

**ATTACHMENT D** to State letter AN 8/3-13/30

**SOURCES OF ANNEX 19, FIRST EDITION**

*Note 1.— This document tracks the sources of Annex 19 – Safety Management, first edition. For the purpose of clarity, coherence and understanding, the content of the SARPs in Annex 19 may have been modified from the original text.*

*Note 2.— “Transfer” to Annex 19 means that the provision no longer exists in the original Annex. “Duplication” means that the provision has been copied into Annex 19 and has been kept in the original Annex (with amendment, as needed).*

*Note 3.— As a result of the adoption of Annex 19, consequential amendments to Annexes 1 (Amdt. 171), 6, Part I (Amdt. 37), II (Amdt. 32) and III (Amdt. 18), 8 (Amdt. 104), 11 (Amdt. 49), 13 (Amdt. 14) and 14, Volume I (Amdt. 11) have also been adopted.*

Annex 19 Provision	Sources		Comments
	Annex(es)	Provision(s)	
<b>Annex 19, Chapter 1 – Definitions</b>			
Chapter 1 – Definitions:	Annex 1 Annex 6, Parts I, II and III Annex 8 Annex 11 Annex 13 Annex 14, Volume I	Chapter 1	<p>Transfer – unless the terms are also used in the relevant Annexes.</p> <p>The definitions of <i>accident, aeroplane, aircraft, helicopter, incident, industry code of practice, serious injury, State of Design, State of Manufacturer</i> and <i>State of the Operator</i>, which currently exist in other Annexes, have been replicated in Annex 19 and remain in the relevant Annexes in which they are used.</p> <p>The Note accompanying the definition of <i>incident</i> was duplicated from Annex 13 and amended to refer to safety-related studies in lieu of accident prevention studies.</p> <p><i>Safety management system (SMS)</i> and <i>State Safety Programme (SSP)</i> definitions were modified to respectively include the “SMS” and “SSP” acronyms.</p> <p>The new definitions <i>safety, safety performance, safety performance indicator, safety performance target</i> and <i>safety risk</i> are specific to the concept of safety management and are included in Annex 19.</p> <p>The new definition of <i>operational personnel</i> derives from Annex 13, Attachment E, 1.5 b).</p>
<b>Annex 19, Chapter 2. Applicability</b>			
Chapter 2. Applicability	None		<p>This chapter frames the applicability of safety management functions related to, or in direct support of, the safe operation of aircraft.</p> <p>Two Notes clarify to whom Annex 19 is</p>

Annex 19 Provision	Sources		Comments
	Annex(es)	Provision(s)	
			applicable and where to find sector-specific safety management provisions.
<b>Annex 19, Chapter 3 - States safety management responsibilities</b>			
3.1.1	Annex 6, Part I	3.3.1 and 8.7.3.1	Transfer.
	Annex 6, Part III	1.3.1	Existing sector specific safety management principles to the medical assessment process of licence holders remain in Annex 1 (section 1.2.4). Additionally, the four components of the SSP framework have been elevated to the status of Standard in order to match the status of the SMS framework. Two Notes refer to the SSP framework and its commensurate feature.
	Annex 8	5.1	
	Annex 11	2.27.1	
	Annex 13	3.2 and Note	
	Annex 14, Volume I	1.5.1	
3.1.2	Annex 6, Part I	3.3.2 and 8.7.3.2	Transfer.
	Annex 6, Part III	1.3.2	Transfer.
	Annex 8	5.2	Transfer.
	Annex 11	2.27.2	Transfer.
	Annex 14, Volume I	1.5.2	Transfer.
3.1.3	Annex 1, Appendix 2	4.1	Transfer.
	Annex 6, Part I	3.3.3 and 8.7.3.3	Transfer including note under 3.1.3.b).
	Annex 6, Part III	1.3.3	Transfer.
	Annex 8	5.3	Transfer.
	Annex 11	2.27.3	Transfer including note under 3.1.3.e).
	Annex 14, Volume I	1.5.3	Transfer.
3.1.4	Annex 6, Part II, Section 3	3.3.2.1	Transfer. A Note clarifies the difference between “international general aviation operators” and “service providers”.
3.2	New provision	N/A	N/A
<b>Annex 19, Chapter 4 - Safety management systems (SMS)</b>			
4.1.1	Annex 1	1.2.8.2, Appendices 2 and 4	Transfer.
	Annex 6, Part I	3.3.4, 8.7.3.4 and Appendix 7	Transfer.
	Annex 6, Part III, Section II	1.3.3 and Appendix 4	Transfer.
	Annex 8	5.3	Transfer.
	Annex 11	2.27.4 and Appendix 6	Transfer.
	Annex 14, Volume I	1.5.4 and Appendix 7	Transfer.
4.1.2	Annex 1, Appendix 2	4.1	Transfer.

Annex 19 Provision	Sources		Comments
	Annex(es)	Provision(s)	
4.1.3	Annex 6, Part I, Section 3.3	3.3.3	Transfer. Existing sector specific safety management provisions for operators remain in Annex 6 (flight data analysis programme and flight safety document system).
	Annex 6, Part III, Section II	1.3.3	Transfer. Existing sector specific safety management provisions for operators remain in Annex 6 (flight data analysis programme and flight safety document system).
4.1.4	Annex 6, Part I	8.7.3.3	Transfer.
	Annex 6, Part III	6.1.2	Transfer.
4.1.5 and 4.1.6	Annex 8	5.3	Transfer.
4.1.7	Annex 11	2.27.3	Transfer.
4.1.8	Annex 14	1.5.3	Transfer.
4.2.1	Annex 6, Part II, Section 3	3.3.2.1	Transfer.
4.2.2	Annex 6, Part II, Section 3	3.3.2.2	Transfer.
<b>Annex 19, Chapter 5. Safety data collection, analysis and exchange</b>			
5.1.1	Annex 13	8.1	Transfer.
5.1.2	Annex 13	8.2	Transfer with associated Note (Note 2 under Annex 19, 5.1.3).
5.1.3			New (Recommendation).
5.2.1	Annex 13	8.4	Duplication with text amended. Note that, in Annex 13, a new Recommendation (8.2 refers) is added for the purpose of coherence with Annex 19 (access to the accident and incident database). A Note, both in Annex 13 and Annex 19, clarifies the meaning of “database”.
5.2.2	Annex 13	8.6	Transfer with associated Note.
5.2.3	Annex 13	8.5	Transfer with associated Notes with exception of Note 3 that will remain in Annex 13.
5.3.1	Annex 13	8.3	Transfer with associated Notes.
5.3.2	Annex 13	5.12 and Attachment E, c)	Text amended with the status of a “Recommendation”.
5.4.1	Annex 13	8.7	Transfer.
5.4.2	Annex 13	8.9	Transfer with associated Note.

Annex 19 Provision	Sources		Comments
	Annex(es)	Provision(s)	
<b>Annex 19, Appendix 1</b>			
Appendix 1	Annex 6, Part I	Appendix 5	Transfer. Existing sector specific provisions for operators will remain in Annex 6.
	Annex 6, Part III	Appendix 1	Transfer. Existing sector specific provisions for operators will remain in Annex 6.
<b>Annex 19, Appendix 2</b>			
Appendix 2	Annex 1	Appendix 2, 4.2 and Appendix 4	Transfer.
	Annex 6, Part I	3.3.4, 8.7.3.4 and Appendix 7	Transfer.
	Annex 6, Part III	1.3.4 and Appendix 4	Transfer.
	Annex 11	2.27.4 and Appendix 6	Transfer.
	Annex 14, Volume I	1.5.4 and Appendix 7	Transfer.
<b>Annex 19, Attachment A</b>			
Attachment A	Annex 1	Attachment C	Transfer.
	Annex 6, Part I	Attachment I	Transfer.
	Annex 6, Part III	Attachment I	Transfer.
	Annex 8	Attachment to Part II	Transfer.
	Annex 11	Attachment D	Transfer.
	Annex 13	Attachment F	Transfer.
	Annex 14, Volume I	Attachment C	Transfer.
<b>Annex 19, Attachment B</b>			
Attachment B	Annex 13	Attachment E	Duplication - Legal guidance for the protection of information from safety data collection and processing system for the specific needs of Annex 13 will remain.