



International Civil Aviation Organization

Continuous Monitoring and Oversight of States with FRMS Regulations

**Henry Gourджи
Session 5**

Outline

- Objective of the Universal Safety Oversight Audit Programme - Continuous Monitoring Approach (CMA)
 - What is safety oversight
 - Critical elements of a safety oversight system
- The maturity of a States oversight system
- How will States be monitored on FRMS
- Implications of improper implementation of SARPs

Objective of the Programme



The objective of USOAP under the CMA is:

- to promote global aviation safety through continuous monitoring of the Member States' safety oversight capabilities.

What is Safety Oversight?

Safety oversight is a function by which States ensure the effective implementation of:

ICAO
Standards and
Recommended
Practices
(SARPs)

The critical
elements of a
safety
oversight
system

Relevant
safety
practices and
procedures

Safety Oversight is a State's responsibility

Critical Elements of an Effective Safety Oversight System



CEs are essentially the safety defense tools of a safety oversight system.

They are required for the effective implementation of safety-related SARPs and associated procedures.

They cover the whole spectrum of civil aviation activities.

The level of effective implementation of the CEs is an indication of a State's capability for safety oversight.

Critical elements of a State's safety oversight system



The Maturity of a States Oversight System



LOW
LEI

- Comprehensive regulations in place
- Consistent regulatory oversight
- Employment of sufficient and qualified personnel

High
LEI

- Comprehensive regulations are not be in place
- Oversight of the service providers are not conducted in an effective or consistent manner
- Insufficient inspectorate staff or staff not trained to the level of required to certify and oversee its industry.

So what are we looking for?



Flight time & Duty time limitations

IF FRMS is authorized

Regulations are **Mandatory**
(CE-2)

Air operators
implementing
requirements (CE-6)

CAA to ensure air operators
maintain current records CE-7

Additional regulations required

procedures and guidance
material detailing the
approval process

approve the air operator's
FRMS following an
established plan and process

CAA to implement FRMS surveillance
plan CE-7
And enforcement procedures CE-8

Implications of improper implementation of SARPs

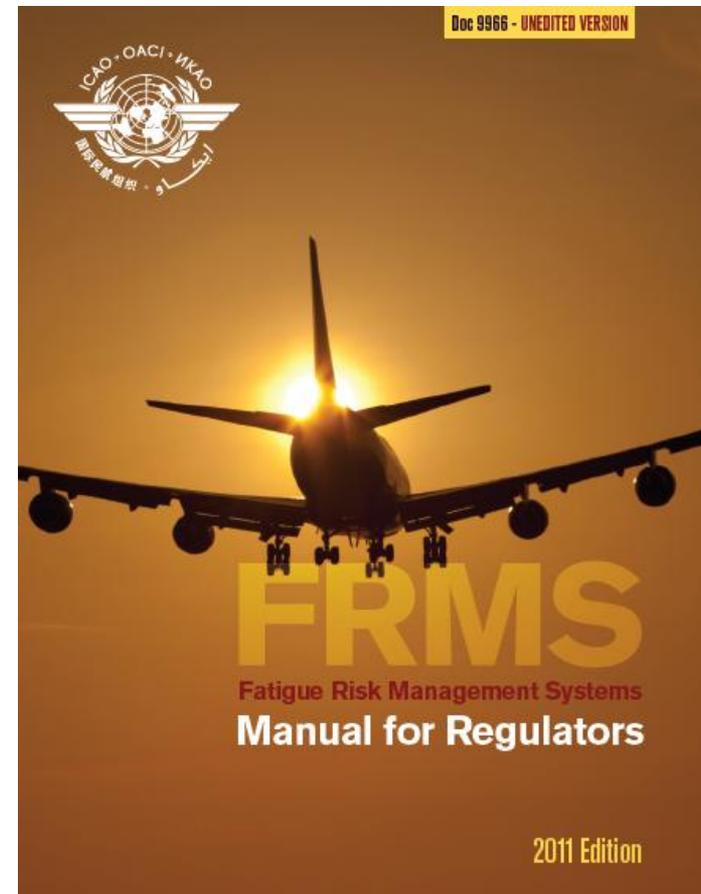


- USOAP-CMA is a mandatory programme applied to all ICAO member States
- Additional audit protocol questions have been developed specifically related to the approval and surveillance of air operators FRMS if applicable.
- Improper approvals can generate a USOAP findings, that will be shared with all other member States and depending on the severity of the finding may result in a Significant Safety Concern

FRMS Manual for Regulators

- Detailed guidance for the approval and surveillance of FRMS

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Thank you

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