



| ICAO

CAPACITY & EFFICIENCY

# Discussion on AIG implementation matters

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Agenda Item 3

ICAO NACC Regional Office, 12 to 14 November 2018



# Warning

This is intended to be a roll-up your sleeves working meeting!



# Principles/Opportunities

- ✈ To establish effective, sound and sustainable safety investigation capacity within CEN.AM and CAR regions.
- ✈ ICAO Annexes 13 and 19 are not limiting, they describe the minimum requirements.
- ✈ Keep in mind the role of investigations in relation to the States SMS/SSP and Safety Risk Management responsibilities and activities



# Principles/Opportunities

- ✈ Foster CENAM and CAR regional common understanding, sharing, collaboration and cooperation between
  - ✈ GRIAA (established)
  - ✈ CAR-RAIO/RAIO-C/CAR-RAIB (to be established)
  - ✈ All other States Investigation Authorities
- ✈ MoUs to ensure support and collaboration from other leading Investigation Authorities
  - ✈ CAN-TSB (existing)
  - ✈ USA-NTSB (verbal agreement in principle)
  - ✈ UK-AAIB
  - ✈ FRA-BEA
  - ✈ Others



# RAIO Meeting Overview

- ✈ Agenda Item 2 – Snapshot of what we HAVE
  - ✈ where we are at the moment
- ✈ Agenda Item 3 – Overview of what we WANT
  - ✈ in order to ensure an effective, sound and sustainable investigation capacity
- ✈ Agenda Item 4 – Agree on what we need to DO
  - ✈ Based on the historical project plan from previous meetings, develop a workplan



# AIG Legislation & Regulations

## ✈ Ratification of RAIO MoU/MoC (top priority)

- ✈ To be mirrored by States enabling LEG/REG

## ✈ States LEG/REG implementation

- ✈ Independence from Civil Aviation and Judicial
- ✈ Protection of safety information and data gathered during investigations
- ✈ Just culture
- ✈ Non-compelling of investigators in legal proceedings

## ✈ Process/timeline for new or amended Legislation or Regulation



# AIG Docs & Manuals

## ✈ Org/Admin Policies & Procedures

- ✈ Governance

- ✈ Quality management

## ✈ Investigation Operations & Procedures,

## ✈ Other

- ✈ Training,

- ✈ guidance material,

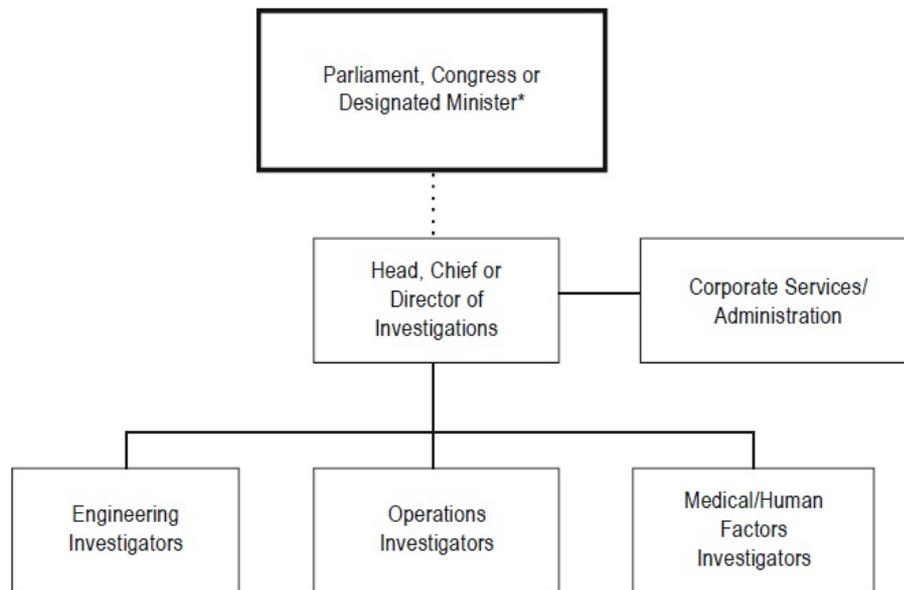
- ✈ etc.



# Resources

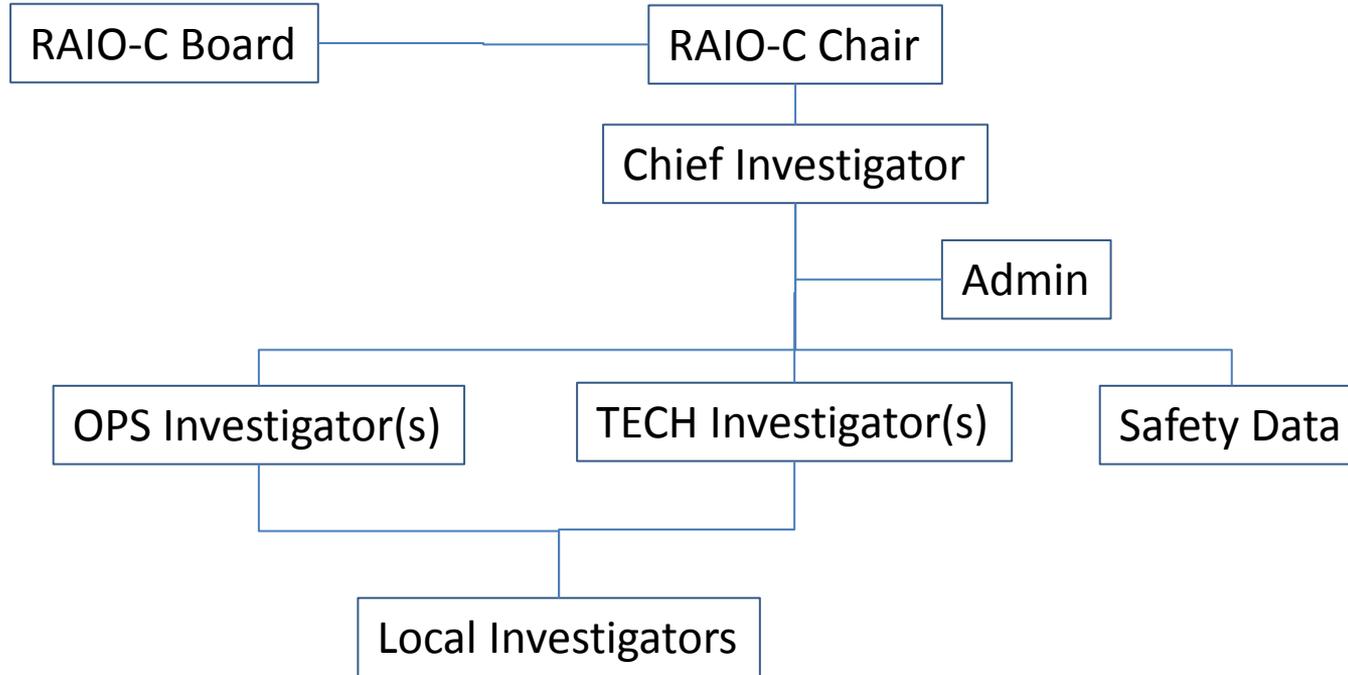
## ✈ Human

- ✈ Size of organization, number of staff
- ✈ Number of investigators and their profile/specialties (e.g. FOP, ENG/Maint., ATM, HF, SMS, etc.);
- ✈ Full time vs Part-time;
- ✈ Investigation training and qualifications/experience
- ✈ Safety information data management and analysis



\* The investigation authority should not report to the same Minister responsible for the regulation and/or safety oversight of civil aviation in the State nor should it report to the judicial authority.

**Figure 3-3. Example of a streamlined organizational structure**





# Resources (...)

## ✈ Material

- ✈ Office location and facilities (independence and security)
- ✈ Communications and transportation availability
- ✈ Technical facilities and equipment (incl. Go-Kits)



# Resources (...)

## ✈ Support

- ✈ Material examination & testing
- ✈ FDR/CVR readout facilities
- ✈ Underwater search and recovery
- ✈ MoUs with other organizations



# Training program

- ✈ Training plan (global, individual)
- ✈ Induction, Basic/Initial, OJT, Recurrent, Specialized/Advanced
- ✈ Training activities, sources/providers
- ✈ Aviation Medical Examiners – specialized HF and Accident Investigation training



# Occurrence Reporting & Notification

- ✈ ACC/INC reporting vs Mandatory/Voluntary/Confidential reporting programs
- ✈ Safety Information System – database(s) used, ECCAIRS/ADREP compatibility, safety analysis capability
- ✈ Number of occurrence reports received, occurrence risk classification



# Investigation workload

- ✈ Investigation case management process/software
- ✈ Number (annual total, current/open) of:
  - ✈ investigations conducted
  - ✈ reports published
  - ✈ recommendations issued and actively followed-on



# Major accident readiness

- ✈ Go-Team and Kit readiness
- ✈ Coordination with local airport/police/military authorities (liaison, MoUs, etc.)
- ✈ Media & Public presence
- ✈ Family assistance



# Which AIG areas or activities

- ✈ Are States Investigation Authorities comfortable with (strength); and,
- ✈ Do they find challenging or would need assistance with (weakness)



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THANK YOU