



# Universal Safety Oversight Audit Programme (USOAP)

The ICAO USOAP was launched in January 1999 with the objective of promoting global aviation safety through the auditing of ICAO Member States. It specifically determines the status of States' establishment of safety oversight measures and resources, as well as relevant ICAO Standards and Recommended Practices (SARPs), associated procedures, guidance material and safety-related practices.

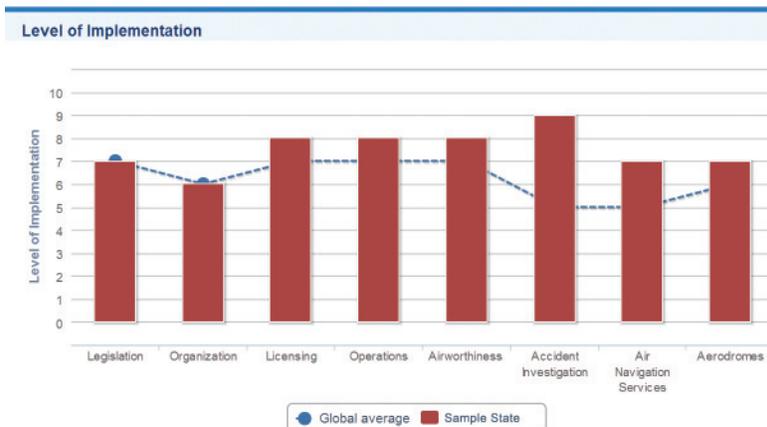
The USOAP was expanded in 2005 to cover provisions contained in all safety-related Annexes to the Convention on International Civil Aviation (Chicago Convention). Core areas audited by the USOAP are: primary aviation legislation and civil aviation regulations; civil aviation organization; personnel licensing and training; aircraft operations; airworthiness of aircraft; aircraft accident and incident investigation; air navigation services; and aerodromes and ground aids.

## USOAP Continuous Monitoring Approach (CMA)

In 2011, the USOAP evolved from a programme performing periodic audits to a new approach based on the concept of 'continuous monitoring'. This systematic and more proactive risk based approach to the conduct of monitoring activities provides ICAO with the ability to continue to perform audits as well as additional activities such as ICAO Coordinated Validation Missions (ICVM). ICVMs help to validate progress made by States in resolving safety deficiencies identified during USOAP audits.

## Interactive Online Results

The ICAO Universal Safety Oversight Audit Programme (USOAP) continuously monitors State safety oversight capabilities. An interactive display of ICAO's States and Regions and their respective USOAP results may be reviewed interactively by the public on ICAO's website through a convenient homepage link, or via: [www.icao.int/safety/Pages/USOAP-Results.aspx](http://www.icao.int/safety/Pages/USOAP-Results.aspx)



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## USOAP CMA Computer-based Training (CBT)

USOAP CMA CBT contains information, instructions and guidance on aspects ranging from general auditing techniques to specific State safety oversight obligations in each audit area. There are two types of training options available to States:

- CBT for USOAP auditors and ICAO Coordinated Validation Mission (ICVM) experts.
- CBT for State employees.

### *How can States benefit from the CBT?*

States may be requested to nominate their aviation experts to participate in USOAP CMA audits and/or ICVMs. Experts who complete the CBT will be able to assess States' safety oversight capabilities using USOAP CMA protocol questions (PQs). Completing the CBT will also benefit States internally by:

- Providing staff with knowledge on auditing techniques.
- Preparing them to meet requirements that will be addressed in their areas during USOAP CMA activities.

[www.icao.int/safety/CMAForum/Pages/USOAPCMA-CBT.aspx](http://www.icao.int/safety/CMAForum/Pages/USOAPCMA-CBT.aspx)

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## USOAP CMA Cost Recovery Workshops

The objectives of these workshops include:

- Providing States with the latest updates in USOAP CMA.
- Explaining USOAP CMA activities to States.
- Guiding States through their roles in carrying out effective safety oversight.

ICAO Regional Offices host three-day workshops primarily for State National Continuous Monitoring Coordinators (NCCMs). States may request these workshop on a cost-recovery basis in order to train their staff on how to use USOAP CMA online tools, and on USOAP CMA methodologies required to prepare for ICAO Coordinated Validation Missions (ICVMs) or USOAP Audits.

### *How can a State request a workshop?*

- Contact the ICAO regional office to agree on a time frame.
- Provide a list of areas where the State needs assistance, in order to identify the workshop scope.

### *What is the cost of a three-day workshop?*

- \$5,000 for the conduct of the workshop + airfare + daily allowance for facilitator(s).



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For more information on ICAO's USOAP, please visit:

[www.icao.int/safety/cmaforum/pages](http://www.icao.int/safety/cmaforum/pages)

Or contact ICAO's USOAP team via:

[cmo@icao.int](mailto:cmo@icao.int)