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AFAC
AGENCIA FEDERAL
DE AVIACIÓN CIVIL

Mexican State's Attention to the ICAO International Audit

Universal Safety Oversight Audit Programme (USOAP)



2025
Año de
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Universal Safety Oversight Audit Programme(USOAP)



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The USOAP assesses compliance with:

The Universal Safety Oversight Audit Programme (USOAP) is a programme through which the International Civil Aviation Organization (ICAO) monitors compliance with safety oversight obligations by its Member States.

SARPS

The Standards and Recommended Practices (SARPS), contained in the International Convention on Civil Aviation "Chicago Convention" Document 7300 of the International Civil Aviation Organization (ICAO); Annexes 1 to 19 of the Convention (except Annexes 9 and 17)

790 PQs

Audits of the Universal Safety Oversight Audit Programme (USOAP) use Protocol Questions (PQs) as a tool to assess the level of effective implementation of a State's Safety Oversight System.

8 Critical Elements



8 Audit Areas

Protocol Questions (PQs) are organized:

1. **LEG** – Primary Aviation Legislation and Specific Operating Regulations;
2. **ORG** – Civil Aviation Organization;
3. **PEL** – Personnel Licensing and Training;
4. **OPS** – Aircraft Operations.
5. **AIR** – Airworthiness of aircraft;
6. **AIG** – Aviation Accident and Incident Investigation;
7. **ANS** – Air Navigation Services;
8. **AGA** – Aerodromes and Ground Aids.

Outcomes:

The findings of the International Civil Aviation Organization (ICAO) imply a notification to other States about the Effective Implementation (EI) index, which consists of the measure of the State's capacity to monitor safety, since this authority has no interference to sanction any Member State.



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Critical Elements oriented to Airports

CE-1: Airports Law and Airports Law Regulations.

CE-2: Technical-Administrative Provisions (Mandatory Circulars applicable to Airports, Aerodromes and Heliports).

CE-3: Manual of General Organization of the Agencia Federal de Aviación Civil.

CE-4: Personnel with the specialty of Aeronautical Aerodrome Inspector (IVA-AE) - Initial, recurrent, specialized, and on-the-job training.

CE-5: Aerodrome Verification Inspector's Manual (processes and procedures).



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CE-6: Civil Aerodrome Certificate of Public Service, Approval of Aeronautical Studies, Authorization of the Master Development Program.

CE-7: Technical Administrative Verification of Airport Infrastructure.

CE-8: Attention to the observations found in the Technical-Administrative Verifications.



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Stage 1. USOAP Audit Preparation



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The Agencia Federal de Aviación Civil (AFAC) developed a pre-audit plan that consisted of the following:

Training (February)

About the Online Framework (OLF) in the Audit Section of ICAO's Universal Safety Oversight Audit Programme (USOAP).

Update of the Online Framework (OLF) (March – October)

Of the modules:

1. State Aviation Activities Questionnaire (SAAQ)
2. Self-assessment
3. Electronic Filing of Differences (EFOD) System

NACC Review (March - December)

The Regional Office for North America, Central America and the Caribbean (NACC) collaborated in a timely manner with the technical review of the 790 Protocol Questions (PQs). More than 50 meetings were held with the expert officers.

Prior to Audit (March - December)

The Directorate of the State Safety Programme (SSP) validated that the comments issued by the officials of the International Civil Aviation Organization (ICAO) were attended.

2023

January February March April May June July August September October November December

2024

Responsible (Jan-March)

The main ones for the 790 Protocol Questions (PQs) and the areas that contribute to them were defined.

Self-Assessment Review (March - October)

The Directorate of the State Safety Programme (SSP), as the coordinating area of the audit, reviewed the completion of the 790 Protocol Questions (PQs) in the self-assessment module.

OLF Filling Guides (March)

To facilitate the updating of the Online Framework (OLF) and the filling of its different modules.

Correction of deficiencies (March - December)

The main objective of the self-assessment was to detect the deficiencies of the system in order to implement measures prior to the official audit.

Simulations (January and March, 2024)

Development of Protocol Questions (PQs) review simulations to prepare staff for the audit of the Universal Safety Oversight Audit Programme (USOAP).



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Stage 2. ICAO USOAP Audit Attention



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The audit of the Universal Safety Oversight Audit Programme (USOAP) of the International Civil Aviation Organization (ICAO) was carried out in two periods:

Phase	Period	Audit Areas:	
First	February 14 to 27, 2024.	1. LEG – Primary Aviation Legislation and Specific Operating Regulations; 2. ORG – Civil Aviation Organization; 3. PEL – Personnel Licensing and Training;	5. AIR – Airworthiness of aircraft; 6. AIG – Aviation Accident and Incident Investigation; 7. ANS – Air Navigation Services; 8. AGA – Aerodromes and Ground Aids.
Second	March 06 to 14, 2024.	4. OPS – Aircraft Operations.	



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Stage 3. ICAO Post-Audit Activities



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Prior to final report, the Agencia Federal de Aviación Civil (AFAC) began to address the preliminary findings issued by the auditors of the International Civil Aviation Organization (ICAO), highlighting the following activities:

CAPs

- The Agencia Federal de Aviación Civil (AFAC) began its process of preparing the Corrective Action Plans (CAPs), in response to the preliminary observations derived from the outcomes of the audit.



Workshop

- With the support of the North America, Central America and Caribbean Regional Office (NACC), Officer Marcelo Orellana gave a workshop to the Areas of the Agencia Federal de Aviación Civil (AFAC), for the development of Corrective Action Plans (CAPs).



Guides

- Based on the requirements of Doc 9735 and the workshop given by the International Civil Aviation Organization (ICAO), the Directorate of the State Safety Programme (SSP) issued a guide for the development of Corrective Action Plans (CAPs).



Review

- Once the Corrective Action Plans (CAPs) for each of the Protocol Questions (PQs) were established, the support of the Regional Office of the International Civil Aviation Organization (ICAO) and its experts by Audit Areas was requested for the technical review of the Corrective Action Plans (CAPs) established by the Agencia Federal de Aviación Civil (AFAC).



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Outcome

October 04, 2024

The Mexican State finalized the upload of its Corrective Action Plans (CAPs) in the Online Framework (OLF).

Phases of compliance with Corrective Action Plans (CAPs)



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The technical areas of the Agencia Federal de Aviación Civil (AFAC) and the Directorate of the State Safety Programme (SSP) as the coordinating area of these activities, will carry out the implementation of the Corrective Action Plans (CAPs), as applicable.

Phase 1	Phase 2	Phase 3	Phase 4	Phase 5
January/25	December/26	January/27	January - June/27	July/27
<p>Attention to the Corrective Action Plans (CAPs).</p> <p>The information in the Corrective Action Plans (CAPs) is validated to verify compliance with what has been detected by the International Civil Aviation Organization (ICAO).</p>	<p>Resources necessary for the implementation of the Corrective Action Plans (CAPs).</p> <p>Assurance of financial resources and technical personnel by each of the areas of the Agencia Federal de Aviación Civil (AFAC) for the follow-up of the Corrective Action Plans (CAPs).</p>	<p>Request from ICAO's Coordinated Validation Mission (ICVM) to validate the implementation of Corrective Action Plans (CAPs) on-site.</p> <p>The International Civil Aviation Organization (ICAO) will be requested to review the Corrective Action Plans (CAPs) on site, in order to increase the effective implementation (EI).</p>	<p>Simulations with the working groups with the technical areas of the Agencia Federal de Aviación Civil (AFAC).</p> <p>Assurance of financial resources and qualified personnel for the Directorate of the State Safety Programme (SSP) that will coordinate the simulations with the working groups.</p>	<p>ICAO Coordinated Validation Mission (ICVM) on-site support.</p> <p>Review of the Corrective Action Plans (CAPs) on-site, in order to increase the effective implementation (EI).</p>



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Activities



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OACI – Headquarters

- Validation process of the Corrective Action Plans (CAPs) proposed by Mexico.
- Issuance of the summary of the results of the evaluation of the Corrective Action Plans (CAPs).

Mexican State

- Continue to comply with the established Corrective Action Plans (CAPs).
- To address the findings issued by the International Civil Aviation Organization (ICAO), regarding the proposed Corrective Action Plans (CAPs).

NACC Regional Office

- Continue to support the Mexican State for the proper implementation of its Corrective Action Plans (CAPs).



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THANK YOU



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