



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office

WORKING PAPER

GTE/14 — WP/01
24/11/14

Fourteenth Scrutiny Working Group Meeting (GTE/14)
Mexico City, Mexico, 1 – 5 December 2014

Agenda Item 1: Review of Previous CARSAMMA and Scrutiny Group Meeting Conclusions and Recommendations

REVIEW OF PREVIOUS CARSAMMA AND SCRUTINY GROUP MEETING CONCLUSIONS AND RECOMMENDATIONS

(Presented by Secretariat)

SUMMARY	
<p>The GREPECAS Scrutiny Working Group updated conclusions list is presented in the Appendix to this working paper.</p> <p>The status and follow-up comments for each conclusion is the result of a review made by the Secretariat, based in the available information at the moment of preparation of this working paper. The status of each conclusion is designated as valid, completed or superseded.</p>	
Action:	The Meeting is invited to review and comment, providing updated information on the status and follow-up for the implementation of the previous meetings pending conclusions presented in the Appendix.
<i>Strategic Objectives:</i>	This working paper is related to all Strategic Objectives.
<i>References:</i>	<ul style="list-style-type: none">• Eleventh Workshop/Meeting of the Scrutiny Group (GTE/11) Report, Lima, Peru, from 21 to 25 November 2011• Thirteenth Meeting of the GREPECAS Scrutiny Group (GTE/13) Report, Lima, Peru, from 09 to 13 September 2013

REVIEW OF PREVIOUS CARSAMMA AND SCRUTINY GROUP MEETING CONCLUSIONS AND RECOMMENDATIONS

Conclusion	Title	Text	Follow-up and Comments	Responsible of Action	Completion date	Deliverable	Status (valid, completed or superseded)
Conclusion GTE/11-1	STATES PARTICIPATION IN LHD TELCONS	That NACC/SAM Regional Offices send a letter to States, Territories and International Organisations, reminding them of their commitment to participate in LHD TELCONS, as agreed at during previous GREPECAS meetings. A tentative calendar of TELCONS to be held during 2012 would be included.		NACC/SAM Regional Offices	2012	Letter	Completed
Conclusion GTE/11-2	SMS-BASED ASR DATA STORAGE AND PROCESSING MODEL	That the Regional Offices define an ASR data storage and processing model, based on the SMS concept, in order to generate reports for the GTE.		Regional Offices		ASR data storage and processing model	Completed
Conclusion GTE/11-3	TERMS OF REFERENCE AND METHODOLOGY FOR THE ANALYSIS OF 2011 LHD	That: a) CARSAMMA and the ICAO NACC/SAM Offices will enable States, Territories and International Organizations the terms of reference amended at the GTE/11 Meeting held in Lima, Peru.		CARSAMMA and ICAO NACC/SAM Regional Offices	GTE/11 Meeting	Terms of Reference	Completed

Conclusion	Title	Text	Follow-up and Comments	Responsible of Action	Completion date	Deliverable	Status (valid, completed or superseded)
		b) CARSAMMA will use the new methodology for the analysis of 2011 LHD and will enable in the website to the knowledge of States, Territories and International Organizations.		CARSAMMA	2012	New methodology for the analysis of 2011 LHD available in the website	Completed
Conclusion GTE/11-4	RISK LEVEL	That the GTE, in carrying out LHD reports assessments: a) Apply TLS parameter in risk level up to 25 points used; and		GTE		TLS parameter Application	Completed
		b) Identify the hazards and LHD analysis be classified as of low, medium or high risk, which will produce an ICAO/CARSAMMA safety management document (SMD-LHD), containing the number, description, cause, severity, likelihood and initial risk value of LHDs.		GTE		Identified hazards, LHD-DGSO document	

Conclusion	Title	Text	Follow-up and Comments	Responsible of Action	Completion date	Deliverable	Status (valid, completed or superseded)
Conclusion GTE/11-5	LHD REPORTING FORM IN EXCEL FORMAT	That: a) the Regional Office request the approval of the GREPECAS Secretariat, through the GREPECAS fast track procedure, for the use of the new form in Excel format shown in Appendix A to this report; and		Regional Office		New form in Excel format	Completed
		b) States, Territories and International Organisations, in using the new form, verify that data sent is indeed LHD.		States/Territories and International Organisations		Data verification	Completed
Conclusion GTE/11-6	SUBMISSION OF LHD REPORTS	That States, Territories and International Organisations: a) Send LHD reports before 15th of each month; and		States/Territories and International Organisations	Day 16 of every month	LHD report sent	Completed
		b) Duly validate LHD reports, in order to avoid misunderstanding of data during the assessment of reports by CARSAMMA.		States/Territories and International Organisations		LHD report validated	Finalizada

Conclusion	Title	Text	Follow-up and Comments	Responsible of Action	Completion date	Deliverable	Status (valid, completed or superseded)
Conclusion GTE/11-7	LHD FOCAL POINTS	That States assign an LHD focal point and submit to the NACC/SAM ICAO Regional Offices the corresponding data (name, position and e-mail) of the same. Therefore the NACC/SAM Regional Offices shall send a letter to States reiterating this commitment.		States and NACC/SAM Regional Offices		Focal points list	Completed
Conclusion GTE/11-8	WORKSHOP FOR FOCAL POINTS ON THE METHODOLOGY FOR ESTIMATION OF LHD REPORTS ASSESSMENT	That ICAO Secretariat evaluates the possibility to organise a workshop for the first half of 2012, for the presentation of the new methodology for LHD reports assessment. The Secretariat and CARSAMMA will evaluate the duration and content of the workshop.		ICAO Secretariat	First half of 2012	Workshop	Completed
Conclusion GTE/11-9	AVAILABILITY OF A SPACE FOR LHD REPORTS IN THE CARSAMMA/ICAO LIMA WEBSITE	That ICAO Secretariat and CARSAMMA evaluate the possibility to enable in the CARSAMMA website, a space for LHD reports, for contact points of States, Territories and International Organizations, with a link containing a password, at the ICAO SAM Office's website.		ICAO Secretariat and CARSAMMA		Enabled space on website	Completed

Conclusion	Title	Text	Follow-up and Comments	Responsible of Action	Completion date	Deliverable	Status (valid, completed or superseded)
Conclusion GTE/13-1	DOCUMENT ON SAFETY ASSESSMENT IN RVSM AIRSPACE OF THE CAR/SAM FIRs	That CAR/SAM States and International Organisations apply the methodology described in the Document on safety assessment in RVSM airspace of the CAR/SAM FIRs starting on 1 January 2014 for the LHDs generated within the FIRs under their responsibility.		States/Territories and International Organisations from CAR/SAM Regions		Applied Methodology	Completed
Conclusion GTE/13-2	NEW LHD CODES TABLE	That CARSAMMA adopt the new Codes Table agreed worldwide for the Regional Monitoring Agencies that appears in Appendix A to this part of the report and apply it for quantitative assessment (CRM) as of 1 January 2014.		CARSAMMA		New codes table adopted	Completed
Conclusion GTE/13-3	COLLECTION OF DATA ON AIRCRAFT MOVEMENT IN RVSM AIRSPACE OF THE CAR/SAM REGIONS	That CAR/SAM States and International Organisations collect data on aircraft movement in RVSM airspace between 1 and 30 November 2013 and send the corresponding data in CARSAMMA Form F0 to that body with copy to ICAO NACC and SAM Regional Offices before 31 January 2014.		States and International Organisations from CAR/SAM Regions	31 January 2014.	Data collected and sent in Form F0	Completed

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