



WORKING PAPER

AVSEC/FAL/RG/6 — WP/12
31/05/16

SIXTH MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL GROUP (AVSEC/FAL/RG/6)
ICAO NACC Regional Office, Mexico City, Mexico, 6 to 10 June 2016

Agenda Item 5 Programmes and Projects - Facilitation (FAL)
5.1 Report on *Machine Readable Travel Documents (MRTDs)* programme

MACHINE READABLE TRAVEL DOCUMENTS (MRTD) PROJECT

(Presented by Bolivia)

EXECUTIVE SUMMARY	
<p>This Working Paper presents the compilation of progress on the <i>Machine Readable Travel Documents (MRTD)</i> programme since 2011 up to date, as well as the new Programmes that ICAO has determine for compliance with standard 3.11.1 of Annex 9 – <i>Facilitation</i>, considering important that the NAM/CAR and SAM ICAO/LACAC Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) is framed in those Programmes.</p>	
Action:	Suggested action is included in Section 3.
Strategic Objectives:	<ul style="list-style-type: none">• Security & Facilitation
References:	<ul style="list-style-type: none">• Summary of the <i>Fifth NAM/CAR and SAM ICAO/LACAC Aviation Security and Facilitation Regional Group Meeting (AVSEC/FAL/RG/5) Final Report</i>.• Compilation of work since 2011.• Analysis of the results of second cycle USAP Audits.• Conclusions of ICAO 2015 Assembly Sessions.• Annex 9 – <i>Facilitation</i>, Fourteenth Edition – 2015• Doc. 9303 (in Spanish)
Annex:	<ul style="list-style-type: none">• Appendix A “<i>Chart on States’ replies to MRTD Questionnaire</i>”• Appendix B “<i>FAL non-compliance in second cycle USAP Audits</i>”

1. Background

1.1 The NAM/CAR and SAM ICAO/LACAC Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG) was created in the First Aviation Security and Facilitation Regional Meeting, held in Paraguay in 2011, being the Dominican Republic nominated as Coordinator. Between 2012 and 2015, important information compilation about the implementation of machine readable passports was carried out in different States, the last compilation was executed by Dominican Republic with the elaboration of the “Questionnaire on Facilitation and Machine Readable Travel Documents”, distributed to the 37 States that conform the Group, having obtained answers of 51%, which represents 19 States (**Appendix A**).

1.2 During the aviation security and facilitation regional group (AVSEC/FAL/RG/5) fifth meeting, Dominican Republic informed, through WP/11, that accordingly to ICAO/OAS/CICTE Programme all States were issuing Machine Readable Passport, and most of them electronically with the inclusion of biometric information.

1.3 One of the outstanding conclusions of the AVSEC/FAL/RG/5 Meeting was the need to continue with the work that has been carried out by the group, having agreed by consensus that Bolivia leads the Project with the support of Brazil, Cuba, Nicaragua, Panama and Paraguay.

2. Information on MRTD progress

2.1 During 2014- 2015, ICAO organised events to promote the importance of issuing MRTD, in compliance with ICAO specifications, and to participate in ICAO Public Key Directory (PKD), pointing out the importance of complying with the 24 November 2015 deadline, as established in standard 3.11.1 of Annex 9 – *Facilitation*. As part of ICAO support to the States, we have now available Doc. 9303 in Spanish version (2016).

2.2 States received State Letter EC 6/3-12/70, dated 31 December 2012, reminding the complying deadline for standard 3.11.1 On this regard, 140 States complied with the deadline and other 17 States indicated that passports that are not machine readable will expire after 24 November 2015 deadline and, in some cases, until 2022.

2.3 Within the regulatory framework of Annex 17 - *Security*, standards contained in Annex 9 – *Facilitation* are audited transversely, among these, those referred to MRTD, API and PKD. An important fact obtained from the results of the second cycle USAP audits clearly evidences the lack of application of the standard with respect to facilitation. (**Appendix B**).

2.4 ICAO has conformed a group of experts denominated Implementation and Capacity Building Working Group (ICBWG) within the Technical Advisory Group on Traveller Identification Programme (TAG/TRIP), that follow up the progress of States regarding travel documents, evaluating Doc. 9303 specifications compliance, and having created the webpage <http://www.icao.int/Security/mrtd/Pages/default.aspx> for such purpose; related to the ICAO Travel Identification Programme (TRIP) that contains updated specifications for the issuing of modern and secure passports and identification documents, to which member States can access without cost.

3. Considerations

3.1 The AVSEC/FAL Regional Group should be guided by ICAO established strategies, which is why States should visit the referred website, in order to be updated and to be able to follow up the tasks developed by the experts.

3.2 Since a group of experts in the specific matter has been conformed, is considered convenient for the Secretariat to establish official communication with the Group, in order that this group can participate in future AVSEC/FAL Regional Group meetings.

3.3 Within the States, it has become clear that the Immigration Authority does not know the Doc 9303 and the ICAO provisions regarding MRTD, API and PKD, which is why it is necessary that AVSEC/FAL experts in each State work in coordination with immigration authorities.

3.4 We must draw on the experience of the States that have received technical cooperation assistance, like Colombia and Peru, and to establish horizontal cooperation relations to comply with the goals of the States and the related international standards.

4. Suggested action

4.1 The Meeting is invited to:

- a) analyse and evaluate the information contained in Appendices A and B;
- b) visit the website <http://www.icao.int/Security/mrtd/Pages/default.aspx> related to ICAO TRIP;
- c) determine that the Secretariat establish official communication with the TAG/TRIP *Implementation and Capacity Building Working Group* (ICBWG);
- d) work in the Horizontal Cooperation programmes with the States that have already implemented MTRD, API and PKD; and
- e) disseminate Doc. 9303 MRTD within the States, particularly with the immigration authorities.

4.2 The Plurinational State of Bolivia considers its work concluded as Coordinator State of this Project.

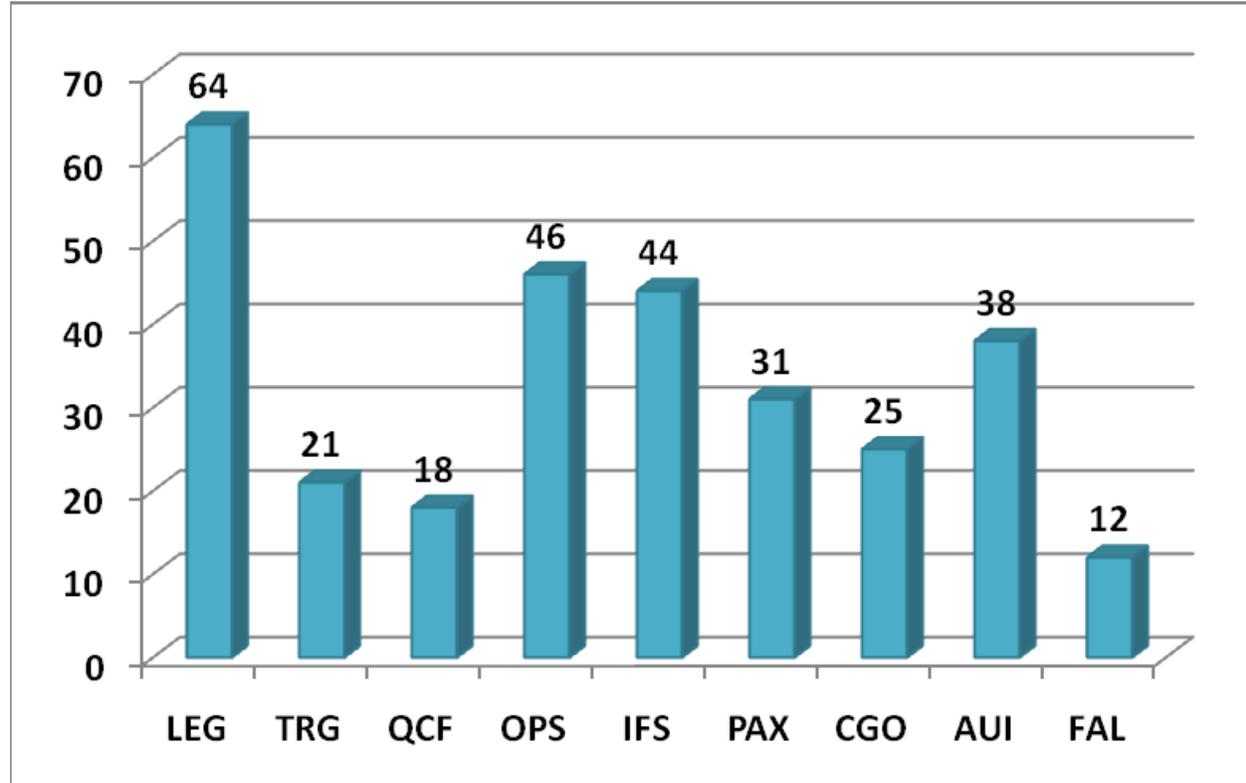
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**Facilitation and Machine Readable Travel Documents (MRTD) Questionnaire
(Coordinating State-Dominican Republic)**

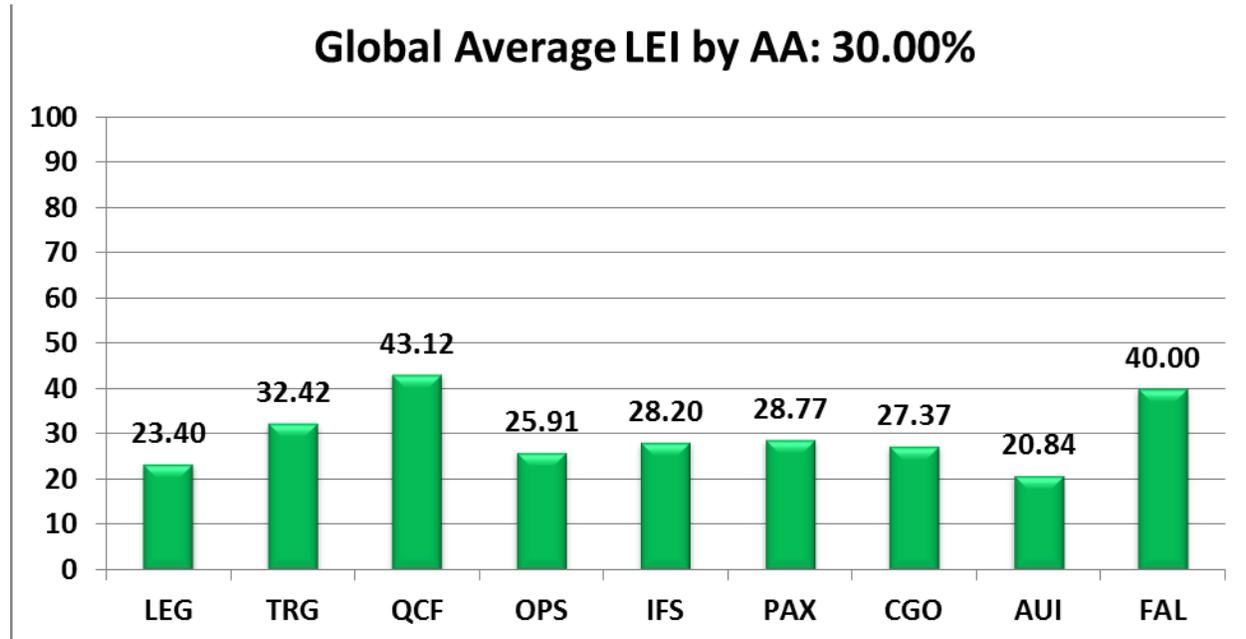
STATES	Argentina	Bolivia	Brazil	Chile	Colombia	Cuba	Dominican Republic	Ecuador	El Salvador	Honduras	Mexico	Montserrat (United Kingdom)	Paraguay	Panama	Peru	Suriname	United States	Uruguay	Venezuela
GENERALS																			
SECTION D Continuation. STATES ALREADY ISSUING MACHINE READABLE PASSPORTS (MRTD)																			
20) Does your State counts with Biometric Measures implementation?	YES	NO	YES	YES	YES	NO	YES	NO	YES	X	X	NO	NO	YES	NO	NO	YES	NO	YES
21) If you answered "YES" to question 20, please indicate which of these characteristics are included?																			
Documentary	YES	X	YES	NO	YES	X	YES	X	X	X	X	X	X	YES	X	X	YES	X	YES
Facial	YES	X	YES	YES	X	X	YES	X	YES	X	X	X	X	YES	X	X	YES	X	YES
Fingerprint	YES	X	YES	YES	YES	X	YES	X	YES	X	X	X	X	YES	X	X	YES	X	YES
22) Does your State permit the use of other identification different to a passport for international travel?	YES	X	YES	YES	YES	NO	NO	NO	X	X	X	YES	X	NO	X	NO	NO	X	X
23) If you answered "YES" to question 22, are these identifications issued under MRTD system? Why?	YES	X	NO	YES **	YES	X	X		X	X	X	Emergency Travel Document for British Applicants	X	X	X	X	X	X	X
24) In case issuing MRTD, is this system controlled through a database? If positive, What entity (s) has (ve) in charge control and protection of this database?	YES Registro Nacional de las Personas	X	YES DPF and SINPA	YES	YES	YES	YES	YES	X	X	X	YES Gobierno de Montserrat	YES	YES	X	YES Canadian BankNote	YES U.S. Department of State	X	X
25) Is your State part of ICAO Public Key Directory (PKD)?	YES	X	YES	NO	NO	NO	NO	NO	X	X	X	NO	NO	NO	X	NO	YES	X	X
26) Has your State adopted the Anticipated Passenger Information system (API)?	YES	X	YES	NO	NO	YES	X	YES	X	X	X	NO	NO	YES	X	NO	YES	X	X
27) If you answer "YES" to question 26, involved people use UN/EDIFACT messages (United Nations Regulations for the electronic exchange of data for administration, commerce and transport) PAXLST for the transmission of passengers manifest?	YES	X	YES	X	X	YES	X	YES	X	X	X	X	X	YES	X	X	YES	X	X
Other means (please specify which)	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
28) Has your State notified ICAO of some differences to Standards of Annex 9? If positive, on which standard (s)?	NO	X	YES	NO	NO	YES	YES	NO	X	X	X	NO	YES	NO	X	NO	NO	X	X
(X) Not answered																			
(**) Because the implemented national identification card contains code OCR-B and PDF4175 that permit machine reading as well as the use of dactilar biometrics. This identification card counts with an electronic chip that contains fingerprint details.																			

APPENDIX B

FAL PQS



NON-COMPLIANCE LEVEL



On the basis of 178 second cycle audits held from 1 January 2008 to 30 June 2013, including 177 Member States and one SAR.

SOURCE: ICAO

NON-COMPLIANCE LEVEL PER REGIONS

