

**COLLABORATIVE ARRANGEMENT FOR THE PREVENTION AND MANAGEMENT OF PUBLIC HEALTH
 EVENTS IN CIVIL AVIATION (CAPSCA)**

MANAGEMENT OF COVID-19 IN AVIATION – STATE SELF-ASSESSMENT

To be completed by the State civil aviation in collaboration with the public health and other relevant national authorities to document the status of COVID-19 Preparedness Planning and Response plans.

State _____

Consolidated by _____

Date completed _____

CAA Focal Point _____

CAPSCA Focal Point _____

IHR Focal Point _____

PHA Focal Point _____

**Customs/ Immigration
 Focal point** _____

**Other national
 departments Focal Points** _____

Airlines Focal Point _____

Airports Focal Points _____

Other Focal Points _____

Contact information of the focal point that coordinated completion of this checklist:

Name: _____

Position: _____

Department/Office: _____

Organisation: _____

Email: _____

Telephone: _____

Fax: _____

Areas	Reference	Yes	No	Remarks
1. Public Health Preparedness Planning				
1. Does the State have an entity that fulfils the function of the “Competent Authority” (as defined in the WHO International Health Regulations (2005)	IHR Part 1, Article 1, Definitions, Purpose and Scope, Principles and Responsible Authorities			
2. Has the State established a national committee for Public Health Preparedness planning?	IHR			
3. Which entities are included in the national committee for Public Health Preparedness planning?				
4. Has the State established a National Emergency Management Committee to manage national emergencies?				
5. Which entities are included in the National Emergency Management Committee?				
2. National Aviation Plan For Public Health Risks/ PHEIC				
1. Has the State established a national aviation plan in preparation for an outbreak of a communicable disease posing a public health risk or public health emergency of international concern (PHEIC)	ICAO Annex 9, 8.16			
2. Has the State’s national committee for Public Health Preparedness planning participated in the preparation of the national Aviation Preparedness Plan for Public Health Risks/ PHEIC?				
3. Which entities have participated in the establishment of the national Aviation Preparedness Plan for Public Health Risks/ PHEIC?				
4. Which entities are included in the National Air Transport Facilitation Committee?				
5. Have <u>national contact points</u> been established for policy formulation and operational organization of a national Aviation Preparedness Plan for Public Health Risks/ PHEIC?				
6. Are formal <u>contracts/agreements</u> utilised specifying the roles and responsibilities of the relevant stakeholders?				
7. Does the national Aviation Preparedness Plan for Public Health Risks/ PHEIC cater to <u>changing situations</u> typical of a public health emergency? E.g. does the plan have a phased response to cater to an escalating emergency situation? If so, how?				

8. Is there a mechanism for deciding when to initiate the Aviation Preparedness Plan for Public Health Risks/ PHEIC, when to de-escalate the measures and when to end them?	- Template for a National Aviation Public Health Emergency Preparedness Plan - ICAO web-based Guidelines for States			
9. For travellers designated as suspect cases during an outbreak, are there specific procedures in place for? - Assessing general wellness prior to flight - Assessing health related travel documents - Health Screening and health assessment - Handling of baggage - Customs clearance - Immigration - Quarantine - Transfer to appropriate medical centres	IHR (2005) WHO, ICAO, IATA and ACI web-based guidelines			
10. Are aviation stakeholders informed of relevant guidance material from WHO, ICAO, IATA and ACI?				
11. Which stakeholders are required to receive training concerning a Public Health Emergency in aviation (airport personnel, public health authority, etc.)?				
12. Are communication methods and procedures in place to inform public on air travel risks associated with a public health risk/ PHEIC?	ICAO web-based Guidelines for States			
13. Is there a National Business Continuity Plan for Public Health Emergencies? Is the aviation sector considered in this business continuity plan?				
14. Is there a media communications strategy and plan?				
3. National Civil Aviation Regulations and ICAO SARPs (Public health requirements)				
1. Do the Civil Aviation Regulations include public health related provisions of ICAO Standards and Recommended Practices (SARPs)				
a. Annex 6, in particular Attachment B (Medical Supplies)?	ICAO Annex 6, Attachment B			
b. Annex 9 and Appendices 1 (General Declaration-most recent version) and 13 (Public Health Passenger Locator Card-most recent version)?	ICAO Annex 9, Appendices 1, 13			
c. Annex 11, in particular Attachment C (Material Relating to Contingency Planning)?	ICAO Annex 11, Attachment C			
d. Annex 14, Chapter 9 (Aerodrome operational services, equipment and installations)	ICAO Annex 14, Chapter 9			

e. Annex 18/Technical Instructions for the Safer Transport of Dangerous Goods by Air	ICAO Annex 18			
f. ICAO Procedures for Air Navigation Services-Air Traffic Management, Doc. 4444, “Notification of suspected communicable diseases on board an aircraft, or other public health risk”?	PANS-ATM Doc 4444 16.1			
4. National COVID-19 response and ICAO CART				
1. Has the State considered and implemented the ICAO COVID-19 Council Aviation Recovery Task Force (CART) guidance material?	www.icao.int/covid/cart			
a. CART Recommendations	Recommendations 1 - 20			
b. CART Take-Off Guidance for Air Travel through the COVID-19 Public Health Crisis	Fourth Edition			
c. ICAO Testing and Cross-Border Risk Management Measures Manual (Doc 10152)	Third Edition			
d. Completion of the ICAO CRRIC register	ICAO CART web site portal			
e. Implementation of public Health Corridors	ICAO CAPSCA web site			
5. International Airports				
1. Does all the <u>international airports (or only IHR designated airports)</u> have an Aviation Preparedness Plan for Public Health Risks/ PHEIC?	ICAO Annex 14, 9.1.1			
2. Is it included in the <u>Aerodrome Emergency Plan</u> ?	ICAO Annex 14, 9.1.1			
3. Is it compatible with the <u>National Aviation Preparedness Plan for Public Health Risks/ PHEIC</u> ?	ICAO Annex 9, 8.16			
4. Is it compatible with the <u>National committee for Public Health Preparedness planning response plan</u> ?				
5. Does the State have a requirement for international airports to test the Public Health component of Aerodrome Emergency Plan by conducting full-scale exercises and/or table-top exercises?	- Template for a National Aviation Public Health Emergency Preparedness Plan - ICAO web-based Guidelines for States			
6. Which entity is responsible for the collection of the Health part of the Aircraft General Declaration and the Passenger Location Cards?				

7. Which entity is responsible for the processing of the above information on arrival?				
8. Is an Aerodrome Operator Business Continuity Plan required for managing Public Health risks at IHR designated (or all) international airports?	Airport/aerodrome operator documentation			
9. Which stakeholders are included in this plan?				
6. Air Navigation Service Provider (ANSP)				
1. Does the ANSP participate in development and testing of the public health component of the Aviation Preparedness Plan for Public Health Risks/ PHEIC and/or Aerodrome Emergency Plan?	ICAO web-based Guidelines for States, Section "General Preparedness"			
2. Does the ANSP provide training (PANS-ATM Doc 4444, paragraph 16.6) for notifying the destination / departure airport of a potential on-board public health event?	PANS-ATM (Doc 4444)			
3. Is there a procedure in place for transfer of information from the ANSP to the public health authority (and other stakeholders), notifying the arrival of an affected aircraft?	PANS-ATM (Doc 4444)			
4. Does the ANSP have a contingency plan for managing operations during a public health emergency / PHEIC?	ICAO Annex 11, Attachment C			
5. Does the ANSP have a Business Continuity Plan for managing a public health emergency / PHEIC?				
7: Hygiene and sanitation				
1. Does the State provide guidelines to aviation stakeholders for disinfection?	WHO Guide to Hygiene & Sanitation in Aviation			
2. Are disinfectant product(s) and procedures adapted to the specific health risk i.e. different for different diseases?	WHO Guide to Hygiene & Sanitation in Aviation Manufacturer guidelines			
3. Are their requirements to ensure that cleaning contractors adequately trained to carry out disinfection?	WHO Guide to Hygiene and Sanitation in Aviation			
4. In the case of an affected aircraft carrying a suspected case of a communicable disease, are there requirements to ensure minimizing unnecessary delays to passengers and aircraft?	ACI web-based Guidelines			
5. Are there requirements for the safe removal, transport and disposal of liquid and solid waste generated from the on board management of a case of a potential public health event (Bio-hazard waste management procedures)?	WHO Guide to Hygiene and Sanitation in Aviation			
6. Are personnel trained in the use of protective measures for managing suspect or affected travellers?	IATA, ACI web-based Guidelines			

8. International aircraft operators:				
1. Do the aircraft operators participate in the development and testing of the public health component of the Aviation Preparedness Plan for Public Health Risks/ PHEIC and/or Aerodrome Emergency Plan?	ICAO web-based Guidelines for States,			
2. Are aircraft operators aware/informed of (1) Notification procedure of a suspected case by the pilot in command (2) Health part of the aircraft general declaration?	1 - ICAO PANS ATM 2 -ICAO Annex 9, Appendix 1, WHO IHR (2005) Annex 9			
3. Are aircraft operators aware/informed of IATA guidelines for: cabin crew; maintenance crew; cleaning crew; passenger agents in responding to a public health event?	IATA web-based Air Transport and Communicable Diseases Guidelines			
4. Do the aircraft operators have procedures enabling cabin crew to identify travellers suspected of having a communicable disease or to manage a public health event on board a flight?	IATA web-based Air Transport and Communicable Diseases Guidelines – Cabin Crew			
5. Do the aircraft operators have procedures for managing a public health event on board a flight?	IATA web-based Air Transport and Communicable Diseases Guidelines – Cabin Crew			
7. Are arrangements for translation and interpreters considered?	WHO IHR (2005)			
8. Have personnel undergone training to recognize and manage a public health event / emergency?				

ADDITIONAL REMARKS AND RECOMMENDATIONS