



| ICAO

INTERNATIONAL CIVIL AVIATION ORGANIZATION

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Aviation System Block Upgrade (ASBU)

Fifth Meeting of the North American, Central American and Caribbean Working Group (NACC/WG) Airspace Optimization Task Force, Seventh Meeting of the Air Traffic Flow Management Implementation Task Force and Ninth Meeting of the CANSO IATA ICAO Free Route Airspace Team (AO/TF/5/ATFM/TF/7/CIIFRA/9)
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Aviation System Block Upgrade (ASBU)

- ✈ ICAO's ASBU methodology is a flexible, programmatic global approach that allows all Member States to enhance their air navigation capabilities according to their specific operational requirements.



ASBU CONDUCTOR THREAD

INFORMATION

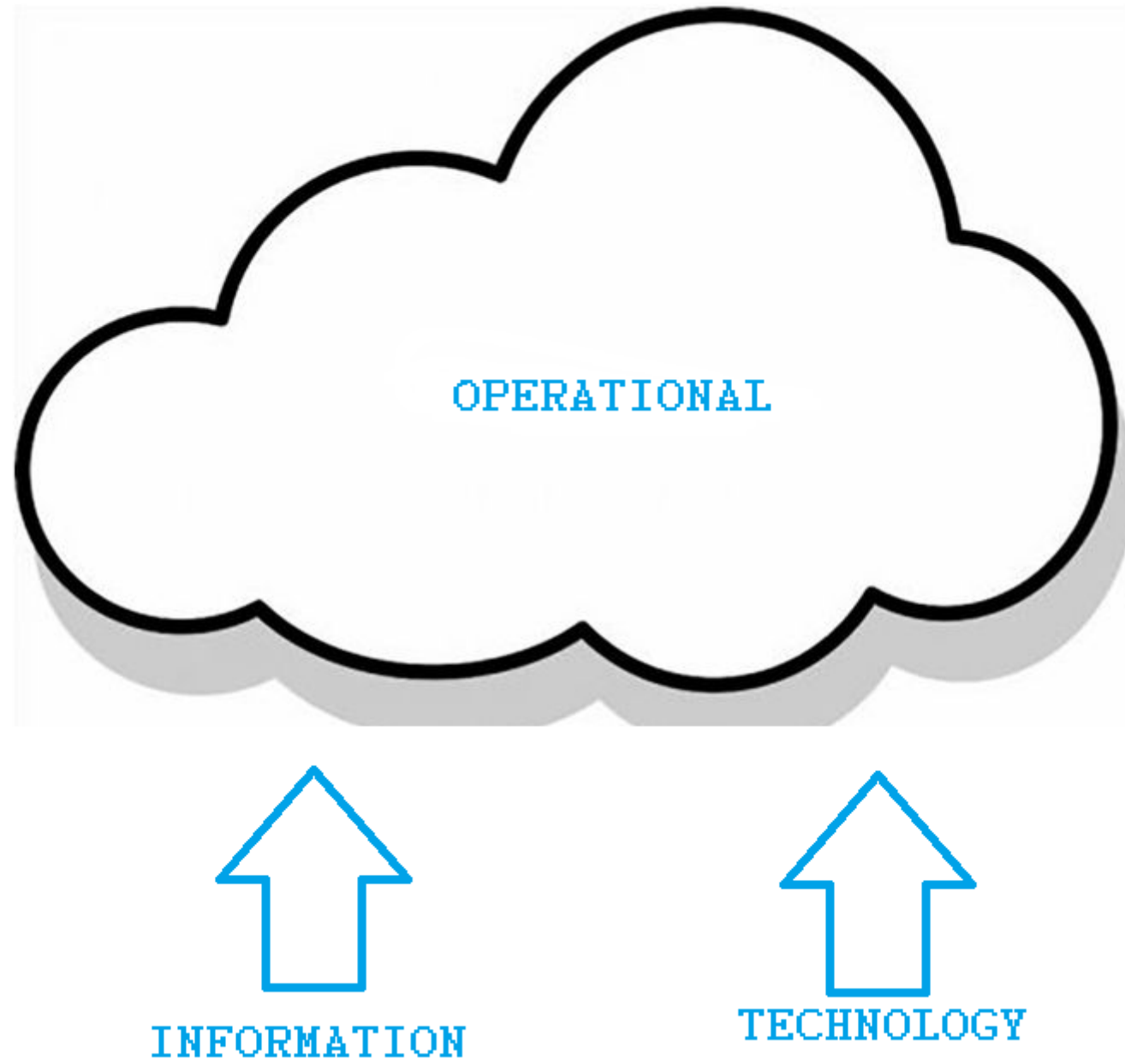
- ✈ *AMET: Meteorological information*
- ✈ *DAIM: Digital aeronautical information management.*
- ✈ *FICE: Flight information and flow for a collaborative environment*
- ✈ *(FF-ICE). SWIM: System-wide information management.*

TECHNOLOGY

- ✈ *ASUR: Surveillance system*
- ✈ *COMI: Communication infrastructure*
- ✈ *COMS: ATS Communication Service*
- ✈ *NAVS: Navigation system*

OPERATIONAL

- ✈ *ACAS: On-board Collision Avoidance System (ACAS)*
- ✈ *ACDM: Collaborative Decision Making with the Airport*
- ✈ *APTA: Improve Arrival and Departure Operations*
- ✈ *CSEP: Cooperative Separation DATS: Digital Aerodrome Air Traffic Services*
- ✈ *FRTO: Improved operations through enhanced en-route trajectories.*
- ✈ *GADS: Global Aeronautical Distress and Safety System (GADSS)*
- ✈ *NOPS: Network Operations*
- ✈ *OPFL: Improved access to optimal flight levels in oceanic and remote airspace.*
- ✈ *RSEQ: Improving traffic flow through runway sequencing*
- ✈ *SNET: Safety Nets on the Ground*
- ✈ *SURF: Surface operations*
- ✈ *TBO: Trajectory Based Operations*
- ✈ *WAKE: Wake Turbulence Separation*



¿WHY?

The implementation of the ASBU elements is not mandatory; each implementation is intended to satisfy an operational need or fill a gap.

It is up to the operational teams to define the operational objectives and the technological and information enablers to support the implementation.



Aviation System Block Upgrade (ASBU)

✈ *The ASBU Elements have different levels of maturity:*

- ✈ *Ready for implementation: this maturity level focuses on the end of system development and initial worldwide operational capability.*
- ✈ *Standardization: this maturity level focuses on the definition of the necessary provisions for system interoperability and harmonization of procedures.*
- ✈ *Validation: this maturity level focuses on industrial research and validation and includes proof-of-concept validation, stand-alone prototype implementation and testing, testing and prototyping in a representative environment, and full engineering feasibility demonstration in real system application.*
- ✈ *Concept: This maturity level focuses on exploratory research and includes scientific investigation, investigation of basic principles observed and reported, and concept definition.*

ENABLES

CATEGORIES:

- ✈ *Regulatory provisions, regulations.*
- ✈ *Operating procedures*
- ✈ *Onboard systems capability (avionics)*
- ✈ *Ground infrastructure*
- ✈ *Training*
- ✈ *Operational*
- ✈ *Authorization*
- ✈ *Other*

ENABLE TYPE:

- ✈ *National regulatory framework.*
- ✈ *Information exchange*
- ✈ *Aircraft on-board systems*
- ✈ *Ground infrastructure*
- ✈ *Training*
- ✈ *Certification*
- ✈ *Other*



Operational ASBU Elements

ACDM (Airport Collaborative Decision Making)

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1. ACDM-B0/1

Airport CDM Information Sharing (ACIS) Operational

PLANNING LAYERS ?

Pre-tactical

Tactical-Pre ops

Tactical-During ops

OPERATIONS ?

Taxi-out

Departure

Arrival

Taxi-in

Turn-around

2. ACDM-B0/2

Integration with ATM Network function

Operational

PLANNING LAYERS ?

Pre-tactical

Tactical-Pre ops

Tactical-During ops

OPERATIONS ?

Taxi-out

Departure

Arrival

Taxi-in

Turn-around

DEPENDENCIES AND RELATIONS ?

Type of Dependencies	ASBU Element
Relation-operational need	RSEQ-B0/1 - Arrival Management
Relation-operational need	RSEQ-B0/2 - Departure Management
Relation-benefit	SURF-B0/2 - Comprehensive situational awareness of surface operations
Relation-benefit	FICE-B0/1 - Automated basic inter facility data exchange (AIDC)
Relation-operational need	NOPS-B0/4 - Initial Airport/ATFM slots and A-CDM Network Interface

Enables:
MET Y SUR



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Operational ASBU Elements

APTA (Airport Accessibility)

Enables:
MET Y SUR

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1. APTA-B0/1

Arrival

PBN Approaches (with basic capabilities)

Operational

2. APTA-B0/2

Departure Arrival

PBN SID and STAR procedures (with basic capabilities)

Operational

3. APTA-B0/3

Arrival

SBAS/GBAS CAT I precision approach procedures

Operational

4. APTA-B0/4

Arrival

CDO (Basic)

Operational

5. APTA-B0/5

Departure

CCO (Basic)

Operational

6. APTA-B0/6

Departure Arrival

PBN Helicopter Point in Space (PinS) Operations

Operational

7. APTA-B0/7

Arrival

Performance based aerodrome operating minima –
Advanced aircraft

Operational

8. APTA-B0/8

Arrival

Performance based aerodrome operating minima – Basic
aircraft



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Operational ASBU Elements

APTA (Airport Accessibility)

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Enablers within the ASBU elements:

- **Informative: Meteorological Data**
- **Technology: Air navigation ground systems**

Other enablers specific to the elements:

- **Operational Procedures**
- **Training**
- **Avionics on board.**



Operational ASBU Elements

CSEP (Cooperative Separation)

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CSEP-B1/1
Basic airborne situational awareness
during flight operations (AIRB)
Operational

CSEP-B1/2
Visual Separation on Approach (VSA)
Operational

Considerations

2. Does it imply processing of new information by the user? Yes

3. Does it

4. Does it

The look-ahead horizon for which the element is applicable (is used and/or delivers benefits). The following options are possible:

- ATM planning: years in advance/before operations
- Strategical: seasonal, months in advance/before operations
- Pre-tactical: up to the day before operations
- Tactical: on the day of operations
 - In case of flight phases (air navigation perspective), then
 - Pre-operations is the period before off-blocks
 - During operations is the period after off-blocks
 - In case of turnaround (airport operations perspective), then
 - Pre-operation is the period before in-blocks
 - During operations is the period after in-blocks
- Post-operations

PLANNING LAYERS ?

Tactical-During ops

DEPENDENCIES AND R

Type of Dependencies

OPERATIONS ?

All phases **directly** affected by the element.

Departure

En-route

Arrival

Operational ASBU Elements

FRTO (Improved operations through enhanced en-route trajectories)

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FRTO-B0/1

Direct routing (DCT)

Operational

FRTO-B0/2

Airspace planning and Flexible Use of Airspace (FUA)

Operational

FRTO-B0/3

Pre-validated and coordinated ATS routes to support flight and flow

Operational

FRTO-B0/4

Basic conflict detection and conformance monitoring

Operational

PLANNING LAYERS ?

ATM planning

Strategical

Pre-tactical

Tactical-Pre ops

Tactical-During ops

Operational ASBU Elements

FRTO (Improved operations through enhanced en-route trajectories)

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Enablers within the ASBU elements:

- **Informative: Meteorological Data**
- **Technology: Air navigation ground systems**

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- **Training**
- **Avionics on board.**



Operational ASBU Elements

OPFL (Improved access to optimum flight levels in oceanic and remote airspace)

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OPFL-B0/1

In Trail Procedure (ITP)

Operational

OPFL-B2/1

Separation minima using ATS surveillance systems where VHF voice communications are not available

Operational

RSEQ (Improved traffic flow through runway sequencing)

RSEQ-B0/1

Arrival Management

Operational

RSEQ-B0/2

Departure Management

Operational

Operational ASBU Elements

SNET (Ground-based Safety Nets)

SNET-B0/1
Short Term Conflict Alert (STCA)
Operational

SNET-B0/2
Minimum Safe Altitude Warning (MSAW)
Operational

SNET-B0/3
Area Proximity Warning (APW)
Operational

SNET-B0/4
Approach Path Monitoring (APM)
Operational

SURF (Surface operations)

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SURF-B0/1
Basic ATCO tools to manage traffic during
ground operations
Operational

SURF-B0/2
Comprehensive situational awareness of
surface operations
Operational

B0

SURF-B0/3
Initial ATCO alerting service for surface
operations
Operational

SURF-B1/2
Comprehensive pilot situational
awareness on the airport surface
Operational

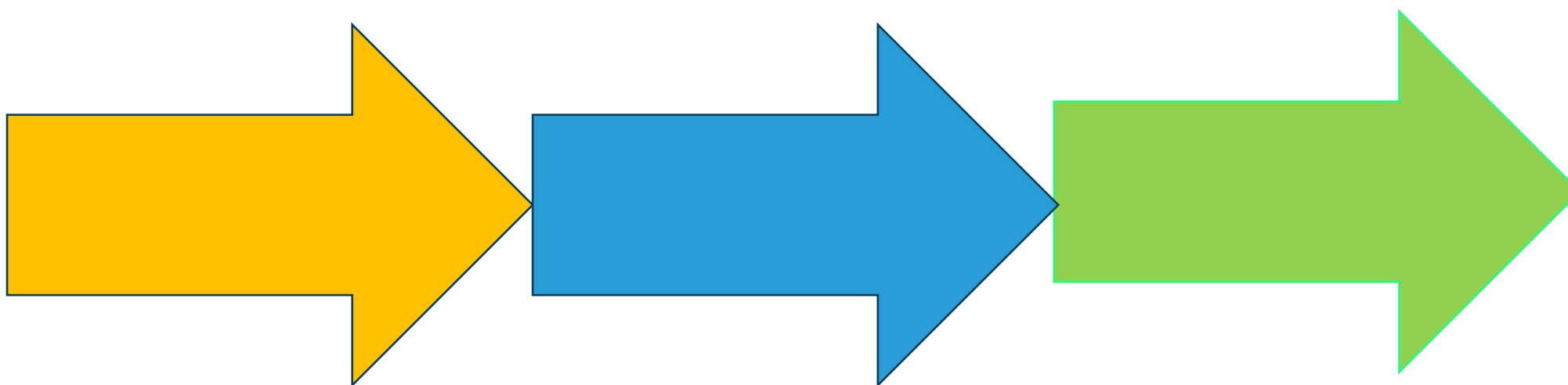
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TBO (Trajectory-based operations)

TBO-B0/1
Introduction of time-based management within a flow centric approach.
Operational

Recommendations

1. Analysis of ASBU elements
2. Analysis of available enablers
3. Analysis of required enablers
4. Planning
5. **Objectives**



¿What can we do at this point?
Data!

What can we do in the short term?
Data!

What can we do in the long term?
Data!



Gracias!