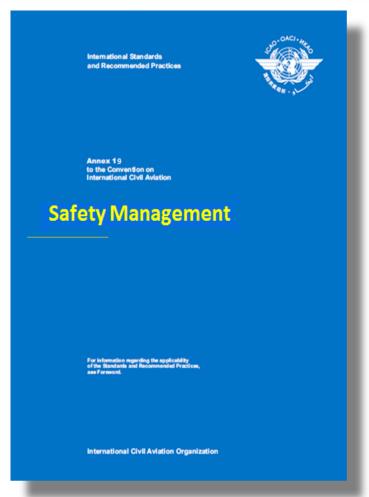# USOAP CMA on SSP – Rollout
Module 5

Annex 19

- Adopted by the Council on 25 February 2013;

- Became effective on 15 July 2013; and

- Became applicable on 14 November 2013.

- 3.1 State safety programme (SSP)

- 3.1.1 Each State shall establish an SSP for the management of safety in the State, in order to achieve an acceptable level of safety performance in civil aviation. The SSP shall include the following components:
  - a) State safety policy and objectives;
  - b) State safety risk management;
  - c) State safety assurance; and
  - d) State safety promotion.

- 3.1.2 The acceptable level of safety performance to be achieved shall be established by the State.

- Sources of provisions in Annex 19 can be found in Attachment D to State Letter AN 8/3-13/30, which is posted on the ICAO Safety Management website: http://www.icao.int/safety/SafetyManagement/Pages/SARPs.aspx.

- Additionally, the four components of the SSP framework have been elevated to the status of Standard in order to match the status of the SMS framework.

Annex 19, Foreword

- Effective SSP implementation is a gradual process, requiring time to mature fully. Factors that affect the time required to establish an SSP include the complexity of the air transportation system as well as the maturity of the aviation safety oversight capabilities of the State.

SMM (Doc 9859) 3rd Edition

- The Safety Management Manual (SMM) 3rd edition final (EN) version was posted on ICAO-NET on 8 May 2013.

- Substantial enhancements have been introduced, including:
  - Organization risk profile assessment
  - SMS-QMS integration
  - Hazard identification and risk mitigation
  - Hazard prioritization procedure
  - Safety Performance Indicator (SPI) and Acceptable Level of Safety Performance (ALoSP) development
  - SMS audit checklist
  - Risk-based surveillance/inspection
  - Phased SSP and SMS implementation
  - Mandatory and voluntary reporting systems

2014-2016
Global Aviation Safety Plan (GASP)

- The GASP recognizes the importance of the establishment and maintenance of fundamental safety oversight systems as a prerequisite to the full implementation of an SSP.

- States with mature safety oversight systems have the foundations in place to implement provisions associated with SSP.

ICAO   SAFETY

2014–2016
Global Aviation Safety Plan

Doc 10004

2014-2016
Global Aviation Safety Plan (GASP)

- The GASP calls for those States with mature safety oversight systems to progress toward full implementation of SSP.

- In the near term, States that have achieved effective implementation (EI) levels of over 60 per cent are to fully implement SSP by 2017, with SSP fully implemented in all Member States by the year 2022.

USOAP CMA on SSP

- USOAP audit of SSP will only be carried out in those States with an EI of over 60 per cent.

- ICAO will only monitor Member States' implementation of SSP through the USOAP, when the State has achieved an EI of over 60 per cent.

Update the SAAQ and PQs

- The USOAP State Aviation Activity Questionnaire (SAAQ) and Protocol Questionnaires (PQs) will be updated to address the safety management provisions.

- Safety management-oriented PQs will be added to the existing audit areas, as appropriate.

- Safety management-oriented SAAQ questions will be grouped in alignment with the phased-approach implementation of SSPs as described in the SMM (Doc 9859).

Timelines

- Safety Management questions will be integrated into the SAAQ/PQ amendment process.

- The safety management-oriented SAAQ and PQs will be available in May 2014.

- The PQs will become applicable for all States with EI of over 60 per cent in November 2014.

- The applicability and relevance of the questions will be reviewed and, if necessary, amended annually.

Rollout of the Safety Management-oriented SAAQ and PQs

- The safety management-oriented SAAQ and PQs will be posted on the USOAP website: https://soa.icao.int/usoap/, once they are available.

- The availability and applicability of the safety management-oriented SAAQ and PQs will also be published on the ICAO Safety Management website: http://www.icao.int/safety/SafetyManagement/.

- An Electronic Bulletin will be published to inform Member States of the USOAP audit of implementation of the ICAO safety management provisions.

Additional training to USOAP auditors

- Detailed guidance will be provided to USOAP auditors for review of PQs. A web link to the ICAO Safety Management website will also be added to the USOAP CMA Online Framework.

- Workshop training material will be updated accordingly.

Work plan

ID	Task Name	Start	Finish	Jun '13	Jul '13	Aug '13	Sep '13	Oct '13	Nov '13	Dec '13	Jan '14	Feb '14	Mar '14	Apr '14	May '14
1	Development of SAAQ and PQs	Tue 02/07/13	Tue 31/12/13												
2	Coordination with relevant audit areas	Thu 02/01/14	Fri 14/03/14												
3	Finalization and approval	Mon 17/03/14	Wed 16/04/14												
4	Translation	Thu 17/04/14	Fri 23/05/14												
5	Post on the USOAP CMA Online Framework and the Safety Management website	Mon 26/05/14	Fri 30/05/14												