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TECHNICAL REPORT

**Logical Data Structure (LDS) for Storage of
Data in the Contactless IC**

Doc 9303-10 LDS 2 – New Applications

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Release Control

| Release | Date | Description |
|---------|---------------|--|
| 12.8 | 20 Sept 15 | Added AIDs, incorporated into TR format |
| 12.9 | 09 Oct 15 | Visa records simple TLV tags changed to BER TLV, multiple editorial changes |
| 14.0 | 09 Nov 15 | |
| 15.0 | 25 July 16 | Incorporate agreed changes from April 2016 Wellington meeting |
| 16.0 | 25 Oct 16 | Incorporate agreed changes from October 2016 Berlin meeting |
| 16.1 | | From Berlin meeting added Proprietary search criteria (SearchRecord-Proposal-GD-07-12-2015.pdf). removed annex D example Option 1 SEARCH RECORD |
| 17.0 | 1 April 2017 | Incorporate changes from WG3 March 2017 Milpitas Meeting Add initial Biometrics Application sections |
| 18.0 | 11 Aug 2017 | Incorporate changes from 8 April 2017 telco, NTWG meeting 26 April, and additional posted comments |
| 19.0 | 11 Jan 2018 | Incorporate changes from Oct 2017 Paris meeting Added proprietary WRITE BINARY section 6.4.1 Added SEARCH RECORD method according to ISO/IEC 7816-4:2013/DAmD 1 |
| 20.6 | 14 Mar 2018 | Incorporate changes from March 2018 Tokyo meeting. Additional Biometrics transparent files handling part updated. Added proprietary File and Memory Management command Proprietary SEARCH RECORD command option removed |
| 20.7 | 03 April 2018 | Second round reviews from Tokyo meeting. Finalized EF.Biometrics and introduction of proprietary FMM command for memory management |
| 21 | 10 Nov 2018 | Minor changes from WG3. Reduced max certificate size from 1000Bytes to 900bytes in EF.CERTIFICATES |

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1. INTRODUCTION

This document specifies the file structures required to support the ICAO LDS2 project consisting of three additional and optional applications:

- Travel records (stamps);
- Visa records; and
- Additional biometrics.

The eMRTD may support one, several or all of these applications.

1.1 Scope and Purpose

The Doc 9303-10 LDS2 describes the file structures and application framework aspects of LDS2 and is intended to be integrated into a subsequent Technical Report on LDS2 along with other material covering the PKI and access protocols for the additional applications.

1.2 Assumptions

It has been assumed that the reader is familiar with ICAO Doc 9303 seventh edition and that this document is used in conjunction with the companion LDS2 documents;

- TR LDS2 – Protocols v0.8, April 2017;
- TR LDS2 – PKI Draft 0.8 October 2016.

1.3 Terminology

This document uses the terminology from Doc 9303 7th edition.

1.4 Data Elements Encoding Rules

The context of eMRTD uses two different tag allocation schemes for application class tag, such as defined in Doc9303-10 (LDS tag) and ISO/IEC 7816-6 (Interindustry tag).

- EF.ATR/INFO and EF.DIR use interindustry tag allocation scheme
- DFs with their containing EFs use LDS tag allocation scheme.

Interindustry tags specified in this document are used in LDS context, so coexistent tag allocation scheme is not required.

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2. ELEMENTARY FILES

2.1 EF.ATR/INFO (MANDATORY)

EF.ATR/INFO must be located in the MF. The short EF identifier at MF level is '01'.

Table 1: EF.ATR/INFO

| | |
|---------------------------|-------------|
| File Name | EF.ATR/INFO |
| File ID | '2F01' |
| Short EF Identifier | '01' |
| Select / FMM Access | ALWAYS |
| Read Access | ALWAYS |
| Write/Update/Erase Access | NEVER |
| File structure | Transparent |
| Size | Variable |

The contents of the EF.ATR/INFO can be retrieved by using a SELECT command followed by READ BINARY command. The READ BINARY command response data field contains the content of the EF.ATR/INFO.

Table 2: Data elements of EF.ATR/INFO

| Tag | Length | Value | Notes | | |
|--------|--------------|--|--|--|-----------------------|
| '47' | '03' | Card capabilities | | | |
| | | byte 1 - first software function | b8=1: DF selection by full DF name b7 to b4 and b1 are out of scope of Doc 9303 b3=1: short EF identifier supported b2=1: record number supported | | |
| | | byte 2 - second software function | b8, b7, b6 and b5 are out of scope of Doc 9303 b4 to b1=0001: one byte data unit size | | |
| | | byte 3 - third software function | b8=1: command chaining supported b7=1: Extended Lc and Le fields supported b6=1: Extended length information in EF.ATR/INFO b5 to b1 are out of scope of Doc 9303 | | |
| '7F66' | 'xx' | Extended length information | | | |
| | | Tag | Length | Value | Notes |
| | | '02' | '02' | Positive integer - the maximum number of bytes in the command data field | MUST be at least 1000 |
| '02' | '02' or '03' | Positive integer - the maximum number of bytes expected in the response APDU | MUST be at least 1000 | | |

Note: Further data objects MAY be present in EF.ATR/INFO.

Note: EF.ATR/INFO uses standard tag allocation scheme as defined in ISO/IEC 7816-4

2.2 EF.CardSecurity (CONDITIONAL)

EF.CardSecurity is a transparent EF contained in the MF. The short EF identifier at MF level is '1D'.

Table 3: EF.CardSecurity

| | |
|-----------|-----------------|
| File Name | EF.CardSecurity |
| File ID | '011D' |

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| | |
|---------------------------|-------------|
| Short EF Identifier | '1D' |
| Select Access | PACE |
| Read Access | PACE |
| Write/Update/Erase Access | NEVER |
| File structure | Transparent |
| Size | Variable |

EF.CardSecurity contained in the MF is REQUIRED if

- PACE with Chip Authentication Mapping is supported by the IC;
- Terminal Authentication in the MF is supported by the IC; or
- Chip Authentication in the MF is supported by the IC.

and MUST contain

- ChipAuthenticationInfo as required by Chip Authentication;
- ChipAuthenticationPublicKeyInfo as required by PACE-CAM/Chip Authentication;
- TerminalAuthenticationInfo as required by Terminal Authentication;
- the SecurityInfos contained in EF.CardAccess.

2.3 EF.DIR (REQUIRED)

EF.DIR MUST be located in the MF. The short EF identifier at MF level is '1E'.

Table 4: EF.DIR

| | |
|---------------------------|-------------|
| File Name | EF.DIR |
| File ID | '2F00' |
| Short EF Identifier | '1E' |
| Select Access | ALWAYS |
| Read Access | ALWAYS |
| Write/Update/Erase Access | NEVER |
| File structure | Transparent |
| Size | Variable |

EF.DIR MUST indicate a list of applications supported by the eMRTD. It MUST contain a set of application templates and / or application identifier Dos in any order.

Table 5: EF.DIR Format

| Tag | L | Value | | Description |
|------|------|------------|----------|--|
| '61' | '09' | | | eMRTD Application Template |
| | | Tag | L | Value |
| | | '4F' | '07' | 'A0 00 00 02 47 10 01' |
| '61' | '09' | | | Travel Records 'Application Template' |
| | | Tag | L | Value |
| | | '4F' | '07' | 'A0 00 00 02 47 20 01' |
| | | Tag | L | Value |
| '61' | '09' | | | Visa Records 'Application Template' |
| | | Tag | L | Value |
| | | '4F' | '07' | 'A0 00 00 02 47 20 02' |
| | | Tag | L | Value |
| '61' | '09' | | | Additional Biometrics 'Application Template' |
| | | Tag | L | Value |
| | | '4F' | '07' | 'A0 00 00 02 47 20 03' |

Note: EF.DIR uses standard tag allocation scheme as defined in ISO/IEC 7816-4

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The Application present on the eMRTDs MUST be referenced in the EF.DIR.

2.4 EF.CardAccess (CONDITIONAL)

EF.CardAccess is a transparent EF contained in the MF. The short EF identifier at MF level is '1C'.

Table 6: EF.CardAccess

| | |
|---------------------------|---------------|
| File Name | EF.CardAccess |
| File ID | '011C' |
| Short EF Identifier | '1C' |
| Select Access | ALWAYS |
| Read Access | ALWAYS |
| Write/Update/Erase Access | NEVER |
| File structure | Transparent |
| Size | Variable |

EF.CardAccess contained in the MF is REQUIRED if PACE is supported by the chip and MUST contain the following SecurityInfos for the PACE protocol:

- PACEInfo
- PACEDomainParameterInfo

3. TRAVEL RECORDS APPLICATION (CONDITIONAL)

The Travel Records application MAY be implemented by an issuing State or organization. The following is conditionally REQUIRED if the optional Travel Records application has been invoked.

3.1 File Structure Summary

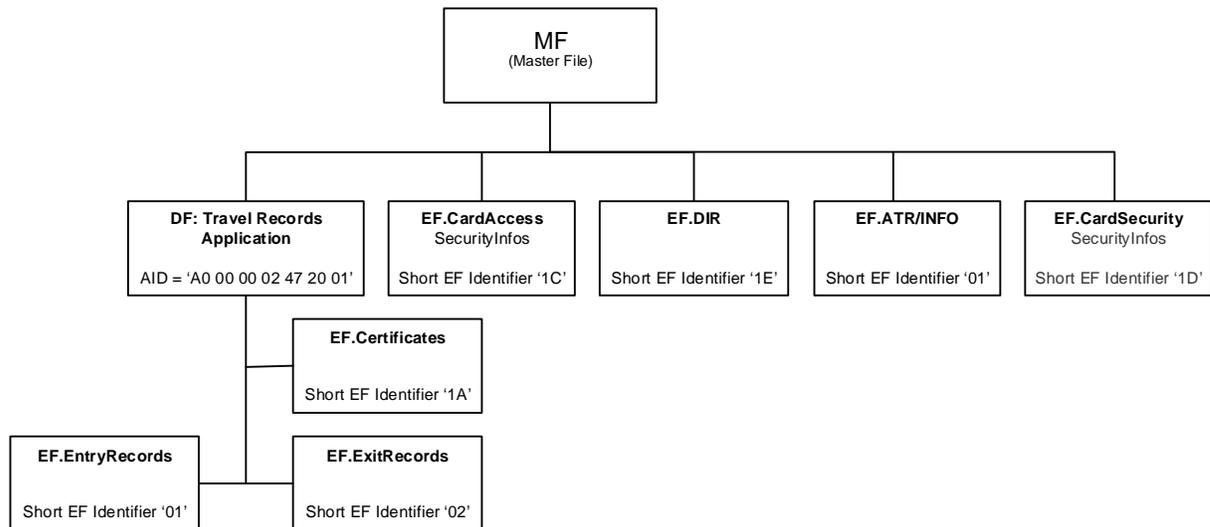


Figure 1: Travel Records Structure

3.2 EF.Certificates (REQUIRED)

The Travel Records Signer certificates are stored in an EF inside the application DF and having Linear Structure with Records of Variable Size. These certificates are intended to be used by the IS to further offline validation of the digital signatures for each record in both the EF.ExitRecords and EF.EntryRecords files.

Table 7: EF.Certificates

| | |
|------------------------------------|--|
| File Name | EF.Certificates |
| File ID | '011A' |
| Short EF Identifier | '1A' |
| Select / FMM Access | PACE+TA (Travel record authorization bit b3 according to table 21) |
| Read record / Search Record Access | PACE+TA (Travel record authorization bit b3 according to table 21) |
| Append Record Access | PACE+TA (Travel record authorization bit b4 according to table 21) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

Certificate record contains a single LDS2-TS Signer X.509 certificate data object. A Certificate record MAY be referenced by one or more Entry or Exit Travel Records.

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Table 8: EF.Certificates Record Format

| Tag | Content | Mandatory /Optional | Format | Example |
|------|---------------------------|---------------------|-----------|--|
| 5F3A | Certificate serial number | M | V(22)B | '5F3A' 'Len' {Country code SerialNumber } |
| 72 | X.509 certificate | M | V (900) B | '72' Len { X.509 Certificate } |

Note: Interindustry tags specified in this table are used in LDS context, so coexistent tag allocation scheme is not required.

DO'5F3A MUST contain a 2 letter country code according to ISO-3166-1 (same encoding and value as X.509 certificate's subject's countryName) followed by the certificate serial number.

Each X.509 certificate contains a set of ASN.1 encoded data elements illustrated in the table below. Detailed requirements for the X.509 Certificate can be found in Doc 9303-12 Certificate Profile Specification.

Table 9: X.509 Certificate structure example

| Field | Description | Example value |
|-----------------------------|---------------------------|--------------------|
| Certificate | | |
| version | Must be ver.3 | 2 |
| serialNumber | unique positive integer | 20 bytes max |
| signature | Signature algorithm | ecdsa-with-SHA256 |
| issuer | | |
| countryName | Issuing country name | "US" |
| commonName | Issuer name (9 chars max) | "DHSCA0001" |
| validity | | |
| notBefore | Cert. effective date | "131225000000Z" |
| notAfter | Cert. expiration date | "230824235959Z" |
| subject | | |
| countryName | IS country name | "US" |
| commonName | IS name (9 chars max) | "SFO000001" |
| subjectPublicKeyInfo | | |
| Public Key Algorithm | ecPublicKey | |
| Subject Public Key | IS public key | ECC256 Public Key |
| extensions | | |
| AuthorityKeyIdentifier | | |
| ExtKeyUsage | | |
| Signature Algorithm | ecdsa-with-SHA256 | |
| Signature | Issuer's Signature | ECDSA256 signature |

Note: This table is an example for illustration only. Certificate records are written to EF.Certificates located under the Travel Records application DF using the APPEND RECORD command. Certificate records can be read from EF.Certificates using READ RECORD command. Certificate records MUST NOT be updated or erased. The maximum number of records in EF.Certificates under the Travel Records application DF MUST be 254.

3.3 Application Selection

The Travel Records application MUST be selected by use of the Application Identifier (AID) as a reserved DF name. The AID MUST consist of the Registered Application Identifier assigned by ISO according to [ISO/IEC 7816-5] followed by the Proprietary Application Identifier Extension (PIX) of the Travel Records application:

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- The Registered Application Identifier is 'A0 00 00 02 47'
- The Travel Records application MUST use PIX = '20 01';
- The full AID of the Travel Records application MUST be A0 00 00 02 47 20 01.

The IC MUST reject the selection of an application if the extension for this application is absent.

3.4 Entry and Exit Records

Entry and Exit Travel Records are stored in two separate Elementary Files EF.EntryRecords and EF.ExitRecords under the Travel Records application DF with both having Linear Structure with Records of Variable Size as per [ISO/IEC 7816-4].

3.5 EF.ExitRecords (REQUIRED)

It is REQUIRED to APPEND Exit Records upon embarkation at the IS.

Table 10: EF.ExitRecords

| | |
|------------------------------------|--|
| File Name | EF.ExitRecords |
| File ID | '0102' |
| Short EF Identifier | '02' |
| Select / FMM Access | PACE+TA (Travel record authorization bit b1 according to table 21) |
| Read Record / Search Record Access | PACE+TA (Travel record authorization bit b1 according to table 21) |
| Append Record Access | PACE+TA (Travel record authorization bit b2 according to table 21) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

The content of an Exit Record is shown in the table below.

Note: Interindustry tags specified in the table below are used in LDS context, so coexistent tag allocation scheme is not required.

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Table 11: Entry / Exit Record Format

| Tag | Tag | Content | Mandatory /OPTIONAL | Format | Example |
|------|---|--|---------------------|--------------|----------------------------|
| 5F44 | | Embarkation/Debarkation State (copy for SEARCH RECORD) | M | F (3) A | USA |
| 73 | Entry / Exit Travel Record (signed info) | | | | |
| | 5F44 | Embarkation/Debarkation State | M | F (3) A | USA |
| | 5F4C | Visa approvals, refusals, and revocations | O | V (50) A,N,S | Free-form text |
| | 5F45 | Travel date (Date of entry/exit) | M | F (8) N | 20120814 (yyyymmdd) |
| | 5F4B | Inspection authority | M | V (10) A,N,S | CBP |
| | 5F46 | Inspection location (Port of Entry/Exit) | M | V (10) A,N,S | SFO |
| | 5F4A | Inspector reference | M | V (20) A,N,S | SFO00001234 |
| | 5F4D | Result of inspection | O | V (50) A,N,S | Free-form text |
| | 5F49 | Mode of travel | O | F (1) A | A (Air), S (Sea), L (Land) |
| | 5F48 | Duration of stay (days) | O | V (2) B | '00FF' (255 days) |
| | 5F4E | Conditions holder is required to observe whilst in issuing State | O | V(50) A,N,S | Free-form text |
| 5F37 | Authenticity token (Signature) | | M | V (140) B | '5F' '37' Len {Signature} |
| 5F38 | Reference (record number) to LDS2-TS Signer certificate in Certificates Store | | M | F (1) B | '01' ...'FE' |

Note 1: A = Alpha character [a..z, A..Z], N = Numeric character [0..9], S = Special character ['<' ' '], B = 8-bit Binary data (any other than A, N or S), F = fixed-length field, V = variable-length field.

The order of the data objects in a record is fixed. The IS MUST build up the record content using the data objects in the order specified in the table.

Each Record MUST contain a digital signature (Authenticity Token) calculated over the DO'73, including Tag 73 and Length. Signature is generated by the LDS2-TS Signer.

LDS2-TS Signer certificates required to verify Travel Record's signature MUST be stored in the EF.Certificates under the Travel Records application DF if not already available in the same file.

Note 2: Since LDS2-TS Signer certificates are likely to be the same in multiple Travel Records (ex., when entering and exiting a country through the same airport having only one LDS2-TS Signer), before writing/appending a new certificate to the EF.Certificates, the IS should look up the EF.Certificates for a copy of the same certificate, and reference the existing one. This will reduce the size of EF.Certificates and enable faster lookups.

Travel Records are written (appended) to EF using APPEND RECORD. Travel Records MUST NOT be altered (updated) or deleted. The maximum number of records in each EF allowed MUST be 254.

Note 3: The eMRTD does not enforce that an IS writes Entry Records only to the EF.EntryRecords, but not to the EF.ExitRecords, and vice versa.

Note 4: Embarkation/Debarkation State 3-letter code according to Doc9303-3

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3.6 EF.EntryRecords (REQUIRED)

It is REQUIRED to use Entry records during debarkation at the IS.

Table 12: EF.EntryRecords

| | |
|------------------------------------|--|
| File Name | EF.EntryRecords |
| File ID | '0101' |
| Short EF Identifier | '01' |
| Select / FMM Access | PACE+TA (Travel record authorization bit b1 according to table 21) |
| Read Record / Search Record Access | PACE+TA (Travel record authorization bit b1 according to table 21) |
| Append Record Access | PACE+TA (Travel record authorization bit b2 according to table 21) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

The structure of the entry record is identical to the structure of the exit record specified in clause 3.5.

4. VISA RECORDS APPLICATION (CONDITIONAL)

The Visa Records application MAY be implemented by an issuing State or organization. The following is conditionally REQUIRED if the optional Visa Records application has been invoked.

4.1 File Structure Summary

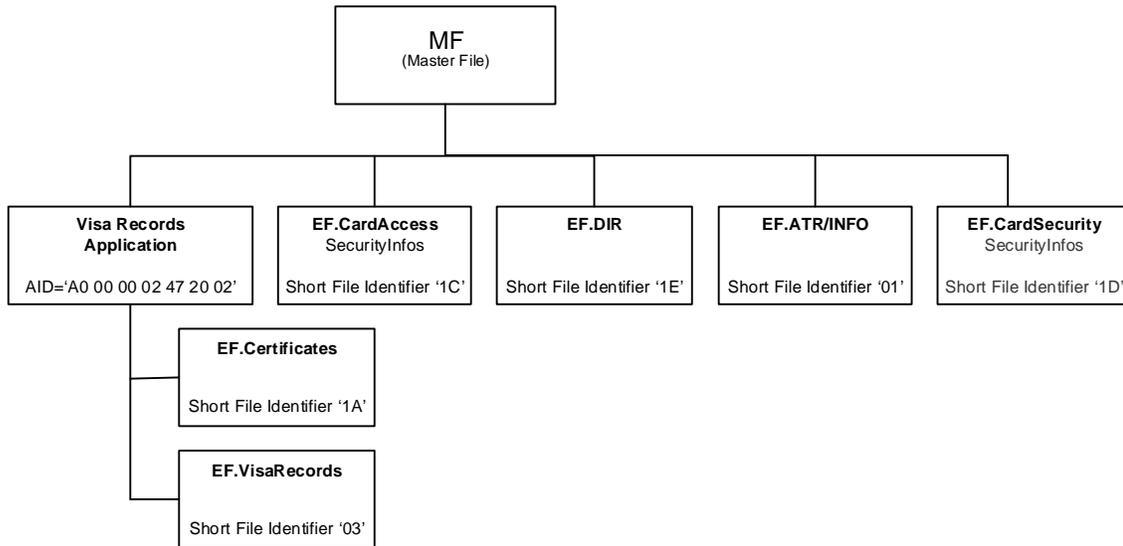


Figure 2: Visa Records Structure

4.2 EF.Certificates (REQUIRED)

The Visa Records Signer certificates are stored in EF.Certificates inside the application DF and having linear structure with records of variable size. These certificates are intended to be used by the IS to further offline validation of the digital signature for each record in the EF.VisaRecords.

Table 13: EF.Certificates

| | |
|------------------------------------|--|
| File Name | EF.Certificates |
| File ID | '011A' |
| Short EF Identifier | '1A' |
| Select / FMM Access | PACE+TA (Visa record authorization bit b3 according to table 22) |
| Read Record / Search Record Access | PACE+TA (Visa record authorization bit b3 according to table 22) |
| Append Record Access | PACE+TA (Visa record authorization bit b4 according to table 22) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

Certificate record contains a single LDS2-V Signer X.509 certificate data object. A Certificate Record MAY be referenced by one or more Visa Records.

The structure of the Certificate record in Visa Application is identical to the structure of the Certificate record in Travel Record Application specified in clause 3.2 Table 8.

Certificate records are written to EF.Certificates located under the Visa Records application DF using APPEND RECORD command. Certificate records can be read from EF.Certificates

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using READ RECORD command. Certificate records MUST NOT be updated or erased. The maximum number of records in EF.Certificates under the Visa Records application DF MUST be 254.

4.3 Application Selection

The Visa Records application MUST be selected by use of the Application Identifier (AID) as a reserved DF name. The AID MUST consist of the Registered Application Identifier assigned by ISO according to [ISO/IEC 7816-5] followed by the Proprietary Application Identifier Extension (PIX) of the Visa Records application:

- The Registered Application Identifier is 'A0 00 00 02 47'
- The Visa Records application MUST use PIX = '20 02';
- The full AID of the Visa Records application is 'A0 00 00 02 47 20 02'.

The IC MUST reject the selection of an application if the extension for this application is absent.

4.4 EF.VisaRecords (REQUIRED)

Visa Records MUST be stored in a single EF.VisaRecords having Linear Structure with Records of Variable Size.

Table 14: EF.VisaRecords

| | |
|------------------------------------|--|
| File Name | EF.VisaRecords |
| File ID | '0103' |
| Short EF Identifier | '03' |
| Select / FMM Access | PACE+TA (Visa record authorization bit b1 according to table 22) |
| Read Record / Search Record Access | PACE+TA (Visa record authorization bit b1 according to table 22) |
| Append Record Access | PACE+TA (Visa record authorization bit b2 according to table 22) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

Each Visa Record MUST contain a sequence of BER-TLV data objects (DO's 5F28 and 71), followed by the Authenticity Token (Signature) DO and DO containing reference to LDS2-V Signer certificate in EF.Certificates. Tag 71 contains a set of DO's (fields) listed in the table below.

Note: Interindustry tags specified in the table below are used in LDS context, so coexistent tag allocation scheme is not required.

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Each Visa Record MUST be appended to EF.VisaRecords using APPEND RECORD. Visa Records and MUST NOT be altered (updated) or erased. The maximum number of records allowed in EF.VisaRecords MUST be 254.

Note 2: Issuing state 3-letter code according to Doc9303-3

Note 3: Optional DO'5F40, if present, MUST contain the 2 bytes identifier of the EF within the Additional Biometrics application containing biometric data. This DO may only be used provided the Additional Biometrics application is present on the eMRTD.

5. ADDITIONAL BIOMETRICS APPLICATION (CONDITIONAL)

The Additional Biometrics application MAY be implemented by an issuing State or organization. The following is conditionally REQUIRED if the optional Additional Biometrics application has been invoked or any visa record has referenced it.

5.1 File Structure Summary

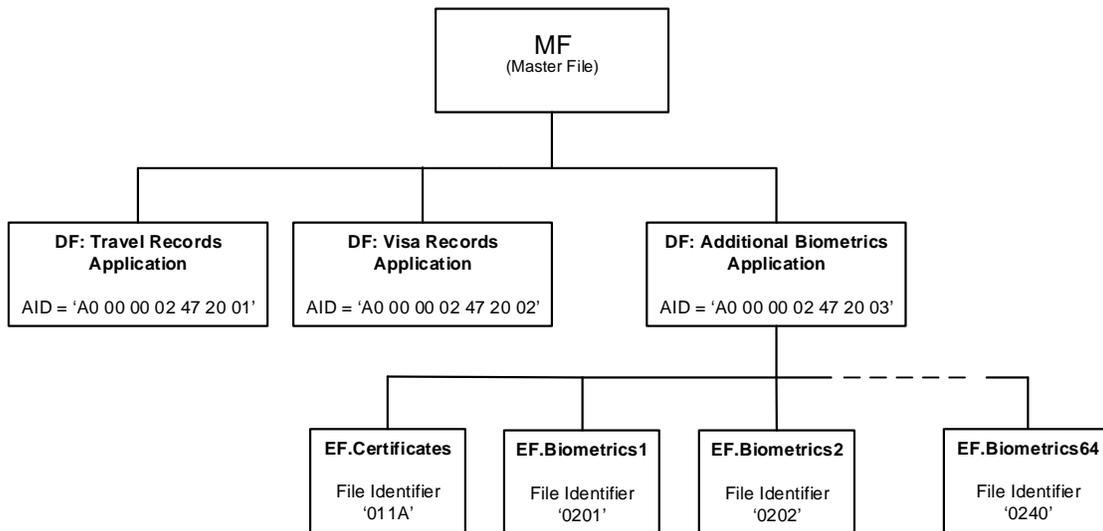


Figure 3: Additional Biometrics Application Structure

5.2 EF.Certificates (REQUIRED)

The Additional Biometrics Signer certificates are stored in EF.Certificates inside the application DF and having linear structure with records of variable size. These certificates are intended to be used by the IS to further offline validation of the digital signature in the EF.Biometrics.

Table 16: EF.Certificates

| | |
|----------------------------------|--|
| File Name | EF.Certificates |
| File ID | '011A' |
| Short EF Identifier | '1A' |
| Select / FMM Access | PACE+TA (Additional Biometrics authorization byte 1 bit b1 (see table 23)) |
| Read Record/Search Record access | PACE+TA (Additional Biometrics authorization byte 1 bit b1 (see table 23)) |
| Append Record Access | PACE+TA (Additional Biometrics authorization byte 1 bit b2 (see table 23)) |
| Write / Update Record Access | NEVER |
| Erase Record Access | NEVER |
| File structure | Linear structure with records of variable size |
| Size | Variable |

Certificate record contains a single Additional Biometrics Signer X.509 certificate data object. A Certificate Record MAY be referenced by one or more Additional Biometrics EF.

The structure of the Certificate record in Additional Biometrics Application is identical to the structure of the Certificate record in Travel Record Application specified in clause 3.2 Table 8.

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Certificate records are written to EF.Certificates located under the Additional Biometrics application DF using APPEND RECORD command. Certificate records can be read from EF.Certificates using READ RECORD command. Certificate records MUST NOT be updated or erased. The maximum number of records in EF.Certificates under the Additional Biometrics application DF MUST be 64.

5.3 Application Selection

The Additional Biometrics application MUST be selected by use of the Application Identifier (AID) as a reserved DF name. The AID MUST consist of the Registered Application Identifier assigned by ISO according to [ISO/IEC 7816-5] followed by the Proprietary Application Identifier Extension (PIX) of the Additional Biometrics application:

- The Registered Application Identifier is 'A0 00 00 02 47'
- The Additional Biometrics application MUST use PIX = '20 03';
- The full AID of the Additional Biometrics application is 'A0 00 00 02 47 20 03'.

The IC MUST reject the selection of an application if the extension for this application is absent.

5.4 EF.Biometrics

Additional Biometric MUST be stored under Additional Biometrics Application in Elementary Files having Transparent Structure as per [ISO/IEC 7816-4].

Each Additional Biometrics EF MAY be linked to one or more records in EF.VisaRecords in Visa Records Application (or other EFs and applications) using Additional Biometrics Elementary File Identifier.

Table 17: EF.Biometrics

| | |
|---|--|
| File Name | EF.Biometrics |
| File ID | '0201' ... '0240' |
| Short EF Identifier | N/A |
| Select / FMM / Read Access in LCS Deactivated | PACE+TA (AdditionalBiometrics authorization according to table 23, bits b2, b4, b6, b8 of byte 2 - 17) |
| Write Access in LCS Deactivated | PACE+TA (AdditionalBiometrics authorization according to table 23, bits b2, b4, b6, b8 of byte 2 - 17) |
| Activate Access in LCS Deactivated | PACE+TA (AdditionalBiometrics authorization according to table 23, bits b2, b4, b6, b8 of byte 2 - 17) |
| Select / FMM / Read Access in LCS Activated | PACE+TA (AdditionalBiometrics authorization according to table 23, bits b1, b3, b5, b7 of byte 2 - 17) |
| Write Access in LCS Activated | NEVER |
| Activate Access in LCS Activated | NEVER |
| Erase Access | NEVER |
| File structure | Transparent structure |
| Size | Variable |

Each Additional Biometric EF MUST contain a BER-TLV data object DO'7F2E encapsulating 3 data objects - the Biometric data DO'5F2E followed by the Authenticity Token (Signature) DO'5F37' and DO'5F38' containing the reference to an Additional Biometrics Signer certificate in EF.Certificates as shown in the table below.

The content of DO'5F2E is up to the Additional Biometrics issuer and out of scope of this specification.

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The Additional Biometrics EF creation mechanism is out of scope of this specification. Issuer SHOULD pre-create a number of Additional Biometrics EFs.

Note: Interindustry tags specified in the table below are used in LDS context, so coexistent tag allocation scheme is not required.

Table 18: EF.Biometrics Format

| Tag | Tag | Content | MANDATORY/ OPTIONAL/ CONDITIONAL | Format | Example |
|------|------|---|--|------------|---|
| 7F2E | | Biometric Data Template | M | | '7F' '2E' Len {DO'5F2E' DO'5F37' DO'5F38'} |
| | 5F2E | Additional Biometric data | M | V, B | '5F' '2E' Len {Biometric data} |
| | 5F37 | Authenticity token (Signature) | M | V (140), B | '5F' '37' Len {Signature} |
| | 5F38 | Reference (record number) to Additional Biometrics Signer certificate in Certificates Store | M | F (1) B | '01' ...'40' |

Note 1: B = 8-bit Binary data (any other than A, N or S), F = fixed-length field, V = variable-length field.

The order of the data objects in EF is fixed.

Each Additional Biometrics EF MUST contain a digital signature (Authenticity Token) calculated over the DO'5F2E, including Tag and Length. Signature is generated by the Additional Biometrics Signer.

Additional Biometrics Signer certificate required to verify Additional Biometric's signature is stored in a separate EF. Certificates store located under the Additional Biometrics application DF.

Each Additional Biometrics EF MUST be written using UPDATE BINARY command (see 6.4.1).

Additional Biometrics EF MUST NOT be altered (updated) or erased. The maximum number of Additional Biometrics EFs is 64.

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All possible Additional Biometrics EF names, identifiers and short identifiers are listed in Table 19.

Table 19: EF.Biometrics identifiers

| EF name | EF identifier | Short EF identifier | EF name | EF identifier | Short EF identifier |
|-----------------|---------------|---------------------|-----------------|---------------|---------------------|
| EF.Biometrics1 | '0201' | N/A | EF.Biometrics33 | '0221' | N/A |
| EF.Biometrics2 | '0202' | N/A | EF.Biometrics34 | '0222' | N/A |
| EF.Biometrics3 | '0203' | N/A | EF.Biometrics35 | '0223' | N/A |
| EF.Biometrics4 | '0204' | N/A | EF.Biometrics36 | '0224' | N/A |
| EF.Biometrics5 | '0205' | N/A | EF.Biometrics37 | '0225' | N/A |
| EF.Biometrics6 | '0206' | N/A | EF.Biometrics38 | '0226' | N/A |
| EF.Biometrics7 | '0207' | N/A | EF.Biometrics39 | '0227' | N/A |
| EF.Biometrics8 | '0208' | N/A | EF.Biometrics40 | '0228' | N/A |
| EF.Biometrics9 | '0209' | N/A | EF.Biometrics41 | '0229' | N/A |
| EF.Biometrics10 | '020A' | N/A | EF.Biometrics42 | '022A' | N/A |
| EF.Biometrics11 | '020B' | N/A | EF.Biometrics43 | '022B' | N/A |
| EF.Biometrics12 | '020C' | N/A | EF.Biometrics44 | '022C' | N/A |
| EF.Biometrics13 | '020D' | N/A | EF.Biometrics45 | '022D' | N/A |
| EF.Biometrics14 | '020E' | N/A | EF.Biometrics46 | '022E' | N/A |
| EF.Biometrics15 | '020F' | N/A | EF.Biometrics47 | '022F' | N/A |
| EF.Biometrics16 | '0210' | N/A | EF.Biometrics48 | '0230' | N/A |
| EF.Biometrics17 | '0211' | N/A | EF.Biometrics49 | '0231' | N/A |
| EF.Biometrics18 | '0212' | N/A | EF.Biometrics50 | '0232' | N/A |
| EF.Biometrics19 | '0213' | N/A | EF.Biometrics51 | '0233' | N/A |
| EF.Biometrics20 | '0214' | N/A | EF.Biometrics52 | '0234' | N/A |
| EF.Biometrics21 | '0215' | N/A | EF.Biometrics53 | '0235' | N/A |
| EF.Biometrics22 | '0216' | N/A | EF.Biometrics54 | '0236' | N/A |
| EF.Biometrics23 | '0217' | N/A | EF.Biometrics55 | '0237' | N/A |
| EF.Biometrics24 | '0218' | N/A | EF.Biometrics56 | '0238' | N/A |
| EF.Biometrics25 | '0219' | N/A | EF.Biometrics57 | '0239' | N/A |
| EF.Biometrics26 | '021A' | N/A | EF.Biometrics58 | '023A' | N/A |
| EF.Biometrics27 | '021B' | N/A | EF.Biometrics59 | '023B' | N/A |
| EF.Biometrics28 | '021C' | N/A | EF.Biometrics60 | '023C' | N/A |
| EF.Biometrics29 | '021D' | N/A | EF.Biometrics61 | '023D' | N/A |
| EF.Biometrics30 | '021E' | N/A | EF.Biometrics62 | '023E' | N/A |
| EF.Biometrics31 | '021F' | N/A | EF.Biometrics63 | '023F' | N/A |
| EF.Biometrics32 | '0220' | N/A | EF.Biometrics64 | '0240' | N/A |

6. FILE ACCESS CONDITIONS

6.1 Roles and Default Authorization Levels (REQUIRED)

Each CV certificate contains a Certificate Holder Authorization Template (CHAT) that identifies the certificate holder role (IS, DV, CVCA) and contains access rights to DG3/DG4 of the REQUIRED eMRTD Application (for legacy reasons or other national uses).

CHAT comprises a sequence of 2 objects:

1. An object identifier specifying the terminal type and the format of the template [TR-03110]:
 id-roles OBJECT IDENTIFIER ::= {bsi-de applications(3) mrtcd(1) 2}
 id-IS OBJECT IDENTIFIER ::= {id-roles 1}
2. A discretionary data object (tag '53') containing bit-encoded role and read-only access rights of the certificate holder according to the following table:

Table 20: Default CHAT Authorization

| | Description | Byte 1 | | | | | | | |
|-------------|---------------|--------|----|----|----|----|----|----|----|
| | | b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 |
| Role | CVCA | 1 | 1 | | | | | | |
| | DV (domestic) | 1 | 0 | | | | | | |
| | DV (foreign) | 0 | 1 | | | | | | |
| | IS | 0 | 0 | | | | | | |
| Read Access | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | DG4 (Iris) | | | | | | | 1 | |
| | DG3 (Finger) | | | | | | | | 1 |

Note: The eMRTD MUST ignore the value of RFU bits in the Certificate Holder Authorization.

6.2 Application Authorization Levels (REQUIRED)

Certificate holder authorizations for each LDS2 application are encoded in CV-certificate-extensions (one extension per application). Certificate extension is a discretionary template ('73') comprising 2 data objects - an Authorization Object Identifier (tag '06') for a specific application and a discretionary data object (tag '53') containing bit-encoded access rights of the certificate holder to specified application.

To determine the effective authorization of a certificate holder, the eMRTD chip calculates a bitwise Boolean 'and' of the access rights contained in the certificate extensions of the IS Certificate and referenced DV and CVCA Certificates.

For Travel Records application the Authorization Object Identifiers and access right encoding are:

- id-icao-lds2-travelRecords OBJECT IDENTIFIER ::= {id-icao-lds2 1}
 id-icao-lds2-travelRecords-access OBJECT IDENTIFIER ::= {id-icao-lds2-travelRecords 3}

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Table 21: Authorizations for Travel Records Application

| | Description | Byte 1 | | | | | | | |
|---------------|--|--------|----|----|----|----|----|----|----|
| | | b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 |
| Access rights | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | Append EF.Certificates | | | | | 1 | | | |
| | Read/Search/Select/FMM EF.Certificates | | | | | | 1 | | |
| | Append EF.EntryRecords/ExitRecords | | | | | | | 1 | |
| | Read/Search/Select/FMM EF.EntryRecords/ExitRecords | | | | | | | | 1 |

For Visa Records application the Authorization Object Identifiers and access right encoding are:

id-icaolids2-visarecords OBJECT IDENTIFIER ::= {id-icaolids2 2}
 id-icaolids2-visarecords-access OBJECT IDENTIFIER ::= {id-icaolids2-visarecords 3}

Table 22: Authorizations for Visa Records Application

| | Description | Byte 1 | | | | | | | |
|---------------|--|--------|----|----|----|----|----|----|----|
| | | b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 |
| Access rights | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | RFU | | | | | | | | |
| | Append EF.Certificates | | | | | 1 | | | |
| | Read/Search/Select/FMM EF.Certificates | | | | | | 1 | | |
| | Append EF.VisaRecords | | | | | | | 1 | |
| | Read/Search/Select/FMM EF.VisaRecords | | | | | | | | 1 |

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For Additional Biometrics application the Authorization Object Identifiers and access right encoding are:

id-icao-lds2-additionalBiometrics OBJECT IDENTIFIER ::= {id-icao-lds2 3}

id-icao-lds2-additionalBiometrics-access OBJECT IDENTIFIER ::= {id-icao-lds2-additionalBiometrics 3}

Table 23: Authorizations for Additional Biometrics Application

| | Description | EF identifier | Authorizations | | | | | | | |
|--|---|---------------|----------------|----|----|----|----|----|----|----|
| | | | b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 |
| Byte 1 | RFU | | | | | | | | | |
| | RFU | | | | | | | | | |
| | RFU | | | | | | | | | |
| | RFU | | | | | | | | | |
| | RFU | | | | | | | | | |
| | RFU | | | | | | | | | |
| | Append EF.Certificates | 011A | | | | | | | 1 | |
| Select/FMM/Read/Search EF.Certificates | 011A | | | | | | | | 1 | |
| Byte 2 | Select/FMM/Write/Activate/Read EF.Biometrics1 in Deactivated LCS | 0201 | 1 | | | | | | | |
| | Select/FMM/Read EF.Biometrics1 in Activated LCS | 0201 | | 1 | | | | | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics2 in Deactivated LCS | 0202 | | | 1 | | | | | |
| | Select/FMM/Read EF.Biometrics2 in Activated LCS | 0202 | | | | 1 | | | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics3 in Deactivated LCS | 0203 | | | | | 1 | | | |
| | Select/FMM/Read EF.Biometrics3 in Activated LCS | 0203 | | | | | | 1 | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics4 in Deactivated LCS | 0204 | | | | | | | 1 | |
| | Select/FMM/Read EF.Biometrics4 in Activated LCS | 0204 | | | | | | | | 1 |
| | ... | | | | | | | | | |
| Byte 17 | Select/FMM/Write/Activate/Read EF.Biometrics61 in Deactivated LCS | 023D | 1 | | | | | | | |
| | Select/FMM/Read EF.Biometrics61 in Activated LCS | 023D | | 1 | | | | | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics62 in Deactivated LCS | 023E | | | 1 | | | | | |
| | Select/FMM/Read EF.Biometrics62 in Activated LCS | 023E | | | | 1 | | | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics63 in Deactivated LCS | 023F | | | | | 1 | | | |
| | Select/FMM/Read EF.Biometrics63 in Activated LCS | 023F | | | | | | 1 | | |
| | Select/FMM/Write/Activate/Read EF.Biometrics64 in Deactivated LCS | 0240 | | | | | | | 1 | |
| | Select/FMM/Read EF.Biometrics64 in Activated LCS | 0240 | | | | | | | | 1 |

Note 1 - See table 17 for a mapping of the authorizations to the EF.Biometrics life cycle state.

Note 2 - The eMRTD MUST ignore the value of RFU bits in the Certificate Holder Authorization.

Note 3 - Issuing State or organization MUST NOT issue terminal certificates with Write/Activate authorizations to the IS that are only supposed to read Additional Biometrics and not supposed to write them.

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6.3 Records Handling

Travel Records, Visa Records and Certificates MUST be stored in EF under the respective applications and having Linear Structure with Records of Variable Size.

Records within each EF MUST be referenced by a record number. Each record number MUST be unique and sequential (zero is out of the scope of this specification).

Within each EF supporting a linear structure, the record numbers MUST be sequentially assigned when appending, such as in the order of creation; the first record (number one) is the first created record.

The following [ISO/IEC 7816-4] commands MUST be used for records access:

- APPEND RECORD Writing/appending of Travel Records, Visas, Certificates
- READ RECORD Reading of one or more Travel Records, Visas, Certificates
- SEARCH RECORD Search of one or more Travel Records, Visas, Certificates

Note: Acronyms used in this sub-clause are defined in ISO/IEC 7816-4.

6.3.1 APPEND RECORD command

The command initiates the writing of a new record at the end of a linear structure.

Table 24: APPEND RECORD Command

| | |
|----------------------|-----------------------------------|
| CLA | '00' / '0C' |
| INS | 'E2' |
| P1 | '00' (any other value is invalid) |
| P2 | See Table 26 |
| L _c field | Length of the command data field |
| Data field | Record to be appended |
| L _e field | Absent |

Table 25: APPEND RECORD Response

| | |
|------------|---|
| Data field | Absent |
| SW1-SW2 | '9000', Checking or Execution error '6A84' Not enough memory space in the file '67 00' Wrong length (the record to be appended is longer than the specified maximum length) |

Table 26: Coding of P2 in the APPEND RECORD Command

| b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 | Meaning |
|----|----|----|----|----|----|----|----|------------------------|
| x | x | x | x | x | - | - | - | Short EF identifier |
| - | - | - | - | - | 0 | 0 | 0 | Any other value is RFU |

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6.3.2 READ RECORD Command

The response data field returns the contents of the addressed specified record(s) [or the beginning part of one record] within the respective EF.

Figure 4 illustrates the response data field. The comparison of N_r with the TLV structure indicates whether the unique record (read one record) or the last record (read all records) is incomplete, complete or padded.

Table 27: READ RECORD Command

| | | |
|-------------|---|--------|
| CLA | '00' / '0C' | |
| INS | 'B2' | |
| P1 | Record number ('00' references the current record) | |
| P2 | See Table 29 | |
| L_c field | Absent | |
| Data field | INS = 'B2' | Absent |
| L_e field | Maximum number of bytes to be read encoded as extended length field; $L_e = '00\ 00\ 00'$ (any other value is out of the scope of the specification) | |

Table 28: READ RECORD Response

| | |
|------------|--|
| Data field | Data read |
| SW1-SW2 | '9000', Checking or Execution error, '6A83' (Record not found) |

Table 29 - Coding of P2 with the READ RECORD Command

| b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 | Meaning |
|----|----|----|----|----|----|----|----|---|
| x | x | x | x | x | - | - | - | Short EF identifier |
| - | - | - | - | - | 1 | x | x | Record number in P1 |
| - | - | - | - | - | 1 | 0 | 0 | — Read record P1 |
| - | - | - | - | - | 1 | 0 | 1 | — Read all records from P1 up to the last |
| | | | | | 1 | 1 | 1 | RFU |

If the L_e field contains only bytes set to '00', then the command should read completely either the single requested record, or the requested sequence of records, depending on bits 3, 2 and 1 of P2 and within the limit of maximum supported length for extended L_e field.

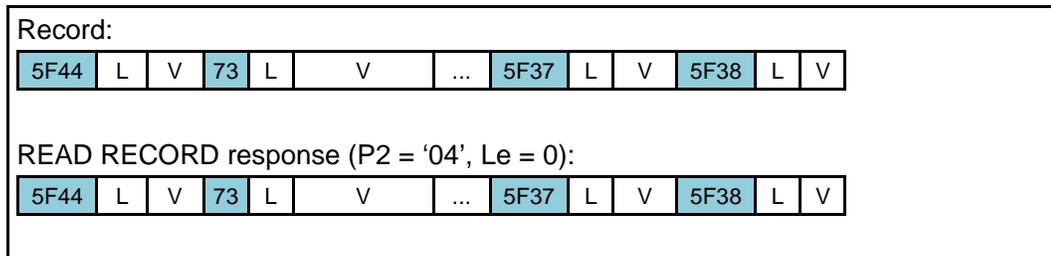
Note: The READ RECORD command with short length fields is out of the scope of this specification

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Case a — Complete read of one record (the Le field contains only bytes set to '00')



Case b — Read several records up to the file end (the Le field contains only bytes set to '00')

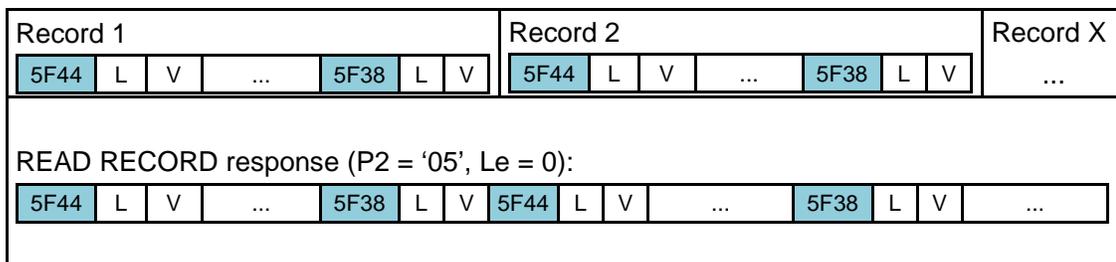


Figure 4: Response data fields

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6.3.3 SEARCH RECORD Command

The command initiates an enhanced multiple records search on records stored within the respective EF. In case of the enhanced multiple records search option the data field contains Record handling DO'7F76' defining the search window - offset within the record and the number of bytes to compare. The response data field returns the Record handling DO'7F76' containing one or more DO'02' containing number of record matching the search criteria within the designated EF. In case of the enhanced multiple records search option the command MUST set the first record matching the search criteria as current record.

In an EF supporting records of variable size with linear structure, the search MAY NOT take into account the records with a search window shorter than the search string.

Table 30: SEARCH RECORD Command

| | |
|----------------------|--|
| CLA | '00' / '0C' |
| INS | 'A2' |
| P1 | '00' |
| P2 | See Table 32 |
| L _c field | Length of command data field |
| Data field | Record handling DO'7F76' (See Table 33) |
| L _e field | '00' (short length) or '00 00' (extended length) |

Table 31: SEARCH RECORD Response

| | |
|------------|--|
| Data field | Record handling template DO'7F76' containing one file reference DO'51' with one or more integer DO'02' containing record number matching the search criteria |
| SW1-SW2 | '9000', Checking or Execution error or Warning '6282' (Unsuccessful search) |

Note 1 - The response data field may be absent if no match is found.

Table 32: Coding of P2 for the SEARCH RECORD Command

| b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 | Meaning |
|---------------------------|----|----|----|----|----|----|----|--------------------------|
| 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | Multiple record handling |
| - Any other value is RFU. | | | | | | | | |

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Table 33: Record handling template for enhanced multiple record search

| Tag | Value | | Notes |
|--------|--|--------------|--|
| '7F76' | | | Record handling DO |
| | Tag | Value | |
| '51' | File identifier or short EF identifier | | File reference DO |
| 'A1' | | | Search configuration template |
| | Tag | Value | |
| | '80' | '00' / '30' | Search configuration parameter: - search in record number ascending order - step-width for the search: byte-wise - search termination: '00' – Search all addressed records '30' - Terminate search after first matching |
| | 'B0' | | Search window template |
| | | Tag | Value |
| | | '02' | Offset |
| | | '02' | Number of bytes |
| | Tag | Value | |
| 'A3' | | | Search string template |
| | Tag | Value | |
| | 'B1' | | |
| | | Tag | Value |
| | | '81' | Search string |

Note 1: An empty offset DO in the search window template is not supported.

Note 2: If the search window template makes use of the value '00' for the number of bytes, the eMRTD chip MUST search all bytes from the offset in the records.

Note 3: The SEARCH RECORD command supports only the DOs specified in table 33. This implies that the SEARCH RECORD command supports exactly 1 file reference DO in the record handling DO and exactly 1 search string in the search string template. The command MAY ignore additional DOs or answer with an error code if additional DOs are used.

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6.4 Transparent Files Handling

The Additional Biometrics transparent EFs are created by the eMRTD issuer in Operational Deactivated state (creation mechanism is out of scope of this specification). In Deactivated state the EF can be selected, written, updated and read with appropriate authorizations. See 6.2, Tables 17 and 23 for details on authorizations.

The following [ISO/IEC 7816-4] commands **MUST** be used for writing and reading Additional Biometrics transparent EF:

- UPDATE BINARY Writing of Additional Biometrics (Table 34)
- READ BINARY Reading of Additional Biometrics

The following [ISO/IEC 7816-9] command **MUST** be used for activating the transparent EF after writing and optional reading and verification are successfully finished:

- ACTIVATE Activating of Additional Biometrics EF

In Activated state the EF can be selected and read with appropriate authorizations (related to the Activated state), but can't be written (appended or updated) with any authorization.

The File and Memory Management (FMM) command **MUST** be used before writing to determine if there is enough available memory space in the EF.

The IS **MUST** use the following writing sequence for the EF.Biometrics:

- 1) The first UPDATE BINARY (odd INS) command **MUST** contain the following DO's in the data field:
 - DO'54 containing the offset '00';
 - DO'53 which **MAY** contain the first block of the EF. This DO **MAY** be empty ('53 00');
 - Proprietary DO'C0 indicating the total EF size (memory size to allocate);

The eMRTD **MAY** use the EF size information in DO'C0 for the internal memory allocation (e.g. for explicit dynamic memory allocation). If the eMRTD doesn't support the EF size information DO (ex., memory has been allocated statically by the issuer, or eMRTD supports implicit dynamic EF memory reallocation), then the eMRTD **MAY** ignore the DO'C0, proceed with writing of the first block of the EF and return '9000', or it **MAY** return the '6A80' (incorrect parameter in the command data field) error.

If the eMRTD returns any error in response to UPDATE BINARY with the proprietary DO'C0, then the IS **MUST** send the standard [ISO/IEC 7816-4] UPDATE BINARY (odd INS) command with zero offset DO'54 and DO'53, without the DO'C0.

- 2) Subsequent UPDATE BINARY (odd INS, without DO'C0) commands **SHOULD** use the offset n+1 where n denotes the number of bytes written so far to the EF.Biometrics. I.e. the terminal **SHOULD** sequentially write the EF data without a gap or overlap between the two consecutive UPDATE BINARY commands.
- 3) READ BINARY command **MAY** be used after any UPDATE BINARY command to verify the data written to the EF.
- 4) The ACTIVATE command **MUST** finalize EF.Biometrics personalization by permanently disabling writing into the EF.

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6.4.1 UPDATE BINARY Command

A contactless IC which supports the Additional Biometrics Application MUST support the UPDATE BINARY command with odd INS byte 'D7' according to the Table 34.

The value of the BER-TLV Offset Data Object in the command data field specifies the offset; the value of the BER-TLV Discretionary Data Object in the command data field specifies the data to be written; the value of the optional BER-TLV File Size Data Object in the command data field specifies the total EF size. The length fields of these BER-TLV data objects should be encoded as short as possible.

Table 34: UPDATE BINARY Command with odd INS

| | |
|------|--|
| CLA | '0C' - '8C' |
| INS | 'D7' |
| P1 | File identifier |
| P2 | '00 00' identifies the current EF |
| Lc | Length of the command data field |
| Data | Offset Data Object (tag '54') Discretionary Data Object (tag '53') File Size Data Object (tag 'C0') (optional) |
| Le | absent |

Table 35: UPDATE BINARY Response

| | |
|-------------|---|
| Data field | Absent |
| SW1- SW2 | '9000', Checking or Execution error '6A84' (Not enough memory space in the file) '6A80' Incorrect parameters in the command data field (ex., DO'C0 not supported) '6982' Security status not satisfied: The EF.Biometrics is in EF LCS Activated |

If the Inspection System does not follow the UPDATE BINARY sequence as specified in clause 6.4 (i.e. the first UPDATE BINARY does not start at offset 0), the eMRTD chip MAY terminate the UPDATE BINARY command with an error.

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6.4.2 ACTIVATE Command

The ACTIVATE command initiates the transition of the currently selected Additional Biometrics EF from the Deactivated life cycle state (LCS) to the Activated LCS.

Table 36: ACTIVATE Command

| | |
|------------|--------|
| CLA | '0C' |
| INS | '44' |
| P1 | '00' |
| P2 | '00' |
| Lc | Absent |
| Data field | Absent |
| Le | Absent |

Table 37: ACTIVATE Response

| | |
|------------|-------------------------------------|
| Data field | Absent |
| SW1-SW2 | '9000', Checking or Execution error |

After successful execution of this command, the currently selected EF.Biometrics MUST be switched to the Activated LCS. In case an error occurs (SW different from '9000'), the currently selected EF.Biometrics MUST remain in the Deactivated state.

Immediately after successful execution of this command (Status Word = '9000'), the effective authorization required to perform an action on the EF.Biometrics MUST be the one corresponding to the Activated state (according to the table 17). The effective authorization corresponding to the Deactivated state MUST NOT raise any access right on the EF.Biometrics anymore.

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6.5 Memory Management

6.5.1 File and Memory Management Command

FILE AND MEMORY MANAGEMENT (FMM) command initiates a query of the used or free memory size for the addressed EF. This command is provided for eMRTD as proprietary. This command may be used for checking the available free space for the addressed EF before writing or appending. Also this command may be used for getting the last appended record number for reading. P1 indicates the EF addressing method - current EF or file reference DO'51' can be used. P2 indicates the content of the query. The total number of bytes in the addressed EF with transparent or record structure and the number of existing or remaining records for the addressed record EF are provided. The total number of bytes comprises bytes available in the EF without any structural information. This number excludes any structural information that may be required by the eMRTD chip. The assumption for the number of remaining records is that the size of all remaining records is maximum. After a successful FMM command, the referenced EF becomes the current EF.

Table 38: FILE AND MEMORY MANAGEMENT (FMM) command

| | | |
|------------|---|--|
| CLA | '8C' | Proprietary command with secure messaging |
| INS | '5F' | FILE AND MEMORY MANAGEMENT |
| P1 | See Table 39 | |
| P2 | See Table 40 | |
| Lc | Absent for encoding Nc = 0, present for encoding Nc > 0 | |
| Data field | P1 = '00' | Absent |
| | P1 = '01' | File reference DO'51' (See Table 7 in ISO/IEC 7816-4:2013) |
| Le | '00' | Expected size of response data field |

P1 specifies the EF selection method. P2 contains a bit mask specifying which information MUST be included in the response.

Table 39: Coding of P1 in the FFM command

| b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 | Meaning |
|---------------------------|----|----|----|----|----|----|----|--|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | Current EF |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | File reference DO'51 in the command data field |
| - Any other value is RFU. | | | | | | | | |

Table 40: Coding of P2 in the FFM command

| b8 | b7 | b6 | b5 | b4 | b3 | b2 | b1 | Meaning |
|---------------------------|----|----|----|----|----|----|----|--|
| - | - | - | - | - | - | - | 1 | Total number of bytes in the addressed EF |
| - | - | - | - | - | - | 1 | - | Number of remaining records in the addressed record EF |
| - | - | - | - | - | 1 | - | - | Number of existing records in the addressed record EF |
| x | x | x | x | x | - | - | - | 0000 (any other value is RFU) |
| - Any other value is RFU. | | | | | | | | |

Table 41: Coding of DO'51 in the FMM command data field

| Tag | Length | Value |
|------|--------|--|
| '51' | | |
| | 1 | Short EF identifier (bits b8 to b4 encode a number from one to thirty; bits b3 to b1 are set to 000) |
| | 2 | EF identifier |

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The FMM command response contains a set of DO's representing requested file and memory size information.

Table 42: FMM command response

| | |
|------------|--|
| Data field | Absent or control information according to P2. See Table 43. |
| SW1-SW2 | '9000', Checking or Execution error as per Table 6 in [ISO/IEC 7816-4] |

Table 43: File and Memory management

| Tag | Length | Value | | |
|--------|--------|---|-----|--|
| '7F78' | Var. | File and memory management DOs | | |
| | | Tag | Len | Value |
| | | 81 | Var | Total number of bytes in the addressed EF |
| | | 82 | Var | Number of remaining records in the addressed record EF |
| 83 | Var | Number of existing records in the addressed record EF | | |

Note 1: The eMRTD chip MUST return only the Data objects in the file and memory management DO that are requested by means of P2.

Note 2: The FMM response data is valid only for the specified EF. FMM response data from different EFs may not be independent, e.g. if different EFs share the available memory. The IS should take this into account if combining FMM response data of different EFs.

Note 3: Secure Messaging tag '85' MUST be used for the FMM command data.

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6.6 Object Identifiers

6.6.1 Legacy and New Application Object Identifiers Summary

Table 44: LDS1.7, LDS1.8 and LDS2 OIDs

| Object Identifier | Value | Comments |
|---|-------------------------------------|-------------------------------------|
| id-icao | 2.23.136 | ICAO OID |
| id-icao-mrtd | id-icao 1 | eMRTD OID |
| id-icao-mrtd-security | id-icao-mrtd 1 | |
| id-icao-ldsSecurityObject | id-icao-mrtd-security 1 | LDS security object |
| id-icao-mrtd-security-cscaMasterList | id-icao-mrtd-security 2 | CSCA master list |
| id-icao-mrtd-security-cscaMasterListSigningKey | id-icao-mrtd-security 3 | |
| id-icao-mrtd-security-documentTypeList | id-icao-mrtd-security 4 | document type list |
| id-icao-mrtd-security-aaProtocolObject | id-icao-mrtd-security 5 | Active Authentication protocol |
| id-icao-mrtd-security-extensions | id-icao-mrtd-security 6 | CSCA name change |
| id-icao-mrtd-security-extensions-nameChange | id-icao-mrtd-security-extensions 1 | |
| id-icao-mrtd-security-extensions-documentTypeList | id-icao-mrtd-security-extensions 2 | DS document type |
| id-icao-DeviationList | id-icao-mrtd-security 7 | Defect List Base OIDs |
| id-icao-DeviationListSigningKey | id-icao-mrtd-security 8 | |
| id-icao-lds2 | id-icao-mrtd-security 9 | LDS2 Object Identifiers |
| id-icao-lds2-travelRecords | id-icao-lds2 1 | Travel Records application base OID |
| id-icao-lds2-travelRecords-application | id-icao-lds2-travelRecords 1 | Travel Records AID |
| id-icao-lds2-travelRecords-signing | id-icao-lds2-travelRecords 2 | LDS2-TS signer certificate |
| id-icao-lds2-travelRecords-access | id-icao-lds2-travelRecords 3 | Authorization certificate extension |
| id-icao-lds2-visaRecords | id-icao-lds2 2 | Visa Records application base OID |
| id-icao-lds2-visaRecords-application | id-icao-lds2-visaRecords 1 | Visa Records AID |
| id-icao-lds2-visaRecords-signing | id-icao-lds2-visaRecords 2 | LDS2-V signer certificate |
| id-icao-lds2-visaRecords-access | id-icao-lds2-visaRecords 3 | Authorization certificate extension |
| id-icao-lds2-additionalBiometrics | id-icao-lds2 3 | Additional Biometrics base OID |
| id-icao-lds2-additionalBiometrics-application | id-icao-lds2-additionalBiometrics 1 | Additional Biometrics AID |
| id-icao-lds2-additionalBiometrics-signing | id-icao-lds2-additionalBiometrics 2 | LDS2-B signer certificate |
| id-icao-lds2-additionalBiometrics-access | id-icao-lds2-additionalBiometrics 3 | Authorization certificate extension |
| id-icao-spoc | id-icao-mrtd-security 10 | SPOC Object Identifiers |
| id-icao-spocClient | id-icao-spoc 1 | Client |
| id-icao-spocServer | id-icao-spoc 2 | Server |

6.6.2 ASN.1 Specifications

-- LDS2 Travel Records application Object Identifiers

id-icao-lds2-travelRecords OBJECT IDENTIFIER ::= {id-icao-lds2 1}
id-icao-lds2-travelRecords-application OBJECT IDENTIFIER ::= {id-icao-lds2-travelRecords 1}
id-icao-lds2-travelRecords-signing OBJECT IDENTIFIER ::= {id-icao-lds2-travelRecords 2}
id-icao-lds2-travelRecords-access OBJECT IDENTIFIER ::= {id-icao-lds2-travelRecords 3}

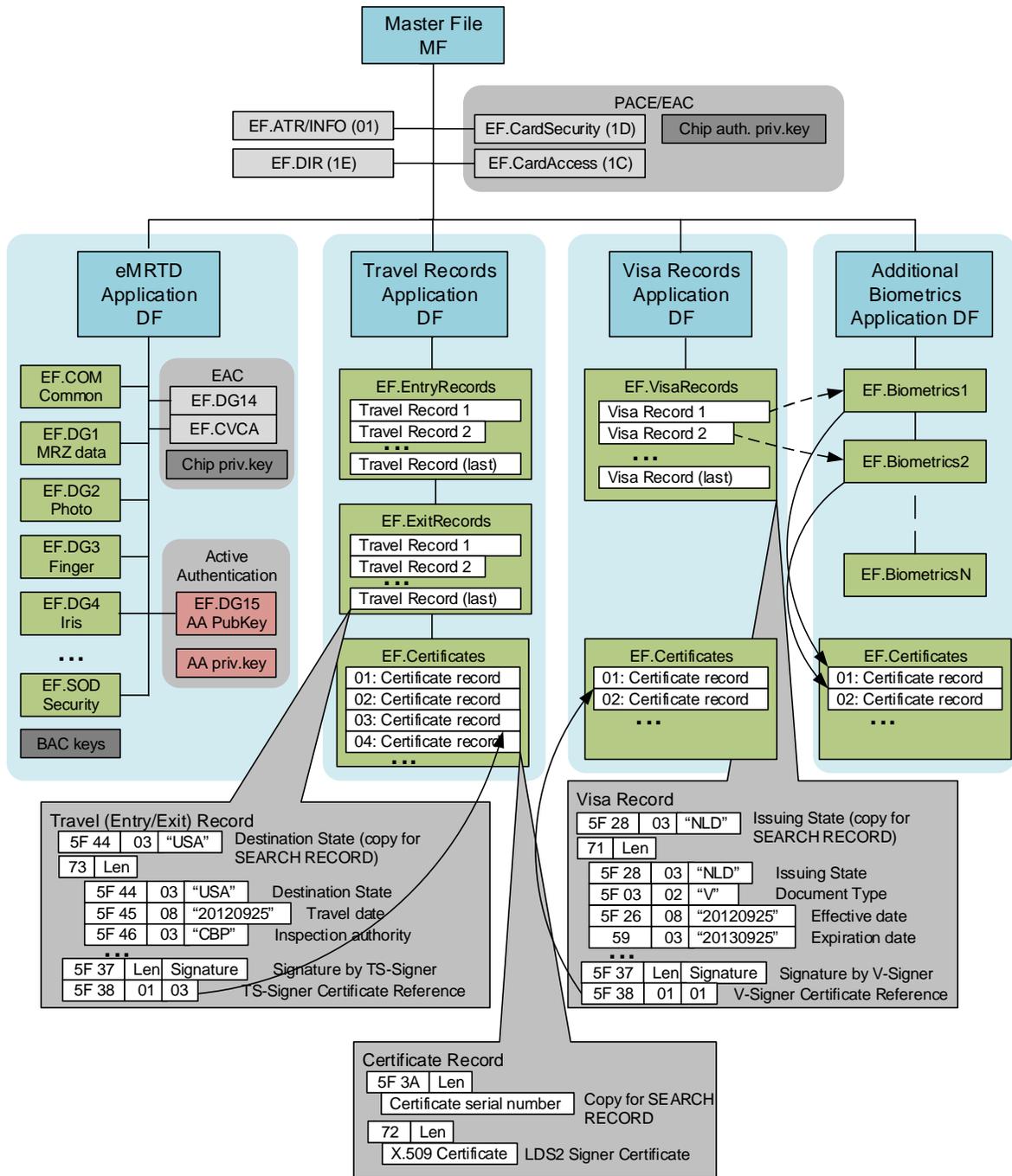
-- LDS2 Visa Records application Object Identifiers

id-icao-lds2-visaRecords OBJECT IDENTIFIER ::= {id-icao-lds2 2}
id-icao-lds2-visaRecords-application OBJECT IDENTIFIER ::= {id-icao-lds2-visaRecords 1}
id-icao-lds2-visaRecords-signing OBJECT IDENTIFIER ::= {id-icao-lds2-visaRecords 2}
id-icao-lds2-visaRecords-access OBJECT IDENTIFIER ::= {id-icao-lds2-visaRecords 3}

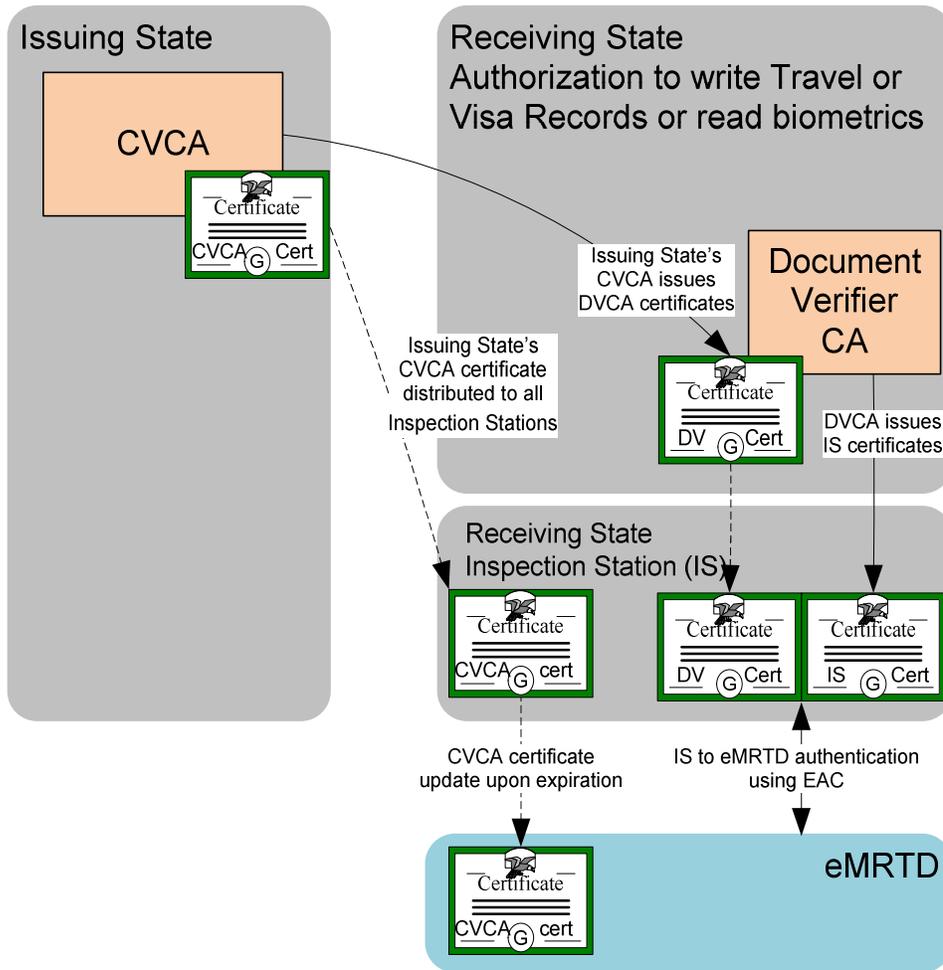
-- LDS2 Additional Biometrics application Object Identifiers

id-icao-lds2-additionalBiometrics OBJECT IDENTIFIER ::= {id-icao-lds2 3}
id-icao-lds2-additionalBiometrics-application OBJECT IDENTIFIER ::= {id-icao-lds2-additionalBiometrics 1}
id-icao-lds2-additionalBiometrics-signing OBJECT IDENTIFIER ::= {id-icao-lds2-additionalBiometrics 2}
id-icao-lds2-additionalBiometrics-access OBJECT IDENTIFIER ::= {id-icao-lds2-additionalBiometrics 3}

Annex A FILE STRUCTURES SUMMARY



Annex B LDS AUTHORIZATION SUMMARY

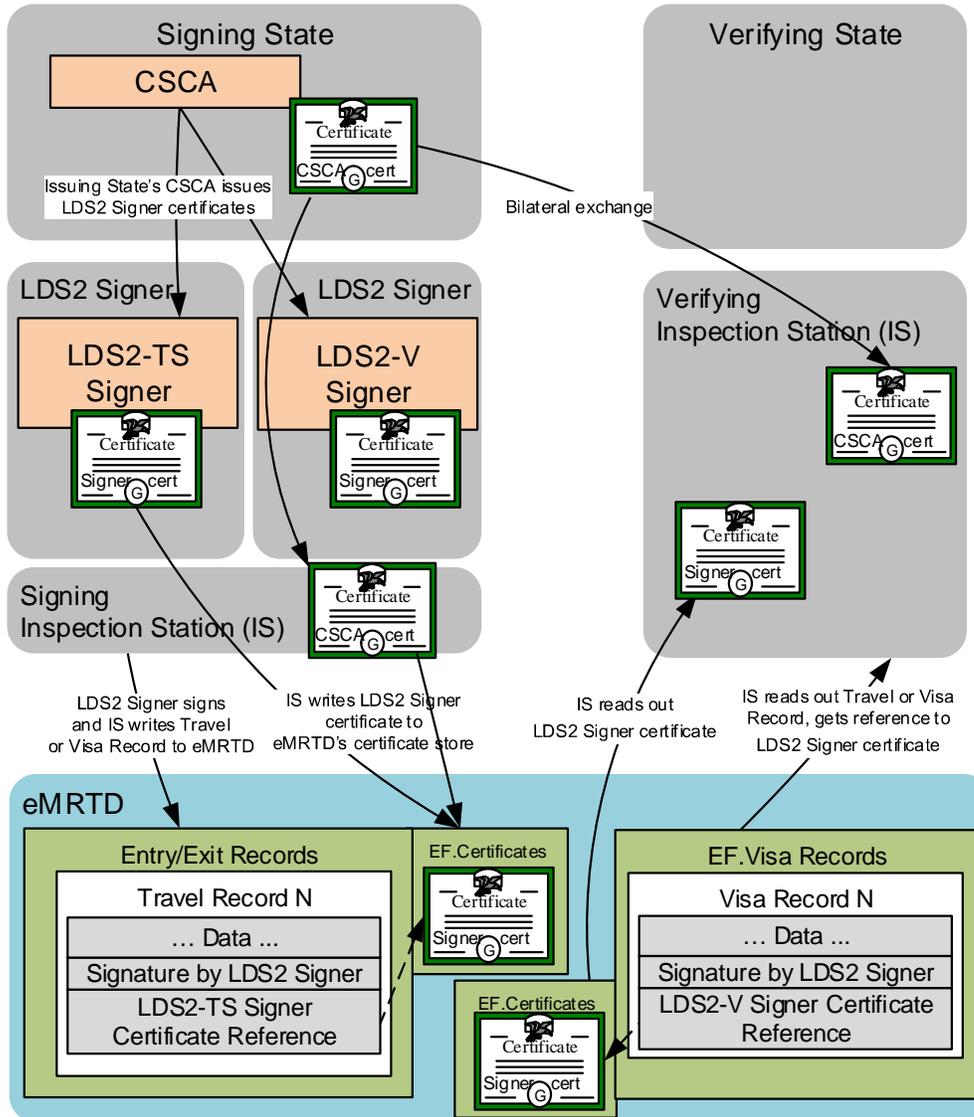


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Annex C LDS DIGITAL SIGNATURE SUMMARY



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Annex D EXAMPLE READING TRAVEL RECORDS

1) FMM command retrieving the number of Entry Records

| CLA | INS | P1 | P2 | Lc | Data | Le |
|-----|-----|----|----|----|-------------|----|
| 80 | 5E | 01 | 04 | 04 | 51 02 01 01 | 00 |

CLA: Proprietary class / no secure messaging
INS: FMM
P1: 01 - EF identifier in command data field
P2: 04 - Return existing number of records in a record EF
Lc: 04
Data: DO'51 containing Entry Records EF identifier '0101'
Le : 00 (Short Le)

Response: File and Memory Management DO representing the number of records in the EF

| Data | SW1-SW2 |
|------------------|---------|
| 7F78 03 83 01 FD | 90 00 |

The DO in the response data contains the last record number which can be used in the next READ RECORD command (P1).

Ex., last record number '00' means that there are no records in this file, response 'FD' means that number of records is 253 (maximum number of records is 254).

2a) READ RECORD command retrieving the last Travel Record from the retrieved list

The following command can be used to retrieve a single record using record number returned by the FMM command:

| CLA | INS | P1 | P2 | Le |
|-----|-----|----|----|----------|
| 00 | B2 | FD | 04 | 00 00 00 |

CLA : Interindustry class / no secure messaging
INS : READ RECORD(S)
P1 : Record number from the previous command's response
P2 : Record number in P1 / read record P1
Le : 00 00 00 (Extended Le) - read entire record

Response: Record - 253 (0xFD)

| Data | SW1-SW2 |
|--|---------|
| 5F44 Len <Data> 73 Len <Data> 5F37 Len <Data> 5F38 Len <Data> | 90 00 |

2b) READ RECORD retrieving last 2 Travel Records from retrieved the list

The following command can be used to retrieve 2 (or more) records from the list returned by FMM command. Reading several records in one APDU exchange improves performance. The number of records that can be retrieved by a single command can be determined from extended length information in EF.ATR/INFO and maximum size of Travel Record.

| CLA | INS | P1 | P2 | Le |
|-----|-----|----|----|----|
|-----|-----|----|----|----|

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| | | | | |
|----|----|----|----|----------|
| 00 | B2 | FC | 05 | 00 00 00 |
|----|----|----|----|----------|

CLA : Interindustry class / no secure messaging
INS : READ RECORD(S)
P1 : Decrement Record number from the FMM response (253 - 1 = 252 = 'FC')
P2 : Record number in P1 / read all records from P1 up to the last
Le : 00 00 00 (Extended Le) - read entire record

Response: Last 2 records - 252 (0xFC) and 253 (0xFD)

| Data | SW1-SW2 |
|---|---------|
| 5F44 Len <Data> 73 Len <Data> 5F37 Len <Data> 5F38 Len <Data> 5F44 Len <Data> 73 Len <Data> 5F37 Len <Data> 5F38 Len <Data> | 90 00 |

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Annex E EXAMPLE SEARCHING RECORDS BY STATE

1) SEARCH RECORD command searching Travel Record(s) by Destination State

| CLA | INS | P1 | P2 | Lc | Data | Le |
|-----|-----|----|----|-----|---|----|
| 00 | A2 | 00 | F8 | Var | 7F 76 Len 51 01 01 A1 0B 80 01 00 B0 06 02 01 03 02 01 03 A3 07 B1 05 81 03 xx xx xx | 00 |

CLA: Interindustry class / no secure messaging
 INS: SEARCH RECORD(S)
 P1: record number = 00
 P2: Search through multiple EFs
 Lc: length of command data field
 Data: DO'7F76' - Record handling DO
 DO'51' - File reference DO (EF.EntryRecords short identifier '01')
 DO'A1' - Search configuration template
 DO'80' - Search configuration parameter: '00' (search all records)
 DO'B0' - Search window template
 DO'02' - Offset: '03'
 DO'02' - Number of bytes: '03'
 DO'A3' - Search string template
 DO'B1' - Search string DO
 DO'81' - Search string (country code): xx xx xx
 Le: 00 (Short Le)

Response: DO'7F76' – Record handling DO
 DO'51' - EF.EntryRecords short identifier '01'
 One or more DO'02' containing matching record numbers

| Data | SW1-SW2 |
|-----------|---------|
| 7F 76 Len | |
| 51 01 01 | |
| 02 01 03 | 90 00 |
| 02 01 04 | |

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Annex F EXAMPLE WRITING TRAVEL RECORD AND CERTIFICATE

1) SEARCH RECORD command searching EF.Certificates by a Certificate Serial Number

IS checks if LDS2-TS Signer certificate with required serial numbers exists in EF.Certificates. The following command can be used for searching certificates:

| CLA | INS | P1 | P2 | Lc | Data | Le |
|-----|-----|----|----|-----|--|----|
| 00 | A2 | 00 | F8 | Var | 7F 76 Len 51 01 1A A1 0B 80 01 30 B0 06 02 01 03 02 01 {Search string size} A3 Len B1 Len 81 Len xx xx .. xx xx | 00 |

CLA: Interindustry class / no secure messaging

INS: SEARCH RECORD(S)

P1: record number = 00

P2: Search through multiple EFs

Lc: length of command data field

Data: DO'7F76' - Record handling DO

DO'51' - File reference DO (EF.Certificates short identifier '1A')

DO'A1' - Search configuration template

DO'80' - Search configuration parameter: '30' (stop if record found)

DO'B0' - Search window template

DO'02' - Offset: '03'

DO'02' - Number of bytes: Search string size

DO'A3' - Search string template

DO'B1' - Search string DO

DO'81' - Search concatenation of country code and certificate serial number: xx xx .. xx xx

Le: 00 (Short Le)

Response: DO'7F76' - Record handling DO

DO'51' - EF.Certificates short identifier '1A'

DO'02' - contains matching record number

| Data | SW1-SW2 |
|----------------------------------|---------|
| 7F 76 06 51 01 1A 02 01 01 | 90 00 |

or warning code 62 82 if no record matches the search criteria:

| |
|---------------------|
| SW1- SW2 |
| 62 82 |

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If an EF.Certificate record matches the search criteria, the IS can optionally use the returned record number ('01') in a READ RECORD command to check whether the certificate is the correct one. If no EF.Certificate record matches the search criteria, the IS writes the certificate into EF.Certificates using the APPEND RECORD command in step 2) and finally writes the entry record using step 3).

2) APPEND RECORD command writing Certificate

IS writes LDS2-TS Signer certificate into EF.Certificates. The following command can be used for writing certificates:

| CLA | INS | P1 | P2 | Lc | Data | Le |
|-----|-----|----|----|----------|--|--------|
| 00 | E2 | 00 | D0 | 00 XX XX | 5F3A Len {certificate serial number} 72 Len {X.509 certificate}" | Absent |

CLA: Interindustry class / no secure messaging
INS: APPEND RECORD
P1: 00 (any other value is invalid)
P2: short EF identifier (=0x1A)
Lc: Record length (Extended Lc)
Data: Record data

Response: success or error code

| SW1-SW2 |
|---------|
| 90 00 |

3) APPEND RECORD command writing Travel Record

IS generates Travel Record using reference to LDS2-TS Signer certificate and writes it into EF.EntryRecords using the following command:

| CLA | INS | P1 | P2 | Lc | Data | Le |
|-----|-----|----|----|----------|--|--------|
| 00 | E2 | 00 | 08 | 00 XX XX | 5F44 Len {destination state} 73 Len {Entry travel record} 5F37 Len {Signature} 5F38 Len {Cert Ref} | Absent |

CLA: Interindustry class / no secure messaging
INS: APPEND RECORD
P1: 00 (any other value is invalid)
P2: short EF identifier (=0x01)
Lc: Record length (Extended Lc)
Data: Record data

Response: success or error code

| SW1-SW2 |
|---------|
| 90 00 |