



ICAO

INTERNATIONAL CIVIL AVIATION ORGANIZATION

A UN SPECIALIZED AGENCY



Mandatory and Voluntary Occurrence Reporting and Notification Obligations at Aerodromes

Clarifying ICAO Provisions for Effective Safety Management at the Aerodrome Level

Fernando Camargo

Regional Officer, Technical Assistance
Aerodrome and Ground Aids Implementation Support Officer

Objectives

- Understand reporting and notification obligations under ICAO SARPs
- Clarify the difference between “notification” and “reporting”
- Support AGA inspectors in:
 - Promoting awareness among aerodrome oper-
 - Contributing to national regulatory development
 - Assisting in implementation and oversight of reporting systems



Safety Event Communication: The ICAO Framework

- Overview of the ICAO safety system (Annexes 13 & 19, Doc 9756 p I & Doc 9859)
- Interlinkage between State Safety Programme (SSP), Safety Management Systems (SMS) and reporting/notification obligations



Legal Obligations on Safety Data and Event Communication

- Annex 19, Chapter 5 (Standards 5.1.2, 5.1.3)
- Appendix 2 to Annex 19
- Doc 9859, para. 5.2.3, 5.2.5.1
- Annex 13, Chapter 4
- Doc 9756, Part I

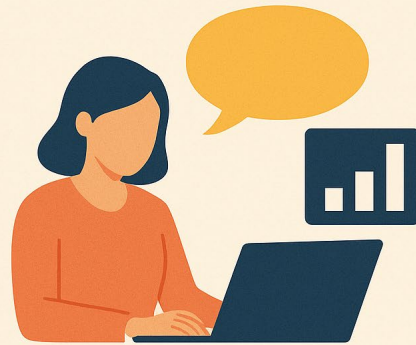


Notification vs Reporting: Key Definitions

NOTIFICATION vs REPORTING



formal obligation to
inform the AIA authority



communication within
SMS or national database,
mandatory or voluntary

NOTIFICATION



REPORTING



Clear differentiation between notification and reporting
is essential to ensure that both investigatory and systemic
safety functions are fulfilled.

Notification to the AIA Authority

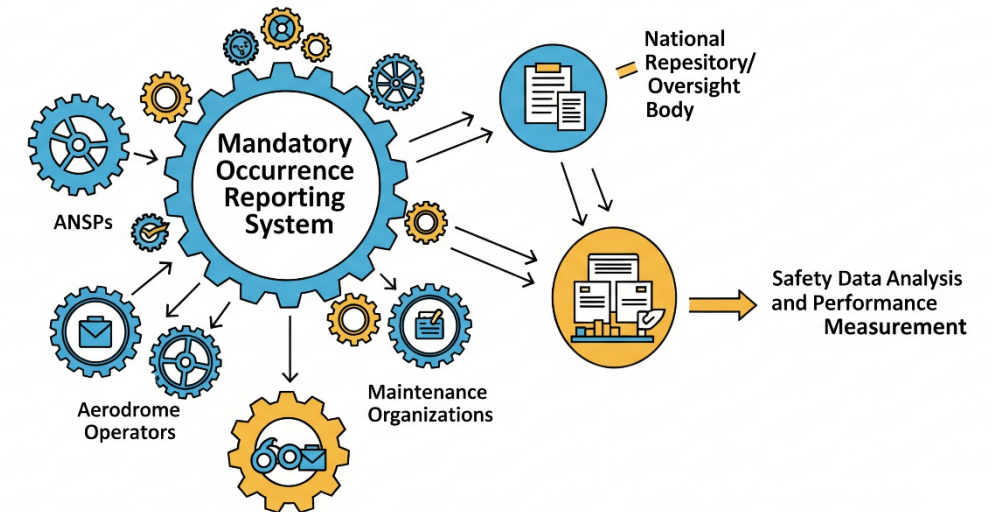


- Annex 13, Chapter 4, Standards 4.1, 4.2, 4.4
- Doc 9756, Part I, 4.1 & 4.2.1 (Initial notification process)
- Examples of what, when, and to whom

Mandatory Reporting System

- Annex 19, Chapter 5, para. 5.1.2
- Doc 9859, 5.2.5.1 (Design of mandatory systems)
- Doc 9981, Part I, Ch. 2, para. 2.4.3.1–2.4.3.3

Mandatory Safety Occurrence System



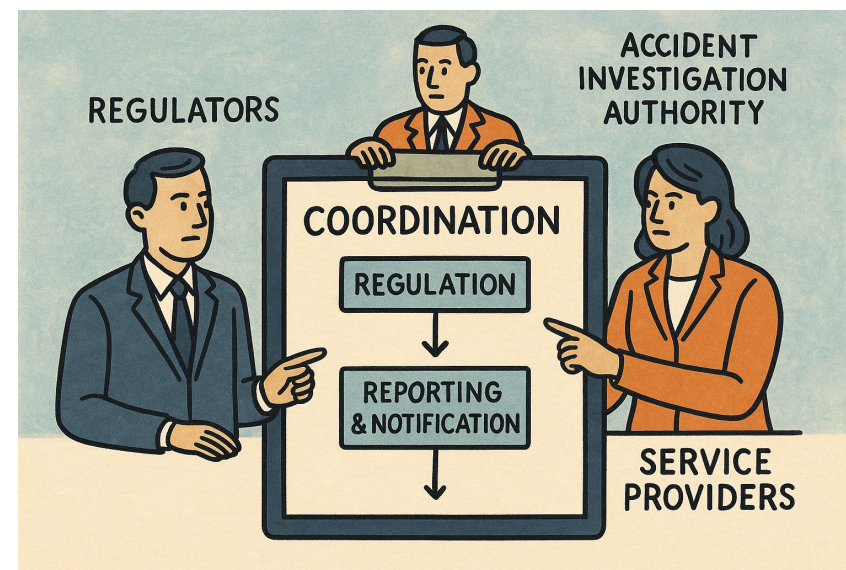
Voluntary Reporting System



- Purpose: identifying precursors to serious events
- Annex 19, para. 5.1.3
- Doc 9859, para. 5.2.5.4
- Benefits: Just Culture, proactive risk management

National Regulatory Framework Support

- ICAO SSP Element 1.1 and Doc 9859, Chapter 8
- Doc 9774, Introduction to Section D; para. 3D.7.1
- Role of AGA inspectors in supporting national regulation



Integration of Safety Information into the SSP



- Annex 19, Appendix 2, 1.1.1 c)
- Doc 9859, para. 5.2.3 and 5.2.5
- Use of data in risk-based oversight, safety performance indicators

Aerodrome Reporting Procedures

- Responsibilities of aerodrome operators under Annex 14 and Doc 9981
- Doc 9981, Appendix 1 and 2 to Ch. 2 (reporting formats, responsibilities)
- Practical examples: internal vs external flows



Airport Emergency Plans (AEP) and Coordination



- Annex 14, Vol. I, Chapter 9, 9.1
- Doc 9981, Part II – Operational Management
- Link with initial notification and AIA activation

Key Takeaways and Actions for AGA/TF Focal Points



Key Takeaways and Actions for AGA/TF Focal Points



Key Takeaways and Actions for AGA/TF Focal Points

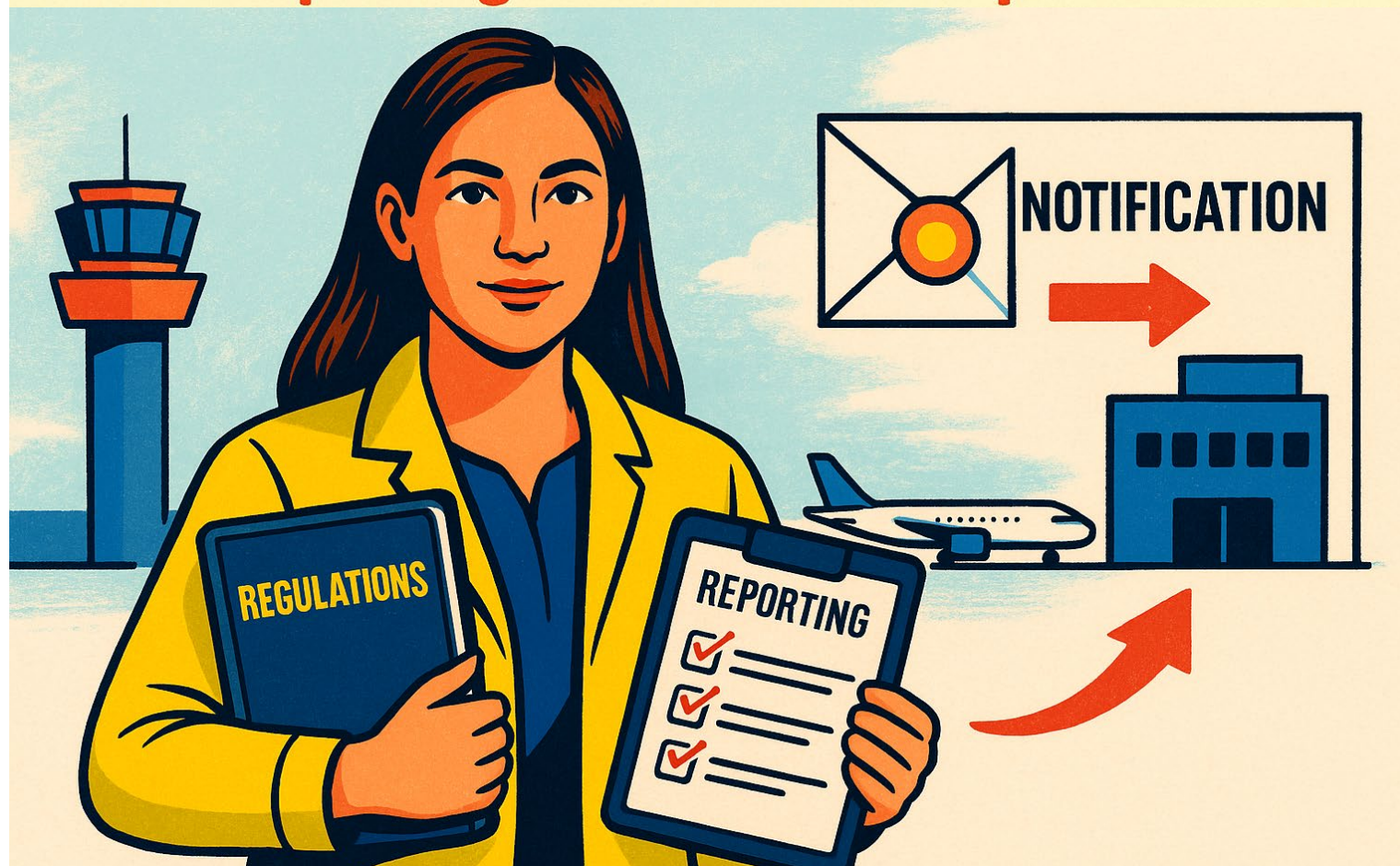
**AGA/TF FOCAL
POINTS TO SUPPORT
NATIONAL
IMPLEMENTATION
OF MANDATORY/
VOLUNTARY
SYSTEMS**



Key Takeaways and Actions for AGA/TF Focal Points



Let us each return to our States and aerodromes with the tools, knowledge, and determination to reinforce safety through sound reporting and notification practices.



Discussion and Q&A

