



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office

Air Navigation Services (ANS) Safety Oversight Inspector Workshop

(ANS/SOI)

Draft Summary of Discussions

Mexico City, Mexico, 6 to 8 May 2025



ICAO

International Civil Aviation Organization
North American, Central American and Caribbean Office
SUMMARY OF DISCUSSIONS

Air Navigation Services (ANS) Safety Oversight Inspector Workshop
Mexico City, Mexico

SUMMARY OF DISCUSSIONS

Date 6 to 8 May 2025
Location ICAO NACC Regional Office
Opening Ceremony The Workshop was attended by 88 delegates from 24 States/Territories and one International Organization from the NAM/CAR Regions. The list of participants is shown in the **Attachment**.

1. References

- 1.1 Convention on International Civil Aviation, ICAO Doc 7300
- 1.2 ICAO Air Navigation related Annexes (2, 3, 4, 5, 10, 11, 12 and 15).
- 1.3 ICAO Annex 19 – Safety Management
- 1.4 ICAO Procedures for Air Navigation Services (PANS)
- 1.5 ICAO Doc 9734, Safety Oversight Manual — Part A — The Establishment and Management of a State Safety Oversight System
- 1.6 Project to Develop Guidance to Support Air Navigation Services (ANS) Safety Oversight

2. Purposes

- 2.1 Assist States/Civil Aviation Authorities (CAAs) in improving compliance with ICAO Standards and Recommended Practices (SARPs) on air navigation services and safety oversight.
- 2.2 Promote the regional Guidance to Support Air Navigation Services (ANS) Safety Oversight, as well as to provide strategies for its use in establishing and updating the Safety Oversight System (SOS) of the States' air navigation systems (ANS).

3. Objectives

- 3.1 Provide guidance to:
 - recognize the responsibilities, roles and obligations of ICAO Member States with respect to aviation safety oversight derived from their obligations as signatories to the Convention on International Civil Aviation (“Chicago Convention”);

- understand the responsibilities of States regarding safety oversight for ANS;
- identify the relation between the SOS and the State Safety Programme (SSP), the importance of the implementation of the SSP from the ANS perspective and how this will help with the Safety Management System (SMS) surveillance of Air Traffic Services (ATS) service providers, including its integration with other management systems already established or required;
- describe the specific States' obligations relating to safety oversight for ANS;
- provide details regarding the responsibilities and personal attributes of the Air navigation services inspectors (ANSIs), the management of inspections, and their general and specific functions;
- recognize the importance of training for ANSIs and the need for a systematic training process that supports safety oversight obligations for ANS;
- describe the principles that should guide safety oversight (and, in turn the inspection processes), the scope of safety oversight in the ANS, determine the number of inspectors needed for safety oversight, the establishment of an annual ANS oversight programme and plan, the inspection plan, the types of oversight activities, and the inspection protocols used during ANS safety surveillance; and
- analyse and understand the procedures for classifying nonconformities arising from safety oversight activities and the process involved in their resolution (involving both the CAA and the Air Navigation Service Provider (ANSP)) reference.

4. Introduction

4.1 The establishment and updating of the SOS for ANS continues to be a challenge for the States of this region.

4.2 The ICAO Universal Safety Oversight Audit Programme (USOAP) audits have reflected the need to reinforce effective implementation of the ICAO SARPs related to the ANS in the States, through their incorporation in the national regulations, as well as through the establishment of a safety oversight system by the CAA [or State entity or organization] responsible for such oversight, aiming to verify its effective implementation and compliance.

4.3 This workshop comes as the second part of a Project to Develop Guidance to Support ANS Safety Oversight. The main purpose of the workshop is to disseminate the drafted guidance material.

4.4 The purpose of this guidance material is to support States in the development of their own ANS Inspector Manual, to be used as a working tool in the oversight processes of ANSPs. Its use will allow standardizing the minimum requirements to occupy the position of ANS Inspector in the different areas, as well as initial training, On the Job Training (OJT), periodic or recurrent training of inspectors and oversight processes, thus helping to strengthen the implementation of its ANS safety oversight programmes.

5. Workshop Schedule and Activities

5.1 The workshop webpage is located at:

<https://www.icao.int/NACC/Pages/meetings-2025-soiw.aspx>

6. Outcomes

- a. The Workshop objectives were fully accomplished
- b. The information presented will serve as an introduction to developing and implementing the oversight system or a refresher for persons who have been previously trained.
- c. Participants were able to recognize the strengths and opportunities for improvement of their respective safety oversight systems for ANS.
- d. Participants received guidance to enhance the effectiveness of safety oversight activities.
- e. Participants identified alternative mechanisms for compliance with safety oversight requirements, including opportunities for enhancing the internal monitoring mechanisms of ANSPs.
- f. Participants shared good practices and lessons learned to enhance efficiency of their air navigation safety oversight activities.
- g. Participants were updated on ICAO safety oversight audit activities, including the latest version of protocol questions.
- h. The workshop was helpful in supporting a more consistent way of classifying non-conformities found during ANS safety surveillance activities, and it encouraged a more harmonized approach across the Region.
- i. The workshop provided greater clarity and weight to practices and concepts that were implemented in response to USOAP audits.

7. Recommendations

- a. Conduct this workshop periodically, so that it can serve as a refresher and update for ANS inspection personnel.
- b. Allocate more time to the workshop, to allow sufficient space for sharing best practices with other States, which are of great value in this type of workshop.
- c. Consider additional training and awareness activities for specific areas of ANS oversight.

- d. Conduct a survey among States highlighting major elements of ANS oversight and request States to verify the main challenges among those elements. Then, conduct mini workshops (1-2 days) just to discuss one critical area at a time and identify mitigation measures that States can implement to ensure full compliance (as applicable) with ICAO requirements.
- e. Encourage regional collaboration and open information sharing among States, to tackle shared challenges together and make the most the regional combined expertise in ANS safety oversight.
- f. Future workshops can provide insight into different enforcement strategies that can be used in ANS.

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North American, Central American and Caribbean Office (NACC)
Oficina para Norteamérica, Centroamérica y Caribe (NACC)

Air Navigation Services (ANS) Safety Oversight Inspector Workshop/Taller para Inspectores/as de Supervisión de la Seguridad Operacional de los Servicios de Navegación Aérea (ANS)

Mexico City, Mexico, 6 to 8 May 2025 / Ciudad de México, México del 6 al 8 de mayo de 2025

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