**International Civil Aviation Organization (ICAO) Carbon Offsetting and Reduction**

**Scheme for International Aviation (CORSIA)**

***Re-application Form for Emissions Unit Programmes***

***seeking eligibility to supply units to
the CORSIA 2027 – 2029 compliance period***

***(Version 1, January 2025)***

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**SECTION I: ABOUT THE ASSESSMENT OF RE-APPLICATIONS**

**Background**

ICAO Member States and the aviation industry are implementing the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA). Together with other mitigation measures, CORSIA will help achieve international aviation’s aspirational goal of carbon neutral growth from the year 2020. Aeroplane operators will meet their offsetting requirements under CORSIA by purchasing and cancelling CORSIA eligible emissions units. The ICAO Council determines CORSIA eligible emissions units upon recommendations by its Technical Advisory Body (TAB) and consistent with the CORSIA Emissions Unit Eligibility Criteria (EUC).

In March 2019, the ICAO Council unanimously approved the ICAO Document *CORSIA Emissions Unit Eligibility Criteria* for use by TAB in undertaking its tasks[[1]](#footnote-1). TAB’s assessment of emissions unit programmes is undertaken annually[[2]](#footnote-2). The results of ICAO Council decisions that take account of these recommendations are contained in the ICAO Document *CORSIA Eligible Emissions Units*[[3]](#footnote-3). At present, six Emissions Unit Programmes are eligible to supply CORSIA-eligible Emissions Units for the 2024-2026 compliance period (the CORSIA ‘first phase’).

In March 2020, the ICAO Council requested TAB to monitor and review the continued eligibility of emissions unit programmes that the Council determined to be eligible under CORSIA. In view of the Council’s request, and in line with TAB Procedures[[4]](#footnote-4), TAB agreed to re-assess all CORSIA-eligible Emissions Unit Programmes and present recommendations to the Council a year prior to the starting date of the next compliance period. Therefore, in 2025, TAB will re-assess all CORSIA eligible programmes and present its recommendations to ICAO Council regarding the possible extension of their eligibility timeframes beyond the 2024-2026 compliance cycle.

ICAO invites emissions unit programmes[[5]](#footnote-5) already eligible for the first phase to apply to TAB’s 2025 re-assessment cycle, which will make recommendations on their eligibility to supply CORSIA-Eligible Emissions Units for the **2027-2029 compliance period** (part of the CORSIA ‘second phase’). Any interested programme should provide the updated information requested through this Re-application form and its Appendices, as well as supplementary materials and evidence as applicable. In undertaking this work, TAB may also ask programmes to provide specific examples illustrating how programme procedures or systems perform in practice.

This re-assessment will be conducted during TAB’s 2025 annual assessment cycle, according to the TAB Terms of Reference, TAB Procedures, Work Programme and Timeline, which are available on the ICAO TAB website.

**About this form**

Programme responses to this Re-application form will serve as the primary basis for the assessment. This form requests *evidence of programme procedures or programme elements.* The evidentiary documentation enables TAB to a) confirm that a given procedure or programme element is *in place*, b) more fully understand the programme’s summary responses, and c) archive the information as a reference for potential future assessments. TAB’s assessment may also involve, *e.g.*, a completeness check and initial screening of applications, written clarification questions, and/or live interview(s) with programmes.

This Re-application form is accompanied by, and refers to, Appendix A “*Supplementary Information for Assessment of Emissions Unit Programmes*”, containing the EUC and *Guidelines for Criteria Interpretation*. The ICAO Council, on recommendation of its Committee on Aviation Environmental Protection (CAEP), updated the *Guidelines for Criteria Interpretation* in March 2024. These EUC and updated Guidelines are provided to inform programmes’ completion of this Re-application form, in which they are cross-referenced by paragraph number.[[6]](#footnote-6)

This form is also accompanied by Appendix B “*Programme Assessment Scope*”, and Appendix C “*Programme Exclusions Scope*”, which request all re-applicants to identify the programme elements[[7]](#footnote-7) they wish to submit for, or exclude from, TAB’s assessment.

CORSIA Eligible Emissions Unit Programmes must also complete Appendix D of this Re-application form, “*Emissions Unit Programme Registry Attestation”* in line with the instructions contained in that Appendix*.* Applicant organizations are strongly encouraged to submit this information by the deadline for submitting all other application materials for the current assessment cycle.

**Translation:** As was done previously, if the programme documents and information are not published in English, the programme should fully describe in English (*rather than summarize*) this information in the fields provided in this form, and in response to any additional questions. Where this form requests *evidence of programme procedures*, programmes are strongly encouraged to provide English translations of these documents, to facilitate a complete and accurate understanding. Where this is not possible due to time constraints or document length, the programme may provide such documents in their original language in a readily translatable format (*e.g*., Microsoft Word). Those programmes that need to translate documents prior to submission may contact the ICAO Secretariat regarding accommodation.

**Information provided in this form continues to be used following a decision by ICAO Council to approve an emissions unit programme for CORSIA eligibility.** TAB’s recommendations on the extent and limits of a programme’s eligibility are developed on the basis of TAB’s assessment of the information that the programme provided in its application materials, as well as any updates or clarifications that the programme communicates to TAB during the course of its assessment. This information is used by Council to define the general and/or programme-specific eligibility parameters set out in the ICAO Document titled “CORSIA Eligible Emissions Units.” Eligible programmes agree to maintain consistency with the EUC in the manner (e.g., procedures, measures, governance arrangements) described in the application form and in any subsequent communications with TAB. Failure to provide accurate information during the initial assessment, or to inform of changes to that information in a timely manner, could give rise to an Eligibility Deviation, including the possible revocation of any eligibility that was granted.

**Disclaimer:** The information contained in the Re-application form, and any supporting evidence or clarification provided by the programme including information designated as “business confidential” by the programme, will be provided to the members of the TAB to properly assess the programme and make recommendations to the ICAO Council. The application and such other evidence or clarification will be made publicly available on the ICAO CORSIA website for the public to provide comments, except for information which the applicant designates as “business confidential”. Public comments received during that period, including commenter names and organizations, are published following their review by TAB. In accordance with section 9.4 of the TAB Procedures, all comments that meet the submission guidelines are published as received and Programme responses to public comments are not published on the ICAO website. The applicant shall bear all expenses related to the collection of information for the preparation of the application, preparation and submission of the application to the ICAO Secretariat and provision of any subsequent clarification sought by the Secretariat and/or the members of the TAB. Under no circumstances shall ICAO be responsible for the reimbursement of such or any other expenses borne by the applicant in this regard, or any loss or damages that the applicant may incur in relation to the assessment and outcome of this process.

**SECTION II: INSTRUCTIONS**

**Submission and contacts**

A programme is invited to complete and submit the Re-application form, including accompanying evidence and with required appendices, through the ICAO CORSIA website no later than close of business on **3 March 2025** via **TAB@icao.int**. Within seven business days of receiving this form, the Secretariat will notify the programme that its form was received.

If the programme has questions regarding the completion of this form, please contact ICAO Secretariat via email: **TAB@icao.int**. Programmes will be informed, in a timely manner, of clarifications provided by ICAO to any other programme.

**Form basis and cross-references**

Questions in this form are derived from the CORSIA emissions unit eligibility criteria (EUC) and the *Guidelines for Criteria Interpretation*. Each question includes the paragraph number for its corresponding criterion or guideline that can be found in [**Appendix A** “*Supplementary Information for Assessment of Emissions Unit Programmes*](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/TAB.aspx)*”*. Compared to previous (Re-)application forms, TAB has adjusted the order and contents of the questions in light of the ICAO Council’s March 2024 decision to update the *Guidelines for Criteria Interpretation*.

**Re-application Form completion**

The programme is expected to respond to all questions in this re-application form at the time of submission. TAB cannot initiate its assessment unless this information is provided in full as requested. Failure to provide complete information may result in delays to the assessment process.

A “complete” response involves three components: 1) a written summary response, 2) supporting evidence, and 3) any planned programme revisions.

1. Written summary responses: The programme is encouraged to construct written summary responses in a manner that provides for general understanding of the given programme procedure, independent of supporting evidence. TAB will confirm each response in the supplementary evidence provided by the programme. Please note that written summary responses should be provided in all cases—supporting evidence (described in 2 below) should not be considered as an alternative to a complete summary response.
2. Supporting evidence: Most questions in this form request *evidence of programme procedures or programme elements.* Such evidence may be found in excerpts or quotations of programme standards, requirements, or guidance documents; templates; programme website or registry contents; or in some cases, in specific methodologies. To help manage file size, the programme should limit supporting documentation to that which directly substantiates the programme’s statements in this form.

Programmes are expected to provide such evidence, along with the written summary response, in the following ways:

* 1. copying/pasting the relevant excerpts or quotations of programme documentation directly into this form (no character limits);
	2. web links to the sources of these excerpts or quotations and any supporting documentation, with instructions for finding the relevant information within the linked source (i.e. identifying the specific text, paragraph(s), or section(s) where TAB can find evidence of the programme procedure(s) in question);
	3. if needed, attaching supporting documentation to this form at the time of submission, with instructions for finding the relevant information within the attached document(s);

**EXAMPLE** of preferred approach to providing supporting evidence that could meet expectations for complete responses to a question:

“The Programme ensures its consistency with this requirement by requiring / undertaking / etc. the following:

[*Summary response*: Paragraph(s) introducing and summarizing specific programme procedures that are relevant to the question]

[*Evidence*: Quotes/excerpts of the relevant provisions in the programme’s procedures, with citations]

The full contents of these procedures can be found in [Document title, page X, Section X, paragraphs X-X]. This document is publicly available at this weblink: [weblink].”

3) Planned programme revisions: Where the programme has any plans to revise the programme (e.g., its policies, procedures, measures, tracking systems, governance or legal arrangements), including to enhance consistency with a given criterion or guideline, please provide the following information in response to any and all relevant form question(s):

* 1. Planned revision(s);
	2. Process and expected timeline to develop and implement the proposed revision(s);
	3. Process and timeline for external communication and implementation of the revision(s).

**Scope of re-application**

The programme may elect to submit for TAB re-assessment all, *or only a subset,* of the activities supported by the programme. The programme is requested to identify, in the following Appendices, the activities that it wishes to submit for, or exclude from, TAB’s assessment:

In **Appendix B** “*Programme Assessment Scope*”, the programme should clearly identify, at the “activity type” level (e.g., sector(s), sub-sector(s), and/or programme/project “type(s)”), elements that the programme ***is* submitting for TAB’s assessment** of CORSIA eligibility; as well as the specific methodologies, protocols, and/or framework(s) associated with these programme elements; which *are* described in this form.

In **Appendix C** “*Programme Exclusions Scope*”, the programme should clearly identify, at the “activity type” level (e.g., sector(s), sub-sector(s), and/or programme/project “type(s)”), any elements the programme ***is not* submitting for TAB’s assessment** of CORSIA eligibility, which *are not* described in this form; as well as the specific methodologies, protocols, and/or framework(s) associated with these programme elements.

In **Appendix D** “*Emissions Unit Programme Registry Attestation*”,the programme should update and re-submit the *Registry Attestation*, if any information therein has changed since it last submitted the Registry Attestation. If no information has changed, the programme may elect to re-submit its previous Registry Attestation form.

**Treatment of EUC-relevant programme procedures at the methodology level**

Programmes that identify with the following explanations are encouraged to summarize and provide evidence of both their overarching *programme-level* procedure(s) and *methodology-level* procedure(s) wherever relevant:

The CORSIA EUC and TAB assessments typically apply to *programme-level* procedures rather than to individual methodologies or projects. Most programmes’ overarching guidance documents contain a mix of *general/guiding* requirements and *technical* ones. However, some programmes set out general requirements in overarching guidance documents, while reflecting key technical procedures in programme methodologies[[8]](#footnote-8). **Such methodologies may be relevant to TAB’s assessment**. This could be the case where, e.g., the methodologies are developed directly by the programme (staff or contractors); the programme must refer to a methodology’s requirements when describing its alignment with the EUC; and/or the programme’s general requirements alone are too high-level/non-specific for TAB to assess them as stand-alone procedures.

EXAMPLE: Programme A’s project standard contains its *programme-level* general requirements. The standard requires all activities to pass a programme-approved additionality test. However, Programme A sets out a unique list of approved tests in each of its methodologies—rather than providing a single list or menu in its programme-level standard. These lists vary across different activity types or category(ies). Thus, TAB may ultimately need to assess Programme A’s programme- *and* methodology-level requirements in order to confirm its use of the specific additionality tests called for under the *Must be Additional* criterion.

**“Linked” certification schemes**

This application form should be completed and submitted exclusively on behalf of the programme that is described in Part I of this form.

Some programmes may supplement their standards by collaborating with other schemes that certify, e.g., the social or ecological “co-benefits” of mitigation. The programme can reflect a linked scheme’s procedures in responses to this form, where this is seen as enhancing—i.e., going “above and beyond”—the programme’s own procedures. For example, the programme may describe how a linked scheme audits sustainable development outcomes; but is not expected to report the linked scheme’s board members or staff persons. Programmes should clearly identify any information provided in this form that pertains to a linked certification scheme and/or only applies when a linked certification scheme is used.

**Disclosure of programme application forms and public comments**

Application materials, including information submitted in Appendices B, C, and D, as well as other information submitted by applicants will be publicly available on the ICAO CORSIA website, except for materials which the applicants designate as business confidential.

The public will be invited to submit comments on the information submitted, including regarding consistency with the emissions unit criteria (EUC), through the ICAO CORSIA website, for consideration by the TAB in its re-assessment. All public comments that meet the submission guidelines are published as received and Programme responses to public comments are not published on the ICAO website.

**SECTION III: RE-APPLICATION FORM**

**General information**

A. Programme Information

Programme name: Click or tap here to enter text.

Administering Organization[[9]](#footnote-9): Click or tap here to enter text.

Official mailing address: Click or tap here to enter text.

Telephone #: Click or tap here to enter text.

Official web address: Click or tap here to enter text.

B. Programme Administrator Information

Full name and title: Click or tap here to enter text.

Employer / Company (*if not programme*): Click or tap here to enter text.

E-mail address: Click or tap here to enter text. Telephone #: Click or tap here to enter text.

C. Programme Representative Information (if different from Programme Administrator)

Full name and title: Click or tap here to enter text.

Employer / Company (*if not Programme*): Click or tap here to enter text.

E-mail address: Click or tap here to enter text. Telephone #: Click or tap here to enter text.

D. Programme Senior Staff / Leadership (e.g., President / CEO, board members)

List the names and titles of programme’s senior staff / leadership, including board members:

Click or tap here to enter text.

Provide an organization chart (in the space below or as an attachment) that illustrates, or otherwise describes, the functional relationship a) between the individuals listed in D; and b) between those individuals and programme staff / employees; and c) the functions of each organizational unit and interlinkages with other units.

**Questionnaire**

***Note****—*where “evidence” is requested in *Part 1* through *Part 5*, the programme is expected to provide quotes/excerpts and web links to documentation and to identify the specific text, paragraph(s), or section(s) where TAB can find evidence of the programme procedure(s) in question. If that is not possible, then the programme may provide evidence of programme procedures directly in the text boxes provided (by copying/pasting the relevant provisions in full) and/or by attached supporting documentation, as recommended in “SECTION II: INSTRUCTIONS—***Form Completion: Supporting Evidence***”.

***Note***—“*Paragraph X.X*” in this form refers to corresponding paragraph(s) in Appendix A

“*Supplementary Information for Assessment of Emissions Unit Programmes*”.

***Note***—Where the programme has any plans to revise the programme (e.g., its policies, procedures, measures, tracking systems, governance or legal arrangements), including to enhance consistency with a given criterion or guideline, provide the following information in response to any and all relevant form question(s):

* Proposed revision(s);
* Process and proposed timeline to develop and implement the proposed revision(s);
* Process and timeline for external communication and implementation of the revision(s).

**PART 1: *Governance and Safeguards*: Sustainable Development Criteria; Do no net harm; Safeguards System; Transparency and Public Participation Provisions; Governance; Legal Nature and Transfer of Units**

**Criterion: Legal nature and transfer of units**

|  |
| --- |
| Q1: Does the Program… *(Paragraph 2.5)* |
| (a) | …define and ensure the underlying attributes of a unit?  | [ ]  YES |
| (b) | … and publicly disclose process by which it does so? | [ ]  YES |
| (c) | …define and ensure the property aspects of a unit? | [ ]  YES |
| (d) | … and publicly disclose process by which it does so? | [ ]  YES |

Summarize and provide evidence of the processes, policies, and/or procedures referred to in a) and d), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Programme governance**

|  |
| --- |
| Q2. Does the programme publicly disclose… (*Paragraph 2.7*) |
| a) …who is responsible for the administration of the programme?  | [ ]  YES |
| b) …how decisions are made? | [ ]  YES |

Provide evidence that this information is available to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q3. If the programme is not directly and currently administered by a public agency, can the programme demonstrate up-to-date professional liability insurance policy of at least USD$5M? (*Paragraph 2.7.4*) | [ ]  YES |

Provide evidence of such coverage:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q4. Can the programme demonstrate that it has been… (*Paragraph 2.7.2*) |
| a) …continuously governed for at least the last two years? | [ ]  YES |
| b) …continuously operational[[10]](#footnote-10) for at least the last two years? | [ ]  YES |

Provide evidence of the activities, policies, and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q5. Does the programme have in place… (*Paragraph 2.7.2*) |
| a) …a plan for the long-term administration of multi-decadal programme elements? | [ ]  YES |
| b) …a plan for possible responses to the dissolution of the programme in its current form? | [ ]  YES |

Provide evidence of the activities, policies, and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criteria: *Multiple* (re: Conflicts of interest)**

|  |
| --- |
| Q6. Are policies and robust procedures in place[[11]](#footnote-11) to… (*Paragraph 2.7.3*) |
| a) … prevent the programme administrators, staff, board members, and management from having financial, commercial or fiduciary conflicts of interest in the governance or provision of programme services?  | [ ]  YES |
| b) …ensure that, where such conflicts arise, they are appropriately declared, and addressed and isolated? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q7. Are policies and robust procedures in place11 to… (*Paragraph 2.4.6*) |
| a) …prevent the programme registry administrators from having financial, commercial or fiduciary conflicts of interest in the governance or provision of registry services?  | [ ]  YES |
| b) …ensure that, where such conflicts arise, they are appropriately declared, and addressed and isolated? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q8. Are provisions in place to ensure the independence of accredited third-party entities performing validation and/or verification procedures, including… (*Paragraph 3.3.3*) |
| a) …requiring accredited third-party(ies) to disclose whether they or any of their family members are dealing in, promoting, or otherwise have a fiduciary relationship with anyone promoting or dealing in, the offset credits being evaluated?  | [ ]  YES |
| b) …to manage and/or prevent conflicts of interest between accredited third-party(ies) and the programme and the activities it supports? | [ ]  YES |
| c) …to address and isolate such conflicts, should they arise?  | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through c):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Transparency and public participation provisions**

|  |  |
| --- | --- |
| Q9. Does the programme publicly disclose what information is captured and made available to different stakeholders? (*Paragraph 2.8*) | [ ]  YES |

Summarize and provide evidence of the procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10. Does the programme publicly disclose its local stakeholder consultation requirements (if applicable)? (*Paragraph 2.8*) | [ ]  YES |

Summarize and provide evidence of the procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q11. Does the programme…. (*Paragraph 2.8*) |  |
| a) … conduct public comment periods for the following *(select all that apply)*?[ ]  methodologies, protocols, or frameworks under development[ ]  activities seeking registration or approval[ ]  operational activities (e.g., ongoing stakeholder feedback)[ ]  additions or revisions to programme procedures or rulesets | [ ]  YES |
| b) … disclose its public comments provisions and requirements? | [ ]  YES |
| c) … disclose how public comments are considered (*if applicable*)? | [ ]  YES |

Summarize and provide evidence of the procedures referred to in items a) through c):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criteria: Safeguards system and Do no net harm**

|  |  |
| --- | --- |
| Q12. Does the Programme have in place dedicated safeguards to address… (*Paragraph 2.9*)  |  |
| a) …environmental risks? | [ ]  YES |
| b) …social risks? | [ ]  YES |
| c) Are these safeguards publicly disclosed? | [ ]  YES |

Summarize and provide evidence of the safeguards referred to in a) and c), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q13. Please describe, and provide evidence of, how the safeguards system in Question 12 above is used to ensure that environmental and social risks are identified, assessed and managed: (*Paragraph 3.8*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q14. Does the programme have in place… (*Paragraph 3.8*)  |  |
| a) … institutions, processes, and procedures to implement, monitor, and enforce the environmental and social safeguards? | [ ]  YES |
| b) Are these institutions, processes, and procedures publicly disclosed? | [ ]  YES |

Summarize and provide evidence of the institutions, processes and procedures referred to in a) above, including their public disclosure:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q15. Are procedures in place to ensure that offset projects do not violate local, state/provincial, national or international regulations or obligations? (*Paragraph 3.8*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Sustainable development criteria**

|  |  |
| --- | --- |
| Q16. Does the programme use sustainable development criteria? (*Paragraph 2.10*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q17. Does the programme have in place and publicly disclose procedures that identify a list or menu or potential sustainable development indicators that may, for example, enumerate relevant sustainable development goals (SDG) and, as appropriate, additionally include indicators that are publicly specified by a host country? (*Paragraph 2.10.2*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q18. Do the Program’s procedures clearly state that only units that have been or will be issued to activities that report their sustainable development contributions or co-benefits according to criteria above, can be identified as CORSIA Eligible Emissions Units? (*Paragraph 2.10.2*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q19. Does the programme publicly disclose any provisions for monitoring, reporting and verification in relation to these criteria? (*Paragraph 2.10*)  | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**PART 2: *Quantification and tracking*: Validation and Verification procedures; Quantification and MRV; Offset Credit Issuance and Retirement Procedures; Identification**

**and Tracking; Clear and transparent chain of custody**

**Criterion: Are quantified, monitored, reported, and verified**

|  |
| --- |
| Q1. Are procedures in place to ensure… (*Paragraph 3.3*) |
| a) …that emissions units are based on accurate measurements and valid quantification methods/protocols?  | [ ]  YES |
| b) …that emission reductions are measured, calculated and reported in a transparent manner? | [ ]  YES |
| c) …that monitoring, measuring, and reporting of both activities and the resulting mitigation is conducted at *specified intervals* throughout the duration of the crediting period? | [ ]  YES |
| d) …that mitigation is measured and verified by an accredited and independent third-party verification entity? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through d):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Validation and verification procedures**

|  |  |
| --- | --- |
| Q2. Does the Programme have in place requirements and procedures for… (*Paragraph 2.6*) |  |
| 1. …the accreditation of validators?
 | [ ]  YES |
| 1. …the accreditation of verifiers?
 | [ ]  YES |
| 1. Are these standards, procedures and requirements publicly disclosed?
 | [ ]  YES |

Provide evidence of the standards, requirements, and procedures referred to in a) and b), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q3. Does the Programme have in place standards and procedures for… (*Paragraph 2.6*) |
| a) …the validation of activities? | [ ]  YES |
| b) …the verification of emissions reductions and/or removals? | [ ]  YES |
| c) Are these standards, procedures and requirements publicly disclosed? | [ ]  YES |

Provide evidence of the standards, requirements, and procedures referred to in a) and b), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q4. Are procedures in place to ensure…  |  |
| a) …that validation occurs prior to or in tandem with verification? (*Paragraph 3.3.2*) | [ ]  YES |
| b) …that validation assesses and publicly documents the likely mitigation results from proposed activities supported by the programme? (*Paragraph 3.3.2*) | [ ]  YES |
| c) …that the results of validation and verification are made publicly available? (*Paragraph 3.3*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through c):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q5. Does the Programme have procedures in place to… |  |
| a) …to ensure that *ex-post* verification of mitigation is required in advance of issuance of emissions units? *(Paragraph 3.3)* | [ ]  YES |
| b) …or, to transparently identify units that are issued *ex ante* and thus ineligible for use in the CORSIA? *(Paragraph 3.3.5)* | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Offset credit issuance and retirement procedures**

|  |  |
| --- | --- |
| Q6. Does the Programme have procedures in place defining how offset credits are… (*Paragraph 2.3*) |  |
| a) …issued? | [ ]  YES |
| b) …retired / cancelled?  | [ ]  YES |
| c) …subject to discounting (*if any*)?  | [ ]  YES |
| * 1. Are these procedures publicly disclosed?
 | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through d):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criteria: Identification and Tracking, Clear and transparent chain of custody**

|  |  |
| --- | --- |
| Q7. Does the programme utilize an electronic registry or registries? (*Paragraph 2.4.2*) | [ ]  YES |

Provide web link(s) to the programme registry(ies) and indicate whether the registry is administered by the programme or outsourced to a third party (*Paragraph 2.4.2*):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q8. Does the programme have procedures in place to ensure that the programme registry or registries… |
| a) …have the capability to transparently identify emissions units that are deemed ICAO-eligible, in all account types ? (*Paragraph 2.4.3*) | [ ]  YES |
| b) …clearly identify unit owners or holders? *(Paragraph 2.4 (d))* | [ ]  YES |
| c) …identify, and facilitate tracking and transfer of, unit ownership/holding from issuance to cancellation/retirement? (*Paragraphs 2.4 (a) and (d) and 2.4.4*) | [ ]  YES |
| d) …identify unit status, including retirement / cancellation, and issuance status? (*Paragraph* *2.4.4*) | [ ]  YES |
| e) …assign unique serial numbers to issued units? (*Paragraphs 2.4 (b) and 2.4.5*) | [ ]  YES |
| f) …identify in serialization, or designate on a public platform, each unique unit’s country and sector of origin, vintage, and original (and, if relevant, revised) project registration date? *(Paragraph 2.4.5)* | [ ]  YES |

Summarize and provide evidence of the procedures referred to in a) through f):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q9. Are provisions in place for registry account screening, including… |
| a) …provisions ensuring the screening of requests for registry accounts? (*Paragraph 2.4.7*) | [ ]  YES |
| b) …provisions restricting the programme registry (or registries) accounts to registered businesses and individuals? (*Paragraph 2.4.7*) | [ ]  YES |

Summarize and provide evidence of the registry security provisions referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10. Does the programme have procedures in place… |  |
| 1. …to ensure that the registry is secure (i.e. that robust security provisions are in place)? (*Paragraph 2.4 (c)*)
 | [ ]  YES |
| 1. …ensuring the periodic audit or evaluation of registry compliance with these security provisions? (*Paragraph 2.4.8*)
 | [ ]  YES |

Summarize and provide evidence of the registry security provisions referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Q11.** If the programme registry has the capability to directly transfer units to/from any other registries or equivalent tracking systems that are not operated by the programme, list any/all other registries to which the programme’s registry(ies) are linked and indicate where these linkages are publicly disclosed: (*Paragraph 2.4 (e)*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Q12.** In respect of any registry linkages identified under **Q11** above, list any/all data exchange standards or systems to which the programme’s registry(ies) conform and indicate where this information is publicly disclosed: (*Paragraph 2.4 (f)*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q13. Does the programme Registry publicly display information… (*Paragraph 2.3.1*) |
| a) …on each batch of cancelled units? | [ ]  YES |
| b) …in a machine-readable format (*e.g*., XLS, CSV) that is searchable and downloadable?  | [ ]  YES |
| c) …at no cost? | [ ]  YES |
| d) …with no login credentials required? | [ ]  YES |

Provide evidence of the registry features referred to in a) through d):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q14. Does the machine-readable information on cancelled units contain discrete fields for each of the following, in respect of each batch of units (*please select*)? (*Paragraph 2.3.1*) | [ ]  YES |
| [ ]  Quantity of emission units cancelled |  |
| [ ]  Start of serial numbers  |  |
| [ ]  End of serial numbers |  |
| [ ]  Date of cancellation |  |
| [ ]  Name of Programme *(if the Registry holds units from multiple Programmes)* |  |
| [ ]  Unit type |  |
| [ ]  Host country |  |
| [ ]  Methodology  |  |
| [ ]  Start date of the activity’s first crediting period[ ]  Vintage year of the unit or batch of units[ ]  CORSIA compliance period(s) for which each batch of units is eligible[ ]  Unique identifier of the registry account where the batch was cancelled |  |
| [ ]  Beneficiary in whose name the unit was cancelled[ ]  Unique identifier of the registry account from which the cancellation was initiated *(if applicable)* |  |

Provide evidence of the registry features referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**PART 3: *Methods and assumptions*: Additionality; Realistic and credible baselines;**

**Clear Methodologies, Protocols, and Development Process; Scope Considerations;**

**Quantification and MRV; Offset Credit Issuance and Retirement Procedures**

**Criterion: Clear methodologies and protocols, and their development process**

Q1. Provide *evidence[[12]](#footnote-12)* that the programme’s qualification and quantification methodologies and protocols are *in place* and *available for use* (*i.e*., finalized and not in “draft” form), including where the programme’s existing methodologies and protocols are publicly disclosed. (*Paragraph 2.1*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q2. Summarize the programme’s process for developing further methodologies and protocols, including the timing and process for revision of existing methodologies, and indicate where this process is publicly disclosed. (*Paragraph 2.1*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Scope considerations**

Q3. What level of activities are allowed under the programme (e.g., project based, programme of activities, jurisdiction-scale)? Please indicate where the programme (a) defines and (b) publicly discloses the level(s) at which activities are allowed under the programme: (*Paragraph 2.2*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q4. Please indicate where the programme (a) defines, and (b) publicly discloses, the eligibility criteria for each type of offset activity (e.g., methodology applicability conditions; which sectors, project types, and geographic locations are covered) (*Paragraph 2.2*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Offset credit issuance and retirement procedures** (Continued)

|  |  |
| --- | --- |
| Q5. Does the programme have in place procedures defining… (*Paragraph 2.3*) |  |
| a) …the length of crediting period(s)? | [ ]  YES |
| b) …whether crediting periods are renewable?  | [ ]  YES |
| c) Are these procedures publicly disclosed? | [ ]  YES |

Provide evidence of the procedures referred to in a) and b), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

 **Criterion: Carbon offset programmes must generate units that represent emissions reductions, avoidance, or removals that are additional**

|  |  |
| --- | --- |
| Q6. Does the Programme have procedures in place to ensure, and to support activities to analyze and demonstrate, legal or regulatory additionality[[13]](#footnote-13)? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q7. Identify one or more of the methods below for which the programme has procedures in place to ensure, and to support activities to analyze and demonstrate, that credited mitigation is additional; which can be applied at the project- and/or programme-level: (*Paragraphs 3.1, and 3.1.2 - 3.1.3*)

[ ]  Barrier analysis

[ ]  Common practice / market penetration analysis

[ ]  Investment, cost, or other financial analysis

[ ]  Performance standards / benchmarks

Summarize and provide evidence of the policies and procedures referred to above, including describing any/all additionality rules/policies as well as analyses and test types that are utilized under the programme:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q8. If the Programme provides for the use of non-traditional or new additionality analysis/tests (*i.e.* method(s) *not* listed in Q7 above and *not* a positive list per Q10 below), describe the alternative procedures and how they ensure that activities are additional: (*Paragraph 3.1*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q9. For activities that use the additionality tests/analysis/methods listed in Q7 and/or Q8 above, is additionality and baseline-setting… (*Paragraph 3.1*) |  |
| a) assessed by an accredited and independent third-party verification entity, including for activities that use non-traditional or new additionality tests/analysis/methods? | [ ]  YES |
| b) reviewed by the programme? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b), including their availability to the public:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10. If the programme designates certain activities as automatically additional (e.g., through a “positive list” of eligible project types)(*Paragraph 3.1*): |  |
| a) Are the criteria for such positive lists conservative? | [ ]  YES |
| b) Are these criteria publicly disclosed? | [ ]  YES |
| c) Does the Program provide clear evidence on how each activity included on a positive list was determined to be additional? | [ ]  YES |

Summarize and provide evidence of the policies and procedures for determining the automatic additionality of activities, including a) the criteria used to determine additionality and how these are conservative, b) their availability to the public, and c) how item on the list was determined to be additional, in line with the criteria:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Are based on a realistic and credible baseline**

|  |  |
| --- | --- |
| Q11. Are procedures in place…  |  |
| a) …to ensure that *methods of developing baselines*, including modelling, benchmarking or the use of historical data, use assumptions, methodologies, and values do not over-estimate mitigation from an activity? (*Paragraph 3.2.2*) | [ ]  YES |
| b) …requiring activities to ensure and demonstrate that emissions baselines are set in a conservative way and below business-as-usual emission projections? (*Paragraph 3.2.4*) | [ ]  YES |
| c) …requiring any non-traditional baselines (*e.g*., sector-wide performance benchmarks or standards, which do not rely on business-as-usual analysis) to deliver and demonstrate equivalently conservative and below business-as-usual outcomes? (*Paragraph 3.2.4*) | [x]  YES |

Summarize and provide evidence of the policies and procedures referred to in (a) to (c) above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q12. Are procedures in place for activities to respond, as appropriate, to changing baseline conditions that were not expected at the time of registration? (*Paragraph 3.2.3*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q13. Are procedures in place to ensure the public disclosure of baselines and underlying assumptions? (*Paragraph 3.2*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred above.:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q14. Please provide any additional information on how the programme ensures that all offset credits are issued against realistic, defensible, and conservative baseline estimations of emissions, including how “conservativeness” and “below business-as-usual” are defined and ensured in practice.

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q15. Are procedures in place requiring that the renewal of a crediting period includes a re-evaluation of the baseline, procedures and assumptions for quantifying, monitoring, and verifying mitigation, including the baseline scenario? (*Paragraph 3.3.4*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q16. Do the procedures in Q15 above also apply to activities that wish to undergo verification but have not done so within the programme’s allowable number of years between verification events?  | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above, including identifying the allowable number of years between verification events:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q17. Please provide any additional information to demonstrate how the procedures described under **Questions 5 to 16 above** provide a reasonable assurance exceed any greenhouse gas reductions or removals that would otherwise occur: (*Paragraph 3.1*)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**PART 4: Permanence and Leakage**

**Criterion: Permanence**

Q1.a) List all emissions sectors (if possible, activity types) supported by the Programme that present a potential risk of reversal of emissions reductions, avoidance, or carbon sequestration:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q1.b) What is the minimum scale of reversal for which the Programme provisions or measures require a response? (Quantify if possible)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q2. For sectors/activity types identified in question 1(a) above, are procedures and measures in place to require and support these activities to… |  |
| a) undertake a risk assessment that accounts for, *inter alia*, any potential causes, relative scale, and relative likelihood of reversals? (*Paragraph 3.5.2*) | [ ]  YES |
| b) monitor identified risks of reversals? (*Paragraph 3.5.3*) | [ ]  YES |
| c) mitigate identified risks of reversals? (*Paragraph 3.5.3*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through c):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q3. Are provisions in place that… (*Paragraph 3.5.5*) |  |
| a) confer liability on the activity proponent to monitor, mitigate, and respond to reversals in a manner mandated in the programme procedures? | [ ]  YES |
| b) require activity proponents, upon being made aware of a material reversal event, to notify the programme within a specified number of days? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b), including indicating the *number of days within which activity proponents must notify the programme of a material reversal event*:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q4. Are provisions in place that confer responsibility to the programme to, upon such notification, ensure and confirm that such reversals are fully compensated in a manner mandated in the programme procedures? (*Paragraph 3.5.5*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |
| --- |
| Q5. Does the Programme have procedures in place which provide for reversal monitoring and compensation requirements to be applied by an activity that generates CORSIA-eligible units for … (*Paragraph 3.5.4*) [[14]](#footnote-14) |
| a) …at the very least, twenty (20) years from the start of their first crediting period, in the case of activities that started crediting before 1 January 2027? | [ ]  YES |
| b) …at least forty (40) years from the start of their first crediting period, for activities that start crediting after 31 December 2026? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q6. Does the programme have the capability to ensure that any emissions units which compensate for the material reversal of mitigation issued as emissions units and used toward offsetting obligations under the CORSIA are fully eligible for use under the CORSIA? (*Paragraph 3.5.6*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q7. Would the programme be willing and able, upon request, to demonstrate that its permanence provisions can fully compensate for the reversal of mitigation issued as emissions units and used under the CORSIA? (*Paragraph 3.5.7*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q8. Please provide any additional information to demonstrate how the program’s procedures ensure full compensation for material reversals of mitigation issued as emissions units and used toward offsetting obligations under the CORSIA:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**Criterion: Assess and mitigate against potential increase in emissions elsewhere**

Q9.a) List all emissions sectors (if possible, activity types) supported by the programme that present a potential risk of material emissions leakage:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q9.b) What is the minimum scale of leakage that that would trigger the Programme’s applicable provisions or procedures? (Quantify if possible)

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10.a) Are measures in place to assess and mitigate incidences of material leakage of emissions that may result from the implementation of an offset project or programme? (*Paragraph 3.6*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10.b). Are procedures in place requiring and supporting activities to monitor identified leakage? (*Paragraph 3.6.3*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q11. Are procedures in place requiring activities to deduct from their accounting emissions from any identified leakage that reduces the mitigation benefits of the activities? (*Paragraph 3.6.4*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q12. Are provisions in place requiring activities that pose a risk of leakage when implemented at the project level to be implemented at a national level, or on an interim basis on a subnational level, in order to mitigate the risk of leakage? (*Paragraph 3.6.2*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines: (*if none, “N/A”*):

Click or tap here to enter text.

Q13. List all activity types supported by the programme that involve replacing equipment or other physical systems such that these comprise the activity’s baseline:

Click or tap here to enter text.

|  |
| --- |
| For the activity types listed above, does the programme have procedures ensuring that *(select all that apply)*: (*Paragraph 3.6.4*) |
| (a) the baseline equipment is demonstrably decommissioned, destroyed, or scrapped, or otherwise demonstrated to no longer be in use, | [ ]  YES |
| (b) emissions from equipment disposal are discretely assessed, mitigated where possible, and deducted from the verified results of the activity,  | [ ]  YES |
| (c) where procedures enable the baseline equipment to potentially be re-sold or otherwise remain in use, equivalent procedures for assessment, mitigation, and accounting deductions apply to emissions resulting from its continued use.  | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through c) above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**PART 5: *Double counting*: Avoidance of Double Counting, Issuance and Claiming;**

**Only counted once towards a mitigation obligation**

**Criteria: Avoidance of Double Counting, Issuance and Claiming and Are only counted once towards a mitigation obligation**

|  |  |
| --- | --- |
| Q1. Does the Programme have measures in place …  |  |
| a) …to ensure the transparent transfer of units between registries, if applicable?*(Paragraph 3.7.1 and 3.7.5)*  | [ ]  YES |
| b) …to ensure that only one unit is issued for one tonne of mitigation? *(Paragraph 3.7.1 and 3.7.5)* | [ ]  YES |
| c) …to ensure that one unit is issued or transferred to, or owned or cancelled by, only one entity at any given time? *(Paragraphs 3.7.2 and 3.7.6)*  | [ ]  YES |
| d) …to discourage and prohibit the double-selling of units, which occurs when one or more entities sell the same unit more than once? *(Paragraph 3.7.7)* | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) through d):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
|  Q2. Does the Programme have procedures in place…  |  |
| a) …requiring mitigation from emissions units used by operators under the CORSIA to be appropriately accounted for by the host country when claiming achievement of its target(s) / pledges(s) / mitigation contributions / mitigation commitments, in line with the relevant and applicable international provisions? *(Paragraph 3.7.10.1)* | [ ]  YES |
| b) …that provide for the use of any other method(s) to avoid double-claiming? (*Paragraph 3.7.10.2*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q3. Does the Programme have procedures in place for the following: (*Paragraph 3.7.8*) |  |
| a) to obtain, or require activity proponents to obtain and provide to the programme, written attestation from the host country’s national focal point or focal point’s designee? | [ ]  YES |
| b) for host country attestations to be obtained and made publicly available prior to the use of units from the host country in the CORSIA? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q4. Does the Programme have procedures in place in place to guide the contents of host-country attestations? (*Paragraph 3.7.9*) | [ ]  YES |
| If YES, do the Programme’s procedures on the contents of host-country attestations facilitate countries to identify each of the following:  |
| (i) the national point of contact,  | [ ]  YES |
| (ii) authorized unit vintages,  | [ ]  YES |
| (iii) authorized activity types, if applicable,  | [ ]  YES |
| (iv) the CORSIA compliance period for which the units are authorized,  | [ ]  YES |
| (v) the expected timing and processes for applying and reporting adjustments that are informed by the host country’s specified definition of “first transfer”;  | [ ]  YES |
| (vi) the country’s chosen accounting method consistent with the relevant provision of 2/CMA.3 Annex I “Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement. | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q5. Does the Programme have procedures in place… |  |
| a) …requiring host country attestations to confirm the use of the applicable approach(es) referred to in Question 2 above? | [ ]  YES |
| b) …requiring host country attestations to specify and describe the steps taken to prevent double-claiming (in line with these approaches / requirements)? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

 Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

Q6. Please provide any additional information about the programme’s measures to require and demonstrate that host countries of emissions reduction activities agree to account for any offset units issued as a result of those activities, such that double claiming does not occur between the airline and the host country of the emissions reduction activity.

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

|  |  |
| --- | --- |
| Q7. Does the Programme have measures in place to…  |  |
| a) make publicly available any national government decisions related to accounting for units used in ICAO, including decisions related to the contents of host country attestations described in paragraph 3.7.8 of Appendix A? *(Paragraph 3.7.11)* | [ ]  YES |
| b) update information pertaining to host country attestation as often as necessary to avoid double-claiming? *(Paragraph 3.7.11)* | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to in a) and b):

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q8.a) Does the Programme have procedures in place to compare countries’ accounting for emissions units in national emissions reports against the volumes of eligible units issued by the programme and used under the CORSIA which the host country’s national reporting focal point or designee otherwise attested to its intention to not double claim? (*Paragraph 3.7.12*) | [ ]  YES |
| Q8.b). Do the procedures referred to above… *(Paragraph 3.2.12)* |  |
| (i) …specify the relevant accounting information in each report submitted in accordance with Section IV of Annex I to Decision 2/CMA.3? | [ ]  YES |
| (ii) …specify the expected timing and processes by which the programme will compare the host country’s reported information on authorizations in its national reports with the information provided by the country in its attestation ? | [ ]  YES |
| iii) …require publication of all host-country attestations and related documentation generated by the emissions unit programme (e.g., results from the comparison)? | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q9. Would the Programme be willing and able, upon request, to report to ICAO’s relevant bodies, as requested, performance information related to, *inter alia*, any material instances of and programme responses to country-level double claiming; the nature of, and any changes to, the number, scale, and/or scope of host country attestations; any relevant changes to related programme measures? (*Paragraph 3.7.13*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

|  |  |
| --- | --- |
| Q10. Does the Programme have procedures in place for the programme, or proponents of the activities it supports, to compensate for, replace, or otherwise reconcile double claimed mitigation associated with units used under the CORSIA which the host country’s national accounting focal point or designee otherwise attested to its intention to not double claim, including in the instance that the attestation is withdrawn.? (*Paragraph 3.7.14*) | [ ]  YES |

Summarize and provide evidence of the policies and procedures referred to above:

**A.** Information reflecting the current state of the programme and its documentation (i.e., as of the time that this form was completed):

Click or tap here to enter text.

**B.** Any planned/forthcoming changes, including their expected timelines (*if none, “N/A”*):

Click or tap here to enter text.

**PART 6: Programme comments**

Are there any additional comments the programme wishes to make to support the information provided in this form?

Click or tap here to enter text.

**SECTION IV: SIGNATURE**

*I certify* that I am the administrator or authorized representative (“Programme Representative”) of the emissions unit programme (“Programme”) represented in a) this form, b) evidence accompanying this form, and c) any subsequent oral and/or written correspondence (a-c: “Programme Submission”) between the Programme and ICAO; and that I am duly authorized to represent the Programme in all matters related to ICAO’s analysis of this application form; and that ICAO will be promptly informed of any changes to the contact person(s) or contact information listed in this form.

*As the Programme Representative, I certify* that all information in this form is true, accurate, and complete to the best of my knowledge.

*As the Programme Representative, I acknowledge* that:

the Programme’s participation in the assessment does not guarantee, equate to, or prejudge future decisions by Council regarding CORSIA-eligible emissions units; and

the ICAO is not responsible for and shall not be liable for any losses, damages, liabilities, or expenses that the Programme may incur arising from or associated with its voluntary participation in the assessment; and

as a condition of participating in the assessment, the Programme will not at any point publicly disseminate, communicate, or otherwise disclose the nature, content, or status of communications between the Programme and ICAO, and of the assessment process generally, unless the Programme has received prior notice from the ICAO Secretariat that such information has been and/or can be publicly disclosed.

*Signed*:

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Full name of Programme Representative (*Print*) Date signed (*Print*)

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 Programme Representative (*Signature*)

(This signature page may be printed, signed, scanned and submitted as a separate file attachment)

1. Available on the ICAO CORSIA website: [https://www.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx) [↑](#footnote-ref-1)
2. Recommendations from 2019 TAB assessment cycle: [https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2019.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/TAB2019.aspx)

Recommendations from 2020 TAB assessment cycle: [https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2020.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/TAB2020.aspx)

Recommendations from 2021 assessment cycle: [https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2021.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/TAB2021.aspx)

Recommendations from 2022 assessment cycle: https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2022.aspxRecommendations from 2023 assessment cycle: https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2023.aspx

Recommendations from 2024 assessment cycle: https://www.icao.int/environmental-protection/CORSIA/Pages/TAB2024.aspx [↑](#footnote-ref-2)
3. Available on the ICAO CORSIA website: [https://www.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/CORSIA-Emissions-Units.aspx) [↑](#footnote-ref-3)
4. Refer to TAB Procedures paragraph 7.4, 7.7, 7.8 ,7.8.3 and 7.8.4 [↑](#footnote-ref-4)
5. “Emissions Unit Programme”, for the purposes of TAB’s assessment, refers to an organization that administers standards and procedures for developing activities that generate offsets, and for verifying and “issuing” offsets created by those activities. For more information, please review the TAB FAQs on the ICAO CORSIA website: [https://www.icao.int/environmental-protection/CORSIA/Pages/TAB.aspx](https://authoring2016.icao.int/environmental-protection/CORSIA/Pages/TAB.aspx) [↑](#footnote-ref-5)
6. For further information on how TAB interprets the EUC in light of the *Guidelines*, refer to the document Clarifications of TAB’s Criteria Interpretations Contained in TAB Reports available on the ICAO TAB website: https://www.icao.int/environmental-protection/CORSIA/Documents/TAB/TAB2024/Clarifications\_Sep2024.pdf [↑](#footnote-ref-6)
7. At the “activity type” level (*e.g.*, sector(s), sub-sector(s), and/or project “type(s)”) [↑](#footnote-ref-7)
8. Note that any applicant may use different terminology. For example, a programme may refer to a “methodology” as a protocol or framework. [↑](#footnote-ref-8)
9. Name of the business, government agency, organization, or other entity that administers the Emissions Unit Programme,*if different from “Programme Name”*. [↑](#footnote-ref-9)
10. Note: For further explanation of the meaning of ‘operational’ for the purposes of the EUC and TAB’s assessments, please note para. 2.7.2.1 of Appendix A of this Application form, as well as the Initial screening questions in section 7.12 of the TAB Procedures. [↑](#footnote-ref-10)
11. *Note:* For programmes staffed solely by government officials and employees who are subject to domestic laws and regulations governing conflicts of interest, the programme may refer to these laws and regulations in responding to this question. [↑](#footnote-ref-11)
12. For this and subsequent “evidence” requests, evidence should be provided in the text box (*e.g.*, web links to documentation), and/or in attachments, as recommended in “SECTION II: INSTRUCTIONS—*Form Completion*”. [↑](#footnote-ref-12)
13. Legal or regulatory additionality means that the programme’s carbon offsets represent greenhouse gas emissions reductions or carbon sequestration or removals that exceed any greenhouse gas reduction or removals required by law, regulation, or legally binding mandate [↑](#footnote-ref-13)
14. Procedures for jurisdiction-scale activities must alternatively ensure that the volume of emissions units contributed by a given activity to a reversal risk pool will, at a minimum, fully compensate for the activity’s reversal risk for the same timeframe. [↑](#footnote-ref-14)