



# African Regional Runway Safety Seminar

10-12 April 2013, Agadir, Morocco



In cooperation with: المكتب الوطني للمطارات  
Office National Des Aéroports

## **Session 3** *Hazards and Mitigation Strategies for Incursions*

14:30	16:00	15 mins Pilot/Air Operator Perspective of Incursions Hazards and Proposed Mitigation Strategies	Captain Moulay Hicham Guenoun, IFALPA
		15 mins Airport Operator perspective of Incursion Hazards and Proposed Mitigation Strategies	Rishi Thakurdin, Airports Company South Africa, Group Manager Safety and Compliance
		30 mins Air Traffic Controller/ATC perspective of Incursion Hazards and Proposed Mitigation Strategies	Boni Dibate, CANSO, Director Africa Affairs
		30 min Panel	Ruby Sayyed, Assistant Director SO&I, IATA Middle East & North Africa
16:00	16:30	Break	

# The pilot and airline operator's perspective on runway incursion hazards and mitigation options

## Session 3 Presentation 1

By Captain Moulay Hicham Guenoun  
AMPL COMITEC- IFALPA ALR



## Hazard deafinition



“ Hazard is a **conition** or an **object** with the **potential of causing** injuries to personnel, damage to equipment or structures, loss of material, or reduction of ability to perform a prescribed function.”



(ICAO)

## Risk Management



Operational Risk Management consists of three elements:

- Hazard Identification
- Risk assessment
- Risk mitigation

*To make sure that all risks remain at an acceptable level.*

## Common hazard sources in aviation



- Design factors
- Procedures and operating practices
- Communications
- Personnel factors
- Organisational factors
- Work environment factors
- Regulatory oversight factors
- Defences

**SMM ICAO DOC 9859**

## ICAO DEFINITION OF A RUNWAY INCURSION



“Any occurrence at an aerodrome involving the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of aircraft.”

(PANS-ATM, Doc 4444)

## IFALPA DEFINITION OF A RUNWAY INCURSION



Runway incursions are defined as any person, aircraft or vehicle that enters a runway by mistake. This definition includes aircraft attempting takeoff or landing on a runway other than (the one assigned.) Incursions present a significant threat to air safety

Runway Confusion

## FSF DEFINITION OF A RUNWAY SAFETY ISSUE



- A **runway safety issue** is any safety issue that deals with the runway environment (or any surface being used as a runway) and the areas immediately adjacent to it (e.g., overruns, high-speed taxiways).

## The ICAO definition of a hot spot



“A location on an aerodrome movement area with a history or potential risk of collision or runway incursion, and where heightened attention by pilots/drivers is necessary.”

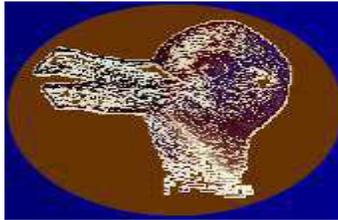


## Collaborative approach



Efficient risk identification and mitigation can only be achieved by collaboration between people from different backgrounds and work environments

Rabbit?  
or  
Duck?

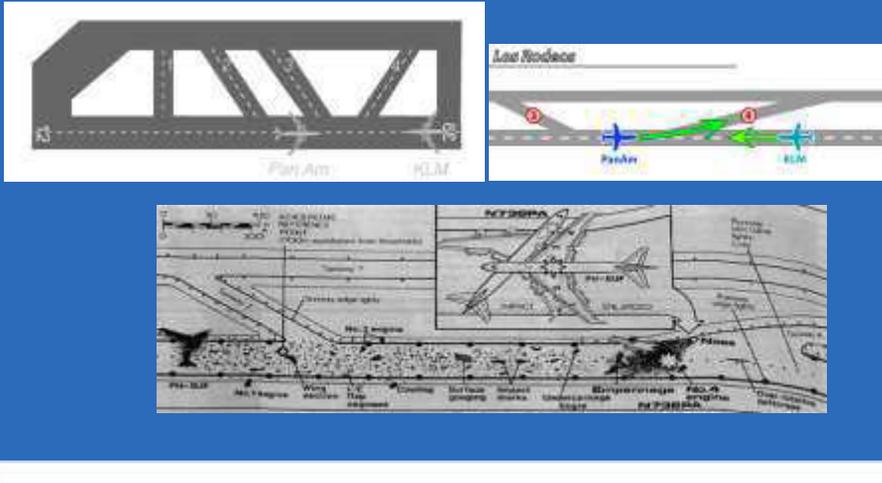


## The worst case scenario



**583 Fatalities**

## 1977 - KLM / Pan Am Los Rodeos



## Runway Incursion Hazards



- Runway layout/usage
- Pilot-driver-controller communication
- Weather
- Airport
- ATC
- Ground vehicles

## Operational Hazards



Workload issues during taxiing that can result in a loss of situational awareness:

- Completion of pre-departure checklists
- Second engine start requirements
- Coordinating amended ATC clearances
- Complex taxi routing

All have the potential to contribute to incursion risks

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## Operational Mitigations



Establish SOPs to minimize the number of tasks to be performed when aircraft is moving during taxiing, these include:

- FMS input
- Delayed engine start
- Briefings
- Weight & balance calculations

To maintain situational awareness on the ground:

- Use all available technologies (e.g. Airbus ROPS, RAAS)
  - Use individual aerodrome charts
  - Develop SOPs for ground navigation
-

## Communication Hazards



Task overload of pilot attempting to communicate

- Flight deck: checklists and briefings
- Cabin crew: passenger safety or technical issues
- Dispatch, AIRINC or other services related to operational issues

Both pilots are focused on the communication issue

- Frequency congestion – an increasing factor at many airports

Message confusion

- Standard phraseology is not always used
- Reception is not always clear

The subsequent loss of situational awareness can result in:

- Runway incursion
- Taxiway incursion

## Communication Mitigations



### To achieve required standards

- Use standard phraseology
- Listen before speaking
- Speak slowly
- When in doubt, seek clarification
- Ask open questions

### To avoid non essential communication

- Adopt sterile cockpit concept

### To avoid communication impediments in multi-crew cockpit

- Define the role of each pilot
- CRM training on communication techniques
- Manage the cockpit workload

## Visibility Hazards



Factors that can result in a crew being unsure of their position:

- Not only due to fog or other “low visibility” phenomena
- Visibility may be an issue in clear weather due to glare or reflection (snow or water) – potential for confusion issues when landing on closely spaced parallel runways

Visibility hazards generally increase pilot workload and increase incursion risk

## Visibility Mitigations



→ Use all available technologies to maintain situational awareness on the ground

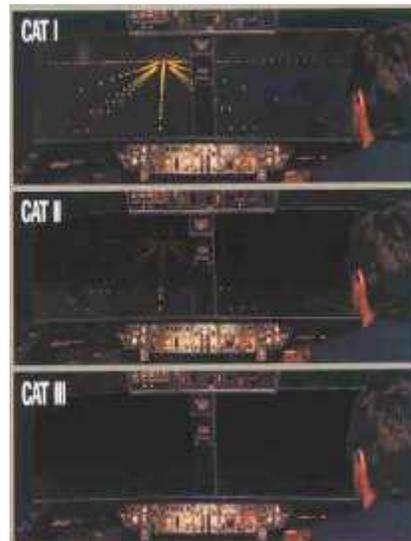
CAT III landings have become routine, but the aircraft must still be taxied manually in low visibility operations

→ Establish low visibility procedures, including enhanced crew coordination, when conditions require

Inability to see other aircraft on runway

→ Use full runway length operations especially in marginal conditions

→ Realistic training for all low visibility operations



## Signage



**Signage may be complex, inadequate or not clearly visible**

Complexity



Inappropriate positioning

**Establish a reporting system that includes reporting signage deficiencies**



## Airport Design Hazards



*Boston, 14 July 2011*

**Factors that contribute to positional uncertainty**

- Multiple runway and taxiway intersections
- Converging intersections at various angles
- Closely spaced parallel runways
  - Failure to hold short after landing
  - Runway confusion risk, especially for low visibility landing operations
- Runways in close proximity to terminal aprons

## Airport Design Mitigations



Boston, 14 July 2011

- Conduct briefing prior to all operations
- Use individual airport charts
- Identify high risk locations
- Implement SOPs for operations at airports with known design hazards

## Construction Hazards and Mitigation



### Runway confusion

- Operations on closed runways
- Runways as taxiways
- Use of non-standard taxiways

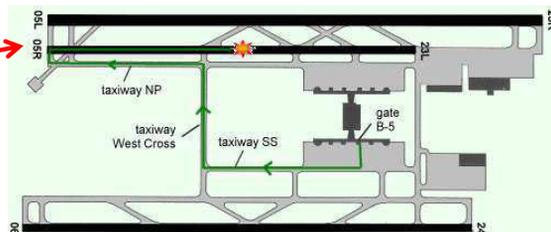
Airline operators establish comprehensive guidance for operations during airport works

Conduct comprehensive pre-taxi briefing

Cross-check all performance data before using

*Hazards appear when part of the airport becomes non-operational*

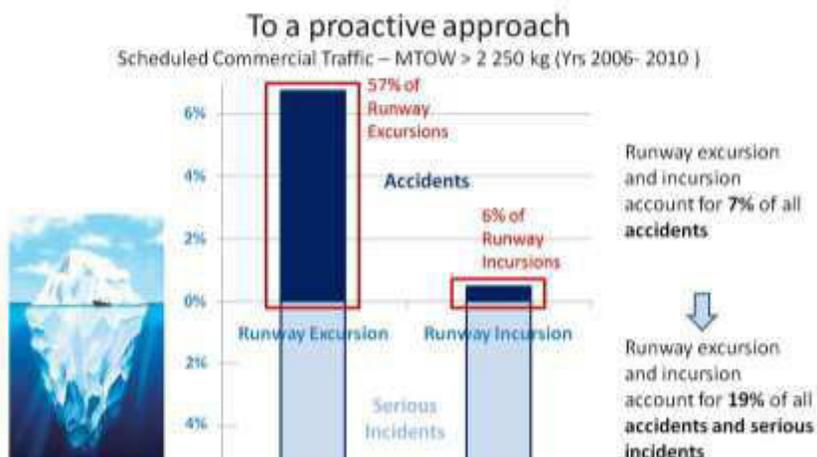
SQ 006 in Taipei – October 2000



## Runway incursions recurring scenarios



- An aircraft or vehicle crossing in front of a landing aircraft
- An aircraft or vehicle crossing in front of an aircraft taking off
- An aircraft or vehicle crossing the runway-holding position marking
- An aircraft or vehicle unsure of its position and inadvertently entering an active runway
- A breakdown in communications leading to failure to follow an air traffic control instruction
- An aircraft passing behind an aircraft or vehicle that has not vacated the runway





THANK YOU





**ICAO RUNWAY SAFETY SEMINAR**  
**AGADIR, MOROCCO, 10th – 12th APRIL 2013**



**RUNWAY SAFETY – INCURSION PREVENTION**  
**SIGNAGE, MARKINGS AND LIGHTING**

Presentation by **RISHI THAKURDIN**



## RUNWAY SAFETY INCURSIONS



### Description

**ICAO** defines a RUNWAY INCURSION to be:

"Any occurrence at an aerodrome involving the incorrect presence of an aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of aircraft."

## RUNWAY SAFETY INCURSIONS



**HOW DO WE PREVENT THIS FROM OCCURRING IN THE FIRST PLACE?**

## **WORST RUNWAY INCURSION EVENT IN HISTORY TENERIFE NORTH 27<sup>TH</sup> MARCH 1977**

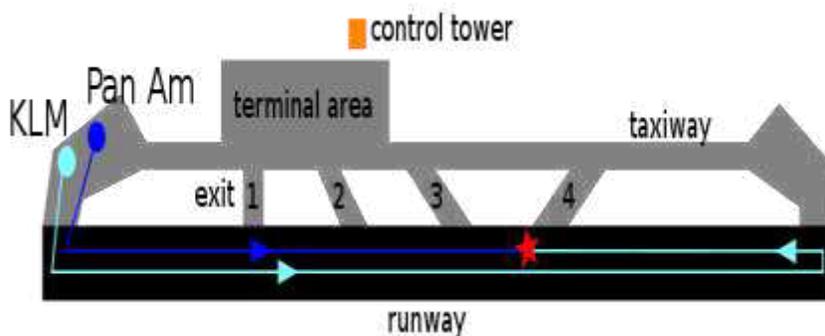


## **KLM 747-200 & PAN AM 747-100 583 FATALITIES**



DECISION MADE BY ONE MAN WHO IGNORED POTENTIAL CREW WARNINGS

## KL TOOK OFF IN FOG WITHOUT ATC CLEARANCE WHILST PA WAS STILL ON THE RUNWAY



RUNWAY INCURSION AWARENESS TRAINING DID NOT RESULT FROM THIS CATASTROPHIC ACCIDENT BUT, CRUCIALLY, SOMETHING ELSE CONSIDERED JUST AS IMPORTANT DID RESULT ..... **CRM**

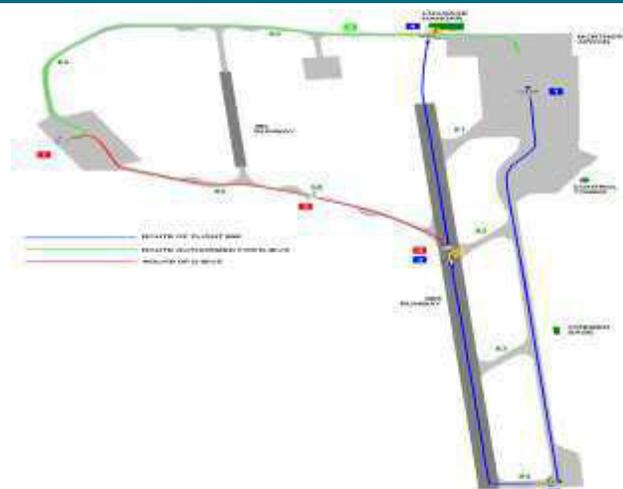
### **CRM – CREW RESOURCE MANAGEMENT**

CRM HAS SINCE PREVENTED MANY INCURSIONS

## RUNWAY INCURSION – MILAN SAS MD-80 & CITATION JET 118 FATALITIES



## RUNWAY INCURSION – MILAN SAS MD-80 & PRIVATE CITATION

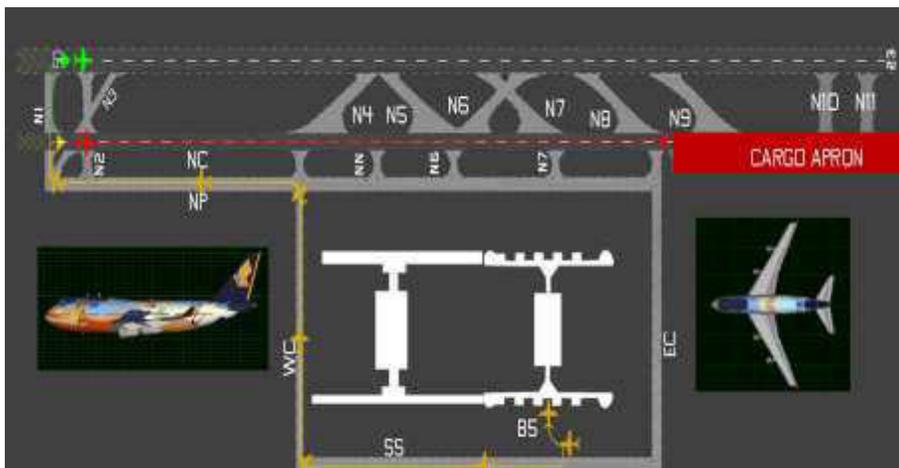


CREW DISORIENTATION COMPOUNDED BY POOR MARKINGS AND SIGNAGE

## RUNWAY INCURSION – TAIPEI SQ B744 & WORKING VEHICLES 83 FATALITIES



## RUNWAY INCURSION – TAIPEI SQ B744 & VEHICLES ON RUNWAY



FAILURE TO BARRIER OFF CLOSED RUNWAY TO PREVENT AIRCRAFT ACCESS

## RUNWAY INCURSION – TAINAN GE A321 & VEHICLE ON RUNWAY NIL FATALITIES



# RUNWAY INCURSION – TAINAN GE A321 & VEHICLE ON RUNWAY NO DEAD IS NOT ANY LESS SEVERE



UNESCORTED CONTRACTOR NOT RADIO EQUIPPED ENTERING RUNWAY

# ANNEX 14 IS ALL WE HAVE IN COMMON TO TRY AND ENSURE RUNWAY SAFETY IS ASSURED



## RUNWAY SAFETY THE BASIC APPROACH



ICAO Annex 14 proposes only 3 methods of achieving runway safety, namely:

- **SIGNAGE**
- **MARKINGS**
- **LIGHTING**

ICAO Annex 14 proposes only 2 methods of enhancing runway safety, namely:

- **RUNWAY DESIGNATOR MODIFICATION**
- **TAXIWAY CENTRELINE MODIFICATION**

## RUNWAY SAFETY THE BASIC PROBLEM



1. ICAO Annex 14 clearly makes any enhancements for improvements to runway safety to be a recommendation only, and NOT a standard to be adopted.
2. ICAO Annex 14 SARPS for signage, markings and lighting take aircraft into consideration as part of runway safety, but tend to ignore vehicles and people as part of runway safety.
3. Most national regulators do not make additional provisions for enhanced runway safety in their own legislation, e.g. SACAA. Of those regulators that do make additional provisions, each has a different mix of enhancements to be utilised, e.g. FAA, UKCAA, CASA.
4. Aerodrome Operators have no clear guidance of ensuring standardisation when using runway safety enhancements.

# RUNWAY SAFETY THE SOLUTIONS OFFERED



1. **The Obvious** (ICAO Annex 14 Standards)
2. **The Not So Obvious** (ICAO Annex 14 Recommendations)
3. **The Obscure** (ICAO Annex 14 Recommendations)
4. **The Slightly Obscure** (Local Variations by National Regulators)
5. **The Very Obscure** (Vehicle and People Protection)
6. **The Little Known** (Non Physical Suggestions within ICAO)

**LET US TAKE A LOOK AT THEM ONE AT A TIME**

## RUNWAY SAFETY – INCURSION PREVENTION THE OBVIOUS ICAO ANNEX 14 STANDARDS



**VISUAL RUNWAY HOLDING POINT MARKING – PATTERN A**

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE OBVIOUS**  
**ICAO ANNEX 14 STANDARDS**



**MANDATORY RUNWAY DESIGNATOR SIGN ONLY – NO LOCATION SIGN**

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE OBVIOUS**  
**ICAO ANNEX 14 STANDARDS**



**RUNWAY HOLDING POINT MARKING FOR CAT ILS LANDINGS – PATTERN B**

**RUNWAY SAFETY – INCURSION PREVENTION  
THE NOT SO OBVIOUS  
ICAO ANNEX 14 RECOMMENDATIONS**



**LOCATION SIGN ATTACHED TO MANDATORY RUNWAY DESIGNATOR SIGN**

**RUNWAY SAFETY – INCURSION PREVENTION  
THE OBVIOUS  
ICAO ANNEX 14 STANDARDS**



**MANDATORY RUNWAY DESIGNATOR FOR PATTERN B HOLD WITH LOCATION**

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE NOT SO OBVIOUS**  
**ICAO ANNEX 14 RECOMMENDATIONS**



**RUNWAY GUARD LIGHTS – ONLY FOR USE IN RVR <550M IF NO STOP BAR**

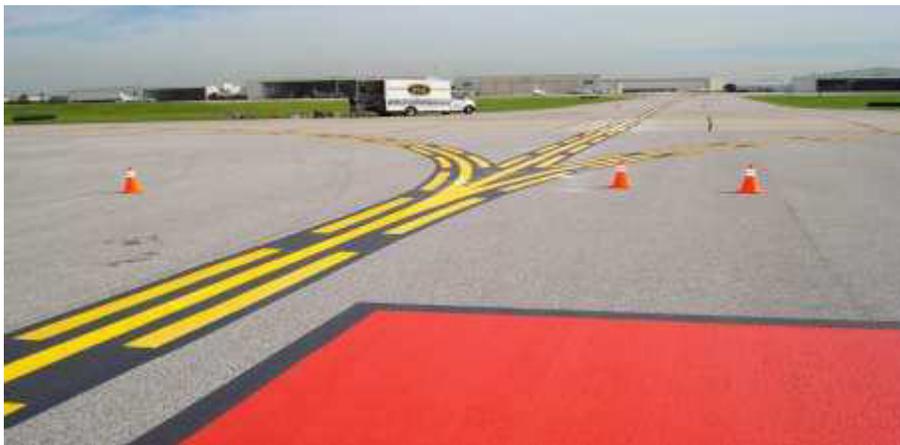
**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE NOT SO OBVIOUS**  
**ICAO ANNEX 14 RECOMMENDATIONS**



**RUNWAY STOP BAR LIGHTS - ONLY FOR USE IN RVR <350M**

## RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE

ICAO ANNEX 14 RECOMMENDATIONS



### ENHANCED TAXIWAY CENTRELINE MARKING

EXISTING TAXIWAY CENTRELINE HAS DASHED SIDE STRIPES BOTH SIDES OF THE CENTRELINE GOING BACK 45M FROM THE RUNWAY HOLDING POINT

## RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE

ICAO ANNEX 14 RECOMMENDATIONS



### ENHANCED RUNWAY DESIGNATOR MARKING FOR UP TO CODE D TAXIWAYS

ONE DESIGNATOR PLACED CENTRALLY ACROSS THE TAXIWAY CENTRELINE LOCATED IMMEDIATELY BEFORE THE RUNWAY HOLDING POINT MARKING

## RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE

ICAO ANNEX 14 RECOMMENDATIONS



**ENHANCED RUNWAY DESIGNATOR MARKING FOR CODE E AND F TAXIWAYS**  
TWO DESIGNATORS PLACED EITHER SIDE OF THE TAXIWAY CENTRELINE  
LOCATED IMMEDIATELY BEFORE THE RUNWAY HOLDING POINT MARKING

## RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE

ICAO ANNEX 14 RECOMMENDATIONS



**ENHANCED RUNWAY DESIGNATOR MARKING WITH LOCATION**  
DESIGNATOR PLACED EITHER SIDE OF THE TAXIWAY CENTRELINE WITH  
LOCATION IMMEDIATELY BEFORE THE RUNWAY HOLDING POINT MARKING

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED MARKING WARNING OF RUNWAY (ABBREVIATED) AHEAD**  
TRIALLED BY FRENCH AND SWISS REGULATORS BUT WITH NO ENHANCED  
CENTRELINE TO STRENGTHEN THE RUNWAY AHEAD WARNING

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED MARKING WARNING OF RUNWAY (ABBREVIATED) AHEAD**  
AS SEEN FROM AIR FRANCE CONCORDE AT PARIS (CDG)

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED MARKING WARNING OF RUNWAY (UNABBREVIATED) AHEAD**  
TRIALLED BY UKCAA AND BAA AT LONDON HEATHROW (LHR) BUT WITH NO  
ENHANCED CENTRELINE TO STRENGTHEN THE RUNWAY AHEAD WARNING

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED MARKING WARNING OF RUNWAY AHEAD**  
CURRENT RECOMMENDATION BY UKCAA BUT NOW WITH MARKING PLACED  
ACROSS TAXIWAY IMMEDIATELY BEFORE START OF ENHANCED CENTRELINE

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**CRITICAL ILS BOUNDARY MANDATORY SIGN AT PATTERN B HOLDING POINT**  
 VARIATION RECOMMENDED BY FAA WHERE MANDATORY HOLDING POINT FOR  
 ILS HOLD IS NOT IDENTIFIED BY ADDITIONAL RUNWAY DESIGNATOR

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED RUNWAY DESIGNATOR MARKING AT PATTERN B HOLDING POINT**  
 DESIGNATOR PLACED CENTRALLY ACROSS THE TAXIWAY CENTRELINE  
 INDICATING UP TO CODE D IMMEDIATELY BEFORE THE CAT II HOLDING POINT

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED ILS DESIGNATOR MARKING AT PATTERN B HOLDING POINT**  
 DESIGNATOR PLACED ACROSS THE TAXIWAY AT 45M INTERVALS IF THE  
 TAXIWAY EXCEEDS 60M IN WIDTH TO INDICATE CAT II OR CAT III HOLD POINT

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED VARIABLE MESSAGE SIGNAGE AT RUNWAY HOLDING POINT**  
 TRIALLED BY JAPANESE CAA AT TOKYO NARITA TO STRENGTHEN EXISTING  
 RUNWAY INCURSION PREVENTION MEASURES – SIGN SHOWING “STOP”

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**ENHANCED VARIABLE MESSAGE SIGNAGE AT RUNWAY HOLDING POINT TRIALLED BY JAPANESE CAA AT TOKYO NARITA TO STRENGTHEN EXISTING RUNWAY INCURSION PREVENTION MEASURES – SIGN SHOWING BLANK**

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE SLIGHTLY OBSCURE**  
**LOCAL REGULATOR RECOMMENDATIONS**



**IN USE AT LONDON HEATHROW - UPRIGHT RUNWAY AHEAD WARNING SIGN USED AT RUNWAY HOLDING POINT – CAN ALSO BE USED FOR ROADWAYS**

**RUNWAY SAFETY – INCURSION PREVENTION  
BRISTOL UK - BEST PRACTICE OF ICAO SARPS  
AND LOCAL REGULATOR RECOMMENDATIONS  
GIVING SEVEN LAYERS OF PROTECTION**



1. Enhanced Runway Ahead, 2. Enhanced Centreline, 3. Stop Bar, 4. Enhanced Runway Designator, 5. Runway Hold Marking, 6. Guard Lights, 7. Illuminated Signage

**RUNWAY SAFETY – INCURSION PREVENTION  
SIGNAGE AND MARKINGS MUST PREVENT  
UNAUTHORISED VEHICLE ACCESS**



**VEHICLE PROTECTION**

## RUNWAY SAFETY – INCURSION PREVENTION THE OBVIOUS ANNEX 14 STANDARD



VEHICLE STOP WITH INSTRUCTION AND USING VEHICULAR LOCATION

## RUNWAY SAFETY – INCURSION PREVENTION THE OBVIOUS ANNEX 14 STANDARD



STOP SIGNAGE WITH INSTRUCTION NOTICE BUT NO LOCATION AT ROADWAY JUNCTION WITH TAXIWAYS AND/OR RUNWAYS AS RECOMMENDED BY UKCAA

# RUNWAY SAFETY – INCURSION PREVENTION THE OBVIOUS ANNEX 14 STANDARD



LOW VARIATION OF VEHICLE STOP SIGN IN USE AT HOLDING POINT AT LHR

# RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE ANNEX 14 STANDARD



INSTRUCTION SIGN ONLY - NO STOP SIGN - USE ON ROADWAY AT EDINBURGH

## RUNWAY SAFETY – INCURSION PREVENTION THE OBSCURE VEHICLE PROTECTION



INSTRUCTION SIGN BUT USE OF NO RIGHT TURN USED ON ROADWAY AT CPT

## RUNWAY SAFETY – INCURSION PREVENTION THE SLIGHTLY OBSCURE LOCAL REGULATOR RECOMMENDATIONS



UNCONTROLLED VEHICLE CROSSING OF LIVE TAXIWAY WITH GOOD USE OF SURFACE MARKINGS (HOLD/STOP & ZIPPER), SIGNAGE AND WARNING LIGHTS

## RUNWAY SAFETY – INCURSION PREVENTION THE VERY OBSCURE VEHICLE PROTECTION



A VARIATION SIGN USING STOP WITHOUT INSTRUCTION – BUT WITH WARNING USED AT AN AIRFIELD WITH VEHICLE RUNWAY ACCESS BUT NO ATC

## RUNWAY SAFETY – INCURSION PREVENTION THE VERY OBSCURE VEHICLE PROTECTION



A CHEAP AND EFFECTIVE ALTERNATIVE USED AT A SMALL RURAL AIRFIELD WITHOUT ATC COVER AND WITH ROADWAY ACCESS TO RUNWAY

**RUNWAY SAFETY – INCURSION PREVENTION  
SIGNAGE AND MARKINGS MUST PREVENT  
UNAUTHORISED PEOPLE ACCESS**



**PEOPLE PROTECTION**

**RUNWAY SAFETY – INCURSION PREVENTION  
THE VERY OBSCURE  
VEHICLE AND PEOPLE PROTECTION**



A CHEAP AND EFFECTIVE ALTERNATIVE USED AT A SMALL RURAL AIRFIELD WITH NO ATC COVER AND WITH BOTH PATH AND ROAD ACCESS TO RUNWAY

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE VERY OBSCURE**  
**VEHICLE AND PEOPLE PROTECTION**



WARNING SIGNAGE USED AT SMALL RURAL AIRFIELD WITH ROADWAY ACCESS ON TO RUNWAY AND USED BY BOTH VEHICLES AND PEOPLE

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE VERY OBSCURE**  
**PEOPLE PROTECTION**



A STERN WARNING WITH LEGAL THREAT USED IN THE UK TO DETER PEOPLE FROM TRESPASSING AT ANY AIRFIELD AND PREVENT RUNWAY INCURSION

## RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN

RECOMMENDATIONS HIDDEN WITHIN ICAO



ADVANCED SURFACE MOVEMENT GUIDANCE CONTROL – TRANSPONDER SIGNAL MONITORS AIRCRAFT ON GROUND MOVEMENT RADAR

## RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN

RECOMMENDATIONS HIDDEN WITHIN ICAO



GROUND MOVEMENT RADAR – USED BY ATC AS PART OF ASMGCR TO MONITOR NOT ONLY AIRCRAFT ON THE GROUND, BUT ALSO OPERATIONAL VEHICLES

## RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN

RECOMMENDATIONS HIDDEN WITHIN ICAO



SQUID TRANSPONDER, LINKED TO ASMG, FITTED TO ROOF OF ALL AIRSIDE VEHICLES WHO NEED OPERATIONAL ACCESS TO TAXIWAYS AND RUNWAYS

## RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN

RECOMMENDATIONS HIDDEN WITHIN ICAO



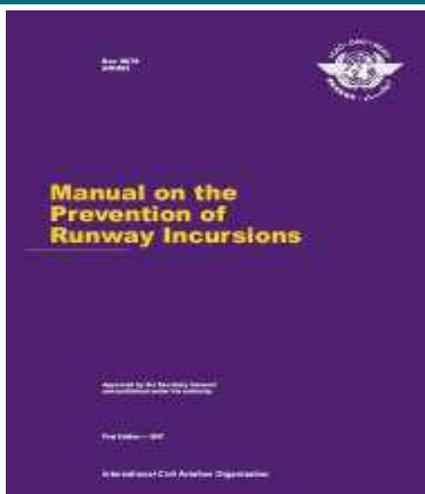
SQUID TRANSPONDER, LINKED TO ASMG, FITTED TO ROOF OF ALL AIRSIDE VEHICLES WHO NEED OPERATIONAL ACCESS TO TAXIWAYS AND RUNWAYS

# RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN RECOMMENDATIONS HIDDEN WITHIN ICAO



SQUID TRANSPONDERS ALSO FITTED TO THOSE SERVICE AND MAINTENANCE VEHICLES WHO OPERATE CLOSE TO OR ON TAXIWAYS AND RUNWAYS

# RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN RECOMMENDATIONS HIDDEN WITHIN ICAO



ICAO Doc 9870 MANUAL ON THE PREVENTION OF RUNWAY INCURSIONS

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE LITTLE KNOWN**  
**RECOMMENDATIONS HIDDEN WITHIN ICAO**



ICAO DOC 9870

CHAPTER 3.1

**Establishing a Runway Incursion Prevention Programme**

**3.1 RUNWAY SAFETY TEAMS**

- 3.1.1** A runway incursion prevention programme should start with the establishment of runway safety teams at individual aerodromes.
- 3.1.2** The team should comprise representatives from aerodrome operations, air traffic service providers, airlines or aircraft operators, pilot associations and any other groups with a direct involvement in runway operations.

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE LITTLE KNOWN**  
**RECOMMENDATIONS HIDDEN WITHIN ICAO**



**ACSA HAS 4 LOCAL RUNWAY SAFETY TEAMS ALREADY SET UP AT:**

<b>O.R.TAMBO INTERNATIONAL AIRPORT / JNB / FAJS</b>	<b>1 SA / 1 Afr</b>
<b>CAPE TOWN INTERNATIONAL AIRPORT / CPT / FACT</b>	<b>2 SA / 3 Afr</b>
<b>KING SHAKA INTERNATIONAL AIRPORT / DBN / FALE</b>	<b>3 SA / 9 Afr</b>
<b>PORT ELIZABETH INTERNATIONAL AIRPORT / PLZ / FAPE</b>	<b>4 SA / 22 Afr</b>

**CAPE TOWN** was the **first Sub-Saharan LRST**, and **ACSA** the second airports group on the African Continent to have an LRST programme, following the Moroccan Airports Authority in October 2010. **Cape Town LRST** was inaugurated in **April 2011** and **fully established in July 2011**. All 5 remaining ACSA regional airports will follow in 2013 and will be complete by year end.

**All LRSTs comprise ACSA (all operational departments), ATNS, ALPA-SA, Airlines (Line Pilots), AMOs, Non-Scheduled/Biz/GA Reps, major Stakeholders (Handlers etc.). It also includes SACAA representation.**

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE LITTLE KNOWN**  
**RECOMMENDATIONS HIDDEN WITHIN ICAO**



**ICAO DOC 9870**

**CHAPTER 3.4**

**HOTSPOTS**

Many aerodromes have hazardous locations on runways and/or taxiways where incursion incidents have occurred, sometimes frequently. Any such position is commonly referred to as a "**hotspot**".

**Definition**

**ICAO Doc 9870, Manual on the Prevention of Runway Incursions** defines a "**hotspot**" as "A location on an aerodrome movement area with a history or potential risk of collision or runway incursion, and where heightened attention by pilots/drivers is necessary."

**RUNWAY SAFETY – INCURSION PREVENTION**  
**THE LITTLE KNOWN**  
**RECOMMENDATIONS HIDDEN WITHIN ICAO**



**DOC 9870**

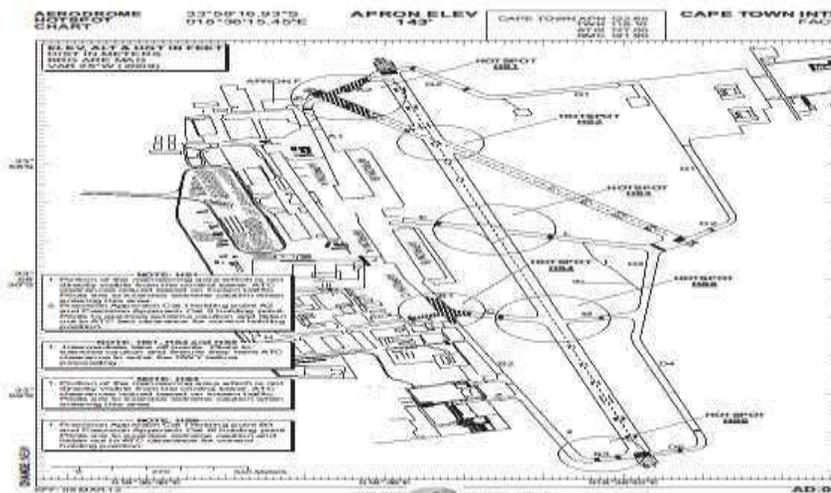
**CHAPTER 3.4.2**

**USE THE AIP TO PROMULGATE **HOTSPOTS** TO ALL**

Formal definition of **hotspots** can alert pilots and drivers to movement area design issues which cannot be readily mitigated by signage, markings, lighting or where poor visibility may contribute to reduced situational awareness in relation to active runways. It can also alert to potentially critical points where the ATC visual control room (VCR) or other surveillance systems are less effective than on a particular aerodrome generally.

ICAO recommends the local generation of [AIP](#) charts to show runway **hotspots**, which, once issued, must be kept up to date and revised as necessary.

# RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN RECOMMENDATIONS HIDDEN WITHIN ICAO



CAPE TOWN **HOTSPOT CHART** AS SHOWN IN THE AIP AND USED BY JEPPESEN

# RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN RECOMMENDATIONS HIDDEN WITHIN ICAO

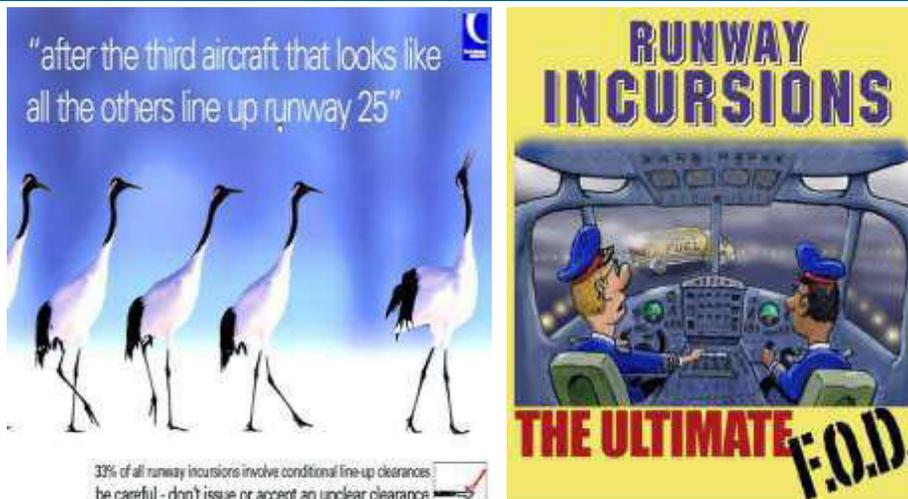


DOC 9870  
CHAPTER 3.8  
EDUCATION AND AWARENESS



## RUNWAY SAFETY – INCURSION PREVENTION THE LITTLE KNOWN

RECOMMENDATIONS HIDDEN WITHIN ICAO



RUNWAY INCURSION AWARENESS SAFETY CAMPAIGN USING POSTERS

## RUNWAY SAFETY – INCURSION PREVENTION HOW IS SOUTH AFRICA DOING IN THE RUNWAY INCURSION SAFETY STAKES?



### ATNS STATISTICS

FROM THE LAST 33 MONTHS

AS REPORTED BY 22 SEPERATE AIRPORTS IN SA

**RUNWAY INCURSIONS : 204** TOTAL

**INCURSION AVERAGE : 6.2** PER MONTH

ALMOST ALL INCURSIONS OCCURRED AT SMALL AIRPORTS WHERE FLYING SCHOOL AND OWNER/OPERATOR GENERAL AVIATION ACTIVITY CONSITUTE THE MAJORITY OF MOVEMENTS

**WE STILL HAVE A LOT OF WORK TO DO**

**LAST THOUGHT  
WE NOW NEED TO THINK BIGGER ON THIS  
IMPORTANT SUBJECT .**



**RUNWAY SAFETY – INCURSION PREVENTION  
TOLL BOOTH – ULTIMATE PROTECTION**

**GUARANTEED TO HAVE NO INCURSIONS  
AS PILOTS ALWAYS CLAIM TO HAVE NO CASH!!**



**THANK YOU FOR LISTENING**

# The air traffic controller's perspective on runway incursion hazards and mitigation options

## Session 3 Presentation 3



## Communication Hazards

- Unclear communication from the pilot to the ATC
- Ambiguous communication
- Lack of standard phraseology, including: speed of delivery, accents, # of instructions per transmission
- Low level of aviation language proficiency
- Frequency congestion
- Call sign confusion
- Read back errors
- Assumption that flight crews and airport personnel have certain familiarity with airport
- Simultaneous communication required for coordination between local, ground and radar controllers
- Multiple tower / ground frequencies becoming commonplace at many large airports
- Instances where 1 controller is responsible for traffic on multiple frequencies

## Communication Mitigation

- Provide awareness and refresher training, that includes
  - Situations *requiring* mandatory read back
  - Ensuring what is said or heard *is really* what is said or heard not what you or the pilot *expected* to hear
  - Clarifying/avoiding similar sounding call-signs
  - Not assuming that pilots are familiar with local operations
- Establish outreach programs that include
  - Providing runway safety materials to foster collaboration
  - Encouraging the use of standard phraseology between controllers and pilots
  - Airfield vehicular tours to familiarize controllers with aerodrome signage, markings and taxiway/runway layout from a pilot's perspective
- Minimize single controller communication coordination between local, ground and radar controllers
- Manage the use of multiple tower / ground frequencies
- Minimize the occurrences where 1 controller is responsible for traffic on multiple frequencies

## Construction Hazards

### ***Hazards appear when part of the airport becomes non-operational***

- Potential capacity constraints that increase controller workload
- Potential need to manage and coordinate increased number of runway crossings
  - The more crossing possibilities, the higher the incursion risk
- Added vehicular traffic on runway and taxiway surfaces
- Possible increased use of intersection takeoffs
- Potential impact on RFF procedures

## Construction Mitigations

- Manage movement numbers during capacity constraints
- Manage and coordinate increased number of runway crossings
- Develop SOPs for use during airport works including
  - Using intersection departures
  - RFF procedures
  - Providing information to pilots on available runway lengths
- Develop memory aids to prevent departures and landings on closed or shortened runways

## Airport Design Hazards

- Operations to closely spaced parallel runways
  - Monitoring adherence to hold short clearances, particularly for high-speed turnoffs after landing
  - Potential for separation issues related to runway confusion
- Simultaneous operations to intersecting runways
  - Increased coordination required when multiple tower / ground frequencies are in use

## Airport Design Mitigation

- Identify and publish hotspots
  - Develop controller awareness of high risk areas
- Intersecting runway operations
  - Land and Hold Short Operations
  - Timing (when runway occupancy time is contrary to controller expectations)
- Runway Crossings
  - There is a correlation between the number of runway crossings and runway incursions consider increasing the use of end around taxiways.

## Airport Design Mitigation

- Blind spots/low visibility
  - Reports clear of runway
  - Use of Surface surveillance equipment including CCTV if needed
- Closely spaced parallel runways operations
  - Monitoring adherence to hold short clearances, particularly for high-speed turnoffs after landing
  - Awareness of separation issues related to runway confusion
- Simultaneous operations to intersecting runways
  - Implement coordination procedures when multiple tower/ground frequencies are in use

## Visibility Hazards

Not only due to fog, but also glare/snow/  
reflection/water/sand

Certain movement areas are “blind spots” and  
are not visible from the control tower

## Visibility Mitigation

- Identify “blind spots” and their hazards
  - Develop mitigation procedures
- Utilize low visibility procedures
- Manage traffic levels
  - To maintain situation awareness
  - To avoid frequency overload

## Operational Hazards

- “Hub” route networks create peaks in controller workload
- Managing contingencies related to:
  - Runway / taxiway closures
  - Inoperative approach aids
  - Technical issues experienced by flight crews
  - Weather phenomena
- Environmental factors:
  - Cab design,
  - Cab noise,
  - Distractions, false/nuisance alerts
  - Sight lines
- Complex airport operations and configuration changes
- Traffic volume
- Shift work and fatigue-related performance effects
- Use of complex/non-standard taxi instructions
- Inadequate airport diagrams

## Operational Mitigation

- Options to enhance situational awareness
  - Implement CRM training
    - Foster a culture of teamwork. Awareness of other controllers’ activities.
  - Develop memory aids
    - Visual indicators for runway closures, intersection departures, etc
    - Position Relief Procedures and Checklists to highlight any high risk situations
  - Staffing
    - Provide adequate numbers to manage traffic surges
    - Prevent split attention/multi-tasking particularly during periods of low-level traffic
  - Re-Current training
    - Focus on local operations and runway safety issues
  - Tower cab & equipment configuration
    - Perform human factors assessment of cab environment
- Foster a Safety Culture
  - Implement SMS

# Signage

- Report unserviceable signage
- Advise flight crews of unserviceable signage
- Amend affected procedures when signage is unserviceable

THANK YOU

