



ICAO

Doc 9587

Policy and Guidance Material on the Economic Regulation of International Air Transport

Fourth Edition, 2017



Approved by and published under the authority of the Secretary General

INTERNATIONAL CIVIL AVIATION ORGANIZATION



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FOREWORD

1. This is the fourth edition of Doc 9587, a compendium of the conclusions, decisions and guidance material developed by ICAO for its Member States concerning the economic regulation of international air transport. The origin of this compilation is Doc 9440 prepared pursuant to Assembly Resolution A23-17 and published in 1984, which was replaced by the first edition of Doc 9587 issued in 1992. The document was subsequently updated in 1999 (second edition) and in 2008 (third edition). The present edition incorporates new policy guidance developed by ICAO since 2008, and is made available in printed copy as well as in electronic format.

Content and structure

2. The material included in the main text are those conclusions, decisions and guidance dealing with economic aspects of international air transport regulation which have received the endorsement of either the Assembly or the Council. In light of the changing regulatory scene, efforts have been made to provide, in this single document, a comprehensive coverage of all the relevant ICAO policies and guidance material. To improve user-friendliness and for ease of reference, the main body of the present edition has been structured into eight parts, each under a broad regulatory topic. While it has incorporated some new policy guidance since the last edition, several parts of the last edition were removed as their contents have become outdated or have been incorporated into the updated material. These included the former parts on capacity and tariff regulation, as well as computer reservation systems.

3. This document also includes four appendices to provide additional guidance material, namely: Appendix 1 — ICAO Template Air Services Agreements (TASAs), Appendix 2 — Guidance material on the avoidance or resolution of conflicts over the application of competition laws to international air transport, Appendix 3 — Preferential measures for developing countries in the economic regulation of international air transport, and Appendix 4 — Guidelines for non-scheduled air services.

Sources and related publications

4. As reflected in this document, ICAO's policies in the air transport field stem from certain Articles of the *Convention on International Civil Aviation* (Chicago Convention), the International Air Services Transit Agreement, the International Air Transport Agreement, and various relevant Assembly resolutions and Council decisions. In October 1998, the Assembly adopted Resolution A32-17 to consolidate all earlier Assembly resolutions relating to air transport into a single one, which constitutes a consolidated statement of continuing ICAO policies in the air transport field. This consolidated statement has since been updated regularly by subsequent Assembly resolutions, the most recent one being Resolution A39-15.

5. In addition to the above, the present document also includes: relevant text from other Assembly resolutions (e.g. on environmental protection) and Council resolutions, statements and decisions; declarations of relevant ICAO conferences; conclusions and recommendations adopted by ICAO's worldwide Air Transport Conferences (1977, 1980, 1985, 1994, 2003 and 2013) that have been approved by the Council; and relevant recommendations developed by a panel of experts, such as the Air Transport Regulation Panel (ATRP) that have been endorsed by the Council.

6. The following publications provide background for the contents included in the present document, along with additional guidance material:

Convention on International Civil Aviation (Doc 7300)
Assembly Resolutions in Force (as of 6 October 2016) (Doc 10075)
Report of the Special Air Transport Conference (Doc 9199)
Report of the Second Air Transport Conference (Doc 9297)
Report of the Third Air Transport Conference (Doc 9470)
Report of the Fourth Worldwide Air Transport Conference (ATConf/4 – 1994) (Doc 9644)
Report of the Fifth Worldwide Air Transport Conference (ATConf/5 – 2003) (Doc 9819)
Report of the Sixth Worldwide Air Transport Conference (ATConf/6 – 2013) (Doc 10009)

7. Of particular relevance is the *Manual on the Regulation of International Air Transport* (Doc 9626), prepared by the Secretariat at the request of the Council, which is a basic reference document on the economic regulation of international air transport and which complements and supplements the present document. It contains descriptive and analytical material on existing regulatory processes and structures at the national, bilateral and multilateral levels, as well as regulatory content and key issues.

8. ICAO policies and guidance material concerning some other specific areas of international air transport regulation not included in this document can be found in the following publications:

Airport and air navigation services economics and management

ICAO's Policies on Charges for Airports and Air Navigation Services (Doc 9082)
Manual on Air Navigation Services Economics (Doc 9161)
Airport Economics Manual (Doc 9562)
Report on Financial and Related Organizational and Managerial Aspects of Global Navigation Satellite Systems (GNSS) Provision and Operation (Doc 9660)
Report of the Conference on the Economics of Airports and Air Navigation Services (ANSCONF 2000) (Doc 9764)
Report of the Conference on the Economics of Airports and Air Navigation Services (CEANS 2008) (Doc 9908)

Facilitation

Annex 9 — *Facilitation*
Machine Readable Travel Documents (Doc 9303)
International Signs to Provide Guidance to Persons at Airports and Marine Terminals (Doc 9636)
Manual on Access to Air Transport by Persons with Disabilities (Doc 9984)
Guidelines on Passenger Name Record (PNR) Data (Doc 9944)
The Facilitation Manual (Doc 9957)
Model National Air Transport Facilitation Programme (Doc 10042)

Taxation

ICAO's Policies on Taxation in the Field of International Air Transport (Doc 8632)

Environmental protection

Guidance on Aircraft Emissions Charges Related to Local Air Quality (Doc 9884)

Guidance on the Use of Emissions Trading for Aviation (Doc 9885)

Assembly Resolution A39-1: Consolidated statement of continuing ICAO policies and practices related to environmental protection — General provisions, noise and local air quality

Future revision

9. The present edition contains policies and guidance material adopted or endorsed by the Assembly and the Council as of October 2016. Pursuant to Assembly Resolution A39-15, which, inter alia, directs the Council to keep the ICAO policies and guidance material in the air transport field current and responsive to the requirements of the Member States, this publication will be updated or revised from time to time in light of future decisions of the Assembly and the Council.

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