



DANGEROUS GOODS PANEL (DGP) MEETING OF THE WORKING GROUP OF THE WHOLE

Auckland, New Zealand, 4 to 8 May 2009

Agenda Item 6: Other business

DEVELOPMENT OF DATA STANDARDS FOR ELECTRONIC DATA TRANSMISSION OF THE DANGEROUS GOODS TRANSPORT DOCUMENT

(Presented by D. Brennan)

SUMMARY

This paper is provided as a follow-up to the changes incorporated into the 2009-2010 edition of the Technical Instructions with respect to provisions for electronic data transmission of the information required on the dangerous goods transport document.

1. INTRODUCTION

1.1 At the twenty-first meeting of the Dangerous Goods Panel, (DGP/21), the DGP agreed to revisions to Part 5;4 and Part 7;1 of the Technical Instructions to permit the use of electronic data transmission in lieu of a paper dangerous goods transport document and set out some conditions with respect to the use of electronic data for the information required by Part 5;4.

1.2 Following on from this work at the DGP similar proposals were put to the UN Subcommittee of Experts at the thirty-fourth session of the Subcommittee in December 2008. The proposals were adopted, which will result in changes being incorporated into the 16th revised edition of the UN Model Regulations.

1.3 In December 2008, IATA established a task force to develop the Shipper's Declaration for Dangerous Goods (dangerous goods transport document) data standards to support the IATA e-freight project. Given the decision of the Subcommittee to adopt provisions to facilitate the use of electronic data transmission, as well as the belief that any electronic data standard should be truly multi-modal, the task force included members representing shippers, freight forwarders, solutions providers, maritime transport (VOHMA) and airlines as well as representatives from UN Centre for Trade Facilitation and Electronic Business (CEFACT) and the UNECE Secretariat. While there is no person identified to represent road and rail transport, a number of the representatives have experience with ADR and were able to provide a road transport perspective for the data requirements. In establishing this task force IATA accepted that the use of electronic data transmission for the information required on the dangerous goods transport

document will always be subject to the national laws in the applicable State, notwithstanding what may be in the Technical Instructions.

1.4 From December 2008 until late March 2009 the task force convened almost weekly by teleconference, and one face-to-face meeting in Geneva in February 2009, to identify and document all of the data elements that will need to be incorporated into the standards for an electronic dangerous goods transport document. The basis for the discussions has been the Model Regulations together with the ICAO Technical Instructions, IATA Dangerous Goods Regulations, IMO IMDG Code and ADR to identify the modal differences/additions.

1.5 The initial work of the task force to identify and document all of the required data elements was completed in late March 2009 with V 1.0 of the draft functional specification, copy attached.

1.6 The next phase in the work is the development of an XML schema that will incorporate all of the possible data elements in a structure that can be used to transmit the information required in a dangerous goods transport document. The naming and structure of this XML schema will be based on the UN CEFACHT Core Component Library as well as the UN CEFACHT XML naming and design rules standards to ensure that the XML schema complies with the defined international standards.

1.7 Once developed, the XML schema will be tested against actual completed dangerous goods transport documents to validate that the content and structure of the schema can handle real-world shipments.

1.8 This testing phase will be followed by a proof of concept phase where actual dangerous goods consignments will be transported from consignor to consignee in parallel with a paper dangerous goods transport document to verify that all parties in the supply chain are able to manage a dangerous goods consignment using electronic data in lieu of the paper document. The target for the proof of concept is for this to commence by the fourth quarter of 2009.

2. ACTION

2.1 The DGP-WG is invited to note the progress of this work and is invited to pass this information to any parties to whom the information may be of interest.

IATA Standard Message Functional Specifications

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IATA Standard Message										DATA SECURITY		
Functional Name	Description					Codes					References	
	Conditions					Code						
	Explanation					Air	Road	Maritime	Rail	Code List		
SHIPPER'S DECLARATION FOR DG HEADER	M	1..1	Charac. Repr.	Grouping Element								
Shippers Reference Number	O	0..1	AN	an...35	Reference number assigned by the shipper						140	
Freight Forwarders Reference Number	O	0..1	AN		Reference number assigned by the freight forwarder						140	
Booking Number	O	0..1	AN		Booking reference number of the carrier						1016	
Reference Documents	O	0..1	AN		Grouping Element							
Document Reference Number	M	1..1	AN		Contains the Number being referenced within the document (e.g. Air Waybill number)						1004	
Document Type	O	0..1	AN		Contains the type of the referenced document. Free Text or the UN/EDIFACT 1001 Document/message name, code list.							
Document Name	M	1..1	AN		Contains the Name of the referenced document. Free Text or the UN/EDIFACT 1001 Document/message name, code list can be used.							
Shipper Details	M	1..1	AN		Grouping Element							
Shipper Internal ID	O	0..1	AN		Internal ID assigned to the Shipper							
Agency Coded	O	0..1	AN		Reference identifying the Standard ID. UN/EDIFACT 3055 Code list responsible agency code							
Shipper Standard ID	O	0..1	AN		The Standard ID is used to identify the Shipper using a 3rd Party Identifier such as EAN, DUNS etc.							
Shipper Name And Address	M	1..1	AN		Grouping Element							
Shipper Name	M	1..1	AN	an...35	Contains the Shipper's name or legal identity. In case additional names need to be specified, the different names should be separated by a specific character.							
PO Box	O	0..1	AN		Contains the post office box number.						3042	
Street	M	1..1	AN	an...35	Contains the street address. In case additional street names need to be specified, the different names should be separated by a specific character.						239	
Department	O	0..1	AN		Contains the company department name or number.						3042	
Zip/Postal Code	O	0..1	AN		Contains the Zip/Postal Code corresponding to the street address.						3412	
Region Name	O	0..1	AN	an...9	Contains the name of the region within a country specific to this address. Used to hold the state in US addresses. The code related to the name can be identified in the UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations.						246	
City Name	M	1..1	AN	an...17	Contains the name of the city. The code related to the name can be identified in the UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations						3228	
Country Code	M	1..1	A	a2	Contains the ISO Country Codes ISO 3166-1/1998. UNECE Recommendation N° 3 - Code for the Representation of Names of Countries						3164	
Country name	O	0..1	AN		Contains the name of the country						241	
Consignee Contact Information	M	1..1	AN		Grouping Element							
Shipper Contact Name	O	0..1	AN		Contains the Shipper contact name of the department or person.						3412	
List Of Contact Numbers	O	0..n	AN		Grouping Element						246	
Phone Number	O	0..1	N	n...25	Contains the phone number of the contact department or person. The reference identifying the Telephone Number field is specified as "T#E".						3148	
Consignee Details	M	1..1	AN		Grouping Element							
Consignee Internal ID	O	0..1	AN		Internal ID assigned to the Consignee.						3021	
Agency Coded	O	0..1	AN		Reference identifying the Standard ID. Field is hardcoded to "EAN" "DunAndBradStreet" "UICST" etc.						3039	
Consignee Standard ID	O	0..1	AN		The Standard ID is used to identify the Consignee using a 3rd Party Identifier such as EAN, DUNS etc.							
Consignee Name And Address	M	1..1	AN		Grouping Element							
Consignee Name	M	1..1	AN	an...35	Contains the Consignee's name or legal identity. In case additional names need to be specified, the different names should be separated by a specific character.							
PO Box	O	0..1	AN		Grouping Element							
Street	M	1..1	AN	an...35	Contains the street address. In case additional street names need to be specified, the different names should be separated by a specific character.							
Department	O	0..1	AN		Contains the company department name or number.						3042	
Zip/Postal Code	O	0..1	AN		Contains the Zip/Postal Code corresponding to the street address.						3412	
Region Name	O	0..1	AN	an...9	Contains the name of the region within a country specific to this address. Used to hold the state in US addresses. The code related to the name can be identified in the UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations.						3251	
City Name	M	1..1	AN	an...17	Contains the name of the city. The code related to the name can be identified in the UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations						3228	
Country Code	M	1..1	A	a2	Contains the ISO Country Codes ISO 3166-1/1998. UNECE Recommendation N° 3 - Code for the Representation of Names of Countries						3164	
Country name	O	0..1	AN		Grouping Element						3206	
Consignee Contact Information	M	1..1	AN		Grouping Element							
Consignee Contact Name	O	0..1	AN		Contains the Consignee contact name of the department or person.							
List Of Contact Numbers	O	0..n	AN		Grouping Element							
Phone Number	O	0..1	N	n...25	Contains the phone number of the contact department or person. The reference identifying the Telephone Number field is specified as "T#E".							
List Of Other Party Details	O	0..n	AN		Grouping Element. If more than one Party is specified a business logic should be designed to establish a sequence						?	
Party Type	M	1..1	AN		Additional parties is required. Standard codes from UN/EDIFACT 3035 Party function code qualifier can be used.							
Other Party Internal ID	O	0..1	AN		Internal ID assigned to the Party.							
Agency Coded	O	0..1	AN		Reference identifying the Standard ID. Field is hardcoded to "EAN" "DunAndBradStreet" "UICST" etc.							
Other Party Standard ID	O	0..1	AN		The Standard ID is used to identify the Carrier using a 3rd Party Identifier such as EAN, DUNS etc.							
Other Party Name And Address	M	1..1	AN		Grouping Element							
Other Party Name	M	1..1	AN		Contains the name or legal identity of the Other Party. In case additional names need to be specified, the different names should be separated by a specific character.							
PO Box	O	0..1	AN		Contains the post office box number.						3042	
Street	M	1..1	AN		Contains the street address. In case additional street names need to be specified by a specific character.						239	
Department	O	0..1	AN		Contains the company department name or number.						3042	
Zip/Postal Code	O	0..1	AN		Contains the Zip/Postal Code corresponding to the street address.						3412	
Region Name	O	0..1	AN		Contains the name of the region within a country specific to this address. Used to hold the state in US addresses. The code related to the name can be identified in the UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations.						3251	
City Name	M	1..1	A		Contains the ISO Country Codes ISO 3166-1/1998. UNECE Recommendation N° 3 - Code for the Representation of Names of Countries						3228	
Country Code	M	1..1	A		Grouping Element						3164	

IATA SHIPPERS' DECLARATION FOR DANGEROUS GOODS

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IATA Standard Message Functional Specifications

IATA Standard Message										Codes		Conditions		Required for		References	
Functional Name					M/O	OCC	Type	Charac. Rpr.	Description		Code	Code List	Example & Note	UNTDED	WCO	Data Security	
Country name		O	0..1	AN					Contains the name of the country					3206			
Other Party Contact Information		O	0..1	AN					Grouping Element					3412	246		
Other Party Contact Name		O	0..1	AN					Contains the Other Party contact name of the department or person.								
List of Contact Numbers		O	0..n						Grouping Element								
Phone Number		O	0..1	AN					Contains one phone number of the contact department or person. The reference identifying the Telephone Number field is specified as "TE".					3148	240	Applies	
Dangerous Goods Emergency Contact Name		O	0..n	AN	an...35				Contains the emergency contact name (e.g. the name of the agency)					3036	153		
Phone Number		M	1..1	AN	n...25				Contains the phone number of the contact department or person. The reference identifying the Telephone Number field is specified as "TE".					3148	240		
Transport Details		O	0..1						Grouping Element								
Departure Country / Code		M	1..1	A					Contains the code of the country of departure. UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations can be used.					3215			
Departure Country / Name		O	0..1	AN					Contains the name of the country of departure					3214			
Destination Country Code		M	1..1	A					Contains the code of the country of destination. UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations can be used.					3259			
Destination Country Name		O	0..1	AN					Contains the name of the country of destination					3268			
Mode of Transport Used		O	0..n	AN					Grouping Element								
Sequence of the transport		O	0..1	A					Contains the incremental number that identifies the sequence of the Mode of Transport. UNECE Recommendation N° 19 - Code for Modes of Transport					7188			
Mode of Transport Code		O	0..1	A					Name of the Mode of Transport. UNECE Recommendation N° 19 - Code for Modes of Transport code list					8067	183		
Means of Transport ID		O	0..1	A					Reference to the unique conversion ID of the means of transport (e.g. flight number, voyage number)					8066			
Means of Transport Name		O	0..1	AN					Name of the Mean of Transport (e.g. Vessel name)					BK1234	8028	149	
Transport equipment		O	0..1	AN					Grouping Element								
Reference		O	0..1	AN					Contains identification number (e.g. vehicle registration number)					8260			
Sea Number		O	0..1	AN					Contains the seal number affixed					9308			
Type		O	0..1	AN					Describes the type of the Container or Vehicle					9158			
Size		O	0..1	AN					Contains the size of the Container or Vehicle					8155			
Loading Location Type		O	0..1	AN					Reference identifying the Loading point. Field can be hardcoded to the following codes "Seaport", "Airport", "Flight Terminal", "Rail Station", "Other".					8154			
Loading Location Code		O	0..1	AN					Code name of a seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage					HARDCODED	Airport	3227	
Loading Location Name		O	0..1	AN	an...17				UNECE Recommendation N° 16 - LOCODE - Code for Trade and Transport Locations can be used.					CODED	NECE Rec GB LHR	3335	
Loading Date		O	0..1	D					Name of a seaport, airport, freight terminal, rail station or other place at which goods are loaded onto the means of transport being used for their carriage							3334	
Unloading Location Type		O	0..1	AN					Date at which goods are banded onto the means of transport being used for their carriage. Reference to the following codes "Seaport", "Airport", "Flight Terminal", "Rail Station", "Other".					HARDCODED	Airport	2347	
Unloading Location Code		O	0..1	AN					Code name of a seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage					CODED	NECE Rec GB LHR	3393	
Unloading Location Name		O	0..1	AN	an...17				Name of a seaport, airport, freight terminal, rail station or other place at which goods are unloaded from the means of transport having been used for their carriage. Free text or UNECE Recommendation N° 16 - LOCODE can be used.							3392	
Unloading Date		O	0..1	D					Date at which goods are unloaded from the means of transport having been used for their carriage. Free text or UNECE Recommendation N° 16 - LOCODE can be used.							2113	
Additional Information		O	0..1	AN					Free text such as the Transport statement								
Mode specific additional information		O	0..1	AN					Grouping Element								
Aircraft Do limitation		O	0..1	AN					Contains the Special Handling Code related to the prescribed limitation. Hardcoded to "PASSENGER AND CARGO AIRCRAFT" or "CARGO AIRCRAFT ONLY"								
Shipment DG Type		O	0..1	AN					This field is mandatory for AIR	X				HARDCODED			
Compliance Declaration Text		O	0..1	AN					Contains the Special Handling Code related to radioactive or non-radioactive shipment. Hardcoded to "Non-Radioactive" or "Radioactive"	X						Applies	
Shipper's Declaration Text		O	0..1	AN					This field is mandatory for AIR	X						Applies	
Header Note		O	0..1	AN					This field is mandatory for AIR	X						Applies	
SHIPPER'S DECLARATION FOR DG LINE ITEM DETAILS		M	1..n											4150	105	Applies	
List of Line Item Detail		M	1..n						Contains the shipper's declaration to comply with the regulations text note. Free text								
Item Details		M	1..n						Grouping Element								
Line-Item Number		M	1..1	AN					Grouping Element - Repeated per Line-item								
Dangerous Goods Description		M	1..1	N					Identifies uniquely each line-item contained in the document.								
UNID number		M	1..1	AN	an...65				Grouping Element					UNI263	7124		
Proper shipping name		M	1..1	AN					A 4-digit field that must be preceded by the letters "UN" or "ID" - as applicable. This is additional chemical name(s) required for some proper shipping names. When added the technical must be shown in parentheses immediately following the proper shipping name.					Faint			
Technical name		O	0..1	AN											7254		
Supplementary information		O	0..1	AN					Additional information that may be added in addition to the proper shipping to more fully describe the goods or to identify a particular condition								
Hazard class / division		M	1..1	AN													
Compatibility Group		O	0..1	A					Mandatory field in case of transport of explosive articles or substances								
Subsidiary hazard class / division		O	0..1	N					Identifies the subsidiary hazard class / division identification containing a numeric field separated by a decimal. There may be 0..1 or 2 subsidiary risk classes or divisions. If there is more than one, each should be separated by a comma. The subsidiary risk must be shown in parentheses if used.					(2..1),(3..8), etc.	8078		
Packing group		O	0..1	A	a...3				If used must reference I, II or III								
RQ		O	0..1	AN					Reportable quantities								
Mode Specific additional information									Grouping Element								

IATA Standard Message Functional Specifications

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IATA Standard Message Functional Specifications									
IATA Standard Message									
Functional Name	M0	occ	Type	Charge. Repr.	Description		Codes		
					Conditions		Required for	Code List	Example & Note
					Explanation	Air Road	Rail	Code	References
Package Width	O	0:1	AN		Package width				
Package Height Unit Of Measurement	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Package Height	O	0:1	AN		Package Height				
Line Item Reference Number	O	0:1	AN		Grouping Element				
Dangerous Goods Line-Item Reference Number	O	0:1	AN		Logistics Unit Purchasing. Reference to the Packing list Line-Item Number				
Sub-Package Detail	O	0:n			Grouping Element - Repeated once per unique Base Unit when purchasing is not done by Logistic Unit.				
Base Unit Package Type Code	M	0:1	AN		This identifies the lowest level package type, UNECE Recommendation N° 21 - Codes for Passengers, Types of Cargo, Packages and Free Text				
Base Unit Package Type Description	O	0:1	AN		Packaging Materials can be used				
Number of Base Units	M	1:1	AN		Free Text - Describes the lowest level package type.				
List of Sub-Packages/Units	M	1:n			Identifies the number of base units per logistic unit.				
Package Item ID	O	0:1	AN		Grouping Element				
Package D From	O	0:1	AN		Base Unit ID. The package ID is an incremental number that identifies each base unit.				
Package D To	O	0:1	AN		Logistics Unit ID. The package ID from is the first incremental number that identifies each logistic unit being described.				
Package Gross Weight Unit Of Measurement	O	0:1	AN		Logistics Unit ID. The package ID to is the last incremental number that identifies each logistic unit being described.				
Package Gross Weight	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Rec 20 Annex I				
Package Net Weight Unit Of Measurement	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Package Net Weight	O	0:1	AN		Trade - Annex I can be used				
Package Volume Unit Of Measurement	O	0:1	AN		Package net weight				
Package Volume	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Package Length Unit Of Measurement	O	0:1	AN		Package volume				
Package Length	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Package Width Unit Of Measurement	O	0:1	AN		Package length				
Package Width	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Package Height Unit Of Measurement	O	0:1	AN		Package width				
Line Item Reference Number	O	0:n			Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Dangerous Goods Line-Item Reference	M	1:1			Grouping Element				
Other Information	O	0:1	AN		Logistics Unit Purchasing. Reference to the Packing list Line-Item Number				
ADDITIONAL HANDLING INFORMATION									
Total Temperature	O	0:1	AN		Free text. This may include items such as 'Control temperature' for substances stabilized by temperature control, name and telephone number of a responsible person for infectious substances.				
Exclusive use shipment	O	0:1	AN		Indicates an exclusive use shipment				
SHIPPER'S DECLARATION FOR DG SUMMARY									
Total Tare Unit Of Measurement	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Total Tare Weight	O	0:1	AN		Contains the tare weight of the equipment				
Total Gross Weight Including Tare Unit Of Measurement	O	0:1	AN		Indicates the Unit of Measure from the Code List, UNECE Recommendation N° 20 - Codes for Units of Measure Used in International Trade - Annex I can be used				
Total Gross Including Tare Weight	O	0:1	AN		Trade - Annex I can be used				
Declaration Details	O	0:1	AN		Total gross weight including the tare weight of the equipment				
Consignor Declaration	M	1:1	AN		Grouping Element				
Consignor Name	M	1:1	AN		Name of the Consignor				
Consignor Declaration Date	M	1:1	D		Date upon which the certification is made				
Consignor Declaration Place	M	1:1	AN		Name of the Place on which the certification is made				
Consignor Declaration Text	M	1:1	AN		Place upon which the certification is made				
Consignor Declaration signature	M	1:1	AN		Contains the certification information. Free text.				
Container/Vehicle Packing Certificate	O	0:1	AN		Contains the authentication of the Consignor				
Declarant Company Name	O	0:1	AN		Grouping Element				
Declarant Name	M	1:1	AN		Name of the company of the declarant				
Declarant Title/Status	M	1:1	AN		Name of the declarant				
Declarant Declaration Date	M	1:1	D		Title or status of the declarant				
Declarant Declaration Place Name	O	0:1	AN		Date upon which the certification is made				
Declarant Declaration Text	M	1:1	AN		Name of the Place on which the certification is made				
Declarant Declaration Signature	M	1:1	AN		Name of the Driver				
Driver Information	O	0:1	AN		N° 16 - LOCODE - Code for Trade and Transport Locations				
Driver Name	O	0:1	AN		Name of the Driver				
Driver reference	O	0:1	AN		Contains a reference number of the driver (e.g. vehicle registration number)				
Driver signature	O	0:1	D		Contains the authentication of the Driver				
Driver Declaration Date	O	0:1	D		Date upon which the declaration is made				
Summary Note	O	0:1	AN		Contains a summary level text note as free text				

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