



INTERNATIONAL CIVIL AVIATION ORGANIZATION (ICAO)  
ORGANIZACIÓN DE AVIACIÓN CIVIL INTERNACIONAL (OACI)

COMISIÓN LATINOAMERICANA DE AVIACIÓN CIVIL (CLAC)  
LATIN AMERICAN CIVIL AVIATION COMMISSION (LACAC)



**THIRD MEETING OF THE AVIATION SECURITY AND FACILITATION REGIONAL GROUP  
(AVSEC/FAL/RG/3)**

Lima, Peru, 17 to 21 June 2013

AVSEC/FAL/RG/3 — WP/09  
13/05/13

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**Agenda Item 5: Facilitation (FAL)**

**5.1 Report on Machine Readable Travel Documents Project**

**POLICY COOPERATION ON THE MACHINE READABLE TRAVEL DOCUMENTS (MRTD)**

(Presented by Dominican Republic as Coordinator)

<b>SUMMARY</b>	
The following Working Paper presents the results of the Implementation of Annex 9 SARPs - about MRTD Project	
<b>References:</b>	
<ul style="list-style-type: none"><li>Final Report of the First Meeting of the ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/1), (Asunción, Paraguay, May 25 to 27, 2011).</li><li>Conclusion of the Second Meeting of the ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/2), (Antigua &amp; Barbuda, May 14 to 18, 2012).</li></ul>	
<b><i>Strategic Objectives</i></b>	<i>This working paper is related to ICAO Strategic Objective B.</i>

**1. Background**

1.1 In the framework of the First Meeting of the ICAO/LACAC NAM/CAR/SAM Aviation Security and Facilitation Regional Group (AVSEC/FAL/RG/1) held in Asuncion, Paraguay, from 25 to 27, May 2011, it was agreed that activities that LACAC was performing within of its Strategic Plan under the Macro task FAL/AVSEC, were distributed among the different States of the region to herein after they could perform this tasks as Coordinators States, assuming Dominican Republic as Coordinator State of the Harmonization and Unification task FAL and AVSEC Decisions of LACAC and of the Policy of Cooperation of Machine Readable Travel Documents (MRTD).

1.2 This task was called: Implementing the Standards and Recommended Practices of Annex 9, in relation to Machine Readable Travel Documents (MRTD).

1.3 In the Second Meeting (Antigua and Barbuda, 14 to 18 May 2012), Dominican Republic presented Working Paper 11 (AVSEC/FAL/RG/2-NE/11), corresponding to the progress of the Machine Readable Travel Documents Identification/Biometric Identification Project. Due to the few responses received from States NAM/CAR/SAM, Dominican Republic, as Project Coordinator requested to extend the deadline for States to complete the questionnaire and to analyze the responses received.

1.4 Subsequently, the Secretariat requested participants to consider this important questionnaire and was approved at the meeting an extension period. To facilitate the process, the Secretariat published on the website of the Meeting, the Questionnaire and communications emitted to States, issued by the NACC and SAM Regional Offices for the NAM/CAR/SAM States, in January 2012.

## **2. Activities**

2.1 Was extended and modified the questionnaire that was used by LACAC for measuring the degree of regional level implementation of Machine Readable Passports (MRTD).

2.2 There were added some Facilitation topics to the questionnaire: National Air Transport Facilitation Programme (NATFP), the National Air Transport Facilitation Committee (NATFC) Airport Facilitation Committee (AFC), Public Key Directory (PKD) of ICAO, Advance Passenger Information System (APIS), and United Nations/Electronic Data Interchange for Administration, Commerce and Transport (UN/EDIFACT), and for the Transmission of Passenger Manifest (PAXLST).

2.3 The Secretariat of Regional Group AVSEC/FAL, at the request of the Coordinator State Dominican Republic, was in charge of distributing the questionnaire among Member States AVSEC/FAL/RG, so that these will answer and forward to the Secretariat of the Group.

2.4 Currently, only five (5) countries have responded to the new questionnaire. The answers from these countries were used to update the original questionnaire used by the Latin American Civil Aviation Commission (LACAC).

## **3. Conclusion**

- a) This working Paper is submitted for Assembly consideration;
- b) Those States that have not yet answered the new questionnaire, are called to do so, in order to have the maximum amount of updated data from the Working Paper presented;
- c) Attached in **Appendix** is the result of the new questionnaire.

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## Questionnaire on Facilitation and Machine Readable Travel Document (MRDT)

(Coordinating State-Dominican Republic)

STATE	Argentina	Bolivia	Brasil	Chile	Colombia	Cuba	Ecuador	EL SALVADOR	Honduras	Guatemala	MEXICO	Paraguay	Peru	Republica Dominicana	Uruguay	VENEZUELA
<b>GENERAL</b>																
<b>SECTION B</b>																
10) ¿ Currently, does your State issue machine readable Travel Passports (MRTD)	YES	NO	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES	YES
11) IF the Answer to question 10 is "NO", please only complete sections C and E.																
12) IF the Answer to question 10 is "YES", please only complete sections D and E.																

(X) Not Answered.

**Questionnaire on Facilitation and Machine Readable Travel Document (MRDT)**  
**(Coordinating State-Dominican Republic)**

STATE	Argentina	Bolivia	Brasil	Chile	Colombia	Cuba	Ecuador	EL SALVADOR	Honduras	Guatemala	MEXICO	Paraguay	Peru	Republica Dominicana <sup>a</sup>	Uruguay	VENEZUELA
<b>GENERAL</b>																
<b>SECTION A</b>																
1) ¿ Has your State established National air transport facilitation programmes (NATFP)?	X	X	X	YES	NO	YES	X	X	X	X	X	YES	X	YES	X	X
2) ¿ Has your State approved the NATFP?	X	X	X	YES	NO	YES	X	X	X	X	X	YES	X	YES	X	X
3) ¿ Has your State implemented the NATFP?	X	X	X	NO	NO	YES	X	X	X	X	X	YES	X	YES	X	X
4) ¿ Has your State established a National air transport facilitation Committee (NATFC) or other similar coordinating organization?	X	YES	X	YES	NO	YES	X	X	X	X	YES	YES	YES	YES	YES	X
Name of the committee/organization																
Note: If answered "NO", go the question 7.																
5) ¿ How often this NATFC meets?	X	X	X	6 MONTHS	X	6 MONTHS	X	X	X	X	X	3 MONTHS	X	3 MONTHS	X	X
6) Please indicate, Which entities/institutions formed this Committee?	X	X	X	""	X	""	X	X	X	X	X	""	X	""	X	X
7) ¿ Has your State established Airport facilitation Committees(AFC)?	X	X	X	YES	YES	YES	X	X	X	X	X	YES	X	YES	X	X
Note: If answered "NO", Please go the following Section.																
8) ¿ How often does this/these AFCs meet?	X	X	X	*	6 MONTHS	MONTHLY	X	X	X	X	X	3 MONTHS	X	MONTHLY	X	X
9) Please Indicate, Which entities/institutions formed this/these AFCs?	X	X	X	^^	^^	^^	X	X	X	X	X	^^	X	^^	X	X

(X) Not Answered.

\*According to CALL the extraordinary.

"" According to Appendix 12 A9.

^^ According to Appendix 11 A9.

## Questionnaire on Facilitation and Machine Readable Travel Document (MRDT)

(Coordinating State-Dominican Republic)

STATE	Argentina	Bolivia	Brasil	Chile	Colombia	Cuba	Ecuador	EL SALVADOR	Honduras	Guatemala	MEXICO	Paraguay	Peru	Republica Dominicana	Uruguay	VENEZUELA
<b>GENERAL</b>																
<b>SECTION C. STATE THAT AT PRESENT ARE NOT ISSUING MACHINE READABLE TRAVEL DOCUMENT (MRTD)</b>																
(Complete this section if answered "NO", to question 10)																
13) Does your State Require assistance related to the expedition of Machine Readable Passports?	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
14) IF the answer to question 13 was "NO", please indicate why MRTD has not been implemented in your State and go to Part E and answer the questions.																
15) IF the answer to question 13 was "yes", Please mark the type of assistance that your State requires in relation with the expedition of machine readable.passports:																
* Assistance regarding the definition of criterion (guidance on questions regarding security and facilitation, privacy and systems reengineering)	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
* Technical guidance (comprehension of Doc. 9303 and security standards)	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
* Consultancy (evaluations prior and subsequent to production)	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
* Advice on the bidding process.	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
* Project management (acquisition,development and operation)	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
* Securing of appropriate financial assistance.	X	YES	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Other ( Specify)																

(X) Not Answered.

**Questionnaire on Facilitation and Machine Readable Travel Document (MRTD)**  
**(Coordinating State-Dominican Republic)**

STATE	Argentina	Bolivia	Brasil	Chile	Colombia	Cuba	Ecuador	EL SALVADOR	Honduras	Guatemala	MEXICO	Paraguay	Peru	Republica Dominicana	Uruguay	VENEZUELA
<b>GENERAL</b>																
<b>SECTION D STATES ISSUING MACHINE READABLE PASSPORTS (MRTD)</b>																
(Complete this section IF Answered "YES", to question 10)																
16) Date in which your State started issuing MRTD?	X	X	X	01-May-02.	15-Jul-10	2001	X	X	X	X	X	04-Mar-96	X	10-May-04	X	X
17) ¿Approximately how many machine readable Passports have your State in circulation?	596,964	X	2.000.000	2.050.000	1.000.000	2.060.000	1.500.000	500,000	597,666	3,000,000	X	500,000	261.29	1.867.650	250,000	4.600.000
18) ¿ Is your State willing to assist States, that have not yet done so, in the expedition of machine readable passports?	YES	X	YES	YES	YES	X	YES	YES	YES	NO	X	NO	NO	YES	YES	YES
19) IF Answered "YES" to question 18, Please indicate the type of assistance that your State is willing to provide, through the AVSEC/FAL/RG or direct, to the State or States that so require.																
* Assistance related to policies definition (Guidance on issues related to security and facilitation, privacy and systems reengineering)	YES	X	YES	X	YES	X	YES	YES	YES	X	X	X	X	X	YES	X
* Technical guidance or specialized knowledge (guidance on Doc.9303 specifications, security and other optional elements that could be incorporated to the machine readable Passports and implementation of biometric technologies)	X	X	YES	YES	X	X	YES	YES	X	X	X	X	X	X	YES	YES
* Project Management.	X	X	X	YES	X	X	YES	YES	YES	X	X	X	X	YES	X	YES
* Submission of MRTD experts for the regional experts list.	YES	X	X	YES	YES	X	YES	X	YES	X	X	X	X	X	X	X
* Provision of Financial arrangements.	X	X	X	X	X	X	YES	X	YES	X	X	X	X	X	X	X
Other ( Specify)	X	X	X	X	X	X	&	X	X	X	X	X	X	X	X	X

(X) Not Answered.

( &amp; ) INFORMATION TECHNOLOGY AND COMMUNICATIONS SECURITY DOCUMENTS AND PROCEDURES.

**Questionnaire on Facilitation and Machine Readable Travel Document (MRDT)**  
**(Coordinating State-Dominican Republic)**

STATE	Argentina	Bolivia	Brasil	Chile	Colombia	Cuba	Ecuador	EL SALVADOR	Honduras	Guatemala	MEXICO	Paraguay	Peru	Republica Dominicana	Uruguay	VENEZUELA
<b>GENERAL</b>																
<b>SECTION D Continued STATES ISSUING MACHINE READABLE PASSPORTS (MRTD)</b>																
20) ¿Has your State implemented the Biometric Measures?	YES	NO	YES	YES	YES	NO	YES	YES	X	NO	X	NO	NO	YES	NO	YES
21) IF answered "YES" to question 20, Please indicate which of these characteristics does it include?																
Documental	YES	X	YES	YES	YES	X	X	X	X	X	X	X	X	YES	X	YES
Facial	X	X	YES	X	X	X	YES	YES	X	X	X	X	X	YES	X	YES
Finger	X	X	YES	YES	YES	X	X	YES	X	X	X	X	X	YES	X	YES
22) ¿Does your State allows the use of other identification than passport for international travel?	X	X	X	YES	YES	NO	X	X	X	X	X	X	X	NO	X	X
23) IF answered "YES" to question 22, ¿are these identifications issues under the MRTD system ?	X	X	X	YES	YES	X	X	X	X	X	X	X	X	X	X	X
24) IF MRTD are issued, ¿is this system controlled through a database?	X	X	X	YES	YES	YES	X	X	X	X	X	YES	X	YES	X	X
IF applicable, ¿which entity(ies) has/have the control and protection of this database	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
25) ¿Is your State part of the ICAO Public Key Director (PKD)?	X	X	X	NO	NO	NO	X	X	X	X	X	NO	X	NO	X	X
26) ¿Has your State adopted the anticipated passenger information (API) system?	X	X	X	NO	NO	YES	X	X	X	X	X	NO	X	X	X	X
27) IF answered "YES" to question 26, ¿do involved people use UN/EDIFACT messages (United Nations/Electronic Data Interchange for Administration, Commerce and Transport) PAXLST for issuing of pasenger manifests?	X	X	X	X	X	YES	X	X	X	X	X	X	X	X	X	X
Others means (specify)	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X
28) ¿Has your State notified ICAO any differences to Annex 9 Regulations?	X	X	X	NO	NO	YES	X	X	X	X	X	YES	X	YES	X	X
IF applicable, on what or which regulations?	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X