



Agenda Item 6: Aviation Security (AVSEC) Matters
6.2 ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG)

ICAO/LACAC NAM/CAR/SAM AVIATION SECURITY AND FACILITATION REGIONAL GROUP (AVSEC/FAL/RG)

(Presented by the Secretariat)

SUMMARY

This working paper presents information on the progress of the ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) projects.

References:

- *Final Report of the Third ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group Meeting (AVSEC/FAL/RG/3)*, ICAO SAM Regional Office, Lima, Peru, 19-21 June 2013, and State Letter EMX0559, dated 27 August 2013 (<http://bit.ly/18x1xzb>)
- State Letter EMX0529, dated 7 June 2012, on the *Manual on Threat Assessment and Risk Management Methodology*
- State Letter EMX0523, dated 12 June 2012, on the *Approval of AVSEC/FAL/RG/2 Meeting Conclusions*
- State Letter EMX0684, dated 26 July 2012, on the *Model National Air Cargo Security Programme*
- State Letter EMX0776, dated 12 September 2012, on the *Regional AVSEC Training Events Database*
- State Letter EMX0890, dated 26 October 2012, on the *Agreement on the Functional Protocol for the Exchange System of Aviation Security Information between States*
- State Letter EMX0111, dated 19 February 2013, on the *ICAO/LACAC Regional Workshop on Detection of Passengers with Suspicious Conduct Course* held in Lima, Peru, 8-12 April 2013
- State Letter EMX0124, dated 21 February 2013, on the *ICAO Regional Facilitation (FAL) Workshop* held in Mexico City, Mexico, 14-17 May 2013
- State Letter EMX0259, dated 2 April 2013, on the *Third ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group Meeting (AVSEC/FAL/RG/3)* and *Regional Seminar on Aviation Security (AVSEC/SEM)* held in Lima, Peru, 17-21 June 2013

Strategic Objective

*This working paper is related to Strategic Objective:
B. Security – Enhance Global Civil Aviation Security*

1. Introduction

1.1 The AVSEC/FAL/RG/3 was held at the ICAO SAM Regional Office, Lima, Peru, from 19 to 21 June 2013. Among the 21 participant States, 52 delegates from the NAM/CAR and SAM Regions, and 3 International Organizations (ALTA, ECCAA and IATA) attended this event. An AVSEC Regional Seminar was conducted prior to the meeting, from 17 to 18 June 2013, where the Secretariat discussed important issues related to ICAO AVSEC and FAL activities and future programmes.

1.2 The Secretariat pointed out the importance of aviation security and facilitation in the transport of passengers and cargo and highlighted the importance of establishing clear goals and a work programme that enable the AVSEC/FAL/RG objectives to be achieved within a reasonable period of time. Delegates were asked to commit their active participation to achieve the objectives for the benefit of all member States of the AVSEC/FAL/RG.

1.3 The progress and results from project implementation, deliberations and agreements of the Meeting, and the Terms of Reference, Work Programmes and Projects were updated.

1.4 After coordination with the Latin American Civil Aviation Committee (LACAC), a State Letter was issued by the ICAO/LACAC Secretariat on 27 August 2013, urging States to take note, review the AVSEC/FAL/RG/3 Meeting report, and comment and approve the conclusions by 13 September 2013. The approved conclusion list is provided in the **Appendix** to this working paper.

2. Update on Progress Achieved since the AVSEC/FAL/RG/3 Meeting

2.1 It was identified that not many States had taken action on Conclusion 2/4 regarding establishment of national legislation and regulations for unruly or disruptive behavior in aviation facilities and on board aircraft, which can affect the safety and security of civil aviation. Therefore, Conclusion 3/1 was agreed, which urged States to establish a legal framework dealing with this increasing trend so that offenders can be processed accordingly by the appropriate authorities.

2.2 Regarding Conclusion 3/2, all projects being implemented by the AVSEC/FAL/RG are aimed at harmonizing security procedures and assisting States with future mutual recognition of AVSEC measures; however, this cannot be achieved as long as States have establishment or approval of an aviation security legal framework pending.

2.3 Concerning Conclusion 3/3, LACAC will coordinate with its international counterparts to develop a communication mechanism for sharing and receiving AVSEC information from other regions.

2.4 Regarding Conclusion 3/4 and the implementation of Machine Readable Travel Documents (MRTDs), not all States responded to the ICAO questionnaire. It was also identified that not all States have communication channels established between the Civil Aviation Authority (CAA) and the passport issuing authority within their States. However, it was verified that all States issue MRTD passports in the NAM/CAR Regions.

2.5 Under Conclusion 3/5, States were urged to establish, approve and implement a National Air Transport Facilitation Programme (NATFP) in accordance with ICAO Annex 9 provisions.

2.6 Based on Conclusion 3/6, the Secretariat will coordinate and invite member States to a Regional Facilitation Seminar to most likely be held at the ICAO Regional Office in Lima, Peru, in 2014, since the previous one was conducted in the Mexico Regional Office.

2.7 The AVSEC/FAL/RG shared the *Guidance on National and Airport Facilitation Committees* and formulated Conclusion 3/7 asking States to provide comments on this material by the end of October 2013. No comments were received, and the Secretariat expects that this useful Guide will be implemented by the AVSEC/FAL/RG member States.

2.8 The AVSEC/FAL/RG developed a National Cargo Security Model, as well as a procurement framework for the purchase of AVSEC equipment that was promulgated by LACAC. Conclusion 3/8 set a deadline for comments to be received by the Secretariat by mid-September 2013. The Secretariat did not receive any comments, and it is expected that those worthwhile documents are being considered by the States.

2.9 Regarding Conclusion 3/9, ICAO appreciated the continuous support of States by providing experts for the AVSEC assistance programme, training, and assessments, and encouraged States to continue this support for the benefit of States in need.

2.10 Under Conclusion 3/10, LACAC is working with its Group of Experts on Air Transport Policy, Economic and Legal Matters (GEPEJTA) in order to develop legal and technical instruments to adopt necessary preventive measures for incidents related to delinquency and traffic of illegal substances transported by air to help States with prevention.

3. Suggested Action

3.1 The Meeting is invited to support participation of the E/CAR States AVSEC units in ICAO training activities, especially those activities related to the AVSEC/FAL/RG, in order to share information and obtain benefits from the successful results achieved, which will enhance their own national aviation security system.

APPENDIX
LIST OF CONCLUSIONS ADOPTED BY THE SECOND MEETING OF THE ICAO/LACAC
NAM/CAR/SAM AVIATION SECURITY AND FACILITATION REGIONAL GROUP
(AVSEC/FAL/RG/2)

CONCLUSION 2/1 ICAO/LACAC “DETECTION OF PASSENGERS WITH SUSPICIOUS BEHAVIOUR COURSE” WORKSHOP

That in order to further develop the course material and prepare instructors:

- a) ICAO/LACAC organize a bilingual ICAO/LACAC “*Detection of Passengers with Suspicious Behaviour Course*” Workshop in Lima, Peru, during the first semester of 2013;
- b) LACAC translate the course material into English and provide English/Spanish simultaneous interpretation for the workshop;
- c) Chile provide a facilitator to conduct the workshop;
- d) the European Civil Aviation Conference (ECAC) be invited to contribute its experience on the subject; and
- e) OAS-CICTE consider sponsoring fellowships for State participants to attend the event.

CONCLUSION 2/2 UPDATE OF ICAO FACILITATION PUBLICATIONS

That ICAO expedite the revision of out-of-date facilitation related publications, in particular, Circular 274 — *Access to Air Transport by Persons with Disabilities*, Doc 9249 — *Dynamic Flight-related Public Information Displays*, and Doc 9636 — *International Signs to Provide Guidance to Persons at Airports and Marine Terminals*.

CONCLUSION 2/3 CORRECTION OF ICAO ANNEX 9 AND FACILITATION MANUAL (SPANISH VERSIONS)

That ICAO correct the Spanish versions of Annex 9, Chapter 8, Part H, and the Facilitation Manual (Doc 9957) Chapter 8, Part 8.9, regarding “*Personas con impedimentos*” and use the terminology “*Personas con discapacidad*” contained in the International Convention on the Rights of Persons with Disabilities signed on 13 December 2006 (updated in 2008).

CONCLUSION 2/4 STATES NATIONAL LEGISLATION AND REGULATIONS FOR UNRULY OR DISRUPTIVE BEHAVIOUR IN AVIATION FACILITIES AND ON BOARD AIRCRAFT

That States are encouraged to update their legislation and regulations in order to establish legal implications and include clear processes for handling unruly passengers or disruptive behaviour in aviation facilities and on board aircraft.

CONCLUSION 2/5 HIGH-LEVEL CONFERENCE ON AVIATION SECURITY

States/Territories are urged to attend and ensure ministerial participation at the global High-level Conference on Aviation Security to be held at ICAO Headquarters in Montreal, Canada, from 12 to 14 September 2012.

CONCLUSION 2/6 AGREEMENT ON THE FUNCTIONAL PROTOCOL OF THE AVIATION SECURITY INFORMATION EXCHANGE SYSTEM BETWEEN STATES

That the Secretariat will circulate the model “Agreement on the Functional Protocol for the Exchange System of Aviation Security Information between States” to States/Territories for review and comments to be received within three months from the date of the letter, and for implementation consideration, with appropriate modifications, in bilateral and/or multilateral contexts.

CONCLUSION 2/7 MANUAL ON THREAT ASSESSMENT AND RISK MANAGEMENT METHODOLOGY AND RISK MATRIX

That:

- a) ICAO review and consider the “*Manual on Threat Assessment and Risk Management Methodology and Risk Matrix*” prepared by Chile in the development of an ICAO Threat Assessment and Risk Management Course; and
- b) United States translate the manual by **18 June 2012**.

CONCLUSION 2/8 AVSEC EQUIPMENT MAINTENANCE TRAINING

That assistance with training for aviation security equipment maintenance be available through bilateral and/or multilateral arrangements for sharing technical resources, possibly coordinated by CASSOS, COCESNA and/or LACAC.

CONCLUSION 2/9 AVIATION SECURITY ASSISTANCE

That State authorities, airports and other service providers are invited to request ICAO or LACAC assistance with the implementation of aviation security provisions, including potential ICAO technical cooperation or LACAC horizontal cooperation projects, by contacting the ICAO Regional Offices or the LACAC Secretariat Office, respectively.

CONCLUSION 2/10 COORDINATION BETWEEN STATES AND ORGANIZATIONS PROVIDING AVSEC TRAINING ASSISTANCE

That:

- a) States and organizations providing AVSEC training assistance to States/Territories are urged to improve coordination to avoid the duplication of assistance provided and thereby ensure efficient use of resources to achieve the most effective provision of assistance for the benefit of States to meet their requirements; and
- b) ICAO develop a regional AVSEC training assistance information sharing database for donors and recipient States/Territories, including the associated user protocol, and circulate the proposal to States/Territories/Organizations for review and comments by **31 August 2012**.

CONCLUSION 2/11 OAS-CICTE FELLOWSHIPS FOR ICAO AVSEC TRAINING

That:

- a) States are urged to:
 - take advantage of the fellowships offered and utilize the national point-of-contact network for requests;
 - provide OAS-CICTE with evaluation reports on courses attended using OAS-CICTE fellowships;
 - inform OAS-CICTE of any cancellation before the purchase of the air ticket or two weeks before the course; and
- b) OAS-CICTE is urged to consider:
 - using the ICAO course evaluation forms; and
 - posting information on the fellowship process on their web.