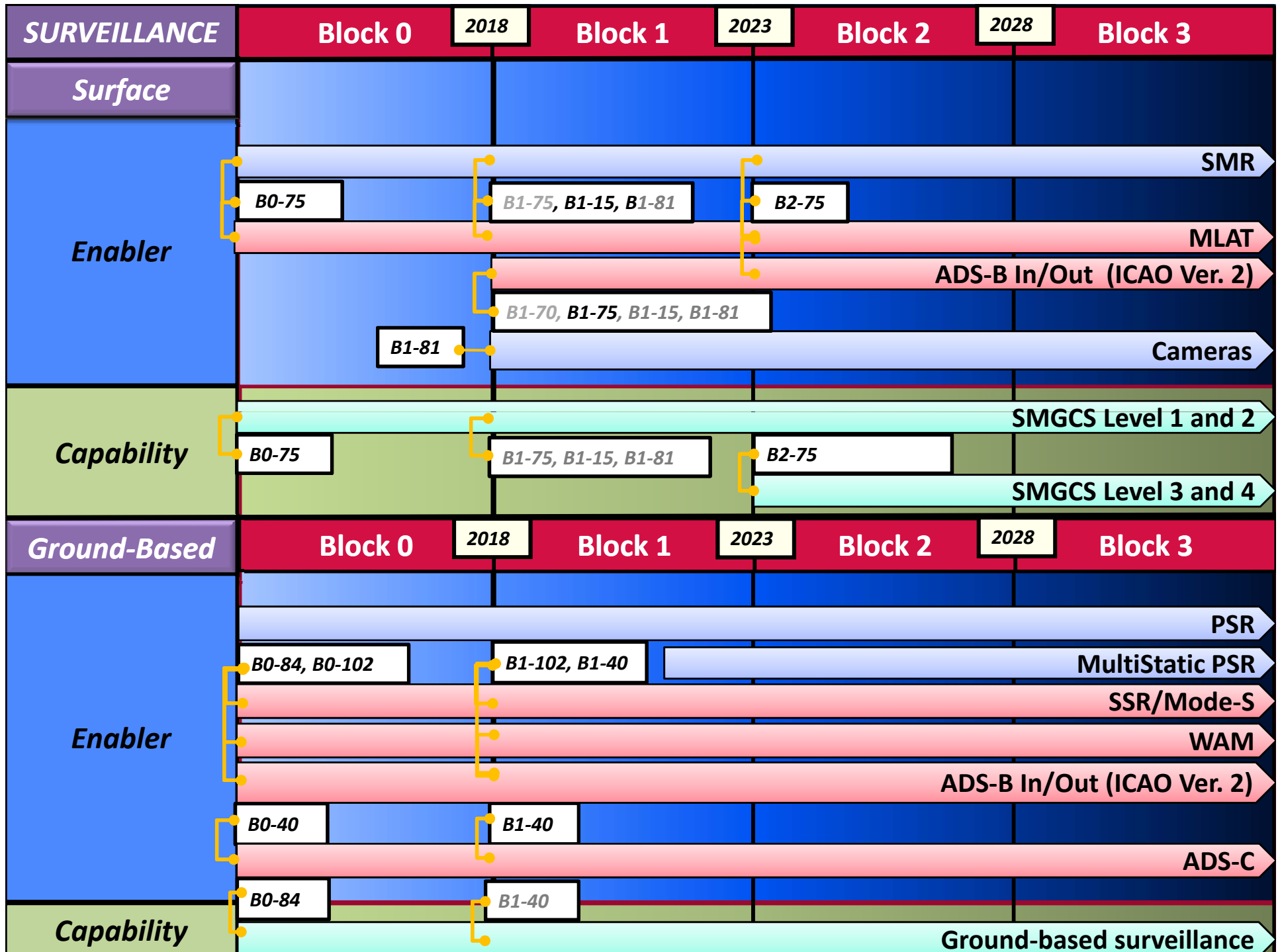
## ASBU: CNS/AIM and Avionics Roadmaps

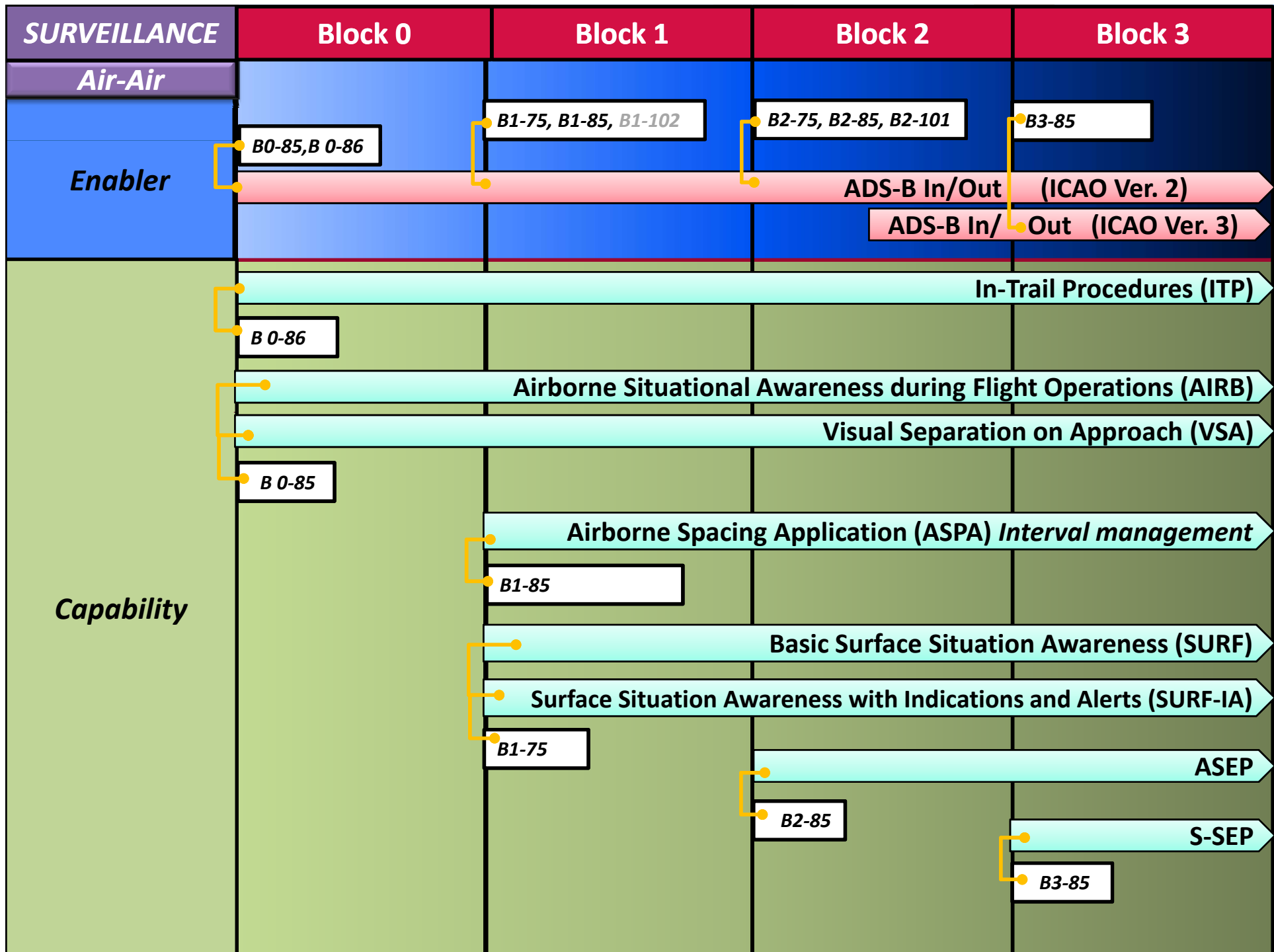
- CNS/AIM (Future Aviation Systems & Technology)
  - Aeronautical Information Management
  - ATM Information Management
  - System-Wide Information Management (SWIM)
- Avionics (Part of COM/NAV/SUR infrastructure)

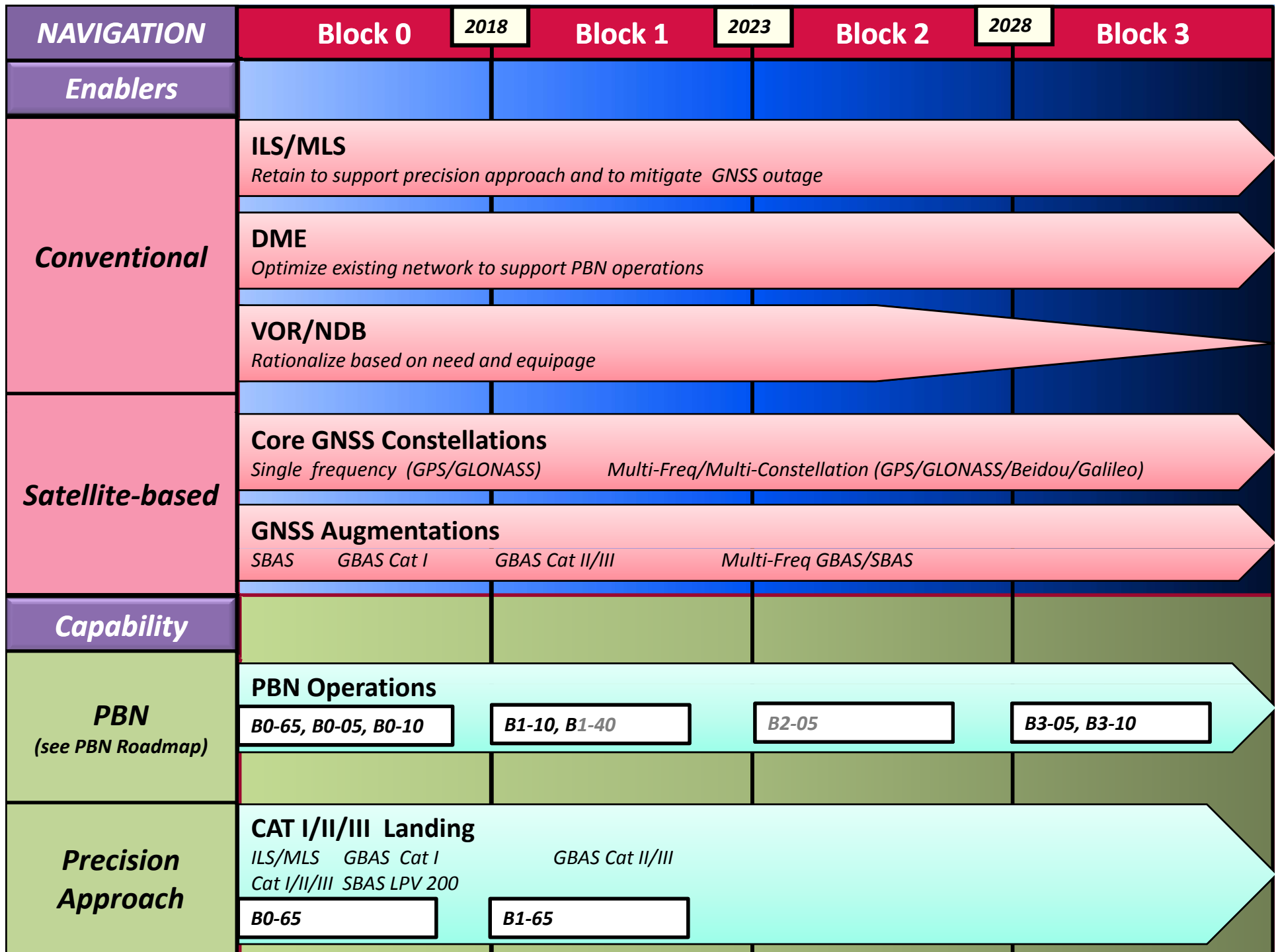


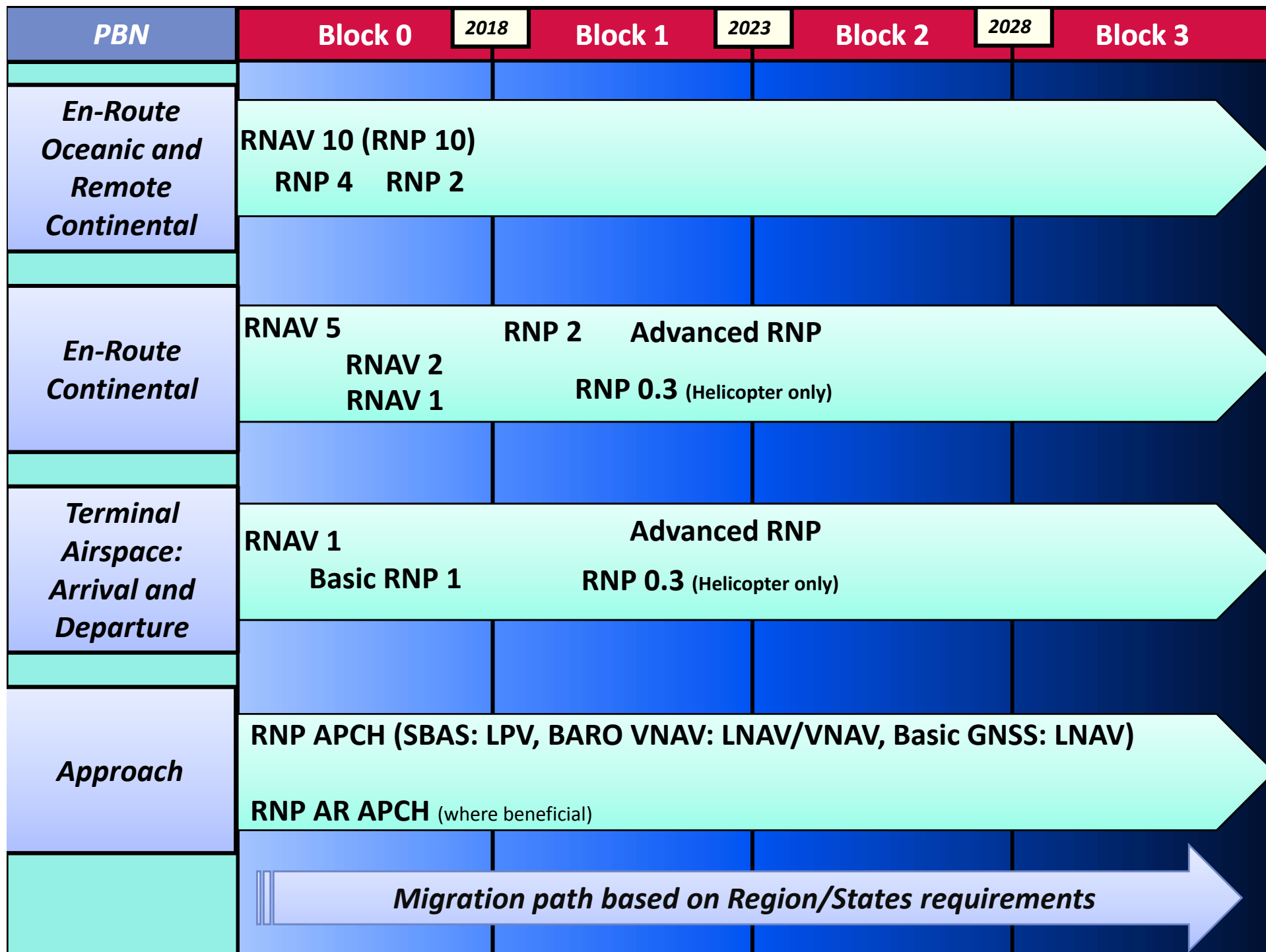


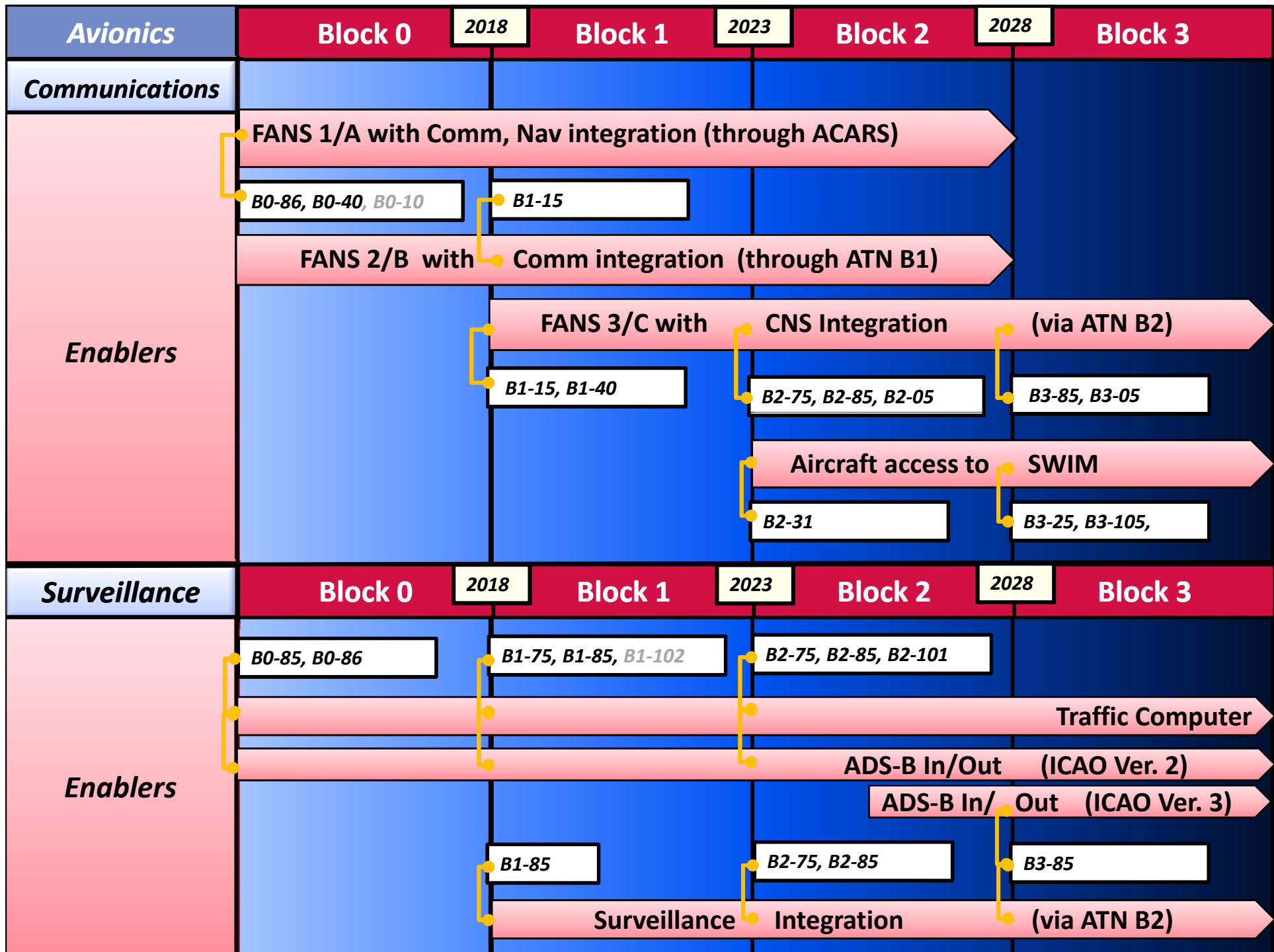


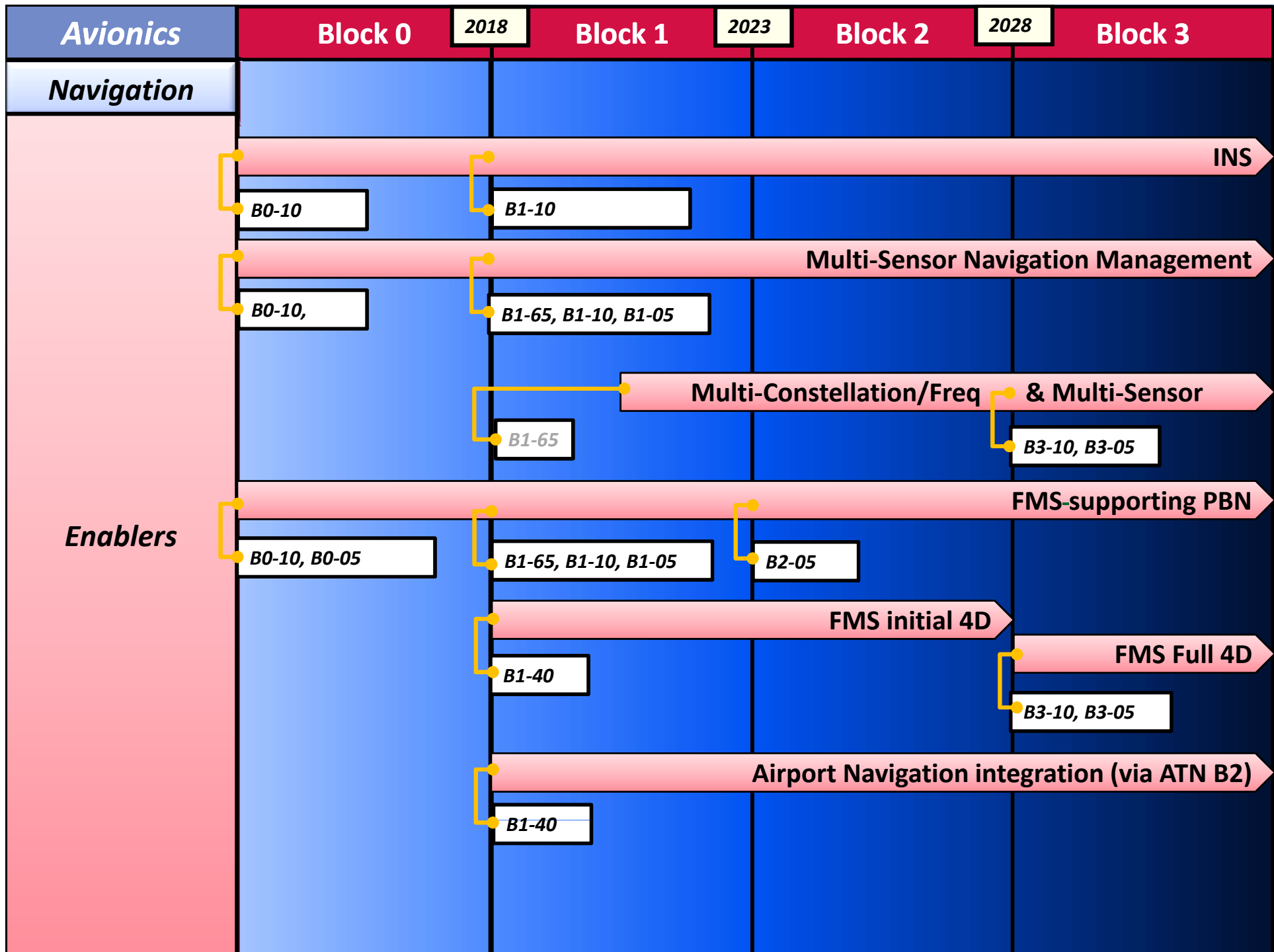




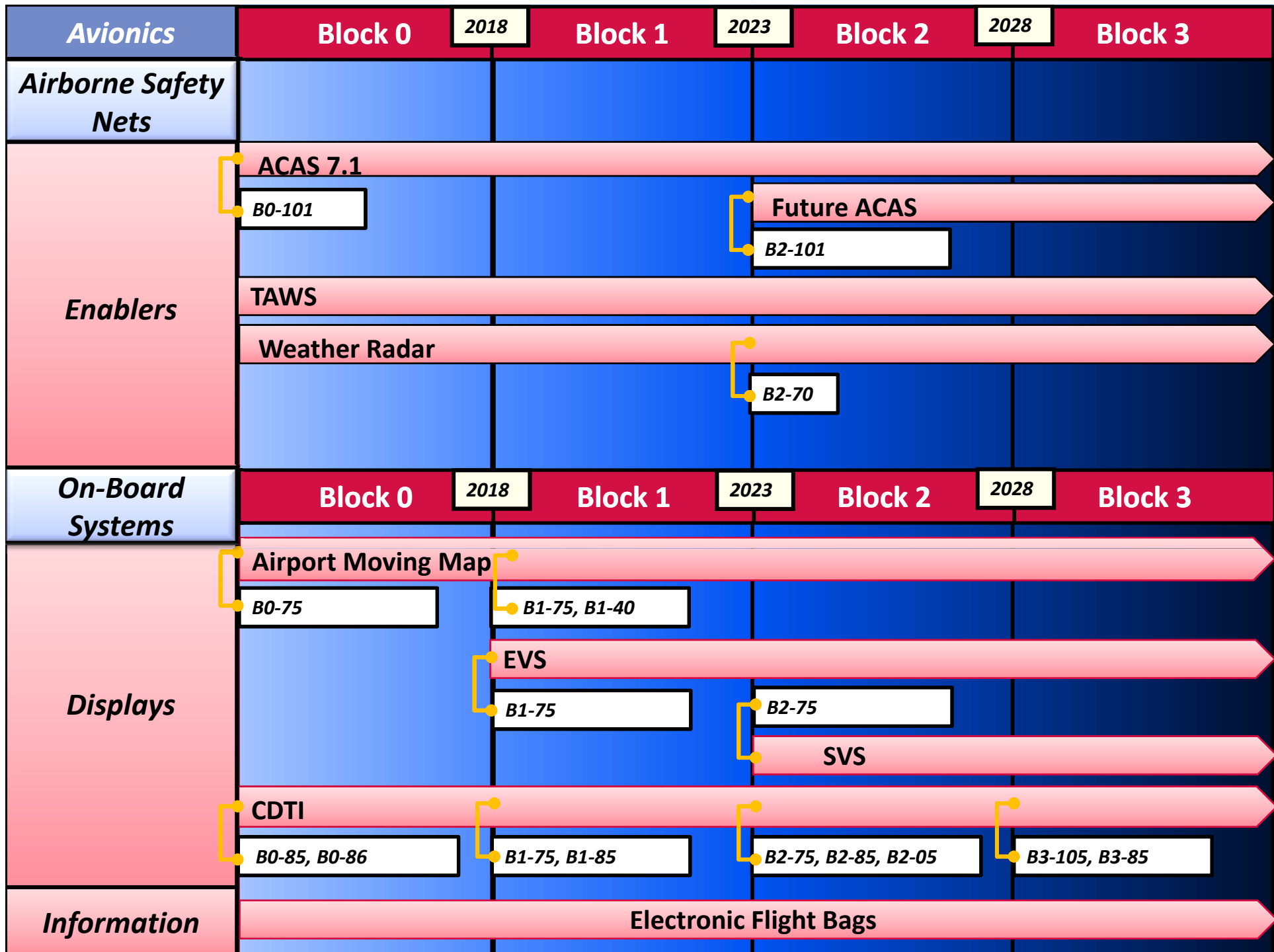


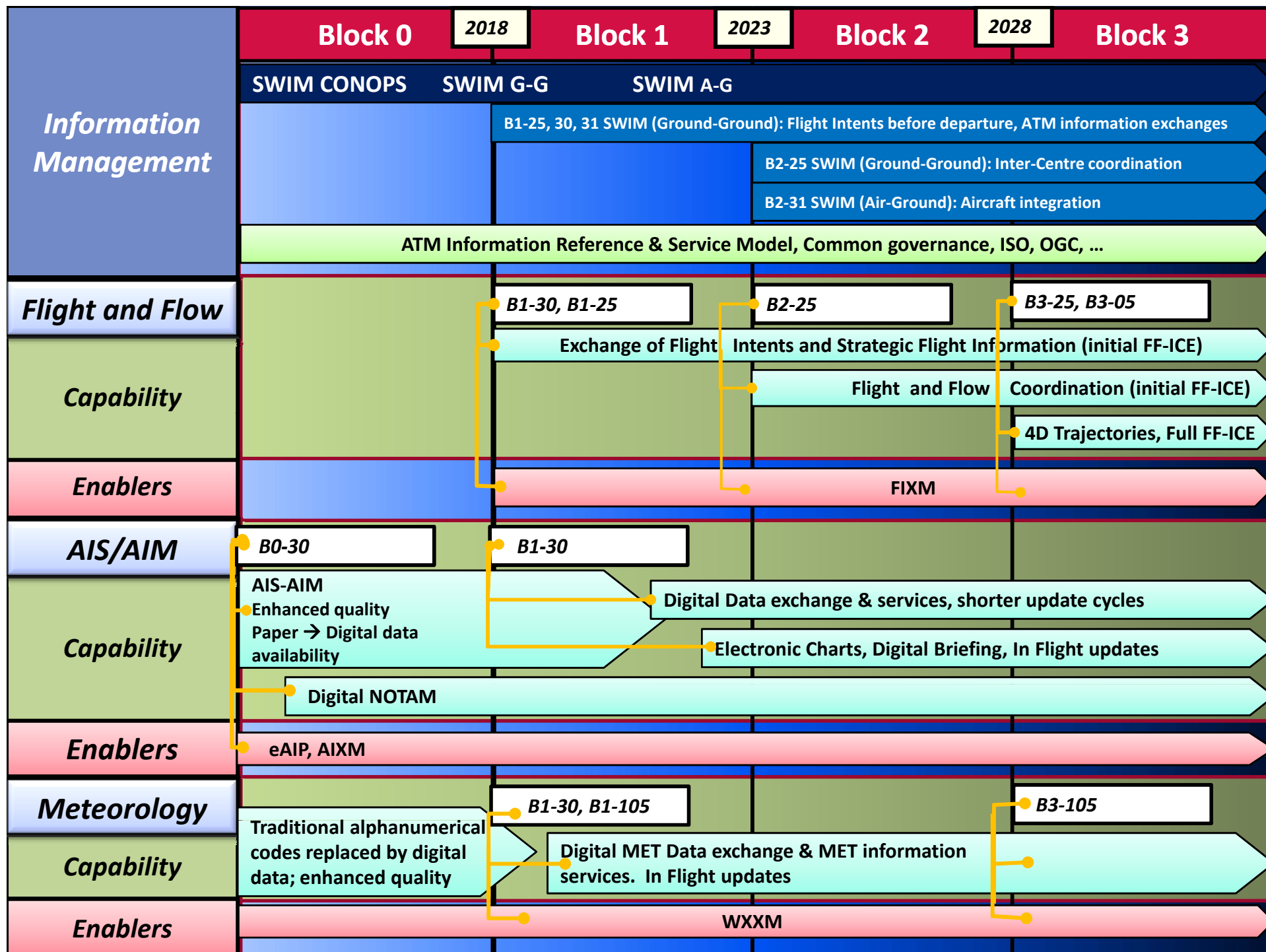












# ATN/ AMHS relevant SARPs



## ATN Ground-ground data applications

- Current
  - AFTN (Aeronautical Fixed Telecommunications Network) (between communication centres )
    - low/medium speed
    - 1800 character message limitation
    - store and forward
  - OLDI (On Line Data Interchange) (between ATS centres)
- New
  - AMHS (Aeronautical Message Handling System) an ATN application between communication centers
  - AIDC (ATS Interfacility Data Communication) an ATN application between ATS centers

# ATN/ AMHS relevant SARPs



## ANNEX 10

### Volume II:

4.4 Aeronautical fixed telecommunication network (AFTN)

4.6 ATS message handling services (ATSMHS).

### Volume III:

CHAPTER 8. AFTN Network

Chapter 3: Aeronautical  
Telecommunication Network (ATN)

# ATN/ AMHS relevant SARPs



## Guidance Material

The ATN concept : 10th Air Navigation Conference in 1991.



The initial ATN related SARPS and Guidance Material in November 1996.



SARPS for Directory Services, Security and System Management completed in 2000



SARPS for ATN deployment using ATN/OSI and Internet protocol suite (IPS) standards in the provision of aeronautical internetworking for ground-ground and air-ground applications

First ATN Manual : Doc 9578 Manual of the ATN

SARPS first published in Annex 10 in 1998: Doc 9705 Manual of Technical Provision for the ATN

Directory Services, Security and System Management SARPS published in 2001: Doc 9739 Comprehensive ATN Manual

Doc 9880 Manual on Detailed Technical Specifications for ATN using ISO/OSI and Doc 9896 Manual for the ATN using IPS - First Editions 2010

# ATN/ AMHS relevant SARPs



## Guidance Material

Aeronautical Communication Panel (ACP) of ICAO has agreed to the use of TCP/IP or the Internet protocol suite (IPS) in the provision of aeronautical internetworking for ground-ground and air-ground to replace the ATN/OSI Internet for the following reasons:

- X-25 technology is obsolete;
- IPS infrastructure is available and flexible; and
- ATN/OSI Internet protocols are not maintained

The feasibility of using IPS for air-ground data applications has been demonstrated. Future air/ground data link system will be based on already existing IPS SARPs.

Amendment No. 83 to Annex 10: introduces ATN/IPS applicable since July 2008

# ATN/ AMHS relevant SARPs



## Guidance Material

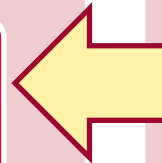
### Doc 9880

Part I Air-ground applications

Part II Ground-ground applications -  
ATSMHS

Part III Upper layer communications  
service (ULS) and Internet communications  
service (ICS)

Part IV Directory services, security services  
and systems management



### Doc 9705

Sub- Volume II

Sub- Volume III

Sub – Volume IV and V

Sub – Volume I, VI, VII, VIII and  
IX

# ATN/ AMHS relevant SARPs



## Guidance Material

### Doc 9880

A/G and G/G  
Manual on Detailed  
Applications  
Technical  
Specifications for  
Application Support

Detailed Technical Spec

OSI

### Doc 9896

Limited Guidance  
Material  
Manual on the ATN  
Novel Applications - VOP  
using IPS  
Application Support

Detailed Technical Spec

IPS



# ATN/ AMHS relevant SARPs



## Guidance Material

### For OSI Implementations

#### **Doc 9880**

A/G and G/G  
Applications

Application Support

Detailed Technical Spec

### For IPS Implementations

#### **Doc 9896**

Limited Guidance  
Material

Novel Applications - VOIP

Application Support

Detailed Technical Spec

#### **Doc 9880**

A/G and G/G  
Applications

# ATN/ AMHS relevant SARPs



## Guidance Material

### DOC 9880

ATSMHS: ATS Message Service , exchange of ATS messages between service users

Two ATSMHS levels of service: a) the basic ATSMHS and b) extended ATSMHS

AMHS: Set of end systems providing the ATSMHS

ATN End Users: a) ATS message server, b) ATS message user agent and c) AFTN/ AMHS gateway

# ATN/ AMHS relevant SARPs



## Guidance Material Doc 9896

contains application-related provisions, especially to accommodate already existing applications:

- ATSMHS: RFC2126/RFC1006 (1st step), other IP native alternatives are considered
- AIDC/OSI: Too complex to migrate; no existing implementations. OLDI/FMTP is offered as an alternative. Other alternatives may be used as well.
- A/G applications
- CM
- ULCS: Substitutes proposed.

New applications developed at a later stage to utilize the ATN/IPS, may be accommodated individually, in Doc 9896, or in other Documents which reference the ATN/IPS SARPs.

# ATN/ AMHS relevant SARPs



## Guidance Material

### DOC 9896

Ground networking elements are relatively stable,  
based on IPv6 and BGP routing

Compatible with on-going IP implementations

Networking protocols

Provisions for mobility management

Provisions for security (IPSec, SSL/TLS, ATN Security)

VoIP material (references)

# ATN/ AMHS relevant SARPs



## Guidance Material

### Doc 9896

Connection oriented and connectionless transmission

Transport layer addressing

Multicast services for surveillance

AS numbering and addressing schemes

IPv4/IPv6 migrations and translation

Inter-domain routing

Quality of Service (QoS) management

# ATN/ AMHS relevant SARPs



## Guidance Material

### Doc 9896

ICAO IPv6 Address Allocation

IPv6 Network Architecture Design Guidance

PKI and IPSec Implementation Guidance

Aircraft Naming/Identity for PKI Use

Aircraft IPS Naming (DNS) Structure

IPS DNS Implementation Guidance

uses external Reference Documents

- Mature Standards referenced rather than developing our own
- RFCs developed by the Internet Society (ISOC) Internet Engineering Task Force (IETF) are referenced in the new Annex 10 amendment and Doc 9896 as appropriate.
- EUROCAE and RTCA documents may also be referenced.

# ATN/ AMHS relevant SARPs



## Maintenance of Guidance Material

### ICAO ACP WG Maintenance (ACP WG-M)

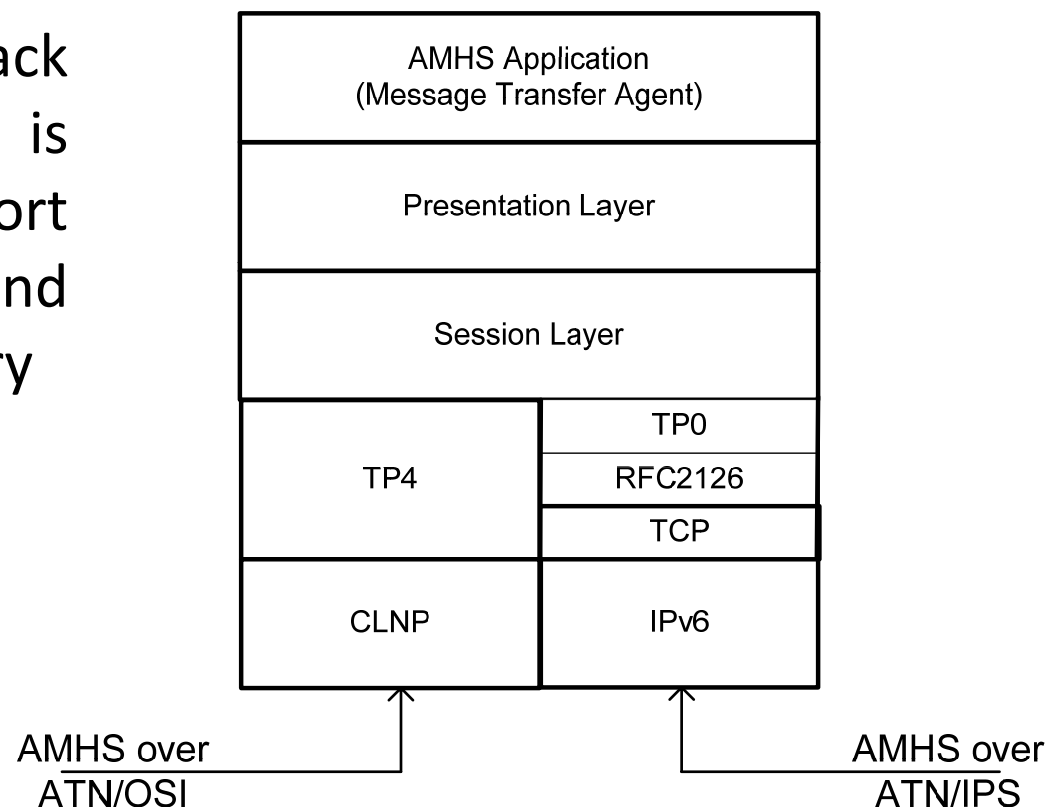
- ACP WG-M is responsible for maintenance including the ATN.
- Former ATNP maintenance procedures are no longer applicable since the ACP re-organisation
- Any Proposed Defect Reports (PDRs) are to be forwarded to WG-M chairman and ACP Secretary

### ICAO Working Group Internet (WG-I)

- Developed Doc 9896 “Manual for the ATN using IPS standards and protocols”
- The ATN IPS is principally driven by de-facto IP industry standards

# ATN/AMHS Regional IMPLEMENTATION Issues

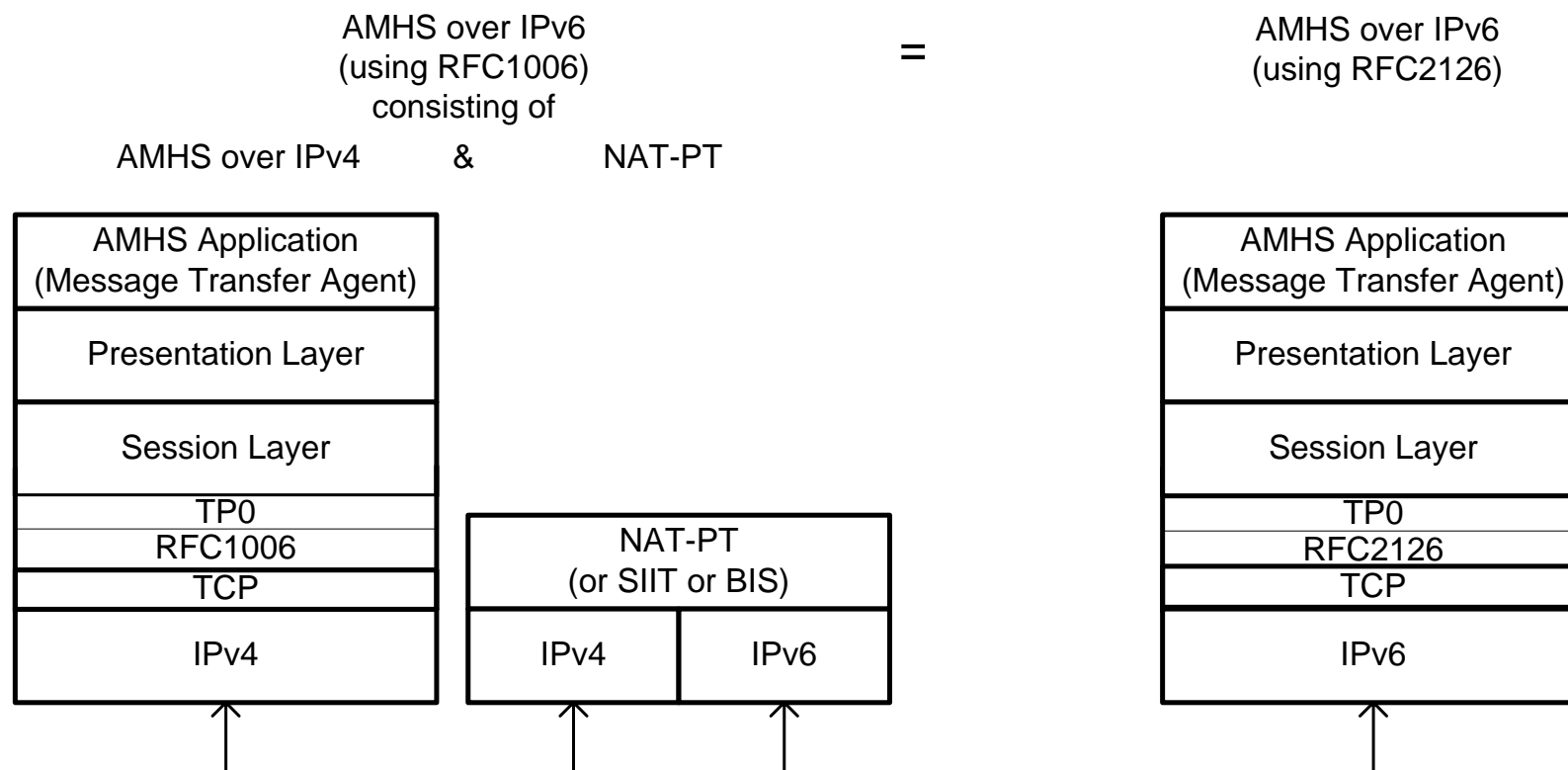
AMHS dual stack implementation is envisaged to support both ATN/OSI and ATN/IPS when necessary





# ATN/AMHS Regional IMPLEMENTATION Issues

## AMHS implementations over IPv4 and IPv6 interoperable





# ATN/AMHS Regional IMPLEMENTATION Issues

## CAR/SAM Regional References

AFTN PLAN    CNS TABLE 1Ba

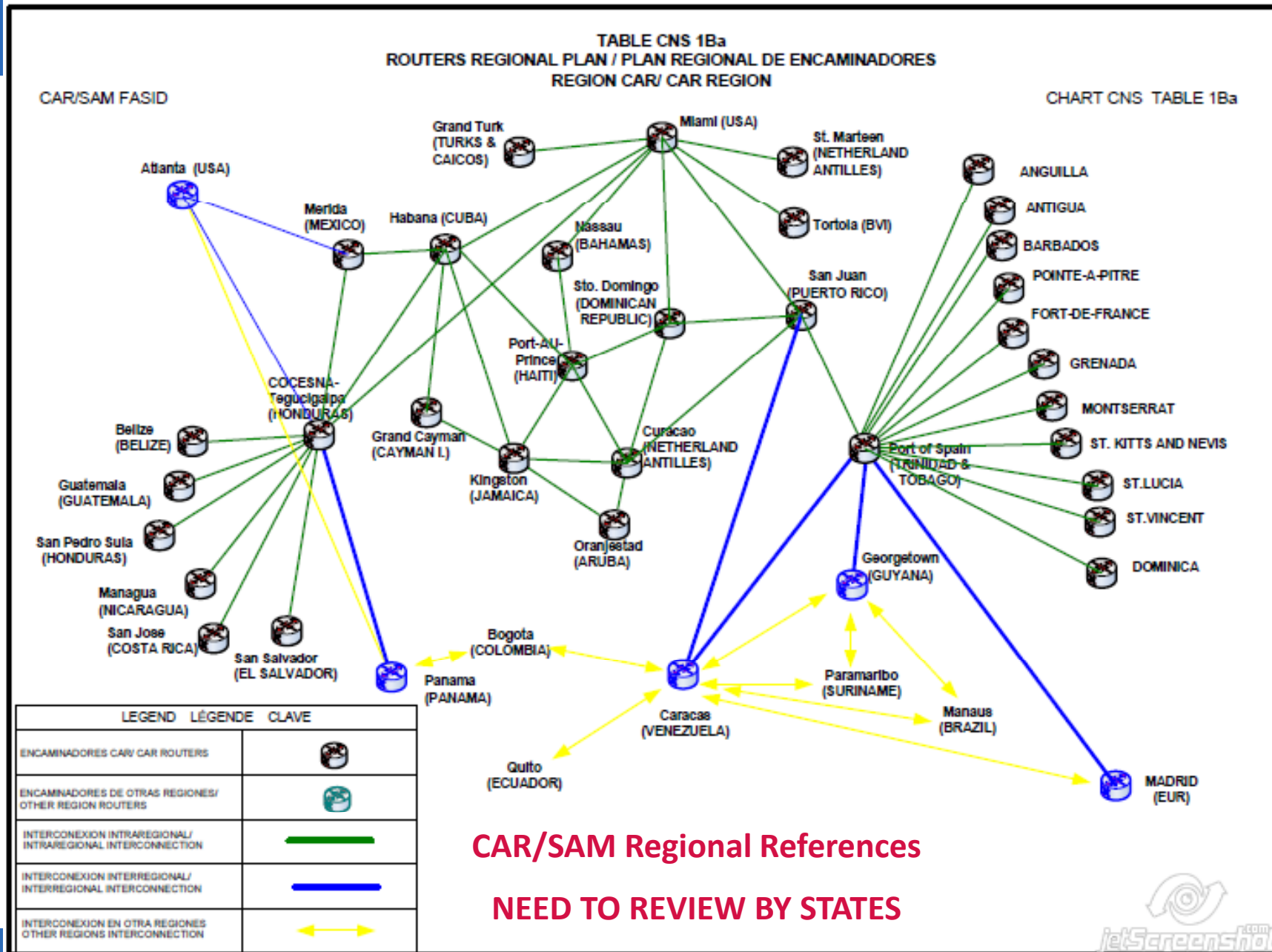
Chart CNS 1A – Rationalized AFTN Plan for CAR/SAM Regions

Table CNS 1Ba –Routers Regional Plan

Table CNS 1Ba –Routers Regional Plan (Chart)

All FASID References available at:  
<http://www.mexico.icao.int/CNS.html#FASID>

# ATN/AMHS Regional IMPLEMENTATION Issues





# ATN/AMHS Regional IMPLEMENTATION Issues

## CAR/SAM Regional References

### Table CNS 1Bb – ATN Ground- Ground Applications Plan

- Due to the implementation of the New Flight plan format, several States have speed up the implementation of their AMHS Systems
- With the recognition of the operation benefits achieved through the implementation of CPL-LAM functionalities, several States plan to implement AIDC shortly
- The modernization of regional telecommunication networks are facilitating the implementation of ATN applications

Revision of CNS 1Bb Table by States



# ATN/AMHS Regional IMPLEMENTATION Issues

## CAR/SAM Regional References

### CARSAM Regional Strategy for the deployment of the ATN and its applications

*Short term (1/2)*

Actions	Implementation Status
Complete the updating of the aeronautical digital communication networks by providing intra and inter-regional interconnection and interoperability.	Completed
implementation of the AMHS to replace the AFTN.	On going with delays
Carry out the strategic deployment of a limited number of ATN routers of the ATN backbone to support other ground-ground and air-ground applications.	On going with Network improvements
The referred ATN routers must provide AFTN/AMHS gateway during the transition phase.	completed
Beginning of implementation of the AIDC within control centres	On goiong with delays



# ATN/AMHS Regional IMPLEMENTATION Issues

## CAR/SAM Regional References

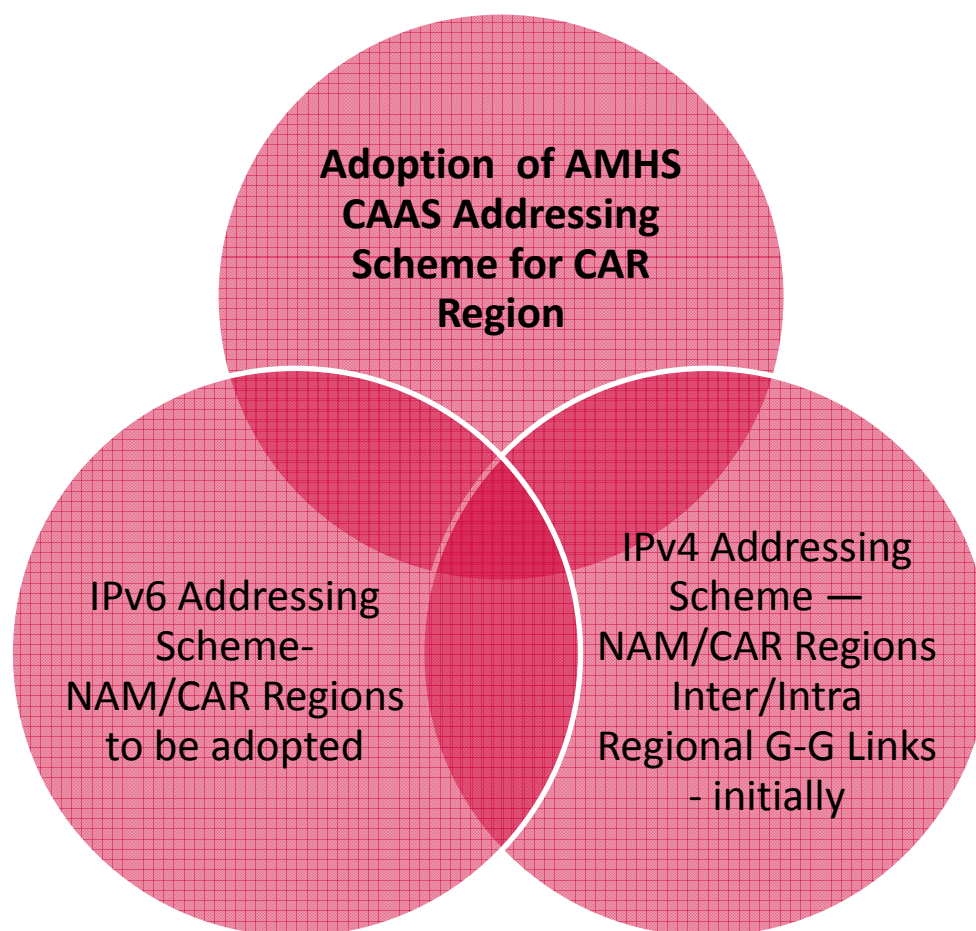
### CARSAM Regional Strategy for the deployment of the ATN and its applications

*Short term (2/2)*

Actions	Implementation Status
undertake the training of operational and technical personnel in order to provide the necessary knowledge to introduce the ATN and its ground-ground applications (AMHS and AIDC).	On going with delays
Based on the relevant deployment of the ATN ground-to-ground infrastructures and ground applications, gradual introduction of ATN air-ground applications is suggested	delayed
Implementation will be in full agreement with SARPs, ICAO PANS and GREPECAS guide.	understood

# ATN/AMHS Regional IMPLEMENTATION Issues

## CAR/SAM Regional References





# ATN/AMHS Regional IMPLEMENTATION Issues

## ATS Messaging Management Centre (AMC)

implemented in coordination with Eurocontrol and ICAO:

- ICAO State Letter 09-34 dated 14th April 2009, in the short- to medium-term, ICAO will utilize the European ATS Messaging Management Centre (AMC), provided by EUROCONTROL, to coordinate the allocation and management of AMHS addresses.
- All States are therefore invited to designate representatives to register as AMC users as well as all States and/or ANSPs, operating international COM Centres, with the intention of implementing the foreseeable future, should engage themselves into the AMHS address coordination process without delay.

### AMHS MD Register For CAR/NAM Regions in AMHS Management Domain Identifier

- ICAO List: institutional purposes (TO REVIEW) [AMHS Register](#)

AMHS COM Centre Training Guidelines available





# ATN/AMHS Regional IMPLEMENTATION Issues

## GREPECAS Projects

- **PROGRAM:** GROUND-GROUND AND AIR-GROUND TELECOMMUNICATIONS INFRASTRUCTURE
- **PROJECT:** D CAR ATN INFRASTRUCTURE AND ITS GROUND-GROUND AND AIR-GROUND APPLICATIONS

### Deliverables:

- Evaluation of the preliminary trials results on the definition of the CAR/SAM ATN bandwidth requirement
- Study for the configuration of an IP backbone network
- Study of communication requirements to support ATFM implantation
- Transition Plan for the ATN and its applications in the CAR region
- Implementation plan for ground-ground ATN applications (AMHS)
- Update proposal for CNS Table 1Bb
- Implementation Plan for Ground-ground ATN Applications (AIDC)
- Evaluation and recommendations on the AMHS coordination and trials conducted
- Transition plan for ground air ATN applications

# ATN/AMHS Regional IMPLEMENTATION Issues

## NAM/CAR Implementation Activities

### MEVA II Network:

VSAT  
Network  
/ Frame  
Relay

Provides  
services to all  
Central  
Caribbean,  
Mexico and  
Central  
America

Main  
Network  
for  
AMHS  
impleme  
ntation

Has  
intercon  
nection  
with  
REDDIG  
Network

Currentl  
y in  
moderni  
zation to  
MEVA III



# ATN/AMHS Regional IMPLEMENTATION Issues

## NAM/CAR Implementation Activities

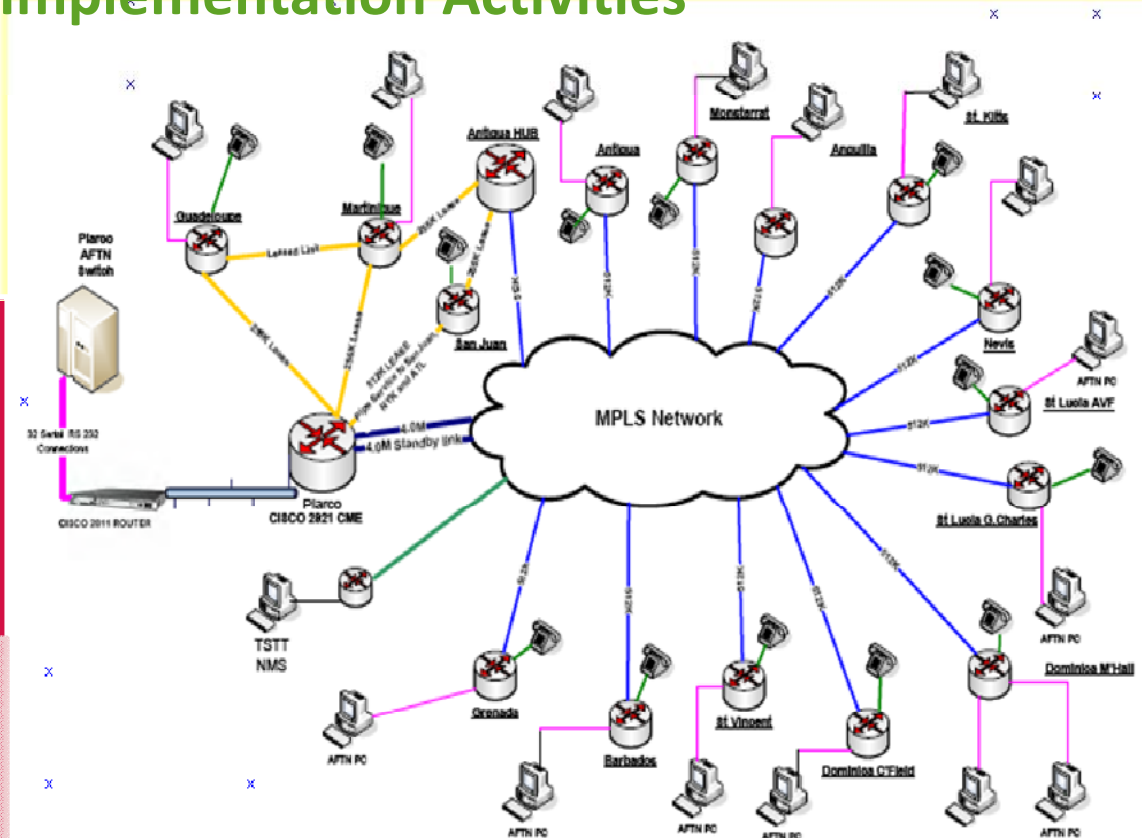
### E/CAR Network:

MPLS  
Network / IP

Provides  
services to  
all Eastern  
Caribbean  
States

Main  
Network for  
AMHS  
implemen-  
tation

Currently in  
plans with  
MEVA III for a  
single CAR  
telecom  
Network



# ATN/AMHS Regional IMPLEMENTATION Issues

## NAM/CAR Implementation Activities

### CAMSAT Network:

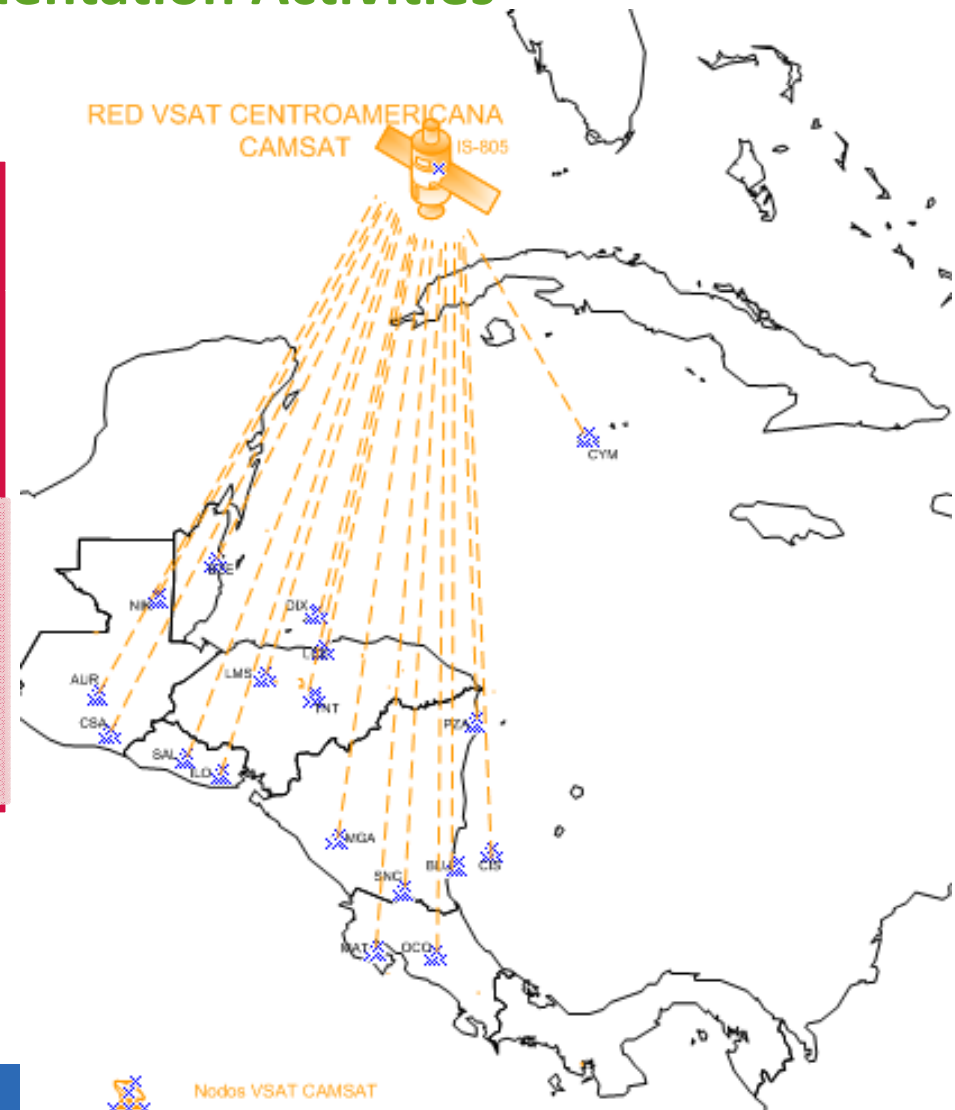
VSAT  
Network  
/ Frame  
Relay

Being  
modernized  
with ground  
microwave  
infrastructure

Provides  
services  
to all  
Central  
America

Main  
Network  
for  
AMHS  
implem  
entation

New  
node in  
Panama





# ATN/AMHS Regional IMPLEMENTATION Issues

## NAM/CAR Implementation Activities

### NAM/CAR REGIONAL PERFORMANCE-BASED AIR NAVIGATION IMPLEMENTATION PLAN (NAM/CAR RPBANIP)

Harmonized implementation of Air Navigation Services and Systems under PBA.

Every sub-region (C/CAR, E/CAR and Central America) follows-up this Plan, and formulate detailed Action Plans under each Working Group

Among the 12 Regional Performance Objectives (RPO), the implementation of the ATN is considered under RPO No. 9 Optimization and Modernization of Communication Infrastructure.

# ATN/AMHS Regional IMPLEMENTATION Issues



## NAM/CAR Implementation Activities

### **Review of conclusions and discussions from FAA Workshop on the Implementation of the ATS Message Handling System (AMHS) in the NAM/CAR Regions**

(Miami, Florida, United States, 19 to 21 October 2010)

- ICAO references and guidance material
- Procedure/activities to follow-up for AMHS trials
- System Configuration and Procurement
- Test considerations with the FAA
- Training aspects
- Monitoring edge device services
- Exchange of experience and lessons learned: AMC/ FAA Websites
- Recommendation for a follow-up AMHS implementation meeting
- A website for AMHS and technical exchange of information
- Matrix: Trial sequence and technical information to follow-up

# ICAO

Uniting Aviation on

Safety | Security | Environment

