

#	Definition/ SARP	1. CAA*	2. Customs	3. Imm	4. TDIA	5. H/Q	6. A/CR	7. A/PRT	OTHER AUTHORITY / REMARKS, REFERENCES
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**ANNEX 9 – AGENCIES / OTHER ENTITIES WHOSE *FUNCTIONS* or *RESPONSIBILITIES* ARE  
TO IMPLEMENT OR COMPLY WITH SARPs  
15th Edition of Annex 9 (October 2017)**

**(Examine the functions of an agency to determine its responsibility for implementing a Standard or Recommended Practice (SARP). Non-government entities have the responsibility to comply with relevant SARPs, e.g. airport or aircraft operators, as appropriate.) [1 February 2018 version]**

The table below applies to the <u>traditional</u> functions or services of “immigration” (measures adopted to control the entry into, transit through and departure of persons), “customs” (control over the movement of goods and personal items and collection of tariffs), and health (including quarantine) through international airports. In those cases where these functions are the responsibility of a single agency (e.g. merged “customs” and “immigration” functions), the table should be read, as appropriate.									
●	Definition or SARP Applicable				Definition or SARP not applicable				
“Contracting States” or “Each Contracting State” addresses the State, generally. If it is clear from a specific Standard or Recommended Practice (SARP) which agency is responsible for implementing the SARP in question, this is indicated in the table. If it is not clear from a SARP which agency is responsible for implementation, then the table indicates the Civil Aviation Authority (CAA), the agency that is ICAO’s primary point of contact.									
CAA* = Even if a SARP does not directly fall within the responsibility, function, or purview of the CAA itself, close coordination and cooperation of CAA is essential with the agency or aircraft/airport operator responsible, in order to ensure compliance of the SARP in question.									
Imm = Immigration (this also includes <u>border police</u> or other agency/department responsible for immigration-related functions at international airports).									
TDIA = Travel Document Issuing Authority (i.e. the authority in the State issuing “ordinary” passports, “service” passports, “diplomatic” passports, “official” passports, identity documents that can be used for international travel (e.g. resident cards), refugee travel documents, documents for stateless persons, visas (as appropriate). If visas are issued by another authority, e.g. Foreign Affairs, Ministry of the Interior, or other, then the appropriate provision applies to this other authority.									
A/CR = Aircraft Operator			A/PRT = Airport Operator			ATC = Air Traffic Control			H/Q = Health/Quarantine
AS = Aviation Security			NC = Narcotics Control			LE = Law Enforcement			
“Other”: e.g. refugee agency; in case of visas, if such are issued in the State by an authority other than a “TDIA”									
A <b>number of articles of the Chicago Convention</b> have special pertinence to the provisions of Annex 9. Persons responsible for the implementation of the provisions of the Annex should bear in mind the following articles: 10, 11, 13, 14, 22, 23, 24, 29, 37 and 38.									
<b>Annexes, ICAO Documents and other Material or Organizations referenced in Annex 9</b>									
Annex 2 — Rules of the Air					Annex 6 — Operation of Aircraft				
Annex 11 — Air Traffic Services					Annex 12 — Search and Rescue				
Annex 13 — Aircraft Accident and Incident Investigation					Annex 14 — Aerodromes, <i>Volume I</i> — Aerodrome Design and Operations				
Annex 15 — Aeronautical Information Services					Annex 17 — Security: Safeguarding International Civil Aviation Against Acts of Unlawful Interference				
Annex 18 — The Safe Transport of Dangerous Goods by Air									
Doc 4444, Procedures for Air Navigation Services — Air Traffic Management (PANS-ATM)					Doc 8973 (Restricted), Aviation Security Manual				

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Doc 9249, Dynamic Flight-Related Information Displays	Doc 9284, Technical Instructions for the Safe Transport of Dangerous Goods by Air
Doc 9303, Machine Readable Travel Documents	Doc 9433, Manual concerning Interception of Civil Aircraft
Doc 9554, Manual Concerning Safety Measures Relating to Military Activities Potentially Hazardous to Civil Aircraft Operations	Doc 9944, Guidelines on Passenger Name Record (PNR) Data
Doc 9957, The Facilitation Manual	Doc 9973, Manual on Assistance to Aircraft Accident Victims and their Families
Doc 9998, ICAO Policy on Assistance to Aircraft Accident Victims and their Families	Doc 10042, Model National Air Transport Facilitation Programme
Circular 288, Guidance Material on the Legal Aspects of Unruly/Disruptive Passengers	
FAO = Food and Agriculture Organization	IATA = International Air Transport Association
IMO = International Maritime Organization	IOE = International Office of Epizootics
INTERPOL = International Police Organization	UN International Covenant on Civil and Political Rights
UNDHA = United Nations Department of Humanitarian Affairs	UN/EDIFACT = United Nations rules for Electronic Data Interchange For Administration, Commerce and Transport
UNSCR = United Nations Security Council Resolution	UPU = Universal Postal Union
WCO = World Customs Organization WCO's International Convention on the Simplification and Harmonization of Customs procedures ("revised Kyoto Convention", 1999) WCO AEO = WCO's Authorized Economic Operator	
WHO = World Health Organization IHR = International Health Regulations (2005) of the WHO	

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<b>CHAPTER 1: Definitions and general principles</b>									
<b>A. Definitions</b>									
1	Accompanying person	•		•			•		See: 3.83; Other public authority, as appropriate
2	Admission			•					3.67; 3.71; 5.23; (5.28); 8.3.2; Appendix 9 Also see: Inadmissible person; Article 13, Chicago Convention.
3	Advance Passenger Information (API) System		•	•		•	•		See: 9.1; 9.5; 9.14; 9.17; Other authority responsible for receiving API.

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4	Aircraft equipment		•						See: 4.42; 4.44 Article 24, Chicago Convention
5	Aircraft operator	•	•	•		•	•	•	Referenced throughout the Annex
6	Aircraft operators' documents		•						See: 4.45
7	Airline	•	•	•		•	•	•	See: 2.3; 3.30; 3.37; 8.2; 8.25; 9.5; 9.17; 9.22.1; Appendices 6, 13
8	Authorized agent	•	•	•		•	•		See: 2.12; 2.21; 2.22; 4.14; 4.26; 4.31; 4.34; 4.35; 4.37; 4.41; 4.42; 4.43; 4.44; 4.48; Appendix 1; Other public authority, as appropriate
9	Authorized Economic Operator		•				•	•	See: 4.9.1; 4.9.2
10	Automated Border Control (ABC)	•		•				•	See: 3.34.4; 3.34.5; 3.34.6
11	Baggage		•						See: 3.39; 3.48; 3.53; 3.54; 3.60; 3.61; 6.1.2; 6.8; 6.17; 6.22; 6.23; 6.24; 6.27; 6.37; 6.43; 8.36; Appendices 6, 11 Also see: cargo, mishandled baggage, unaccompanied baggage, unclaimed baggage, unidentified baggage.
12	Border integrity	•	•	•		•			See: 3.2; 6.1.4
13	Cargo	•	•			•	•	•	Referenced throughout the Annex; Appendices 3, 4, 10, 11, 12 Articles 10, 13, 22, 24, 35: Chicago Convention Annex 18; Doc 9284 Also see: revised Kyoto Convention, 1999; Acts of the Universal Postal Union
14	Civil aviation inspector	•		•	•				See: 3.68; 3.69; 3.70; 3.71; Appendix 8 Other public authorities, as appropriate
15	Clearance of goods		•						See: 1.2; 1.3; 4.1; 4.3; 4.18; 4.21; 4.29 Also see: Release of goods
16	Commencement of journey			•					See: 3.74; 5.6; 5.7; 5.11; 5.12;
17	Commissary supplies		•						See: 4.38; 4.39; 4.40 Also see: aircraft equipment
18	Crew member		•	•	•		•		See: 2.44; 3.43; 3.44; 3.45; 3.46; 3.61; 3.62; 3.63;

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									3.63.1; 3.64; 3.64.1; 3.66; 3.66.1; 3.66.2; 3.68; 3.71; 3.72; 7.2; 9.6; Appendices 1, 7. Also see: flight crew member; pilot-in-command
19	Declarant		•					•	See: 4.14; 4.15 Other person or entity, as appropriate See also: Authorized Economic Operator
20	Deportation order			•					See: 5.17; 5.19
21	Deportee			•	•				See: 5.1; 5.2; 5.2.1; 5.17-5.24; 5.26-5.29 Also see: Deportation order, Escort, Risk assessment Other public authority, as appropriate
22	Direct transit area	•	•	•		•		•	See: 2.36; 3.34; 3.54; 3.73; 3.75; 3.77; 5.2; 5.6; 5.7; 5.14; 5.18.2; 5.21; 5.24; 6.26; 6.38; 8.11; 9.5 Also see: Commencement of journey, direct transit arrangements, immigration control, necessary precautions,
23	Direct transit arrangements	•	•	•		•		•	See: 2.36; 3.34; 3.54; 3.73; 3.75; 3.77; 5.2; 5.6; 5.7; 5.14; 5.18.2; 5.21; 5.24; 6.38; 8.8; 8.10; 8.11; 9.5 Also see: Commencement of journey, direct transit area, immigration control, necessary precautions,
24	Disembarkation	•	•	•		•	•		See: 2.39; 3.28; 3.29; 3.30; 3.40; 3.43; 6.6; 6.25; Appendices 2, 5
25	Disinfection					•	•	•	See: 2.31; Appendix 12
26	Disinsection					•	•		See: 2.23; 2.24; 2.25; 2.26; 2.27; 2.28; 2.29; 2.30; Appendices 1, 4, 12
27	Electronic Travel Systems (ETS)			•			•		See: 9.17; 9.18; 9.19; 9.20; 9.21
28	Embarkation	•		•			•		See: 2.39; 3.28; 3.29; 3.30; 3.34; 6.6; 6.25; Appendices 2, 5 Also see: Necessary precautions; Through-flight;
29	eMRTD			•	•				See: 3.9.1; 3.9.2; 3.34.5; Also see: ICAO Public Key Directory
30	Escort			•			•		See: 5.2; 5.6; 5.7; 5.19; 5.24 Also see: Risk assessment

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31	Flight crew member	•					•		See: 3.62
32	Free zone		•						See: 4.8; 4.9
33	General aviation operation	•	•	•		•		•	See: 2.33-2.46; 6.19; 9.6
34	Ground equipment	•	•				•		See: 4.41; 4.43; 4.44; 8.2
35	ICAO Public Key Directory (ICAO PKD)			•	•				See: 3.9.1; 3.9.2
36	Immigration control			•					See: 2.36; 3.2; 3.41; 6.1.3; 6.42; 6.43; 8.1; Appendices 9, 11, 12 Also see: International airport Annex 15
37	Import duties and taxes		•						See: 3.56; 3.60; 4.29; 4.37; 4.38; 4.40; 4.41; 4.42; 4.44; 4.45; 4.52; Appendix 6 Also see: Free zone, Temporary admission
38	Imposter			•					See: 3.34.3; Appendix 9
39	Improperly documented person			•			•		See: 5.9; 5.14 Also see: Necessary precautions
40	Inadmissible person			•	•		•		See: 5.1; 5.2; 5.2.1; 5.3-5.16; 5.25; 5.26-5.29; Appendix 9 Also see: Escort, Removal order Other public authority, as appropriate
41	Interactive API (iAPI) system		•	•			•		See: 9.1; 9.14; 9.17
42	International airport	•	•	•		•	•	•	Applies throughout the Annex
43	Lading	•	•				•		See: 2.20; 4.50; Appendix 3 Also see: Unlading
44	Mail	•	•			•			See: 2.18; 2.20; 2.39; 4.55; 6.1.2; 6.31-6.34; 6.37; 7.4.4; 8.18 Also see: Authorized agent, Cargo, Disinsection, Lading, Unlading
45	Minor	•	•	•		•	•		See: 3.74; 3.78-3.84; 5.11.2; 5.18.2 Also see: Accompanying person, Unaccompanied minor Other public authority
46	Mishandled		•				•	•	See: 2.17; 3.56-3.60; 6.28

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	baggage								Also see: Cargo Aviation security or other necessary controls
47	Narcotics control	•	•						See: 2.2; 2.3; 2.42; 3.2; Appendices 11, 12 World Customs Organization
48	Necessary precautions		•	•			•		See: 3.34; 5.14
49	Passenger amenities	•						•	See: 6.46-6.50
50	Passenger Data Single Window		•	•					See: 9.1 Also see: 9.7 Other agencies that require passenger data
51	Person with disabilities	•	•	•			•	•	See: 3.74; 8.22-8.40.1
52	Pilot-in-command	•	•	•		•	•		See: 2.12; 5.19.1; 7.1; 7.2; 7.3.3; 7.4.1; 8.15; Appendix 1
53	Public authorities	•	•	•		•			Referenced throughout the Annex
54	Public health emergency of international concern	•				•			See: 2.5; 8.16 Also see: International airport; Public health risk; 2.4; 2.4.1; 2.5.1; 2.23; 6.35; 6.37; 6.43; 8.1; 8.4; 8.15; 8.15.1; Appendices 12, 13 IHR
55	Public health risk	•				•			See: 2.5; 8.15; 8.16 Also see: International airport; Public health emergency of international concern; 2.4; 2.4.1; 2.5.1; 2.23; 6.35; 6.37; 6.43; 8.1; 8.4; 8.15.1; Appendices 12, 13
56	Release of goods		•						See: 1.2; 1.3; 4.1; 4.3; 4.4; 4.5; 4.11; 4.11.1; 4.12; 4.18; 4.21 Also see: Clearance of goods
57	Relief flights	•	•	•		•			See: 8.8; 8.9 Annex 11; Doc 9433; Doc 9554 UNDHA
58	Removal of a person			•			•		See: 5.2; 5.4; 5.6; 5.7; 5.8; 5.9; 5.9.1; 5.10; 5.11.2; 5.18; 5.18.2; 5.19; 5.19.2; 5.20; 5.21; 5.25 Also see: Deportee; Inadmissible person Other public authority
59	Removal order			•			•		See: 5.2; 5.5; 5.6; 5.7

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									Also see: Inadmissible person Other public authority
60	Risk assessment			•			•		See: 5.19 Also see: Deportee
61	Risk management		•	•		•			See: 1.3; 3.49; 4.6; 4.9.1 Other border inspection agency
62	Security equipment	•	•				•		See: 4.41; 4.43; 4.44; 8.2
63	Single Window		•						See: 4.17.1 Also see: 2.34
64	Spare parts	•	•						See: 4.42; 4.44; 8.2; 8.4; 8.5; 8.6 Also see: Aircraft equipment Article 24, Convention
65	State of Registry	•	•	•		•			See: —
66	Stores (Supplies)	•	•				•		See: 2.15; 2.16; 2.20; 4.38; 4.39; 4.40; 6.37; 7.4.3; 8.6; 8.18 Also see: Aircraft equipment; Authorized agent; Cargo; Lading; Unlading
67	Stores (Supplies) for consumption	•	•				•		See: 2.15; 2.16; 2.20; 4.38; 4.39; 4.40; 6.37; 7.4.3; 8.6; 8.18 Also see: Aircraft equipment; Authorized agent; Cargo; Lading; Unlading
68	Stores (Supplies) to be taken away	•	•				•		See: 2.16; 2.20; 4.39; 6.37; 7.4.3; 8.6; 8.18 Also see: Aircraft equipment; Authorized agent; Cargo; Lading; Unlading
69	Temporary admission		•						See: 4.46; 4.47; 4.54 Also see: 8.4; 8.6 [Note: This term applies exclusively to the entry of goods and should not be confused with the term “temporary entry” (undefined) used for the entry of passenger.]
70	Through-flight	•	•	•		•	•		See: Appendix 1 Also see: Commencement of journey; Disembarkation; Embarkation Also see: Immigration control
71	Travel document		•	•	•				See: Chapter 3; Chapter 5; 6.47; 8.3.1; 8.43; 8.44; 9.8; Appendices 2, 5, 9, 12

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									Also see: Automated Border Control; eMRTD; Imposter; Improperly documented person; Necessary precautions Doc 9303
72	Unaccompanied baggage		•				•		See: 4.28; 7.4.3 Also see: Cargo
73	Unaccompanied minor	•	•	•			•	•	See: 3.74; 3.78; 3.82; 3.84; 5.11.2; 5.18.2 Also see: Minor Other public authority
74	Unclaimed baggage	•	•				•	•	See: 3.58; 3.59; 6.28
75	Unidentified baggage	•	•				•	•	See: 3.58; 3.59; 6.28
76	Unlading	•	•						See: 2.20; Appendix 3 Also see: Lading
77	Visitor		•	•		•			See: 3.5; 3.6; 3.18; 3.20; 3.23; 3.25; 3.27; 3.28; 3.29; 3.35; 3.47; 3.50; Appendices 12, 13

#### B. General Principles

78	Std 1.1	1.1	1.1	1.1		1.1	1.1	1.1	
79	Std 1.2	1.2	1.2	1.2		1.2	1.2 c	1.2 c	
80	Std 1.3		1.3						
81	Std 1.4	1.4	1.4	1.4		1.4			
82	Std 1.5								AS or other necessary controls
83	RP 1.6		1.6	1.6			1.6		

### Chapter 2. Entry and Departure of Aircraft

#### A. General

84	Std 2.1	2.1	2.1	2.1		2.1			
85	Std 2.2	2.2	2.2	2.2					AS, NC measures
86	RP 2.3	2.3	2.3				2.3		NC; WCO narcotics control model
87	Std 2.4	2.4				2.4			WHO IHR
88	RP 2.4.1	2.4.1				2.4.1			WHO
89	Std 2.5	2.5				2.5			WHO IHR Art 43
90	RP 2.5.1	2.5.1				2.5.1			

#### B. Documents — requirements and use

91	Std 2.6	2.6	2.6	2.6		2.6			
92	Std 2.7	2.7							



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121	Std 2.36	2.36	2.36	2.36		2.36			
II. Prior authorization									
122	Std 2.37	2.37							foreign affairs
123	Std 2.38	2.38							
124	RP 2.39	2.39	2.39	2.39					
125	RP 2.39.1	2.39.1							Annex 15
126	Std 2.40	2.40							Annex 2
127	Std 2.41	2.41							
III. Advance notification of flight									
128	Std 2.42	2.42	2.42	2.42		2.42			ATC; NC
129	Std 2.43	2.43							
IV. Clearance and sojourn of aircraft									
130	RP 2.44	2.44	2.44	2.44		2.44			
131	RP 2.45	2.45	2.45	2.45		2.45			
132	Std 2.46	2.46	2.46						Article 24, Convention

### CHAPTER 3. ENTRY AND DEPARTURE OF PERSONS AND THEIR BAGGAGE

#### A. General

133	Std 3.1	3.1	3.1	3.1		3.1			
134	Std 3.2	3.2	3.2	3.2		3.2			AS, NC
135	Std 3.3				3.3				
136	Std 3.4				3.4				Doc 9303

#### B. Documents required for travel

137	Std 3.5		3.5	3.5		3.5			
138	Std 3.6		3.6	3.6					

#### C. Security of travel documents

139	Std 3.7				3.7				
140	Std 3.8				3.8				
141	Std 3.8.1				3.8.1				
142	RP 3.9				3.9				Doc 9303
143	RP 3.9.1				3.9.1				
144	RP 3.9.2			3.9.2					
145	Std 3.10			3.10	3.10				INTERPOL
146	RP 3.10.1			3.10.1					INTERPOL

#### D. Travel documents

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147	Std 3.11				3.11				Doc 9303, 1 Other relevant agency
148	Std 3.11.1				3.11.1				Other relevant agency
149	Std 3.12				3.12				Doc 9303 Refugee agency Other relevant agency
150	RP 3.13				3.13				Doc 9303
151	RP 3.14				3.14				
152	Std 3.15				3.15				
153	RP 3.15.1				3.15.1				
154	Std 3.16				3.16				
155	RP 3.17				3.17				
<b>E. Exit visas</b>									
156	Std 3.18				3.18				
157	RP 3.19				3.19				
<b>F. Entry/re-entry visas</b>									
158	RP 3.20			3.20					
159	Std 3.21			3.21					
160	RP 3.22			3.22					
161	Std 3.23				3.23				
162	RP 3.24				3.24				
163	Std 3.25				3.25				
164	RP 3.26				3.26				Doc 9303
<b>G. Embarkation/Disembarkation Cards</b>									
165	RP 3.27			3.27					
166	Std 3.28			3.28					Appendix 5
167	Std 3.29			3.29					
168	Std 3.30			3.30			3.30		
<b>H. International certificates of vaccination or prophylaxis</b>									
169	Std 3.31					3.31			WHO IHR
<b>I. Inspection of travel documents</b>									
170	Std 3.32			3.32	3.32?				
171	RP 3.33			3.33					
172	Std 3.34						3.34		
173	Std 3.34.1			3.34.1	3.34.1				Foreign affairs LE

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174	Std 3.34.2			3.34.2					
175	Std 3.34.3			3.34.3					
176	RP 3.34.4	3.34.4?		3.34.4					
177	RP 3.34.5			3.34.5					INTERPOL
178	RP 3.34.6	3.34.6?		3.34.6					

**J. Departure procedures**

179	Std 3.35			3.35					Tax agency
180	Std 3.36			3.36					Tax agency
181	RP 3.37	3.37	3.37	3.37		3.37			AS
182	RP 3.38			3.38					
183	Std 3.39		3.39						

**K. Entry procedures and responsibilities**

184	RP 3.40		3.40	3.40		3.40			
185	Std 3.41			3.41					
186	Std 3.42			3.42					
187	Std 3.43			3.43					
188	Std 3.44						3.44		
189	RP 3.45			3.45					
190	Std 3.46			3.46			3.46		
191	Std 3.47			3.47					
192	Std 3.48		3.48						
193	Std 3.49		3.49			3.49			Appendix 6 WCO
194	RP 3.50			3.50					
195	Std 3.51			3.51					
196	Std 3.52			3.52					
197	RP 3.53		3.53	3.53		3.53			

**L. Transit procedures and requirements**

198	Std 3.54	3.54		3.54					
199	Std 3.55	3.55		3.55					

**M. Disposition of baggage separated from its owner**

200	Std 3.56		3.56						
201	Std 3.57	3.57	3.57						AS
202	Std 3.58		3.58						
203	Std 3.59		3.59						

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204	Std 3.60		3.60						
<b>N. Identification and entry of crew and other aircraft operators' personnel</b>									
205	Std 3.61		3.61	3.61					
206	Std 3.62				3.62				
207	Std 3.63				3.63				Doc 9303
208	RP 3.63.1				3.63.1				
209	RP 3.64	3.64			3.64		3.64		Appendix 7
210	RP 3.64.1				3.64.1				
211	Std 3.65				3.65				
212	Std 3.66			3.66					
213	RP 3.66.1			3.66.1					
214	RP 3.66.2			3.66.2					
215	Std 3.67	3.67		3.67					
<b>O. Civil aviation inspectors</b>									
216	RP 3.68			3.68					
217	RP 3.69	3.69			3.69				Appendix 8
218	RP 3.70	3.70							
219	RP 3.71			3.71					
<b>P. Emergency assistance/entry visas in case of force majeure</b>									
220	RP 3.72			3.72					
221	Std 3.73			3.73					
222	RP 3.74	3.74					3.74	3.74	
223	RP 3.75			3.75					
224	RP 3.76	3.76		3.76					
225	RP 3.77			3.77					
<b>Q. Minors</b>									
226	RP 3.78	3.78	3.78	3.78					
227	RP 3.79	3.79							
228	RP 3.80	3.80					3.80		
229	RP 3.81	3.81							
230	RP 3.82	3.82							
231	Std 3.83	3.83							
232	Std 3.84	3.84							

**CHAPTER 4. ENTRY AND DEPARTURE OF CARGO AND OTHER ARTICLES**

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A. General									
233	Std 4.1		4.1						
234	RP 4.2		4.2						
235	Std 4.3		4.3						
236	Std 4.4		4.4						
237	Std 4.5		4.5			4.5			Veterinary, sanitary agencies
238	Std 4.6		4.6						
239	Std 4.7		4.7						
240	RP 4.8		4.8						
241	Std 4.9		4.9						
242	RP 4.9.1		4.9.1						WCO AEO
243	RP 4.9.2		4.9.2						WCO AEO
B. Information required by the public authorities									
244	RP 4.10		4.10						
245	Std 4.11		4.11						
246	RP 4.11.1		4.11.1						
247	Std 4.12		4.12						
248	Std 4.13		4.13						Appendix 3
249	Std 4.14		4.14			4.14			Appendix 3
250	Std 4.15		4.15						
251	Std 4.16		4.16						Appendices 3, 10
252	Std 4.17		4.17						
253	RP 4.17.1		4.17.1						
254	RP 4.17.2		4.17.2						
255	RP 4.18		4.18						
256	Std 4.19		4.19						
257	RP 4.20		4.20						
258	Std 4.21		4.21						Consular formalities, charges, fees
C. Release and clearance of export and import cargo									
259	Std 4.22		4.22						
260	Std 4.23		4.23						
261	Std 4.24		4.24						
262	Std 4.25		4.25						
263	RP 4.26		4.26			4.26			
264	Std 4.27		4.27						

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265	Std 4.28		4.28						
266	Std 4.29		4.29						
267	RP 4.30		4.30						Authorized persons: WCO's Kyoto Convention
268	RP 4.30.1		4.30.1						
269	RP 4.31		4.31						
270	RP 4.32		4.32						
271	Std 4.33		4.33						
272	Std 4.34		4.34						Appendix 3
273	Std 4.35		4.35						Appendix 3
274	Std 4.36		4.36						
275	Std 4.37		4.37						

**D. Spare parts, equipment, stores and other material imported or exported by aircraft operators in connection with international services**

276	Std 4.38		4.38						
277	RP 4.39		4.39						Consular invoices
278	RP 4.40		4.40						
279	RP 4.41		4.41						
280	Std 4.42		4.42						Art. 24, Convention
281	Std 4.43		4.43						
282	Std 4.44		4.44						
283	RP 4.45		4.45						

**E. Containers and pallets**

284	Std 4.46		4.46						
285	RP 4.47		4.47						
286	RP 4.48		4.48						
287	Std 4.49		4.49						
288	Std 4.50		4.50						
289	Std 4.51		4.51						
290	Std 4.52		4.52						
291	Std 4.53		4.53						
292	Std 4.54		4.54						

**F. Mail documents and procedures**

293	Std 4.55		4.55						(National) Postal agency UPU
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**G. Radioactive material**

294	Std 4.56		4.56						
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295	RP 4.57		4.57						Doc 9284
296	Std 4.58		4.58						Doc 9284; Annex 18

**CHAPTER 5. INADMISSIBLE PERSONS AND DEPORTEES**

**A. General**

297	Std 5.1	5.1		5.1					
298	Std 5.2			5.2					
299	Std 5.2.1			5.2.1					UN International Covenant on Civil and Political Rights

**B. Inadmissible persons**

300	Std 5.3			5.3					
301	Std 5.4			5.4					National refugee agency
302	Std 5.5			5.5					
303	Std 5.6			5.6					
304	Std 5.7			5.7					
305	Std 5.8			5.8					
306	Std 5.9						5.9		
307	Std 5.9.1			5.9.1					
308	Std 5.10						5.10		
309	Std 5.11						5.11		
310	RP 5.11.1			5.11.1					
311	Std 5.11.2			5.11.2					
312	Std 5.12			5.12					
313	Std 5.13			5.13					
314	Std 5.14			5.14					Doc 9303; Doc 9957
315	RP 5.15			5.15					
316	Std 5.16			5.16					

**C. Deportees**

317	Std 5.17			5.17					
318	Std 5.18			5.18					
319	RP 5.18.1			5.18.1			5.18.1		
320	Std 5.18.2			5.18.2					
321	Std 5.19			5.19					Annex 17
322	Std 5.19.1						5.19.1		Doc 8973
323	Std 5.19.2			5.19.2					

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324	Std 5.20			5.20					
325	Std 5.21			5.21					
326	Std 5.22			5.22					
327	Std 5.23			5.23					
328	Std 5.24			5.24					

**D. Procurement of a replacement travel document**

329	Std 5.25			5.25					
330	Std 5.26				5.26				
331	Std 5.27				5.27				
332	Std 5.28				5.28				
333	Std 5.29				5.29				

**CHAPTER 6. INTERNATIONAL AIRPORTS — FACILITIES AND SERVICES FOR TRAFFIC**

**A. General**

334	Std 6.1	6.1						6.1	
335	RP 6.1.1	6.1.1						6.1.1	
336	Std 6.1.2	6.1.2					6.1.2	6.1.2	
337	Std 6.1.3	6.1.3	6.1.3	6.1.3		6.1.3			
338	Std 6.1.4	6.1.4						6.1.4	Annex 17
339	Std 6.2	6.2					6.2		Relevant agencies; Bodies representing airport users
340	RP 6.3	6.3	6.3	6.3		6.3	6.3	6.3	
341	RP 6.4	6.4							
342	RP 6.5	6.5						6.5	Ground-handling operators

**B. Airport traffic flow arrangements**

**I. Common provisions**

343	Std 6.6	6.6						6.6	
344	RP 6.7	6.7	6.7	6.7		6.7	6.7	6.7	
345	RP 6.8	6.8					6.8	6.8	
346	RP 6.9	6.9							Doc 9636 IMO
347	RP 6.9.1	6.9.1	6.9.1				6.9.1	6.9.1	
348	RP 6.10	6.10						6.10	
349	RP 6.11	6.11					6.11	6.11	
350	RP 6.12	6.12					6.12	6.12	Doc 9249

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351	RP 6.13	6.13						6.13	Parking service provider
II. Aircraft parking and servicing arrangements									
352	RP 6.14	6.14							
III. Outbound passengers, crew and baggage									
353	RP 6.15	6.15							
354	RP 6.16	6.16					6.16	6.16	AS
355	RP 6.17	6.17							AS
356	RP 6.18	6.18							
357	RP 6.19	6.19	6.19	6.19		6.19		6.19	
358	Std 6.20	6.20							
IV. Inbound passengers, crew and baggage									
359	Std 6.21	6.21						6.21	
360	RP 6.22	6.22						6.22	
361	RP 6.23	6.23						6.23	
362	RP 6.24	6.24						6.24	
V. Transit and transfer of passengers and crew									
363	RP 6.25	6.25							
364	RP 6.26	6.26					6.26	6.26	
VI. Miscellaneous facilities and services in passenger and terminal buildings									
365	RP 6.27	6.27						6.27	AS
366	RP 6.28	6.28					6.28	6.28	
367	RP 6.29	6.29						6.29	
368	RP 6.29.1	6.29.1						6.29.1	
369	RP 6.30	6.30						6.30	
VII. Cargo and mail handling and clearance facilities									
370	RP 6.31	6.31						6.31	
371	RP 6.32	6.32						6.32	
372	RP 6.33	6.33				6.33	6.33	6.33	Sanitary authorities
373	RP 6.34	6.34					6.34	6.34	
<b>C. Facilities required for implementation of public health, emergency medical relief, and animal and plant quarantine measures</b>									
374	Std 6.35	6.35				6.35		6.35	
375	Std 6.36	6.36				6.36			Vaccination facilities, services
376	RP 6.37	6.37				6.37		6.37	
377	RP 6.38	6.38				6.38		6.38	Animal quarantine
378	Std 6.39	6.39				6.39	6.39	6.39	WHO IHR; FAO

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379	Std 6.40	6.40				6.40	6.40	6.40	WHO IHR; FAO
380	Std 6.41	6.41						6.41	WHO

**D. Facilities required for clearance controls and operation of control services**

381	Std 6.42	6.42	6.42	6.42		6.42			Annex 15
382	RP 6.43	6.43	6.43	6.43		6.43			Foreign affairs; animal and plant quarantine

**E. Unruly passengers**

383	Std 6.44	6.44							
384	Std 6.45	6.45							ICAO Cir 288

**F. Passenger amenities**

385	RP 6.46	6.46						6.46	
386	RP 6.47	6.47							Finance/banking
387	RP 6.48	6.48							Finance/banking
388	RP 6.49	6.49						6.49	Ground transportation service providers
389	Std 6.50	6.50							Finance/banking

**CHAPTER 7. LANDING ELSEWHERE THAN AT INTERNATIONAL AIRPORTS**

**A. General**

390	Std 7.1	7.1	7.1	7.1		7.1			
391	Std 7.2							7.2	

**B. Short stopover**

392	Std 7.3								
393	Std 7.3.1	7.3.1	7.3.1						
394	Std 7.3.2	7.3.2	7.3.2	7.3.2		7.3.2			
395	Std 7.3.3	7.3.3						7.3.3	ATC

**C. No resumption of flight**

396	Std 7.4								
397	Std 7.4.1	7.4.1						7.4.1	
398	Std 7.4.2	7.4.2	7.4.2	7.4.2		7.4.2	7.4.2		
399	Std 7.4.3	7.4.3	7.4.3					7.4.3	
400	Std 7.4.4	7.4.4							(National) Postal agency; UPU

**CHAPTER 8. FACILITATION PROVISIONS COVERING SPECIFIC SUBJECTS**

**A. Bonds and exemption from requisition or seizure**

401	RP 8.1	8.1	8.1	8.1		8.1			Finance; animal and plant quarantine
402	RP 8.2	8.2	8.2						Art. 27, Convention; Finance; Law

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**B. Facilitation of search, rescue, accident investigation and salvage**

403	Std 8.3	8.3		8.3					Article 25, Convention; Annex 12; Annex 13; Agencies responsible search, rescue, accident investigation, repair, salvage
404	Std 8.3.1			8.3.1					
405	RP 8.3.2			8.3.2	8.3.2				
406	RP 8.3.3	8.3.3	8.3.3	8.3.3		8.3.3			
407	Std 8.4	8.4	8.4			8.4			
408	Std 8.5	8.5	8.5						
409	Std 8.6	8.6	8.6						
410	Std 8.7	8.7	8.7						

**C. Relief flights following natural and man-made disasters which seriously endanger human health or the environment, and similar emergency situations where United Nations (UN) assistance is required**

411	Std 8.8	8.8							Annex 11 Doc 9554; Doc 9433 UNDHA National disaster relief agency
412	Std 8.9	8.9	8.9	8.9		8.9			

**D. Marine pollution and safety emergency operations**

413	Std 8.10	8.10							Maritime safety, environment
414	Std 8.11	8.11	8.11	8.11		8.11			

**E. Implementation of international health regulations and related provisions**

415	Std 8.12	8.12				8.12			WHO IHR
416	Std 8.13					8.13			WHO IHR
417	Std 8.14	8.14				8.14	8.14		WHO IHR
418	Std 8.15					8.15	8.15		Annex 6; Doc 4444
419	RP 8.15.1					8.15.1			Appendix 13

**F. Communicable disease outbreak national aviation plan**

420	Std 8.16	8.16				8.16		8.16	Annex 11; Annex 14, Vol. 1 Aviation medicine
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**G. Establishment of national facilitation programmes**

421	Std 8.17	8.17	8.17	8.17		8.17			Other agencies, as required
422	Std 8.18	8.18	8.18	8.18		8.18			Other agencies, as required
423	RP 8.18.1	8.18.1							Appendix 12 Doc 10042
424	Std 8.19	8.19	8.19	8.19		8.19	8.19	8.19	
425	RP 8.20	8.20							AS
426	RP 8.21	8.21							Appendices 11, 12

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**H. Facilitation of the transport of persons with disabilities**

**I. General**

427	RP 8.22	8.22	8.22	8.22		8.22	8.22	8.22	Persons with disabilities (“reduced mobility”) agency
428	RP 8.23	8.23	8.23	8.23		8.23	8.23	8.23	
429	RP 8.24	8.24					8.24	8.24	Persons with disabilities (“reduced mobility”) agency; Ground handling operators
430	RP 8.25	8.25					8.25	8.25	
431	RP 8.26	8.26					8.26	8.26	

**II. Access to airports**

432	Std 8.27	8.27						8.27	Persons with disabilities (“reduced mobility”) agency
433	RP 8.28	8.28							
434	RP 8.29	8.29							
435	RP 8.30	8.30							
436	RP 8.31	8.31							
437	RP 8.32	8.32							
438	RP 8.33	8.33							

**III. Access to air services**

439	Std 8.34	8.34							Persons with disabilities (“reduced mobility”) agency Annex 18; Doc 9284
440	RP 8.35	8.35							
441	RP 8.36	8.36							
442	RP 8.37	8.37							
443	Std 8.38	8.38							
444	RP 8.39	8.39							
445	RP 8.40	8.40							
446	RP 8.40.1	8.40.1							

**I. Assistance to aircraft accident victims and their families**

447	Std 8.41	8.41		8.41					
448	Std 8.42	8.42		8.42			8.42		
449	RP 8.43			8.43	8.43				

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450	Std 8.44			8.44	8.44				
451	Std 8.45	8.45	8.45			8.45	8.45		
452	RP 8.46	8.46	8.46	8.46		8.46			Doc 9973; Doc 9998

### CHAPTER 9. PASSENGER DATA EXCHANGE SYSTEMS

#### A. General

453	RP 9.1	9.1	9.1	9.1					All agencies interested in receiving API, iAPI and PNR data.
454	RP 9.2	9.2				9.2			
455	RP 9.3	9.3				9.3			
456	RP 9.4	9.4				9.4			

#### B. Advance Passenger Information (API)

457	Std 9.5	9.5	9.5	9.5					UNSCR 2178 (2014)
458	Std 9.6	9.6	9.6	9.6					UN/EDIFACT
459	RP 9.7	9.7	9.7	9.7					All agencies interested in receiving API and iAPI data
460	Std 9.8	9.8	9.8	9.8					Doc 9303 UN/EDIFACT
461	Std 9.9	9.9	9.9	9.9					Doc 9303 UN/EDIFACT
462	RP 9.10	9.10	9.10	9.10					
463	Std 9.11	9.11	9.11	9.11					
464	RP 9.12	9.12	9.12	9.12					
465	Std 9.13	9.13	9.13	9.13					
466	RP 9.14	9.14	9.14	9.14					
467	RP 9.15	9.15	9.15	9.15					
468	RP 9.16	9.16	9.16	9.16					

#### C. Electronic Travel Systems (ETS)

469	RP 9.17	9.17	9.17	9.17					
470	RP 9.18	9.18	9.18	9.18					
471	RP 9.19	9.19	9.19	9.19					
472	RP 9.20	9.20	9.20	9.20					
473	RP 9.21	9.21	9.21	9.21					

#### D. Passenger Name Record (PNR) Data

474	Std 9.22	9.22	9.22	9.22					Doc 9944 PNRGOV Message Implementation Guide
475	Std 9.22.1	9.23	9.23	9.23					PNRGOV Message

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476	RP 9.23	9.24	9.24	9.24					
<b>APPENDICES</b>									
477	Appendix 1. General Declaration	App 1	App 1	App 1		App 1	App 1		
478	Appendix 2. Passenger Manifest	App 2	App 2	App 2		App 2			
479	Appendix 3. Cargo Manifest	App 3	App 3						
480	Appendix 4. Certificate of Residual Disinsection	App 4				App 4	App 4		
481	Appendix 5. Embarkation / Disembarkation Card			App 5					
482	Appendix 6. Recommendation of the Customs Cooperation Council		App 6						
483	Appendix 7. Crew Member Certificate	App 7			App 7		App 7		
484	Appendix 8. Civil Aviation Inspector Certificate	App 8			App 8				
485	Appendix 9. Suggested Formats for Documents Relating to the Return of Inadmissible Persons			App 9					

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486	Appendix 10. United Nations Layout Key for Trade Documents		App 10						
487	Appendix 11. Model Airport Facilitation (FAL) Programme	App 11							
488	Appendix 12. Model National FAL Programme	App 12							
489	Appendix 13. Public Health Passenger Locator Form					App 13			

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